



**Parameter relations
in permeability
functions**

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The effective porosity and grain size relations in permeability functions

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Abstract

Hydrogeological parameters of coherent and incoherent deposits are deeply dependent of their granulometric characteristics. These relations were shaped in formulas and defaultly used for calculation of hydraulic conductivity, and are valid only for uniform incoherent materials, mostly sands. In this paper, the results of analyses of permeability and specific surface area as a function of granulometric composition of various sediments – from silty clays to very well graded gravels are presented. The effective porosity and the referential grain size are presented as fundamental granulometric parameters which express an effect of forces operating fluid movement through the saturated porous media. Suggested procedures for calculating referential grain size and determining effective (flow) porosity result with parameters that reliably determine specific surface area and permeability. These procedures ensure successful appliance of Kozeny–Carman model up to the limits of validity of Darcy’s law. The value of an effective porosity in function of referential mean grain size has been calibrated within range from 1.5 μm to 6.0 mm. Reliability of these parameters application in KC model was confirmed by very high correlation between predicted and tested hydraulic conductivity – $R^2 = 0.99$ for sandy and gravelly materials and $R^2 = 0.70$ for clayey-silty materials. Group representation of hydraulic conductivity (ranged from $10^{-12} \text{ m s}^{-1}$ up to 10^{-2} m s^{-1}) presents coefficient of correlation $R^2 = 0.97$, for total sum of 175 samples of various deposits. These results present the new road to researches of porous material’s effective porosity, permeability and specific surface area distribution, since these three parameters are critical conditions for successful groundwater flow modelling and contaminant transport. From the practical point of view, it is very important to be able to identify these parameters swiftly, cheaply and very accurately.

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Analyses of non-coherent deposits were conducted on 36 gravel test samples from six investigation boreholes on the Đurđevac well field (marked as GW on Fig. 1); 19 uniform sand test samples from the investigation boreholes on 2 well fields – Beli Manastir (marked as SU1) and Donji Miholjac (marked as SU2); 28 sand with laminas made from silty material test samples from 2 investigation boreholes on 2 well fields – Ravnik (marked as FS/SU1) and Osijek (marked as FS/SU2). Appropriate pumping tests were conducted on this test fields to determine the average hydraulic value of aquifers.

Coherent deposits were investigated on 3 sites. Soil samples from exploration boreholes (depth 1.0–30.0 m) were laboratory tested. Analysis of granulometric composition (grain size distribution), hydraulic conductivity and Atterberg limits were used. On the first test field (route of Danube–Sava channel – marked as CI/MI1) all of the mentioned analysis were conducted for every single soil sample. 65 samples of various types of soil were analyzed. On second and third test sites – Ilok (marked as CI/MI2) and Našice (marked as CI/MI3) loess and aquatic loess like sediments were investigated. Laboratory analyses were conducted on 21 samples from 8 investigation boreholes. Particular analyses were conducted on samples from this test site at various depths, and that fact was the reason to correlate mean values for individual boreholes (Urumović, 2013).

3 Methodology

3.1 Hydraulic model

The effect that porosity n and specific surface area a have on fluid movement in porous media can be illustrated by analyzing force field in the representative elementary volume (REV) $\delta V = \delta A \delta s$ (Fig. 2) in the direction of elementary length δs that is perpendicular to elementary plane δA .

Motion of fluid in pores is caused by forces of pressure and gravity. A force of pressure is transferred on δs between entry plane δA and its parallel exit plane, and the total amount is proportional to gradient $\delta\rho/\delta s$. A component of gravity force ρg in fluid volume $n\delta A\delta s$ is proportional to sine of the angle that δs makes with its projection on the horizontal plane and equals $\rho g n\delta A\delta s\partial z/\partial s$. These two driving forces are, in fluid motion, confronted by the force of viscosity τ . The force of viscosity is proportional to viscosity coefficient of water μ , average velocity q_s of water flow in direction δs and the effect of geometry of void space given by drag resistance constant r_s in direction of δs and proportional to specific surface area. When the water is flowing, these forces are in balance and whence (Hantush, 1964; Urumović, 2003):

$$-n\delta V\frac{\partial\rho}{\partial s}-n\delta V\rho g\frac{\partial z}{\partial s}-\delta V\mu r_s q_s=0 \quad (1)$$

or:

$$q_s=-\frac{n\rho g}{r_s\mu}\frac{\partial(\rho/\rho g+z)}{\partial s}=-\frac{n\rho g}{r_s\mu}\frac{\partial h}{\partial s}=-k_s\frac{\partial h}{\partial s}=-k_s\frac{\rho g}{\mu}\frac{\partial h}{\partial s} \quad (2)$$

These relations express Darcy's law, as theoretically rigorously described by Hubbert (1956). The attention is here given to permeability, as a property of porous media that is (in Eq. 2) given by relation $k_s = n/r_s$, k_s [L^2]. Porosity n is measured as a volume of moving fluid and is connected with specific effect of driving forces of pressure and gravity. Constant r_s expresses an effect of void geometry on the amount of viscosity forces, and represents specific amount of void geometry effect on water retention. Such specific amount is equivalent to a specific surface area a_p , [L^{-1}] inside the porous media, i.e. to a relation of solid grain surface that confronts water flow and saturated void volume that transfers the flow driving force. Following the Hagen Poiseuille law, that is inversely proportional to the hydraulic radius R_H [L]. Since, in isotropic environment, $r_s \propto a_p^2$ permeability is:

$$k=\frac{n}{r_s}=C\frac{n}{a_p^2}=CnR_H^2, \quad (3)$$

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where C represents the non-dimensional coefficient of proportionality that is dependent of the particle shape. $R_H = 1/a_p$ represents the hypothetical hydraulic radius of porous media representing the impact of effective voids specific surface area.

3.2 Geometric parameters of permeability

There are four ways of expressing specific surface area based on solid volume expressing surface area, A_s [L^2] as:

a_p [L^{-1}] – specific surface area based on the volume of contented pores V_p ;

a_T [L^{-1}] – specific surface area based on the total volume (solids + pores) V_T ;

a_m [$L^2 M^{-1}$] – specific surface based on the mass of solids M_s ;

a_s [L^{-1}] – specific surface area based on the volume of solids V_s of density ρ_s

All of the mentioned forms of specific surface are related to the hydraulic radius of porous media R_H . Their mutual conversion is expressed by following relations:

$$a_p = \frac{A_s}{V_p} = \frac{a_T}{n} = \frac{\rho_s(1-n)}{n} a_m = \frac{(1-n)}{n} a_s = \frac{1}{R_H} \quad (4)$$

Kozeny (1927) used Eq. (4) with a_T . He developed a theory for a bundle of capillary tubes of equal length. Carman (1937) verified the Kozeny equation and expressed the specific surface per unit mass of solid a_m , so it does not vary with the porosity. Furthermore, Carman (1939) tried to take tortuosity of the porous media into account by introducing an angular deviation of 45° from mean straight trajectory. The best fit with experimental results he obtained with a factor $C = 0.2$ in Eq. (3).

In hydrogeology, specific surface area is often substituted with mean grain diameter D_m . Permeability is given by the relation:

$$k = \frac{n^3}{180(1-n)^2} D_m^2 \quad (5)$$

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This relation has been achieved by inserting solids specific surface ($a_s = 6/D_m$) from Eq. (4) into Eq. (3) with $C = 0.2$. This solution of the Kozeny–Carman equation (Bear, 1972) is given for uniform sphere particles and for the Carman coefficient $C = 0.2$. That makes effective porosity n (in form of porosity function) and certain effective grain size D_m the critical factors of porous media transmissivity. By grouping them functionally:

$$k = C \frac{n_e}{a_p^2} = n_e \left(\frac{n_e}{(1 - n_e)} \frac{D_m}{180} \right)^2 \quad (6)$$

It is obvious that effective porosity n_e , has direct impact on the amount of driving forces and indirectly participates in the conversion of specific surface value into a value of effective mean grain which is the carrier of drag resistance. Both forces affect the moving fluid and that makes effective porosity an active factor only to pores through which the water flows.

3.3 Referential grain size

Many authors present the Kozeny–Carman equation with D_m^2 instead of a_s^2 in Eq. (5) without the whole indication of how to calculate this equivalent mean diameter. In engineering practice, there are three ways to calculate mean of the rated size of adjacent sieves:

$$\text{arithmetic, } d_{i,a} = (d_{i<} + d_{i>})/2, \quad (7)$$

$$\text{geometric, } d_{i,g} = \sqrt{d_{i<} \times d_{i>}}, \quad (8)$$

$$\text{harmonic, } d_{i,h} = 2/[(1/d_{i<}) + (1/d_{i>})]. \quad (9)$$

where $d_{i<} [L]$ is the smallest, and $d_{i>} [L]$ is the largest grain in segment. In all cases, it can be shown that $d_{i,h} < d_{i,g} < d_{i,a}$. However, the difference is not significant. Todd (1959) recommends the use of geometric mean, Bear (1972) prefers harmonic mean and recent authors often follow their recommendations.

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The algorithm of integration of all the mentioned grain sizes (Eqs. 7–9) in sieve residue in the whole sample has a crucial effect on the value of mean grain size. An overview of related expert and scientific literature has regularly confirmed the arithmetic sum of mean grain sizes in sieve residue d_i impact on a mean grain (Bear, 1972) (Freeze and Cherry, 1979; Kasenow, 2010) as follows:

$$D_a = 100 \left(\sum P_i / d_i \right)^{-1} \quad (10)$$

Here P_i is a percentile of the sieve residue mass in the total mass of the sample. The arithmetic mean was used because of the ease of computation and because of a wide variety of uses to which it can be applied. Correct results of permeability and specific surface were achieved only for uniform deposits of sand and silt (Chapuis and Aubertin, 2003). Major errors were results of applying the Eq. (10) for samples with a wide range of particle sizes. Equivalent annotations were registered in sedimentology and soil science researches. Arkin and Colton (1956) pointed out that the arithmetic mean may be greatly distorted by extreme values and therefore may not be typical. Irani and Callis (1963) advocated the use of geometric rather than arithmetic statistical properties for soil samples. The reason, in part, is that in a natural soil sample there is wide range of particle sizes making the geometrical scale much more suitable than the arithmetic scale. The general mathematical expressions for calculating the geometric particle size diameter D_{Ing} of the sample are:

$$D_{Ing} = \text{EXP} \left[\frac{1}{M} \sum m_i \ln(d_{i,g}) \right] \quad (11)$$

or

$$D_{Ing} = \text{EXP} \left[0.01 \sum P_i \ln(d_{i,g}) \right] \quad (12)$$

where M [M] represents the mass of the sample, and m_i [M] represents the mass of particular sieve residues, $P_i = 100m_i/M$. It can be shown that $D_{Ing} > D_{aa}$. That

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to the referential mean grain size (D_{ing}), forming the function of drag resistance effect in the water flow through a porous media (Eq. 6, Fig. 3).

The reliable reconstruction of effective porosity range (Fig. 5) was ensured through strong impact of discussed form of porosity function (Fig. 3) and exact calculation of referential mean grain size (Eqs. 11 and 12). These relations simultaneously verified the applicability of Kozeny–Carman equation for wide range of granulometric composition.

Identification of effective porosity rate has been achieved due to reliable guidelines—test fields for non-coherent deposits were properly studied and investigated, and laboratory analysis of hydraulic conductivity was conducted on numerous soil samples.

4 Results and verification

Reliable verification of analyzed parameter relations for a wide range of granulometric composition was conducted by using the Kozeny–Carman equation and analyses of researched deposits hydraulic conductivity in situ as well as in the laboratory. Hydraulic conductivity K [LT^{-1}] given through the KC equation (according to Eq. 6) is:

$$K = \frac{\rho g}{\mu} \frac{n_e^3}{180(1 - n_e)^2} D_m^2 = 0.0625 \frac{n_e^3}{(1 - n_e)^2} D_m^2 (\text{m s}^{-1}), \quad (13)$$

where ρ [ML^{-3}] represents the density and μ [$\text{ML}^{-1} \text{T}^{-1}$] represents the viscosity of water, with g [MLT^{-2}] being gravity. Coefficient 0.0625 is correct for a diameter of the mean grain D_m expressed in mm and a water temperature of 10°C . Hazen's (1892) non-dimensional temperature correction factor $\tau = 0.70 + 0.03T$ (T – temperature in $^\circ\text{C}$) was used to present an effect of temperature difference, ensuring error less than 2% for $T < 30^\circ\text{C}$.

The Kozeny–Carman equation is, actually, a special form of Darcy's law, so it should be applicable for every possible natural sample of porous media. Hydraulic

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applying effective porosity and referential mean grain size. The accepted criteria require a high level of accuracy of determining referential mean grain size and effective porosity concerning their role in Eq. (13).

In the process of verification, the results acquired using the KC equation were matched with the results of the hydraulic tests. The average local K values of sandy aquifers were identified (pumping test data) and compared to the average sample K value. Verification of K values for the gravelly aquifer is of a regional scale, since the boreholes that provided high quality core were located at a distance of 150–500 m from the pumped well. The tested value of hydraulic conductivity was determined by analyzing a series of successive steady states. The third case was of a laboratory scale where K values of coherent materials were analyzed. The hydraulic conductivity values of silty-clayey samples as well as granulometric parameters were a result of laboratory testing. These were the procedures to which the criteria for correlating predicted and tested K values were customized.

4.1 Incohesive deposit

Validity limits of the Eq. (13) are rarely discussed in hydrogeological circles. Arithmetic sum of proportions of arithmetic, geometric or harmonic mean size of grain between each pair of sieve sizes (Eqs. 7–10) is commonly used to calculate the mean grain size of a sample. In papers and reports on applying the KC formula, non-plastic silt commonly represents the lower validity limit. The upper validity limit is 3 mm grain (Carrier, 2003; Odong, 2008). Common view is that best results are achieved for analyzing uniform sands.

4.1.1 Sandy aquifer

Results of the analysis for four specific sandy aquifers are presented in this subheading. Two of those aquifers consist of uniform sand of different depths, and two consist of fine sand with silty laminas of different depths.

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of incoherent deposits, D_{Ing} represents the correct size of a referential mean grain. Depletion occurs only in case of sandy samples intercalated with thin laminas of silt. Yet, the analyses of those samples show that $K(D_{\text{Ing}})$ is of the same order of magnitude as K_t . The numerical correlation between the predicted ($K(D_{\text{Ing}})$) and the tested (K_t) hydraulic conductivity for all analyzed incoherent deposits show a very high correlation coefficient $R^2 = 0.998$. Also, it is interesting to register a very high accuracy of $K(D_{40})$, achieving an extremely high correlation coefficient $R^2 = 1.000$ (Table 4).

It can be assumed that effective grain D_{40} very correctly represents the true referential grain size of incoherent deposits, even in case of sand intercalated by laminas of silt.

4.2 Cohesive deposit

Use of the KC equation for calculating hydraulic conductivity of cohesive materials using particle size has frequently been disputed in numerous papers and reports. The reasons being: varied particle size, high proportions of fine fractions in deposits (Young and Mulligan, 2004), electrochemical reaction between the soil particles and water, large content of particles such as mica (Carrier, 2003) etc. All of these factors also affect effective porosity, and some of them affect the mean grain size. The question is: does (and/or how much) effective porosity and referential mean grain with its size and distribution incorporate effect of the mentioned factors?

The first problem is determining mean grain from the granulometric data, especially since the size of the smallest grain is unknown. The grain size curve always has a minimal measurable particle size d_{min} , and in clay sample, there can be a relatively large content of particles smaller than the measurable one. As an equivalent size $D_{i, \text{min}}$ (size corresponding to mean size of particles smaller than the minimum size), with respect to specific surface and permeability, Chapuis and Légaré (1992) used relation:

$$D_{i, \text{min}} = \sqrt{d_{\text{min}}^2 / 3}. \quad (14)$$

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5 Verification of the KC model using effective porosity and referential mean grain-size

Universality of the hydraulic model is realized only when it presents a continuum of flow conditions from large to imperceptible. That is conditioned by its theoretical validity and credibility of the used parameters. The theoretical validity of the Kozeny–Carman model was tested multiple times on many occasions, but its use was primarily limited by unavailability of the specific surface area and porosity, and was also further complicated by the conversion between specific surface area and diameter of the effective grain size. In that sense, the verification of the KC model universality is conditioned by the versatility of the referential grain size formulation and its connection to effective porosity.

The effective grain size formulation is simple only in the case of very uniform deposits of sand and coarse silt, when the arithmetic mean is successfully used. In the cases of extreme uniformity of sand, divergence between the mean grain and the grain defined by percentage of particles that pass through the sieve is very small. Because of that, many authors recommend the use of Hazen’s effective grain size D_{10} . However, along with the rise of uniformity coefficient, the above mentioned formulation becomes inappropriate. This problem is universally solved by applying the total geometric mean value of grain diameter (Eq. 12). In this process, problems are related only to credibility of the sample for grain size distribution analysis. Such technological problems are especially present with samples of incoherent materials from borehole logs. That was the cause for searching effective grain size as various percentages of particles passing through the sieves. Grain size D_{40} proved to be the closest value to an referential grain size and was incorporated in the final correlations.

Pearson’s correlation was conducted for numerical and logarithmic values of hydraulic conductivity of all samples, grouped in three basic data groups (Table 4): non-coherent materials (gravel and sand); coherent materials (silt and clay); group of all the analyzed samples. Verification of the results for non-coherent materials group was conducted for 8 more samples from the USGS laboratory (Morris and Johnson,

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1967). Verification of the results for coherent materials was conducted by analyses of two more samples from the USGS laboratory. Correlation results of the last mentioned group are presented in Fig. 14.

Separate sub-group was formed by non-coherent material data from all five CRO test fields by using the effective grain size D_{40} . Correlation has provided very high correlation coefficients. The lowest values of correlation coefficients have been achieved for silty-clayey materials group, but their values (Table 4) certainly confirm validity of the presented relations. It is very important to point out that test data used in this research refer to standard, serial tests, and that specific tests would probably result in even stronger correlativity.

Graphical correlation between the tested and the predicted hydraulic conductivity (Fig. 14) illustrates universality of the KC model (if applying referential mean grain size D_{Ing} and an effective porosity n_e) in a wide range of flow conditions. Very high values of correlation coefficients R^2 (Table 4) confirm its relations in porous media conditions, on a laboratory scale.

6 Conclusions

The following conclusions can be drawn from this study:

1. Geometric mean size of all particles contained in the sample D_{Ing} , unambiguously affects its permeability and specific surface area of coherent and non-coherent deposits, regardless of the grain size and distribution of specific particles. In that sense, D_{Ing} represents the referential grain size of the sample.
2. The distribution of an effective porosity in function of referential grain size $n_e = f(D_{\text{Ing}})$ is presented graphically for all types of clastic deposits. The graph was constructed following literature data and was calibrated according to congruence between the tested hydraulic conductivity and its predicted value calculated by applying the Kozeny–Carman equation. So, this effective porosity presents flow

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porosity and is slightly lower than the specific yield which is commonly stated in standard literature.

3. Successful appliance of the KC flow model confirms its validity in a range of hydraulic conductivity between 10^{-12} and 10^{-2} m s^{-1} . Simultaneously, the value of an effective porosity and its relative referential grain size D_{Ing} in a range between 1.5 μm up to 6 mm has been verified. It can be concluded that, through presented parameters, the range of applying the Kozeny–Carman model for calculating permeability and specific surface area is extended up to the limits of Darcy’s law validity.
4. Value of the referent mean grain size is, in cases of analyzed non-coherent samples, very close to the value of effective grain size D_{40} (read from grain size distribution curve).

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Table 4. Numerical results of correlations between the tested and the predicted K calculated using the Kozeny–Carman equation (for all samples from test fields in Croatia and a few samples from US Geol. Survey laboratory, Morris and Johnson, 1967).

Sample locations	Materials	Effective grain size	Pearson's correlation coefficients				
			Mark	Nominal values		Log values	
				R	R^2	R	R^2
CRO test fields	Gravel, sand	D_{Ing}	R_1	0.999	0.998	0.998	0.976
	Gravel, sand	D_{40}	R_2	1.000	1.000	0.995	0.990
Togeather CRO + USGS lab.	Gravel, sand	D_{Ing}	R_3	0.997	0.994	0.993	0.985
CRO test fields	Silt, clay	D_{Ing}	R_4	0.74	0.547	0.834	0.696
	Gravel, sand, silt, clay	D_{Ing}	R_5	0.999	0.999	0.971	0.942
All togeather CRO + USGS lab.	Gravel, sand, silt, clay	D_{Ing}	R_6	0.997	0.995	0.985	0.971

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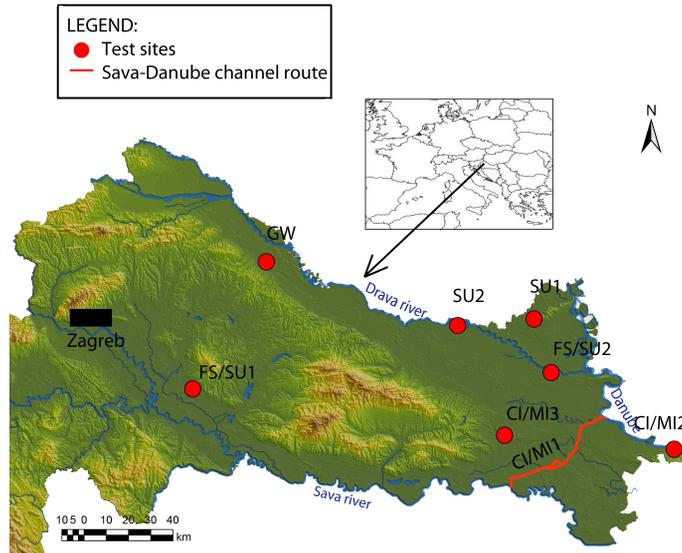


Figure 1. Situation map of Northern Croatia with test sites locations.

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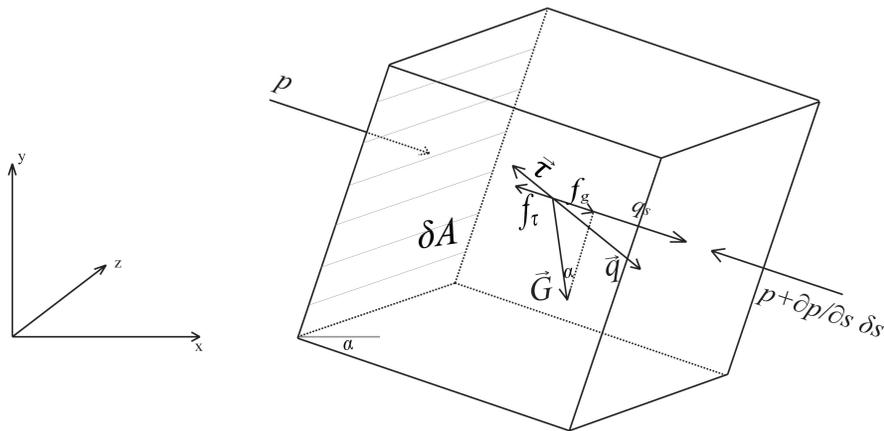


Figure 2. Definition sketch of liquid driving and opposed viscous forces for elemental volume.

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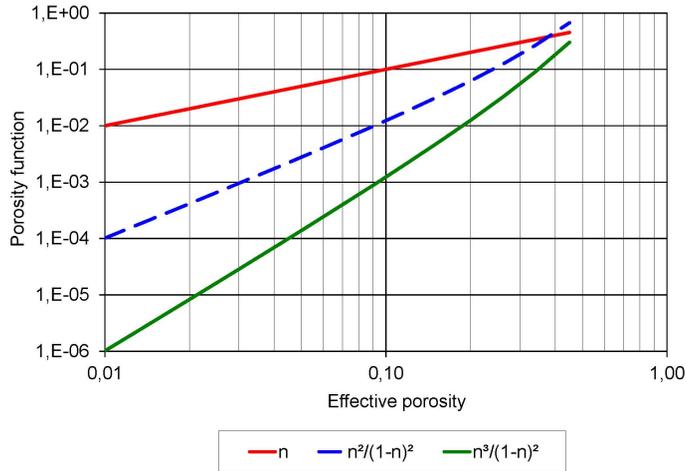


Figure 3. Effect of driving (n) and drag resistance ($n^2/(1-n)^2$) factor on porosity function ($n^3/(1-n)^2$).

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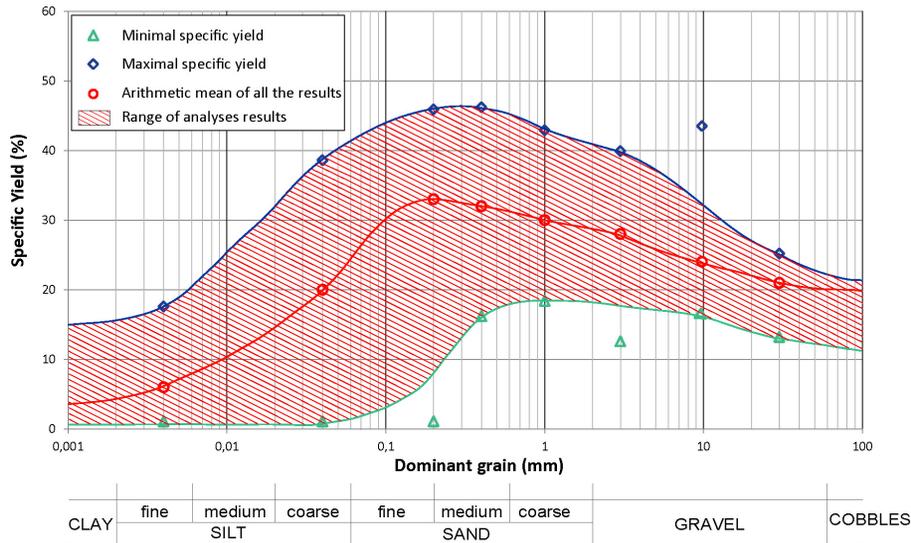


Figure 4. Range and arithmetic mean of specific yield values for 586 analyses in the Hydrol. Lab. of the US Geol. Survey (from Morris and Johnson, 1967).

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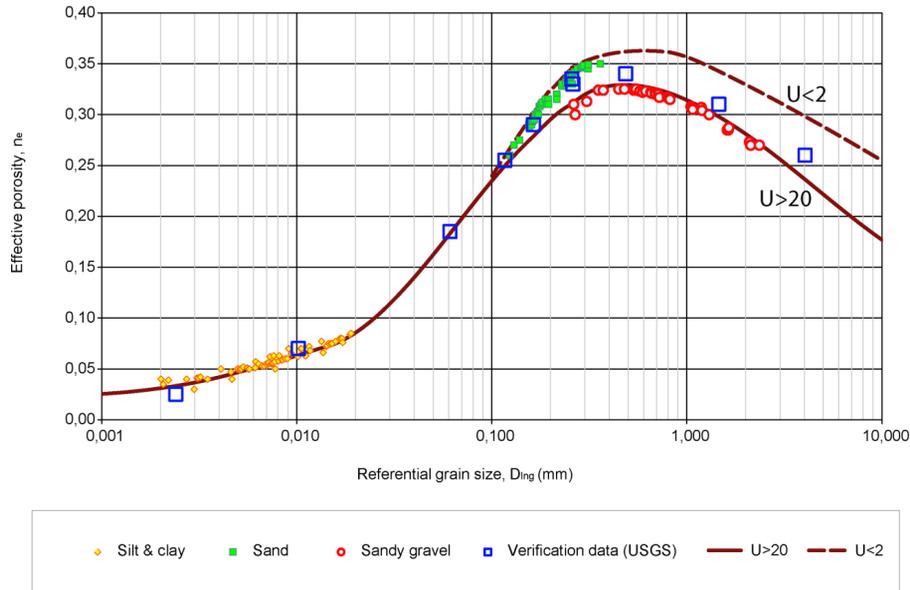


Figure 5. Effective porosity (n_e) in function of referential mean grain D_{ing} .

Note: dot line divides uniform grain deposits $U = D_{60}/D_{10} < 2$, and medium uniform grain deposits $2 < U < 20$. Verified samples of non-uniform grain deposits of sand and gravel ($U > 20$) lie below the full line.

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Figure 8. Fine sand sample with thin silty laminae from test field SF/SU1 (see Fig. 7).

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Figure 10. Gravel borehole core from 23 to 30 m depth et borehole SPB-3 (see Fig. 9).

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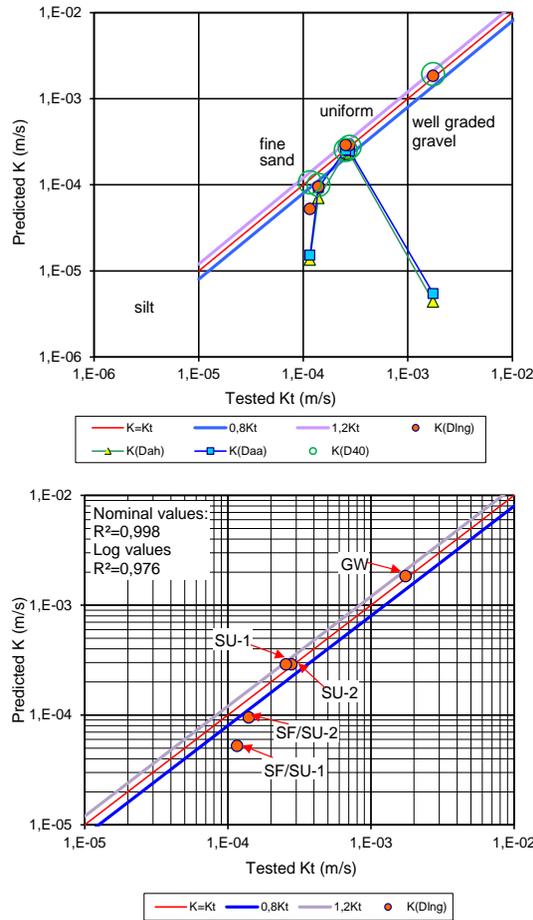


Figure 11. Graphical correlation between predicted K and tested K_t of sand and gravel deposits.

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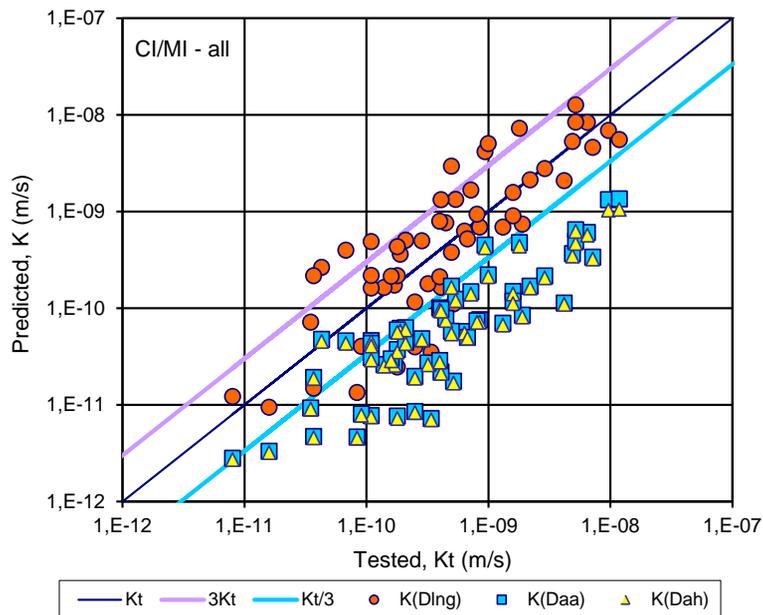


Figure 12. Graphical correlation between the tested (K_t) and the predicted hydraulic conductivity using geometric $K(D_{Ing})$, and arithmetic ($K(D_{aa})$ and $K(D_{ah})$) mean grain size for silty-clayey samples.

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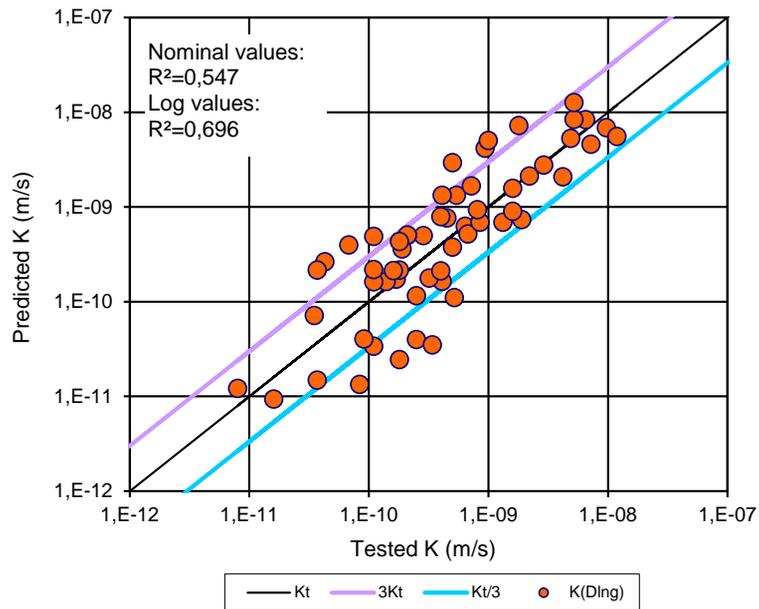


Figure 13. Verification of graphical and numerical correlation between the tested (K_t) and the predicted hydraulic conductivity $K(D_{Ing})$ using referential geometric mean grain size for clayey-silty samples.

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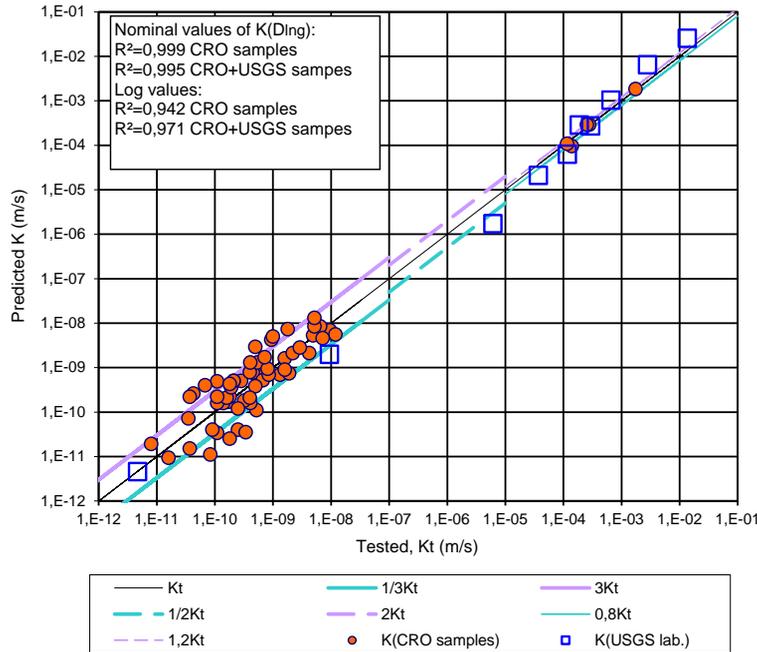


Figure 14. Verification of graphical and numerical correlation between the tested (K_t) and the predicted hydraulic conductivity using referential geometric mean size $K(D_{ing})$ for all samples.

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