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# Macropore flow at the field scale: predictive performance of empirical models and X-ray CT analyzed macropore characteristics

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## Abstract

Predictions of macropore flow is important for maintaining both soil and water quality as it governs key related soil processes e.g. soil erosion and subsurface transport of pollutants. However, macropore flow currently cannot be reliably predicted at the field scale because of inherently large spatial variability. The aim of this study was to perform field scale characterization of macropore flow and investigate the predictive performance of (1) current empirical models for both water and air flow, and (2) X-ray CT derived macropore network characteristics. For this purpose, 65 cylindrical soil columns (6 cm diameter and 3.5 cm height) were extracted from the topsoil (5 to 8.5 cm depth) in a 15 m × 15 m grid from an agricultural loamy field located in Silstrup, Denmark. All soil columns were scanned with an industrial CT scanner (129 μm resolution) and later used for measurements of saturated water permeability, air permeability and gas diffusivity at –30 and –100 cm matric potentials. Distribution maps for both water and air permeabilities and gas diffusivity reflected no spatial correlation irrespective of the soil texture and organic matter maps. Empirical predictive models for both water and air permeabilities showed poor performance as they were not able to realistically capture macropore flow because of poor correlations with soil texture and bulk density. The tested empirical model predicted well gas diffusivity at –100 cm matric potential, but relatively failed at –30 cm matric potential particularly for samples with biopore flow. Image segmentation output of the four employed methods was nearly the same, and matched well with measured air-filled porosity at –30 cm matric potential. Many of the CT derived macropore network characteristics were strongly interrelated. Most of the macropore network characteristics were also strongly correlated with saturated water permeability, air permeability, and gas diffusivity. The correlations between macropore network characteristics and macropore flow parameters were further improved on dividing soil samples into samples with biopore and matrix flow. Observed strong correlations between macropore network characteristics and macropore flow highlighted the

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## 2 Materials and methods

### 2.1 Study site and soil sampling

The 1.69 ha study site located in Silstrup in northwestern Denmark (56°55'56" N, 8°38'44" E) is covered with glacial till, a dominant geological formation covering about 43 % of all farmland in Denmark (Geological Survey of Denmark and Greenland, 1999). The top meter of the soil is highly fractured and bioturbated, containing 100 to 1000 biopores per m<sup>2</sup>. The field has not been tilled for about 3 years prior to soil sampling. The field has been plowed in December 2008 to 23 cm depth and harrowed twice to 5 cm depth in March 2009. Since then the soil was only disturbed when slurry was injected in 10 cm depth in April 2009 and in 4 to 5 cm depth in September 2009. A thorough overview of management practices performed at the study site between 2006 and 2010 is provided in Norgaard et al. (2013).

65 undisturbed cylindrical soil cores (6 cm ID and 3.5 cm height) were extracted from the topsoil (5 to 8.5 cm depth) in summer 2012. At the time of sampling the field was cultivated with red fescue (*Festuca rubra* L.). The soil columns were sampled on a rectangular 15 m by 15 m grid (Fig. 1). All soil columns were extracted by stepwise pushing a customized core sampler containing the aluminum sampling cylinders into the soil and step by step removing the surrounding material. Extracted soil columns were immediately covered with tight plastic lids, placed in plastic bags, and carefully transported from field to the laboratory to avoid smearing and compaction effects. In the laboratory the soil columns were preserved at -2 °C until measurements started. In addition, bulk soil samples were collected from each point at the same soil depth for texture and organic carbon analysis.

### 2.2 X-ray computed tomography scanning and analysis

An industrial X-Ray CT scanner (X-Tek HMX225) at the Helmholtz Center for Environmental Research in Halle in Germany was used to scan the intact soil columns at an

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## 2.3 Soil physical measurements

Soil texture was determined on disturbed samples that were passed through a 2 mm sieve with a combination of wet sieving and hydrometer methods. Soil organic carbon was determined with a LECO carbon analyzer (St. Joseph, MI, USA) coupled with an infrared CO<sub>2</sub> detector. A multiplication factor of 1.72 was used for converting soil organic carbon to soil organic matter. After X-ray CT scanning, air permeability and gas diffusivity at –30 and –100 cm matric potentials, and saturated hydraulic conductivity were measured on the same columns in the laboratory. The soil columns were placed in a sand box and saturated with water from the bottom. After saturation, suction was successively applied to establish matric potentials –30 and –100 cm. Air permeability ( $k_a$ ) was then measured with the steady state method described in Iversen et al. (2001) both at –30 and –100 cm matric potentials. The pressure gradient was established at 5 hPa as frequently assumed pressure for the laminar flow during the measurements. The  $k_a$  was calculated from Darcy's equation based on the pressure difference across the core:

$$Q = \frac{k_a \Delta p a_s}{\eta_a L_s} \quad (2)$$

where  $Q$  (L<sup>3</sup>T<sup>-1</sup>) is the volumetric flow rate,  $k_a$  (L<sup>2</sup>) is air permeability,  $\Delta p$  (L) is the pressure difference across the column,  $\eta$  (ML<sup>-1</sup>T<sup>-1</sup>) is dynamic viscosity of air,  $a_s$  (L<sup>2</sup>) is the cross-sectional area and  $L_s$  (L) is the length of the column. Gas diffusivities ( $D_p/D_0$ ) at –30 and –100 cm matric potentials were measured with the one-chamber method described in Schjønning et al. (2013).

After that, the soil columns were resaturated, and the saturated hydraulic conductivity was measured with the constant head method (Klute and Dirksen, 1986). The laboratory measured saturated hydraulic conductivities were then converted to saturated water permeability at 20 °C:

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$$k_w = k_{\text{sat}} \frac{\eta_w}{\rho_w g} \quad (3)$$

where  $k_w$  ( $L^2$ ) is water permeability,  $k_{\text{sat}}$  ( $L T^{-1}$ ) is saturated hydraulic conductivity,  $\eta_w$  ( $M L^{-1} T^{-1}$ ) is dynamic viscosity of water,  $\rho_w$  ( $M L^{-3}$ ) is density of water and  $g$  ( $L T^{-2}$ ) is gravitational acceleration.

## 2.4 Statistics

Data collected for soil textural properties and macropore flow parameters were first subjected to classical statistical analysis to obtain descriptive statistics, including minimum, maximum, mean, median, SD, skewness, and coefficient of variation (CV). The degree of spatial variability of soil textural properties and macropore flow parameters was determined with ordinary kriging. The ArcMap 10.1 (Esri, Inc.) software was used to generate contour maps for each measured soil property. Spearman rank order correlation coefficients between macropore network characteristics and macropore flow parameters were calculated with the commercial SigmaPlot 11.0 software package. The correlations were considered significant if  $p$  values were below 0.01. Selected correlations were also graphically displayed and analyzed with linear or power regressions (that best described the data). The linear or power models were only fitted if they were significant at  $p < 0.01$ .

## 3 Results and discussion

### 3.1 Spatial variability of soil texture, organic matter, and macropore flow parameters

The soil of the study site was mainly classified as sandy loam (USDA-NRCS Web Soil Survey, 2010) with clay contents between 14 and 19% and organic matter content

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varying from 2.9 to 3.8 % across the field. Descriptive statistics for all soil textural properties are depicted in Table 1. Clay and sand contents were positively skewed whereas silt and organic matter contents were negatively skewed. Although there was some skewness in soil textural properties, the mean and median values were quite similar.

This indicated that the mean and median were not dominated by extreme values of the distributions. All soil textural properties were slightly variable across the field with coefficients of variation (CV) below 10 %. It has been reported in the literature that the CV for soil textural properties generally depends upon the extent of the study area. For example, Sharma et al. (2011) reported a CV for soil textural properties within the range of 20 to 30 % in a 40 ha agricultural field in New Mexico, while Wang et al. (2013) reported a CV within the range of 19 to 156 % across the Loess Plateau of China (620 000 km<sup>2</sup>). Krigged maps indicated that soils with high clay contents were on the north side of field, whereas soils with high organic matter contents occupied the south side. Thus, clay and organic matter gradients run in opposite directions at the study site. Soils with high silt contents were on the eastern side of the field, whereas soils with high sand contents were on the western side (Fig. 1).

Descriptive statistics for saturated water permeability ( $k_w$ ), air permeability ( $k_a$ ), and gas diffusivity ( $D_P/D_0$ ) at  $-30$  and  $-100$  cm matric potentials are provided in Table 1. Large positive skewness was observed for all five macropore flow parameters. Mean and median values were quite different, indicating that they were largely dominated by extreme values of the distribution. The  $k_w$ ,  $k_a$ , and  $D_P/D_0$  at  $-30$  and  $-100$  cm matric potentials showed the largest variations across the study site with a CV ranging from 92 to 218 %. High CV values were observed due to the presence of biopores in some of the soil columns, while not in others (marked samples in Fig. 1 are shown in Fig. 2). Irrespective of the extent of the study area, large variations in  $k_w$  were also reported in other studies (e.g. Wang et al., 2013; Sharma et al., 2011; Iqbal et al., 2005). Krigged maps for  $k_w$ ,  $k_a$ , and  $D_P/D_0$  look quite similar with some areas randomly exhibiting a high degree of macropore flow while matrix flow dominated in other regions



ciated with matrix flow and underestimated gas diffusivity for soil samples with biopore flow at  $-30$  cm matric potential (Fig. 4c). This reflects that preferential diffusive flow could occur at higher matric potentials close to saturation even though gas diffusivity is a concentration-driven gas transport parameter. However at  $-100$  cm matric potential, the WLR-Marshall model (Moldrup et al., 2000) predicted gas diffusivity well for all soil samples irrespective of matrix or biopore flow (Fig. 4d).

### 3.3 Correlations between macropore flow parameters and macropore network characteristics

All four employed image segmentation methods whether global or locally adaptive resulted into quite comparable macroporosity values (Fig. 5). This reflects that most of the image segmentation methods performed similarly when the X-Ray CT data quality is good with little partial volume effect, i.e. relatively clear pore and solid peaks of the histogram (Naveed, 2014). The obtained X-ray CT macroporosity based on the four investigated segmentation methods was plotted as a function of physically measured air-filled porosity at  $-30$  cm matric potential (Fig. 5). The physically measured air-filled porosity at  $-30$  cm matric potential agreed well with the X-ray CT analyzed macroporosity at  $129 \mu\text{m}$  resolution. At  $-30$  cm matric potential, all pores of diameter larger than  $100 \mu\text{m}$  should have drained according to the Young Laplace capillary-rise equation. Referring to this, physically measured air-filled porosity at  $-30$  cm matric potential (pores  $>100 \mu\text{m}$ ) should be higher than the X-ray CT derived macroporosity (resolution =  $129 \mu\text{m}$ ). However, this is only true when assuming a parallel bundle of capillary tubes, which is clearly not realistic for natural soils. Due to the ink-bottle effect a considerable volume of pores  $> 100 \mu\text{m}$  are expected to be water filled after drainage at a water potential of  $-30$  cm. Hence, no perfect match between the morphological pore size measured with CT and the hydraulic pore size estimated from the Young–Laplace equation can be expected (Vogel, 2000). Hence, the observed agreement between both measures is absolutely reasonable and confirms the accuracy of the employed image segmentation methods (Fig. 5). However, it must be noted that different image

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segmentation methods can result in quite different macroporosity values if image quality is not good, i.e. lot of noise and partial volume effect as shown in Naveed (2014).

Spearman rank order correlation analysis between macropore flow parameters and macropore network characteristics was carried out first for all soil samples (Fig. 6a), second for soil samples containing biopores(s) connected from top to bottom (Fig. 6b), and third for soil samples containing inter-aggregate macropores or disconnected biopores (Fig. 6c). Many of the X-ray CT macropore network characteristics were strongly interrelated (Fig. 6). This is because large macroporosities were associated with larger macropore surface area and better connectivity of macropores. This agrees with other past studies (e.g. Katuwal et al., 2015; Larsbo et al., 2014). Macropore mean diameter and hydraulic radius were however poorly correlated with other macropore network characteristics because of inherently different measures of macropores. Significant spearman rank order correlations were also observed between macropore flow parameters and most of the X-ray CT derived macropore network characteristics (Fig. 6). X-ray CT macroporosity was strongly correlated with macropore flow parameters for all three categories of soil samples (Fig. 6a–c). Very strong correlations were observed between minimum connected macroporosity (MCMP) and macropore flow parameters for the soil samples consisting of biopores(s) connected from top to bottom (Fig. 6b). Macropore hydraulic radius and macropore mean diameter were significantly correlated with macropore flow parameters for the soil samples associated with biopore flow (Fig. 6b), whereas poorly correlated in case of soil samples associated with matrix flow (Fig. 6c). Supporting this, Elliot et al. (2010) and Quinton et al. (2008) reported strong dependency of saturated water permeability on hydraulic radius. Both macropore global and local connectivities were poorly correlated with macropore flow parameters for the soil samples associated with biopore flow (Fig. 6b), whereas significantly correlated for the soil samples associated with matrix flow (Fig. 6c). This is quite logical as biopore flow is mainly controlled by the mean pore diameter whereas matrix flow is mainly controlled by the connectivity of pores.

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minimum connected macroporosity for the soil samples associated with biopore flow (Fig. 7f, filled symbols). A significant power regression was observed between  $k_a - 30$  and macropore mean diameter for the soil samples with biopore flow while no significant regression was observed between  $k_a - 30$  and macropore mean diameter for the soil samples with matrix flow (Fig. 7g). Contrary to this, significant power regression was observed between  $k_a - 30$  and macropore local connectivity for soil samples associated with matrix flow while no significant regression was observed for soil samples associated with biopore flow (Fig. 7h). Similar power regressions were also observed for  $k_a - 100$  as a function of macroporosity, minimum connected macroporosity, macropore mean diameter, and macropore local connectivity as shown in Fig. 7i–l, respectively.

Figure 7m and n showed significant power regressions when gas diffusivity at  $-30$  cm matric potential ( $D_P/D_0 - 30$ ) was plotted against macroporosity and minimum connected macroporosity, respectively. Independent significant power regressions observed for soil samples associated with biopore flow and matrix flow reflects that preferential diffusive flow occurred at  $-30$  cm matric potential. However at  $-100$  cm matric potential, a single regression significantly described both types of data associated with biopore flow and matrix flow as shown in Fig. 7q and r. This reflects that no preferential diffusive flow occurs at and below  $-100$  cm matric potentials. Both  $D_P/D_0 - 30$  and  $D_P/D_0 - 100$  showed insignificant regressions when plotted as a function of macropore mean diameter for both categories of soil samples (Fig. 7o and s). Significant power regressions were observed when  $D_P/D_0 - 30$  and  $D_P/D_0 - 100$  were plotted as a function of macropore local connectivity for both soil samples associated with matrix flow and biopore flow (Fig. 8p and t). This is logical as  $D_P/D_0$  is a concentration-driven gas transport parameter and is mainly controlled by total air-filled pore space and its connectivity, and not by the pore size (Moldrup et al., 2000).

## 4 Conclusions and perspective

1. Soil textural properties showed small spatial variability across the study site with a  $CV < 10\%$ . Despite of this, macropore flow parameters showed large spatial variability across the field with a  $CV > 100\%$ .
2. Predictive performance of empirical models/pedotransfer functions for both water and air permeabilities was quite poor at the field scale. The tested empirical model for prediction of gas diffusivity performed well at  $-100$  cm matric potential, while failed at  $-30$  cm matric potential particularly for the soil samples containing biopores connected from top to bottom.
3. Most of the image segmentation methods whether locally adaptive or global performed well and in a similar way. This is because the image quality was quite good in this study, i.e. with less noise and relatively clear separate peaks of the histogram associated with the soil pore and solid phases.
4. Strong correlations were observed between X-ray CT macropore network characteristics and macropore flow parameters. Minimum connected macroporosity better predicted macropore flow as compared to total macroporosity for the samples with biopore flow, and vice versa for the samples with matrix flow. Macropore mean diameter better predicted macropore flow for the samples with biopore flow, whereas macropore local connectivity better predicted macropore flow for the samples with matrix flow.

Rapid development in image analysis together with computational fluid dynamics made it possible to simulate the dynamics of flow and transport directly on X-ray CT images. One method particularly suitable for simulating macropore flow and transport on the X-ray CT images is the lattice Boltzmann method (LBM). Most of the studies to date on simulating flow and transport on X-ray CT images using LBM were based on either granular porous media (glass beads/sand) or rock geometries, and not on real

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soil samples where image segmentation really suffers. Strong correlations between macropore flow parameters and X-ray CT derived macropore network characteristics suggests that the lattice Boltzmann simulation of flow and transport based on X-ray CT images could be a good topic for future research, which can pave the way for the establishment of digital soil physics laboratory.

*Author contributions.* Muhammad Naveed, Per Moldrup, Lis Wollesen de Jonge, and Marcel Schaap designed the study and wrote the manuscript. Markus Tuller and Hans-Jorg Vögler helped in X-ray CT scanning and analysis. Ramaparsad Kulkarni performed image segmentation. Further, all the authors contributed the manuscript with the comments and suggestions throughout the writing process.

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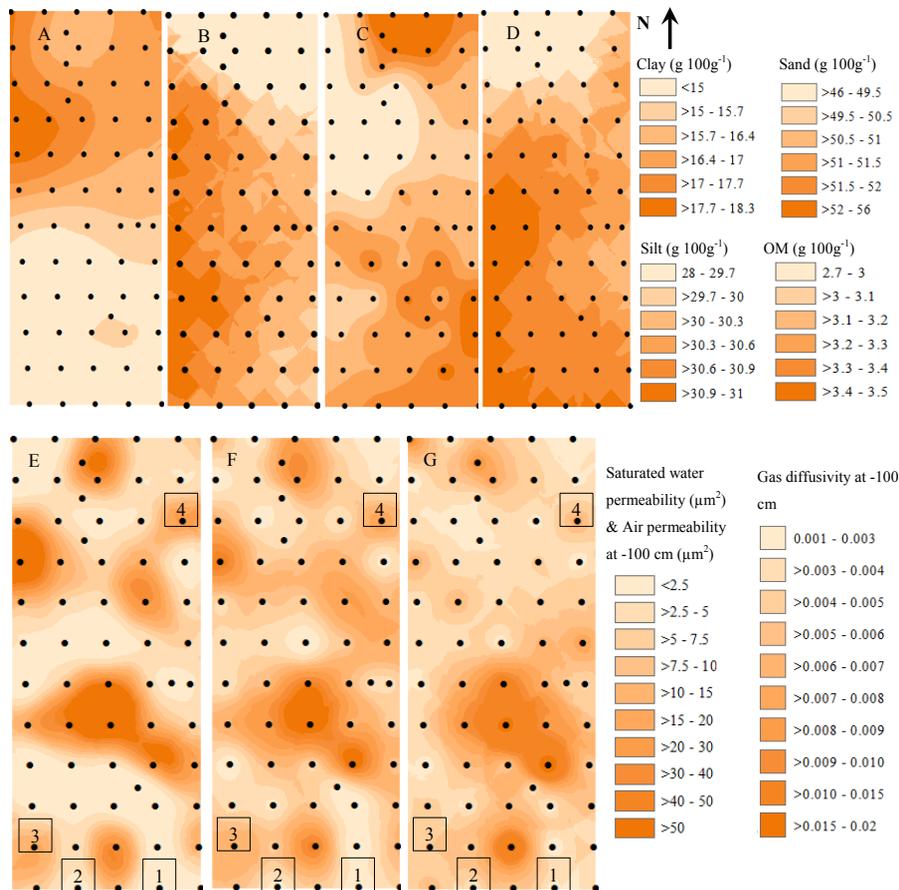
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**Table 1.** Descriptive statistics for selected soil physical properties ( $n = 65$ ).

Variable	Minimum	Maximum	Mean	Median	SD	Skewness	CV %
Clay ( $\text{g } 100 \text{ g}^{-1}$ )	14.18	18.93	15.82	15.54	1.36	0.65	9
Silt ( $\text{g } 100 \text{ g}^{-1}$ )	23.30	33.32	30.12	30.10	1.66	-1.21	6
Sand ( $\text{g } 100 \text{ g}^{-1}$ )	44.89	59.00	50.71	50.72	2.14	0.32	4
Organic matter ( $\text{g } 100 \text{ g}^{-1}$ )	2.90	3.75	3.35	3.38	0.20	-0.42	6
Saturated water permeability, $k_w$ ( $\mu\text{m}^2$ )	0.003	118.1	12.04	0.39	26.30	2.73	218
Air permeability at $-30 \text{ cm}$ , $k_a - 30$ , ( $\mu\text{m}^2$ )	0.03	109.19	10.87	3.21	22.33	3.03	205
Air permeability at $-100 \text{ cm}$ , $k_a - 100$ , ( $\mu\text{m}^2$ )	0.19	151.10	14.72	5.42	27.13	3.26	184
Gas diffusivity at $-30 \text{ cm}$ , $D_p/D_0 - 30$	0.0001	0.018	0.0026	0.0017	0.003	2.74	123
Gas diffusivity at $-100 \text{ cm}$ , $D_p/D_0 - 100$	0.0004	0.025	0.0052	0.0040	0.005	2.31	92

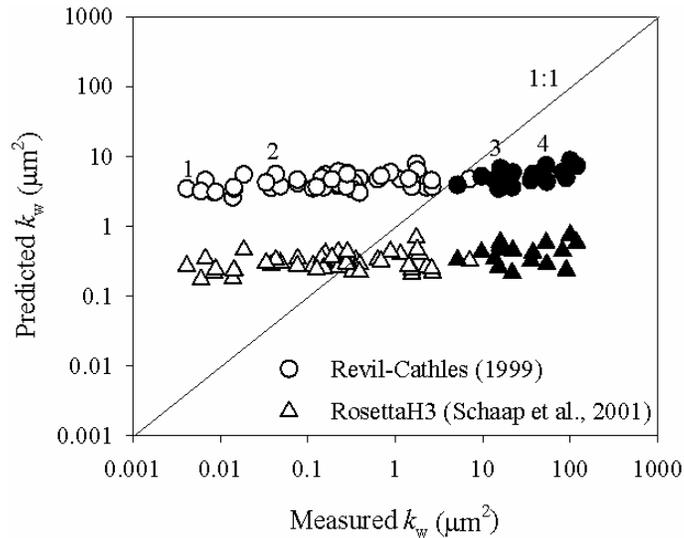


**Figure 1.** Contour maps for soil textural properties and macropore flow parameters, **(a)** clay ( $< 2\mu\text{m}$ ), **(b)** silt ( $2\text{--}50\mu\text{m}$ ), **(c)** sand ( $50\text{--}2000\mu\text{m}$ ), **(d)** organic matter, **(e)** saturated water permeability ( $\mu\text{m}^2$ ), **(f)** air permeability ( $\mu\text{m}^2$ ) at  $-100\text{ cm}$  matric potential, and **(g)** gas diffusivity at  $-100\text{ cm}$  matric potential, marked samples are shown in Fig. 2.



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**Figure 3.** Performance of empirical predictive models for saturated water permeability ( $k_w$ ), filled symbols represent samples with biopore flow and unfilled symbols represent samples with matrix flow, marked samples are shown in Fig. 2.

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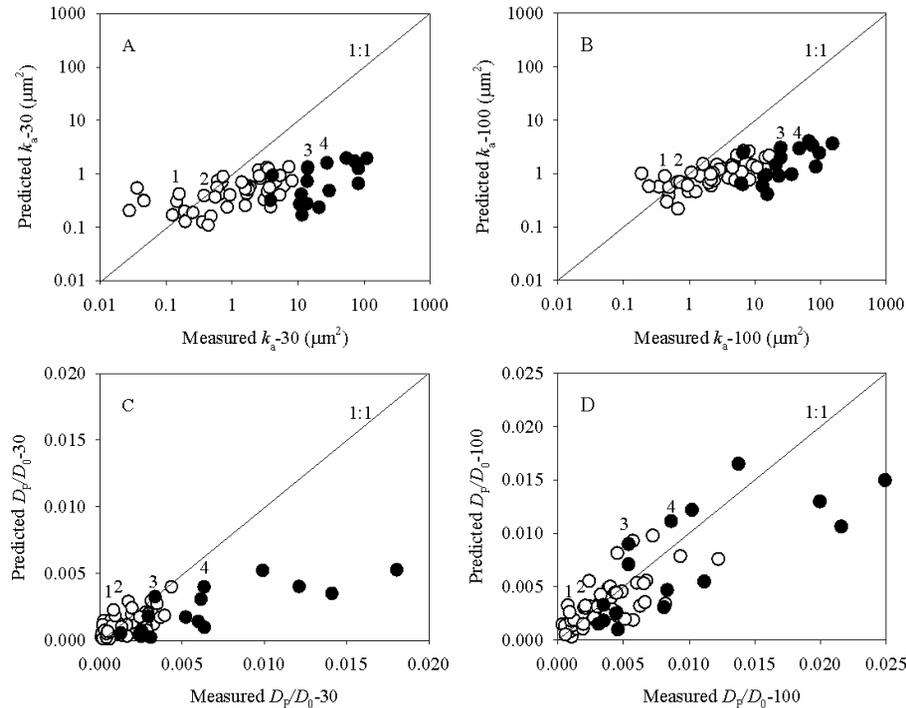
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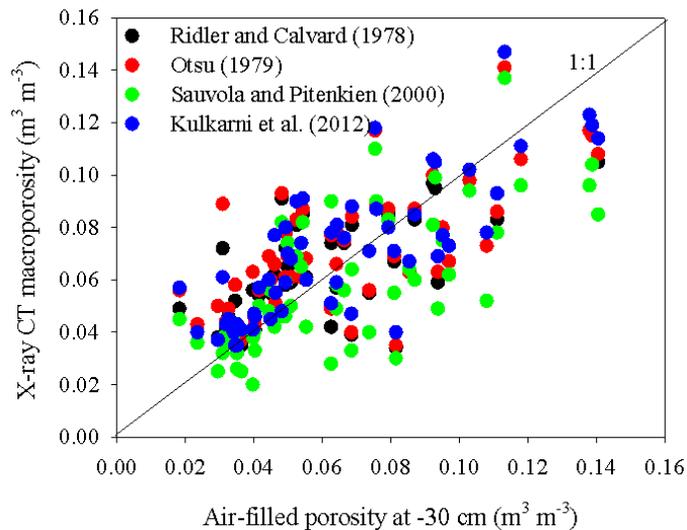


**Figure 4.** Performance of empirical predictive models for air permeability ( $k_a$ ) and gas diffusivity ( $D_p/D_0$ ) at  $-30$  and  $-100$  cm matric potentials. **(a)** Deepagoda et al. (2011) model, **(b)** Deepagoda et al. (2011) model, **(c)** WLR-Marshall model (Moldrup et al., 2000), and **(d)** WLR-Marshall model (Moldrup et al., 2000), filled symbols represent samples with biopore flow and unfilled symbols represent samples with matrix flow, marked samples are shown in Fig. 2.

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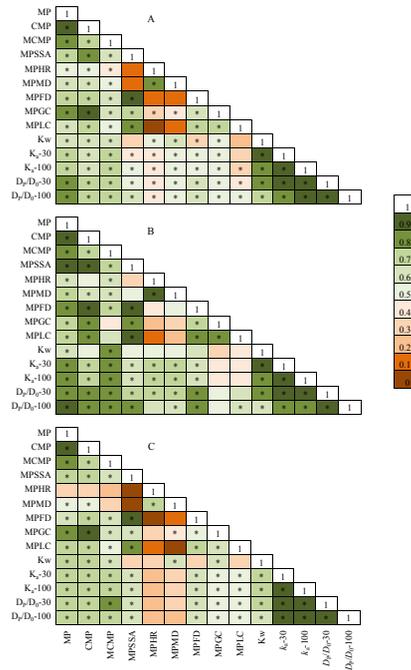


**Figure 5.** X-ray CT macroporosity obtained using four different segmentation methods plotted as a function of physically measured air-filled porosity at  $-30$  cm matric potential.

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**Figure 6.** Spearman rank order correlation analysis **(a)** all samples ( $N = 65$ ), **(b)** samples with biopore flow ( $N = 16$ ), and **(c)** samples with matrix flow ( $N = 49$ ), star indicates significant correlations at  $p$  value  $< 0.01$ ; where MP is macroporosity, CMP is connected macroporosity, MCMP is minimum connected macroporosity, MPSSA is macropore specific surface area, MPHR is macropore hydraulic radius, MPMD is macropore mean diameter, MPFD is macropore fractal dimension, MPGC is macropore global connectivity, MPLC is macropore local connectivity,  $K_w$  is saturated water permeability,  $k_a - 30$  is air permeability at  $-30$  cm matric potential,  $k_a - 100$  is air permeability at  $-100$  cm matric potential,  $D_p/D_0 - 30$  is gas diffusivity at  $-30$  cm matric potential, and  $D_p/D_0 - 100$  is gas diffusivity at  $-100$  cm matric potential.

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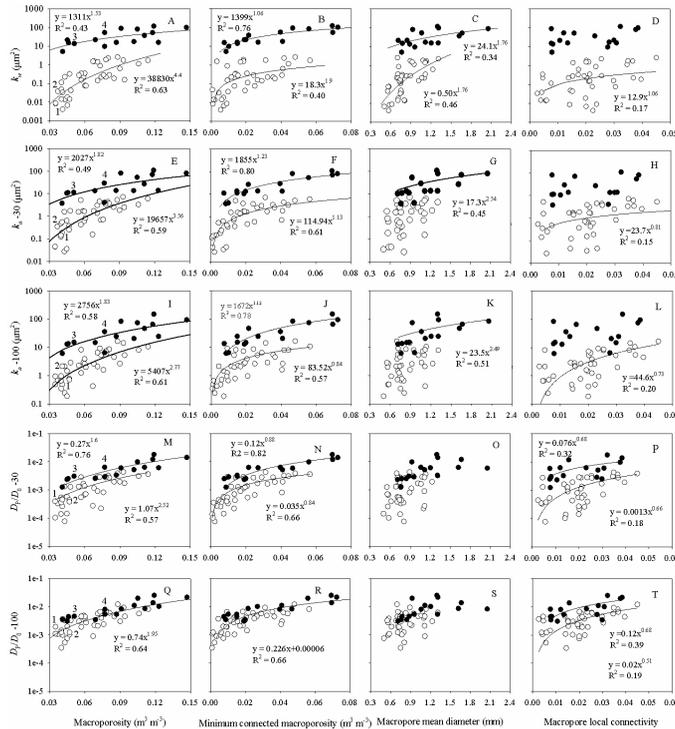
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**Figure 7.** Saturated water permeability ( $k_w$ ), air permeability at  $-30$  cm matric potential ( $k_a - 30$ ), air permeability at  $-100$  cm matric potential ( $k_a - 100$ ), gas diffusivity at  $-30$  cm matric potential ( $D_P/D_0 - 30$ ), and gas diffusivity at  $-100$  cm matric potential ( $D_P/D_0 - 100$ ) were plotted as a function of selected X-ray CT macropore network characteristics, filled symbols represent samples with biopore flow and unfilled symbols represent samples with matrix flow. Regressions either linear or power that best described data were fitted if significant at  $p < 0.01$ , two separate regressions were fitted for samples with biopore flow and matrix flow if they were significantly different.