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Comparing TRMM 3B42, CFSR and ground-based rainfall estimates as input for hydrological models, in data scarce regions: the Upper Blue Nile Basin, Ethiopia

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Abstract

Accurate prediction of hydrological models requires accurate spatial and temporal distribution of rainfall observation network. In developing countries rainfall observation station network are sparse and unevenly distributed. Satellite-based products have the potential to overcome these shortcomings. The objective of this study is to compare the advantages and the limitation of commonly used high-resolution satellite rainfall products as input to hydrological models as compared to sparsely populated network of rain gauges. For this comparison we use two semi-distributed hydrological models Hydrologiska Byråns Vattenbalansavdelning (HBV) and Parameter Efficient Distributed (PED) that performed well in Ethiopian highlands in two watersheds: the Gilgel Abay with relatively dense network and Main Beles with relatively scarce rain gauge stations. Both are located in the Upper Blue Nile Basin. The two models are calibrated with the observed discharge from 1994 to 2003 and validated from 2004 to 2006. Satellite rainfall estimates used includes Climate Forecast System Reanalysis (CFSR), Tropical Rainfall Measuring Mission (TRMM) 3B42 version 7 and ground rainfall measurements. The results indicated that both the gauged and the CFSR precipitation estimates were able to reproduce the stream flow well for both models and both watershed. TRMM 3B42 performed poorly with Nash Sutcliffe values less than 0.1. As expected the HBV model performed slightly better than the PED model, because HBV divides the watershed into sub-basins resulting in a greater number of calibration parameters. The simulated discharge for the Gilgel Abay was better than for the less well endowed (rain gauge wise) Main Beles. Finally surprisingly, the ground based gauge performed better for both watersheds (with the exception of extreme events) than TRMM and CFSR satellite rainfall estimates. Undoubtedly in the future, when improved satellite products will become available, this will change.

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1 Introduction

Sound predictions of hydrological models need accurate spatial and temporal distribution of precipitation (Sharma et al., 2012). However, in developing countries ground rainfall observation stations are often unevenly and sparsely distributed and unlikely to improve soon (Worqlul et al., 2014). According to the World Meteorological Organization (WMO, 1994) the minimum rainfall station network density for tropical regions is 600 to 900 km² per station for flat areas and 100 to 250 km² per station for mountainous regions. But, in developing countries such a dense network is not available (Taye and Willems, 2012; Conway, 2000). Recently, the availability of satellite rainfall estimation where there is limited or no conventional ground rainfall observation stations has attracted the interest of hydrologists (Collischonn et al., 2008; Yilmaz et al., 2005; Hong et al., 2007). Satellite rainfall estimates have the advantage of high temporal resolution and spatial coverage, even over mountainous regions and sparsely populated areas.

Rainfall products, the Climate Forecast System Reanalysis (CFSR) and Tropical Rainfall Measuring Mission (TRMM) 3B42 version 7 (hereafter, simply "TRMM"), besides being widely used and freely available in Africa, have a relatively high spatial resolution, global coverage and high temporal resolution. The product TRMM 3B42 has been available since 1998 in a spatial resolution of 0.25° × 0.25° grid (≈ 27 km at the equator) at a 3 hourly temporal resolution in a global belt extending from 50° N to 50° S. The CFSR global atmosphere data has a spatial resolution of approximately 38 km and the data is available since 1979 (Saha et al., 2010). Detail information on TRMM and CFSR data can be found in (Worqlul et al., 2014; Wang et al., 2011; Saha et al., 2010). The validation of satellite rainfall products can be achieved by direct comparison with the ground observation station network (Dinku et al., 2008; Bitew et al., 2012; Worqlul et al., 2014) or by their ability to predict stream flow using hydrological models (Bitew et al., 2012; Fuka et al., 2014). A variety of hydrology models applied in the Ethiopian highlands, such as the Agricultural Non-Point Source Pollution (AGNPS) (Haregeweyn and Yohannes, 2003; Mohammed et al., 2004), Water Erosion Predic-

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pattern indicated by R^2 , of 0.90. CFSR also has captured the gauged rainfall for Gilgel Abay and Main Beles with R^2 values of 0.92 and 0.90, respectively. The fit between TRMM and gauged data is poor, 0.35 and 0.12 for Gilgel Abay and Main Beles, respectively. TRMM average annual rainfall volume estimates underpredict by 13 and 2% for Gilgel Abay and Main Beles, respectively, while CFSR data overpredicts by 20 and 36%, respectively. Seventy five percent of the gauged areal rainfall occurs during the rainy season from June through September compared to eighty percent for CFSR and only 40% for TRMM.

Thus the TRMM 3B42 satellite rainfall data does not capture the temporal variation of rainfall well for the two basins. The poor seasonal rainfall predictions will cause the misrepresentation of watershed discharge, with nearly 82 and 83% of annual discharge occurring between June through September for Gilgel Abay and Main Beles, respectively. Apparently, the TRMM 3B42 bias is adjusted with monthly gauged rainfall data, and as a result, has performed well in many parts of the world (Ouma et al., 2012; Javanmard et al., 2010). Dinku et al. (2008) and Haile et al. (2013), also in the Ethiopian highlands, have indicated a consistent result with our study. Haile et al. (2013) after personal communication with TMPA research team indicated that gauged rainfall data of the Upper Blue Nile Basin was not made available to them when the bias adjustment was conducted; therefore, further adjustment has to be done to use TRMM 3B42 rainfall products in the Blue Nile Basin. Likely, the additional adjustments will correct the seasonal distribution of rainfall in the Gilgel and Main Beles watersheds.

3.2 Simulated runoff using PED and HBV models

3.2.1 Simulation of stream discharge with PED model

The calibrated PED models using gauged rainfall or CFSR rainfall could represent the observed daily stream flow reasonable well for both the calibration and validation periods for the Gilgel Abay basin ($0.81 > NSE > 0.60$) and the Main Beles ($0.81 > NSE > 0.60$), see Fig. 5 and Table 2. For both basins, as demonstrated in Fig. 6

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in which the average monthly values are depicted, the gauged rainfall gave slightly better results than the CFSR data (Fig. 7a and b). For the daily values the same trend is observed in which the regression coefficient indicated that during the validation period of the Gilgel Abay basin, the gauged rainfall could explain 82% of the observed runoff variation and CFSR data could capture 73% of the flow variation (Table 2). TRMM rainfall data could not characterize the observed discharge pattern (Figs. 5 and 7c) even with optimum model calibration mean observed flow is better representative than the simulated flow as indicated by NSE values close to zero (Table 2).

We found that the PED model parameters of the fractional areas, the half-life of the baseflow and the duration of the interflow after a rainstorm are sensitive for the prediction of stream discharge using either of the three rainfall records similar to Tilahun et al. (2013b). The model is insensitive to the maximum soil moisture storage for either of three regions (periodically saturated bottom lands, degraded soils, and permeable hillside). The reason is that, for a monsoon climate during the rainy phase, the soil does not dry out once wet, only during the first rains the discharge is affected by the amount of the water that can be stored in the soils. Therefore we kept, maximum water storages remained the same for all simulation.

Table 2 lists the optimised sensitive PED model parameter sets for the gauged, CFSR and TRMM rainfall estimate for Gilgel Abay and Main Beles basins. The calibrated model parameters for the subsurface flow represented by the half-life ($t_{1/2}$) and interflow calibration parameter τ^* for the different rainfall input data are almost the same for all simulations as expected and consistent with values used in simulation of Anjeni and Blue Nile Basins (Tilahun et al., 2013a). The fractional regions contributing to rapid subsurface and overland flow have different values for the gauged rainfall and CFSR rainfall data simulation. The total contributing area for the gauged rainfall adds up to 97% for Gilgel Abay and 90% for Main Beles. It is also consistent with earlier studies of PED simulation for a wide scale of watersheds study areas Tilahun et al. (2013b) indicated that the fractional area's for a 180 000 km² Blue Nile Basin adds up to 100% while the smaller watershed of less than 5 km² are in the order of 60%. So, for a mid-

range watershed area in a range of 1000 km² the fraction area up to 90 to 97 % would be realistic. Using the CFSR the fractional area adds up to 60 % for the Gilgel Abay and 42 % for the Main Beles. A fractional area of 1 would mean that all rainwater minus evaporation over the long-term becomes discharge at the outlet.

5 3.2.2 Simulation of stream discharge with HBV model

The semi-distributed HBV model has seven parameters controlling the total volume and shape of the hydrograph; there level of model parameter sensitivity is documented in Wale et al. (2009). The model is calibrated manually first by volume controlling parameters (FC, LP and Beta) followed by calibrating the shape controlling parameters (Alpha, PERC, K4 and K). The optimized model parameter sets of both watersheds and simulated discharge vs. observed runoff for gauged rainfall, TRMM and CFSR data of Gilgel Abay is shown in Table 3 and Fig. 8 respectively.

The simulated data for the calibration period using the gauged rainfall and CFSR indicated a fair to good performance with a daily NSE performance indicator equals to 0.81 and 0.72 for Gilgel Abay and 0.64 and 0.61 for Main Beles, respectively, and with a reasonable R^2 and PBIAS (Table 3, Fig. 10a and b). The simulation for both gauged rainfall and CFSR data captured well the base flow, the rising and recession limb of the hydrograph. Figure 9 depicts the long-term monthly average observed flow and simulated flow for gauged rainfall, TRMM and CFSR rainfall estimate of Gilgel Abay and Main Beles basins.

The peak flow is better captured by the CFSR data than the gauged rainfall although both simulation by gauged and CFSR rainfall underestimate very high single peaks that are commonly caused by extreme high rainfall events. For the study period, in the Gilgel Abay watershed there are 505 days with observed flow above 200 m³ s⁻¹, the simulation by the CFSR rainfall estimate has captured 340 events and the gauged rainfall has captured 235 events. The optimized model parameters of the gauged rainfall and CFSR data have similar values except for FC and PERC in both watersheds (see

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Table 3). Field capacity (FC) of the calibrated model using CFSR data is larger than the FC value of model calibrated by gauged rainfall (1480 and 245 mm for Gilgel Abay and 1400 and 800 mm for Main Beles). The FC value for the CFSR model simulation indicated that the soil retained greater quantities of water and released it afterwards by evapotranspiration and base flow compared to the gauged flow simulation, and it is the models way to deal with the greater amounts of rainfall in the CFSR data compared to the gauged. The increase FC will cause an increase in baseflow, and this has a counter effect on the percolation parameter (PERC). The optimised model parameter set is tested for independent data from 2004 to 2006 and the result is acceptable for both gauged rainfall and CFSR data for the study watersheds.

For this specific area and study period the TRMM 3B42 rainfall estimate did not perform well in capturing the observed flow of Gilgel Abay and Main Beles through model calibration as indicated statistically by the NSE values (Table 3) and visually in Fig. 10c and 7c.

15 3.2.3 Evaluation of rainfall products using HBV and PED models

The semi-distributed hydrological models HBV and PED are considered parsimonious models because they have a limited number of model parameters, making the calibration procedure less complicated and avoiding the problem of overparameterization. Most of the time calibration with a large number parameters leads to over parameterization (Whittaker et al., 2010) leading to a poor prediction accuracy. Parsimonious models are favourable compared to more complex models since they often perform as well as sophisticated ones (Duan et al., 1992).

Both models have reasonably captured the observed runoff for gauged rainfall and CFSR rainfall estimate as a model input for calibration and validation period for Gilgel Abay and Main Beles. The performance of both models on Main Beles watershed by gauged rainfall and CFSR data is close compared to the case of Gilgel Abay. This is because, ground rainfall gauging stations in the Main Beles are scares compared to Gilgel Abay (Fig. 1), and there is no rainfall observation station inside the water-

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shed. So, it was difficult to capture the observed flow through model calibration using gauged rainfall in the Main Beles. This indicates that CFSR data can be an alternative to gauged rainfall as input to hydrological modelling when the rainfall station network is less dense. The peak flow for both models is better captured by the CFSR rainfall data than the gauged rainfall for obvious reason of 20 and 36 % additional rainfall for Gilgel Abay and Main Beles respectively. The simulation by the gauged rainfall underestimate very high single peaks that are commonly caused by extreme high rainfall events. The TRMM rainfall predictions has failed to perform within the objective function for both models.

4 Conclusions

This study has assessed the performance of commonly used high-resolution satellite rainfall products Climate Forecast System Reanalysis (CFSR) and Tropical Rainfall Measuring Mission (TRMM) 3B42 version 7 as input to a semi-distributed hydrological model HBV and PED for daily stream flow simulation in the Gilgel Abay and Main Beles basins, Ethiopia. The simulation is also done for the gauged rainfall to capture the observed flow through model parameter calibration. The gauged rainfall has performed well for both calibration and validation period with a fair to good NSE and on average the simulation has explained approximately 80 % of the observed flow variation through model calibration for both models. Rainfall estimate from the CFSR has also captured the observed flow through model calibration with a fair to good NSE and on average the CFSR runoff simulation has captured approximately 75 % of the variation of the observed flow for both models through model calibration. PED and HBV models through model calibration have responded for the extra rainfall of CFSR satellite rainfall estimate it has compared to the gauged rainfall. In HBV model, the maximum soil moisture storage parameter (FC) was too large indicating a deeper hydraulically active soil increasing the storage capacity of the soil. In PED model the fractional contributing area for CFSR rainfall estimate adds up to 60 % for Gilgel Abay and 42 % for Main Beles

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respectively, while the fractional contribution area for the gauged rainfall is 97 and 90 % for Gilgel Abay and Main Beles. The TRMM data was not able to capture the observed flow through model calibration for both HBV and PED models. Therefore, we suggest further calibration of TRMM 3B42 rainfall product using the gauged rainfall for the Blue Nile area before the data is used for any application in the area.

Although only one station is available in the Gilgel Abay watershed and no rainfall station in the Main Beles basin, the performance of the gauged rainfall in capturing the observed runoff is better than both TRMM and CFSR estimates for calibration as well as validation periods. This indicates that gauged rainfall has its merit, but for remote regions with few or no observation stations in the Blue Nile area, CFSR rainfall estimate can be used to complement gauged rainfall data scarcity. The fractional saturated and degraded area of the PED model can be validated through satellite imagery by supervised land use classification. The simulation by the CFSR data for both HBV and PED models was able to capture the peak flows better than the runoff simulation by the gauged rainfall. So, the CFSR data might be more suitable to predict extreme events when using either PED or HBV models.

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Table 1. Coefficient of Determination (R^2) areal gauged and satellite rainfall estimates for Gilgel Abay and Main Beles basins.

Basin	Main Beles			Basin	Gilgel Abay		
	TRMM	CFSR	Gauged		TRMM	CFSR	Gauged
Main Beles	TRMM	1.00		Gilgel Abay	TRMM	1.00	
	CFSR	0.09	1.00		CFSR	0.24	1.00
	Gauged	0.12	0.90		1.00	Gauged	0.35
Gilgel Abay	TRMM	0.90		Main Beles	TRMM	0.90	
	CFSR		0.98		CFSR		0.98
	Gauged				0.98	Gauged	

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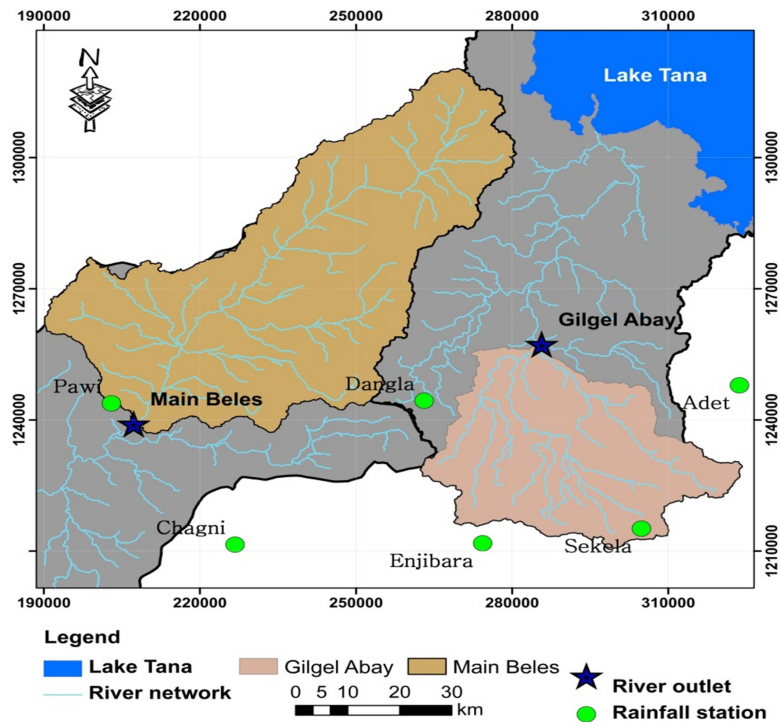


Figure 1. Drainage Pattern and meteorological station network of the Gilgel Abay and Main Beles basins.

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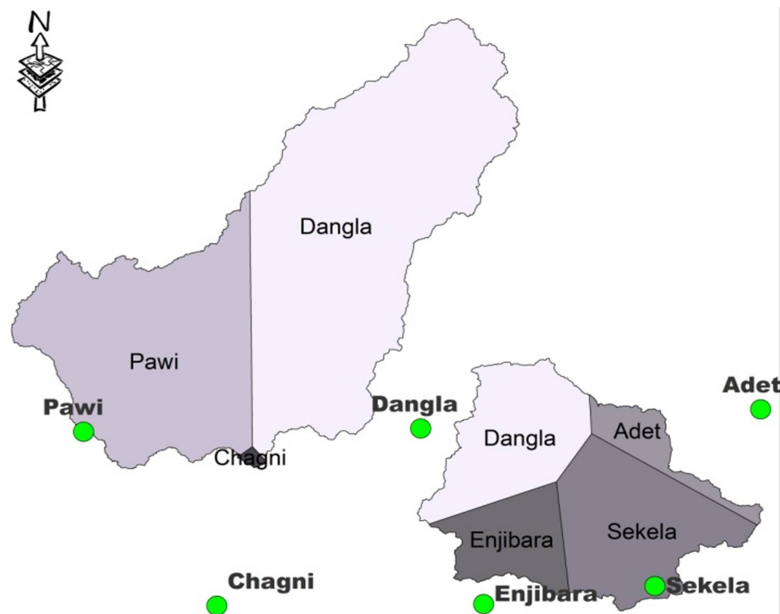


Figure 2. Thiessen Polygon map of the ground based rainfall stations in the Gilgel Abay and Main Beles basins.

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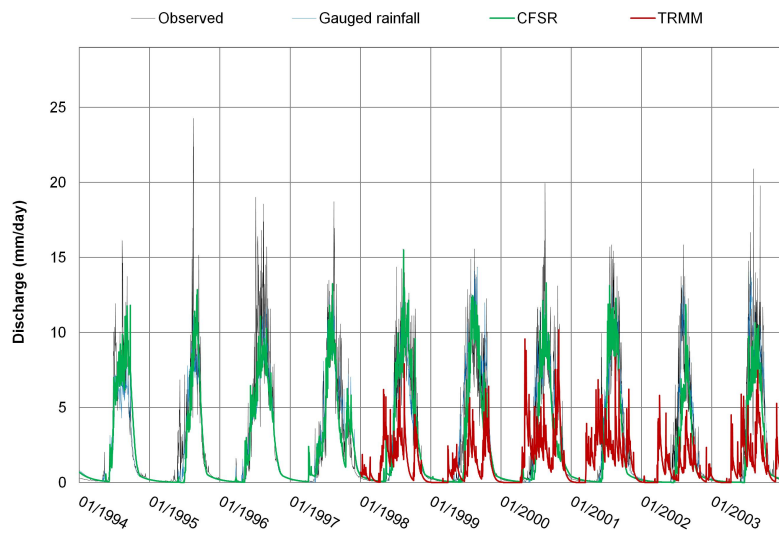


Figure 5. Simulated flow of PED model by gauged rainfall, TRMM and CFSR data plotted with observed flow for Gilgel Abay basin.

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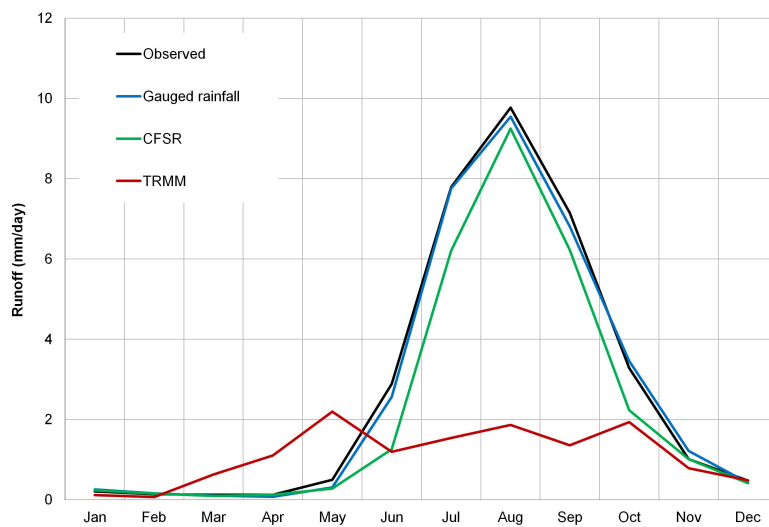


Figure 6. Comparison of long-term average monthly Gilgel Abay observed flow and PED simulation for gauged rainfall, CFSR (1994–2003) and TRMM rainfall estimate (1998–2003).

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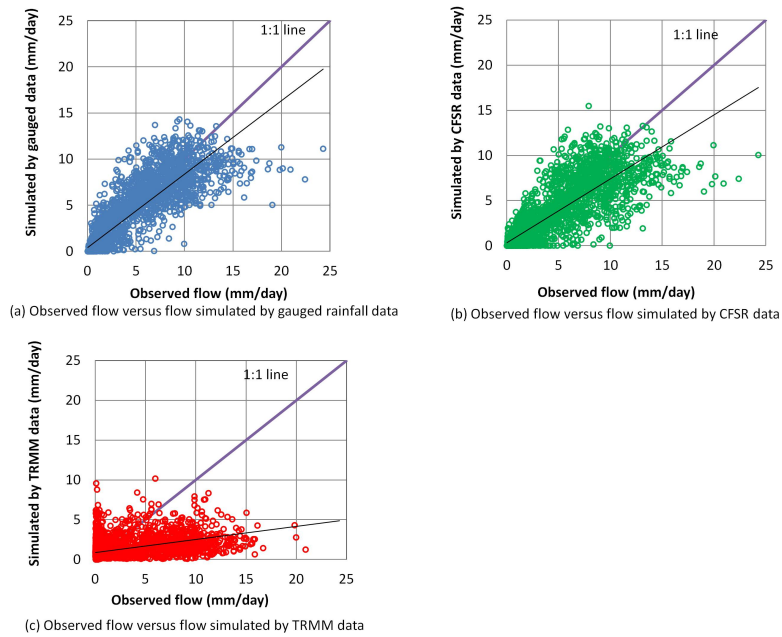


Figure 7. Correlation between observed flow and simulated flow for the calibration period using (a) gauged rainfall, (b) CFSR data and (c) TRMM data for the Gilgel Abay Basin using PED model.

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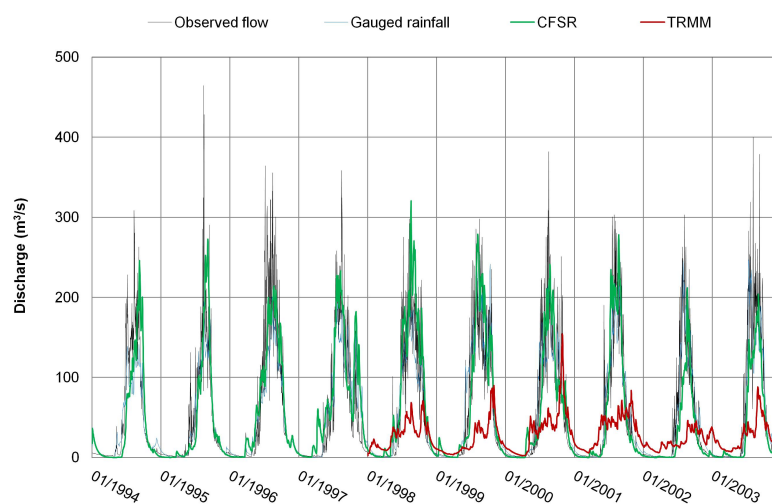


Figure 8. Simulated flow of HBV model by gauged rainfall, TRMM and CFSR data plotted with observed flow for Gilgel Abay basin (1994–2003).

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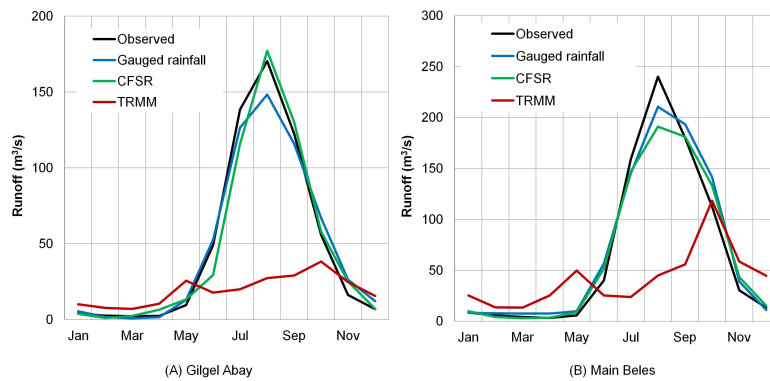


Figure 9. Comparison of long-term average monthly observed flow and HBV simulation for gauged rainfall, TRMM and CFSR rainfall estimate of **(a)** Gilgel Abay and **(b)** Main Beles basins.

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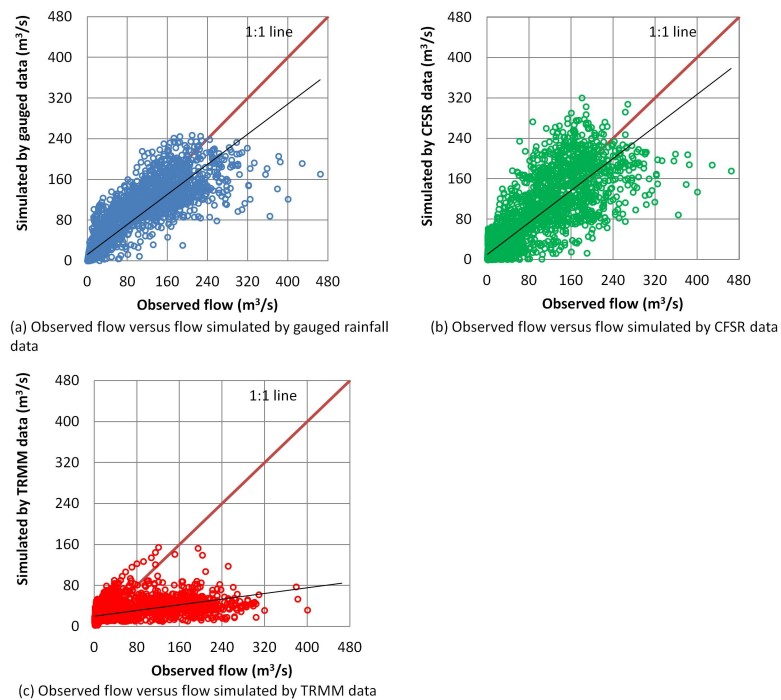


Figure 10. Correlation between observed flow and simulated flow for the calibration period using **(a)** gauged rainfall, **(b)** CFSR data and **(c)** TRMM data for the Gilgel Abay Basin using HBV model.

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