



**Climate response to
Amazon forest
replacement**

A. M. Badger and
P. A. Dirmeyer

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Climate response to Amazon forest replacement by heterogeneous crop cover

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Abstract

Previous modeling studies with atmospheric general circulation models and basic land surface schemes to balance energy and water budgets have shown that by removing the natural vegetation over the Amazon, the region's climate becomes warmer and drier. In this study we use a fully coupled Earth System Model and replace tropical forests by a distribution of six common tropical crops with variable planting dates, physiological parameters and irrigation. There is still general agreement with previous studies as areal averages show a warmer (+1.4 K) and drier ($-0.35 \text{ mm day}^{-1}$) climate. Using an interactive crop model with a realistic crop distribution shows that regions of vegetation change experience different responses dependent upon the initial tree coverage and whether the replacement vegetation is irrigated, with seasonal changes synchronized to the cropping season. Areas with initial tree coverage greater than 80 % show an increase in coupling with atmosphere after deforestation, suggesting land use change could heighten sensitivity to climate anomalies, while irrigation acts to dampen coupling with atmosphere.

1 Introduction

1.1 Background information

The future of tropical forests is at risk in a warmer, more populous 21st-century world (Bonan, 2008a). Forests cover approximately 42 million km^2 in tropical, temperate and boreal regions, which is approximately 30 % of the Earth's land surface. Land-use change (LUC) occurs on local scales, with real world social and economic benefits, but can potentially cause ecological degradation across local, regional, and global scales (Foley et al., 2005). A large portion of the Earth's surface has already been modified for urban and industrial development, agriculture, and pastureland (Snyder, 2010). World-wide changes to forests, woodlands, grasslands and wetlands are being driven by the

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1.2 Design of previous modeling studies

Early deforestation studies used coarse resolution climate models that did not resolve the local features of deforestation, but may have given a reasonable representation of regional scale changes. More recent experiments tend to have increased resolution and duration, a feature to be expected as computational resources has increased. The increased resolution and the associated ability to resolve small-scale features is desired to represent better the local dynamics involved with deforestation. With increased length of integration, the capability to reach a new equilibrium climate is greatly enhanced, as well as obtaining greater confidence in the significance of results. Shorter simulations are likely missing some global features associated with Amazon deforestation that have not had a chance to develop in the model integration, particularly when ocean dynamics are not modeled.

A noticeable inconsistency among the simulations is the replacement vegetation used. The difference in using grassland, savanna, shrubs or bare soil as a substitute for tropical forests is not known, although some inherent differences may arise. Only one simulation, Costa et al. (2007), used a crop as replacement vegetation. Agricultural land cover should be the most realistic replacement vegetation from a socioeconomic standpoint, and may have different impacts than the aforementioned unmanaged replacement vegetations.

1.3 Results from previous modeling studies

Previous studies have reported a change in annual surface temperature from -1 to $+3$ °C. Several studies note that the change in temperature is statistically significant (Dickinson and Henderson-Sellers, 1988; Henderson-Sellers et al., 1993; McGuffie et al., 1995; Nobre et al., 1991; Shukla et al., 1990; Snyder et al., 2004). Dickinson and Henderson-Sellers (1988) go on to add that while surface air temperature increases by $1-3$ °C, the soil-surface temperature increased by $2-5$ °C.

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A common feature of previous studies is a decrease in precipitation, although they are of varying intensity. Decreases in annual precipitation are typically found to be significant (Costa and Foley, 2000; Hasler et al., 2009; Henderson-Sellers et al., 1993; McGuffie et al., 1995; Nobre et al., 1991, 2009; Shukla et al., 1990). Nobre et al. (2009) points out a difference in precipitation change in simulations coupled with the ocean; the coupled model produced a rainfall reduction that is nearly 60 % larger than was obtained by use of an AGCM uncoupled from the ocean. As previously noted, the effect of different replacement vegetation may also play a role. Costa et al. (2007) found that changes in precipitation for 25, 50 and 75 % deforestation, respectively were -6.2 , -11.6 , and -15.7 % for soybean land cover, which was significantly different than the $+1.4$, -0.8 , and -3.9 % changes for pasture.

Evapotranspiration decrease is a common finding of Amazon deforestation studies (Costa and Foley, 2000; Dickinson and Henderson-Sellers, 1988; Henderson-Sellers et al., 1993; McGuffie et al., 1995; Nobre et al., 1991; Shukla et al., 1990; Snyder et al., 2004). Costa and Foley (2000) found that the differences in evapotranspiration are statistically significant in all months. The decrease in transpiration of 53 % was much larger than the decrease in total evapotranspiration of 16 %; this indicates that evaporation from the surface can compensate for the drop in transpiration (Costa and Foley, 2000). Henderson-Sellers et al. (1993) noted that as the evaporation decreases, the near-surface specific humidity decreases. This result is of particular interest in the response of planetary boundary layer growth.

Subsequent sections will describe the model of choice and associated simulations used in this study, along with a description of tropical crops incorporated into the model. Results detailing the changes in temperature, precipitation, surface fluxes and modifications to the land–atmosphere coupling. The possible impacts and causes of these changes are discussed, as well as the role that irrigation plays in altering land–atmosphere coupling.

2 Methods

2.1 Model description

The model for this study is the Community Earth System Model (CESM) version 1.2.0 developed at the National Center for Atmospheric Research (NCAR). CESM is a coupled model system for simulating the Earth's climate and is composed of separate models simulating the Earth's atmosphere, ocean, land, land-ice and sea-ice (Vertenstein et al., 2013). Of the components available in CESM, the following were run in their default settings: Community Atmosphere Model (CAM4), the Parallel Ocean Program (POP2), the Community Ice Code (CICE4), and the River Transport Model (RTM) (see model documentation for full details).

The Community Land Model 4.5 (CLM4.5) incorporates recent scientific advances in the understanding and representation of land surface process relevant to climate simulation (Oleson et al., 2013). CLM4.5 is a model developer's release that provides incremental improvements to CLM4.0 prior to the public release of CLM version 5. Land surface heterogeneity in CLM4.5 is accomplished with a nested sub-grid hierarchy in which grid cells are comprised of multiple land units, soil columns, snow columns, and plant functional types (PFTs) (Oleson et al., 2013). The PFT level, which also includes bare ground, is intended to capture the biogeophysical and biogeochemical differences between broad categories of plants in terms of their functional characteristics. Fluxes to and from the surface are defined at the PFT level, as well as the vegetation state variables, such as vegetation temperature and canopy water storage.

Each PFT is characterized by parameters that differ in leaf and stem optical properties to determine the reflection, transmittance and absorption of solar radiation (Oleson et al., 2013). Each PFT also has a specific root distribution to allow for root uptake of water from the soil. Different PFTs have aerodynamic parameters that determine heat, moisture and momentum transfers, and photosynthetic parameters that determine stomatal resistance, photosynthesis and transpiration. These parameterizations are used to represent optimally the behaviors of each PFT.

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PFTs in the managed land unit occupy separate soil columns and do not interact with each other below the ground, and thus do not compete for water and nutrients. Having PFTs in separate managed land units allows for different management practices, such as irrigation and fertilization, for each crop PFT.

5 CLM4.5 simulates the application of irrigation as a dynamic response to simulated soil moisture conditions (Oleson et al., 2013). When irrigation is enabled, the crop area of each grid cell is divided into irrigated and rainfed fractions according to a gridded dataset of areas equipped for irrigation. Irrigated and rainfed crops are placed on separate soil columns, so that irrigation is only applied to the soil beneath irrigated crops.
10 In irrigated croplands, a check is made once per day to determine whether irrigation is required; this check is made in the first time step after 6 a.m. LT. Irrigation is required if crop leaf area is greater than zero, and water is the limiting factor for photosynthesis.

2.2 Tropical crops

In performing offline CLM4 simulations, the need to develop more realistic PFTs for the tropics became apparent. The tropical broadleaf evergreen tree PFT was initially replaced with the unmanaged crop PFT and C3 grass PFT. It was thought that there would be a reduction in LAI when replacing the broadleaf evergreen trees; however, it was found that there was a drastic basin wide increase in LAI. It was determined that the crop and C3 grass PFTs were parameterized solely for the mid-latitude conditions.
15 The winter season temperature in the Amazon does not get cold enough to trigger senescence; the survival temperature for C3 grass is -17°C and the establishment temperature for C3 grass is 15.5°C , while the planting temperature for managed crops ranges from $7\text{--}13^{\circ}\text{C}$. The Amazon has an annual average temperature of approximate 27°C ; meaning minimum temperature thresholds for each PFT are always met. Another
20 aspect is the greater moisture availability in most of the Amazon; plants are rarely stressed by a lack of available moisture.

Using the Sacks et al. (2010) and Portmann et al. (2010) datasets of global crop distribution, it was determined that the most prevalent crops in and around the Amazon

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for a majority of the region with precipitation is centered over the northwest portion of South America.

2.3 Model simulations

CESM with active components of CAM4, CLM4.5, POP2, CICE4 and RTM is used for the model simulations in this study. The simulations are run at an atmospheric model resolution of $0.9^\circ \times 1.25^\circ$ and a nominal 1° ocean resolution grid with a displaced pole over Greenland for present day (year 2000) initial conditions. Before starting the coupled runs, a spin-up simulation for the land surface was implemented to achieve a steady state for the carbon and nitrogen processes of the interactive phenology. The CLM4.5 spin-up procedure consists of a 650 year offline simulation with present day atmospheric forcing, achieved by repeatedly cycling through the Qian et al. (2006) input dataset; the last land state from the offline simulations is then used as the land initial condition in the coupled simulations. A separate spin-up simulation is done for each coupled experiment with matching PFT distributions. In the simulation utilizing tropical crops, the crop model and irrigation models are active. Each of the fully coupled simulations has a length of 250 years, in which only the last 125 years is used for analysis. The control simulation uses the default PFT distribution (Fig. 1) and the deforested simulation used the crop PFT distribution in Fig. 2.

In all simulations, the fire module is turned off. When coupling CLM4.5 with CAM, specific humidity has been found to be too low over the Amazon region (W. Sacks and D. Lawrence, personal communication, 2013). Fires in CLM4.5 are invoked as a function of relative humidity, soil wetness, temperature and precipitation (Oleson et al., 2013). With low specific humidity, the relative humidity triggers the fire model in vast areas of the Amazon region, predominantly regions neighboring the closed canopy forests (gridboxes with greater than 60 % tree PFT). Along with a reduction in humidity, there is a decrease in precipitation that is enough to invoke fire in the closed canopy as well. From short coupled simulations, it was seen that fire occurs in year 1 along the edge of the closed canopy and LAI is reduced. LAI becomes significantly small in

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the change in SH–PBLH coupling further shows that irrigation is modifying land–atmosphere interactions.

Although irrigation is shown to have an impact on the atmospheric leg of the coupling, the larger contributor appears to be the percentage of tree cover lost (Fig. 9). The coupling changes are largely the same for non-irrigated gridboxes with original tree percentage less than 80 %, typically between –50 and 50 m. JASO, the driest season, does have a larger spread, but comparable magnitudes of increases and decreases. When the initial tree cover is greater than 80 %, the coupling strength is predominantly increasing and has a greater magnitude of the change. This signal is also common in climate change scenarios driven by greenhouse gas increases (Dirmeyer et al., 2013), suggesting land use change could further amplify sensitivity to land surface anomalies in the tropics.

Irrigation largely decreases the coupling strength when the initial tree cover is less than 80 % and increases the magnitude of the change. When the initial tree cover is greater than 80 %, the gridboxes that experience a decrease in coupling are typically irrigated; with the more strongly irrigated gridboxes showing the largest decreases and less irrigated gridboxes showing an increase in coupling that is comparable to non-irrigated gridboxes. Just as with the terrestrial leg, more irrigation water added decreases the coupling strength of the atmospheric leg of the coupling.

By using a realistic crop distribution in the Amazon region, as opposed to homogeneous vegetation coverage used in previous studies, there is still general agreement with previous modeling studies. The higher resolution and heterogeneity of the land cover shows smaller scale features and regions of opposite change, particularly in the southeast Amazon where the region has higher coverage of C4 grass. With crops being planted in different regions at different times of the year, a level of complexity not present in previous Amazon deforestation studies, seasonality to land–surface changes that were not previously modeled, are now seen.

A warming and drying of the region has impacted how the land–surface and atmosphere interact. By modifying the flux partitioning between latent and sensible heat

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Table 1. Key parameters used in developing CLM4.5 tropical crops. Planting dates are in the format of last two digits being the day of the month, the preceding digit(s) being the month number (example: 415 is 15 April). “–” denotes a parameter that is not specified.

Parameters	C3 Crop	Corn	Spring Wheat	Winter Wheat	Soybean	Tropical Soybean	Tropical Corn	Tropical Corn (2)	Tropical Sugarcane	Tropical Rice	Tropical Cotton
Photosynthesis	C3	C4	C3	C3	C3	C3	C4	C4	C4	C3	C3
Max LAI	–	5	7	7	6	6	5	5	5	7	6
Max Canopy Top (m)	–	2.5	1.2	1.2	0.75	1	2.5	2.5	4	1.8	1.5
Last NH Planting Date	–	615	615	1130	615	1231	1015	228	331	228	531
Last SH Planting Date	–	1215	1215	530	1215	1231	1015	228	1031	1231	1130
First NH Planting Date	–	401	401	901	501	1015	920	201	101	101	401
First SH Planting Date	–	1001	1001	301	1101	1015	920	201	801	1015	901
Min. Planting Temp. (K)	–	279.15	272.15	278.15	279.15	283.15	283.15	283.15	283.15	283.15	283.15
Planting Temp. (K)	–	283.15	280.15	–	286.15	294.15	294.15	294.15	294.15	294.15	294.15
GDD	–	1700	1700	1700	1900	2100	1800	1900	4300	2100	1700
Base Temperature (°C)	0	8	0	0	10	10	10	10	10	10	10
Max Day to Maturity	–	165	150	265	150	150	160	180	300	150	160
Maximum Fertilizer (kg N m ⁻²)	0	0.015	0.008	0.008	0.0025	0.05	0.03	0.03	0.04	0.02	0.02
Leaf Albedo – near IR	0.35	0.35	0.35	0.35	0.35	0.58	0.58	0.58	0.58	0.58	0.58
Leaf Transmittance – near IR	0.34	0.34	0.34	0.34	0.34	0.25	0.25	0.25	0.25	0.25	0.25
Leaf Transmittance – visible	0.05	0.05	0.05	0.05	0.05	0.07	0.07	0.07	0.07	0.07	0.07

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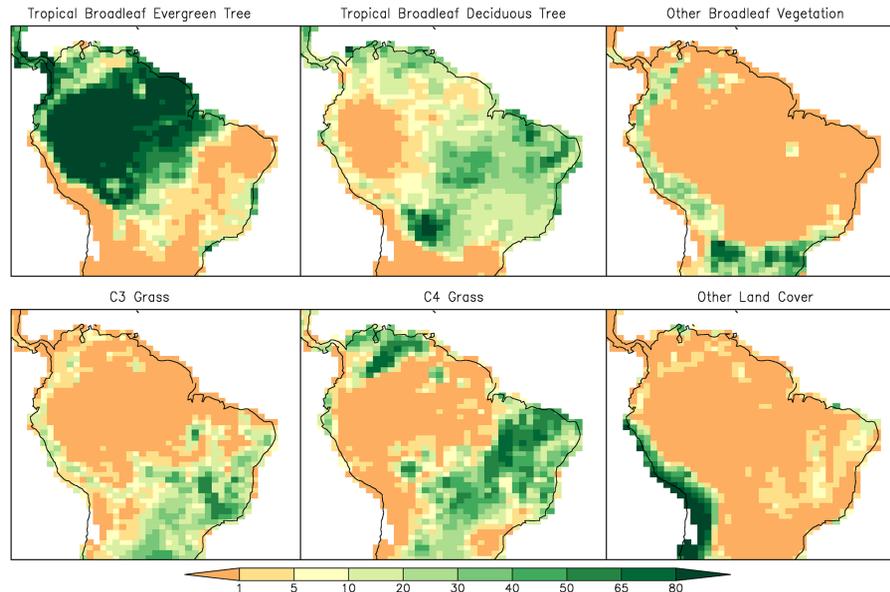


Figure 1. Distributions of the indicated Plant Functional Types (PFTs) in the control simulation as a percentage of each grid box. “Other vegetation” includes C3 alpine grasses and bare soil.

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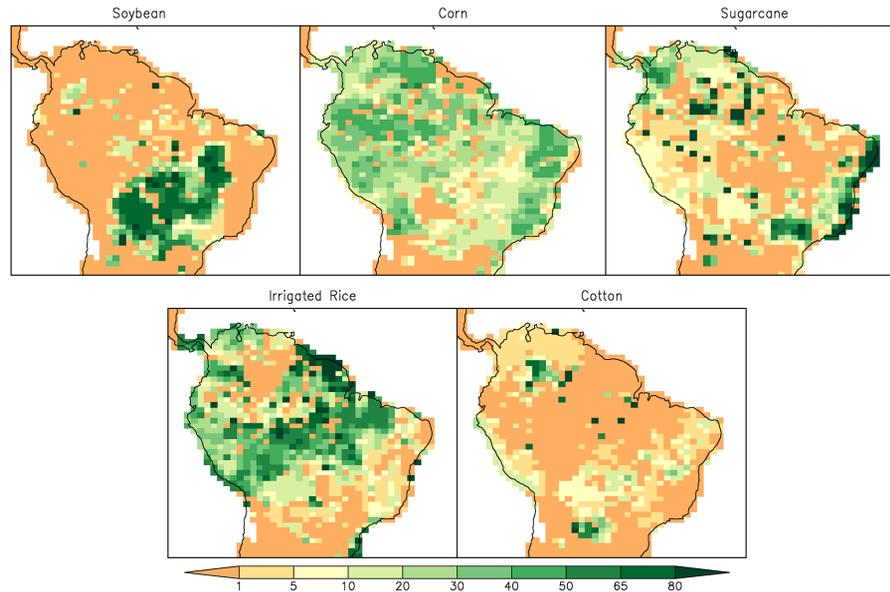


Figure 2. Distribution of each tropical crop as replacement vegetation in the Amazon region, with color bar indicating the percentage of each gridbox.

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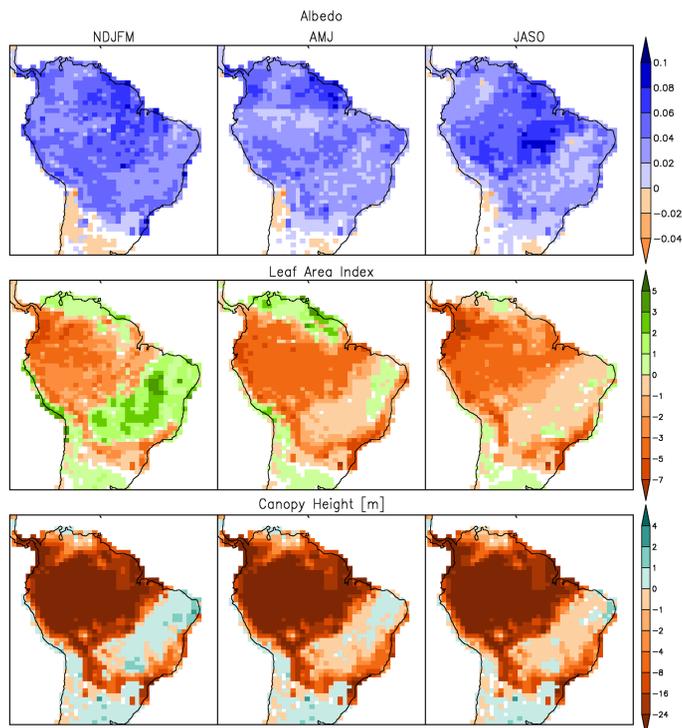


Figure 3. Changes to surface properties after deforestation in NDJFM, AMJ and JASO; albedo (top-row), leaf area index (middle-row) and canopy height [m] (bottom-row). Shading indicates significance at the 95 % confidence level.

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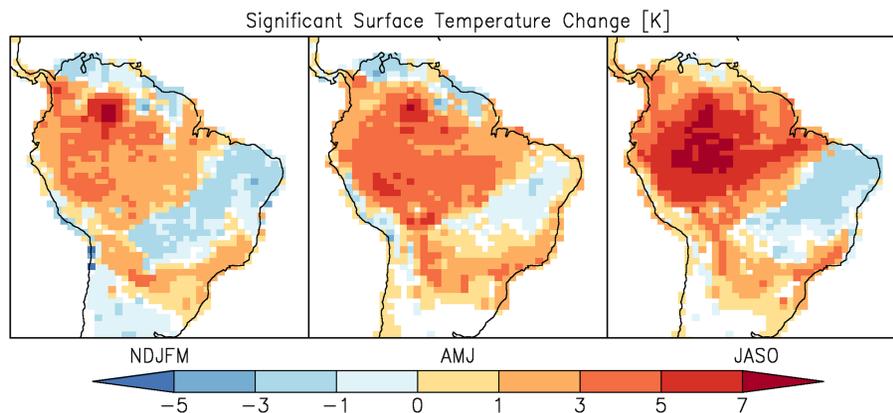


Figure 4. Change in surface temperature [K] for NDJFM, AMJ and JASO. Shading indicates significance at the 95 % confidence level.

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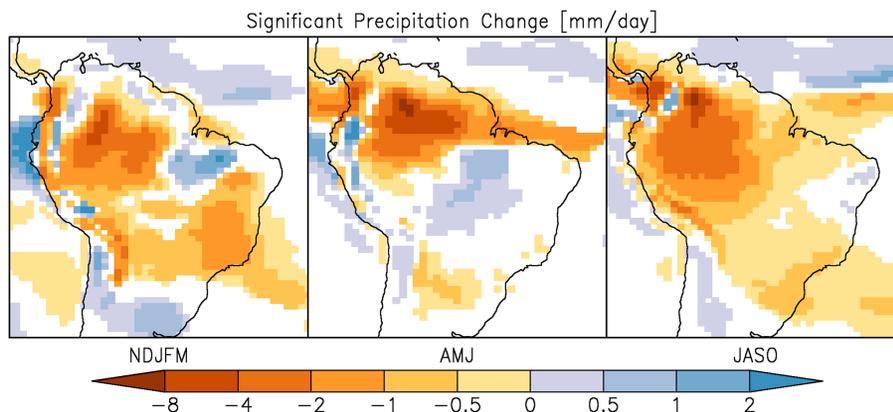


Figure 5. Change in precipitation [mm day^{-1}] for NDJFM, AMJ and JASO. Shading indicates significance at the 95 % confidence level.

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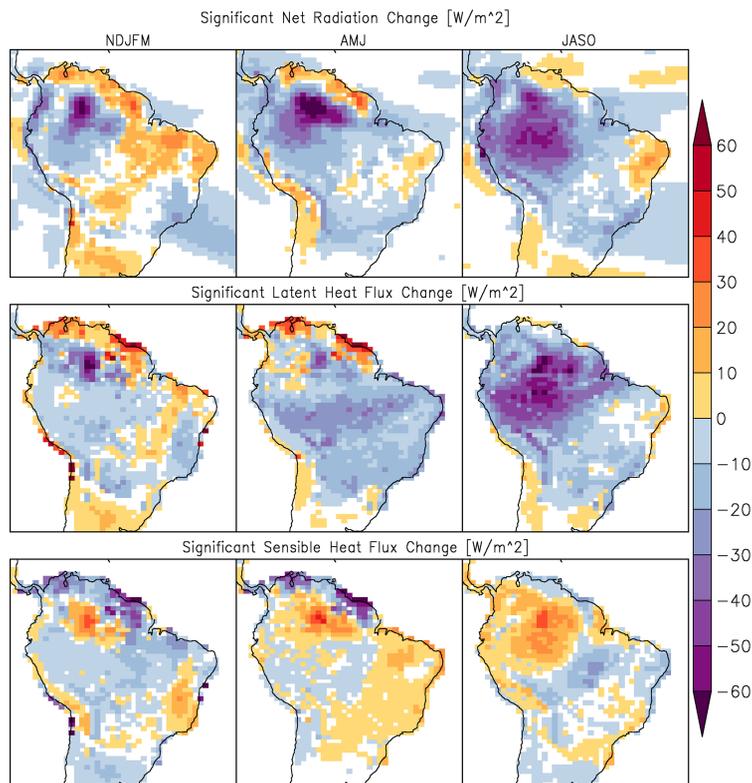


Figure 6. Changes of surface energy fluxes in NDJFM, AMJ and JASO; net radiation [W m^{-2}] (top-row), latent heat flux [W m^{-2}] (middle-row) and sensible heat flux [W m^{-2}] (bottom-row). Shading indicates significance at the 95% confidence level.

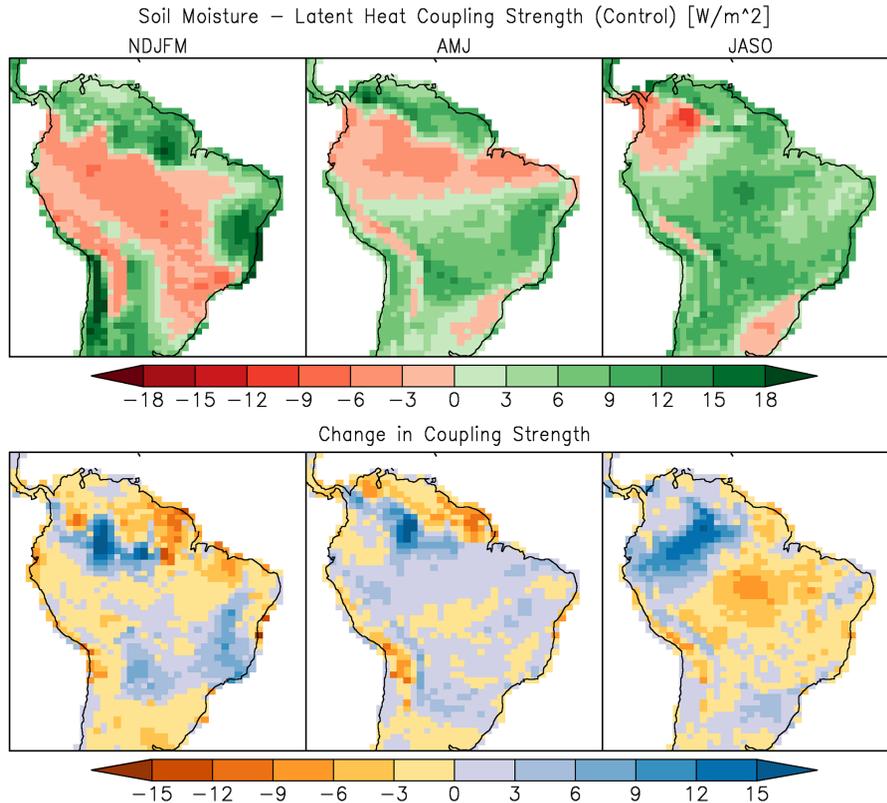


Figure 7. Terrestrial leg of coupling strength [W m^{-2}] between soil moisture and latent heat flux for the control simulation (top-row) and change due to deforestation (bottom-row) for NDJFM, AMJ and JASO.

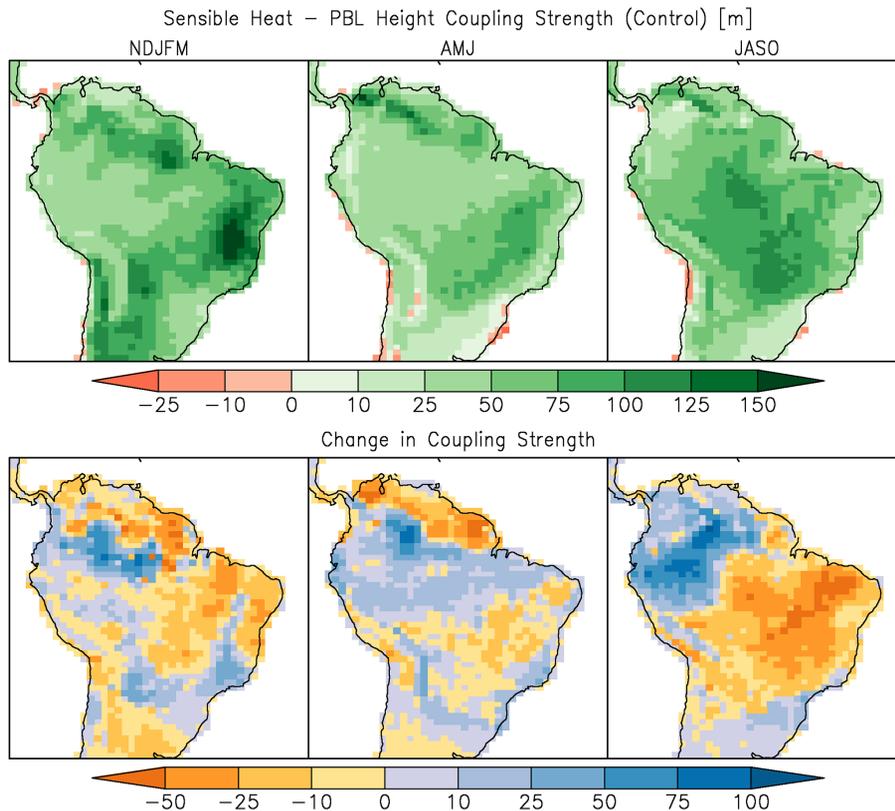
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Figure 8. Atmospheric leg of coupling strength [m] between sensible heat flux and planetary boundary layer height for the control simulation (top-row) and change due to deforestation (bottom-row) for NDJFM, AMJ and JASO.

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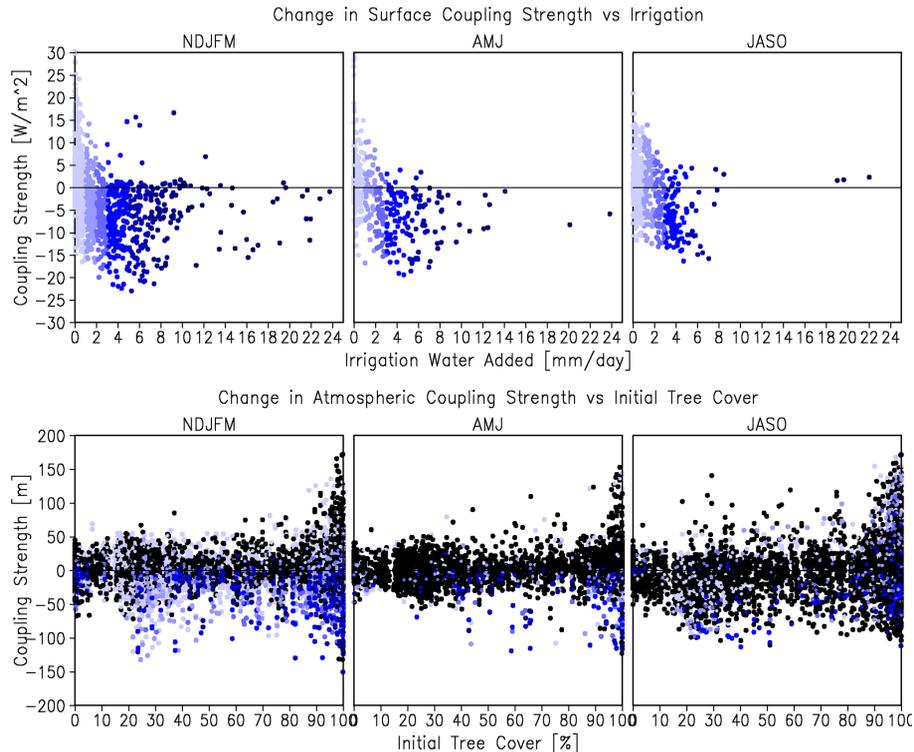


Figure 9. Top row: change in terrestrial leg of coupling strength [$W m^{-2}$] vs. irrigation water added [$mm day^{-1}$] for irrigated gridboxes in NDJFM, AMJ and JASO. Bottom row: change in atmospheric leg of coupling strength [m] vs. initial tree cover percentage for NDJFM, AMJ and JASO. Shaded dots represent irrigated gridboxes with the shading being equivalent to the shading for irrigation water added [$mm day^{-1}$] in top row.

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