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Analysis of the energy balance closure over a FLUXNET boreal forest in Finland

J. M. Sánchez¹, V. Caselles², and E. M. Rubio^{1,3}

¹Applied Physics Department, School of Industrial Engineering, University of Castilla-La Mancha, Av. España s/n, 02071 Albacete, Spain

²Earth Physics and Thermodynamics Department, University of Valencia, C/Dr. Moliner 50, 46100 Burjassot (Valencia), Spain

³Institute of Regional Development, University of Castilla-La Mancha, Av. España s/n, 02071 Albacete, Spain

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Correspondence to: J. M. Sánchez (juanmanuel.sanchez@uclm.es)

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Abstract

The imbalance in the surface energy budget, when using eddy-covariance techniques to measure turbulent fluxes, is still an unresolved problem. Important progresses have been reported in recent years identifying potential reasons for this lack of energy balance closure. In this paper we focus on the data collected in a FLUXNET boreal forest site in Sodankylä, Finland. Using one month half-hourly data, an average Energy Balance Ratio (EBR) of 0.72 is obtained. The inclusion of the heat storage terms in the energy budget yields an improvement of about 6% in the total closure. The sensitivity of the energy balance closure to the turbulence intensity is analysed in terms of the friction velocity, and atmospheric stability/instability conditions. Significant better closure is obtained for high values of the friction velocity and unstable conditions. The mismatch in variable footprints for different fluxes is checked by analysing the dependence of the closure on wind direction. The inhomogeneities of the emplacement surrounding the flux tower induce a critical decrease in the EBR of up to 30% for specific wind directions. After filtering all unfavourable conditions, EBR=0.94. This is a reasonable good result for the energy balance closure. However there is still a 6% of the available energy unaccounted. Part of this remaining imbalance could be justified as the impossibility of the 30 min averaging time to capture the low frequency flux contributions, since the closure is improved by a 5% when the averaging time is expanded to 2 h.

1 Introduction

The problem of energy imbalance when measuring turbulent vertical fluxes at some height above the surface has been widely studied in the last few years (Culf et al., 2004; Foken et al., 2006, 2008; Wilson et al., 2002; Tanaka et al., 2008; Barr et al., 2006; Oncley et al., 2007). The eddy-covariance (EC) method has become a standard tool in the study of the surface-atmosphere boundary layer interactions. However, a lack of closure in the surface energy balance about 10–30% is traditionally reported

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when using EC techniques to measure the turbulent fluxes (Wilson et al., 2002; Barr et al., 2006; Gao et al., 2009; Ma et al., 2009; Wen et al., 2009; Su et al., 2009). This imbalance has implications on how energy flux measurements should be interpreted and how these estimates should be compared with model simulations (Twine et al., 2000; Xin et al., 2010; van der Tol et al., 2009; Liu et al., 2010; van der Kwast et al., 2009; Were et al., 2007). Thus, it is imperative to improve our understanding of this problem, as well as to determine possible causes. This is of particular relevance in boreal forest ecosystems since they cover an extension of 11% of the terrestrial surface and their unique biophysical properties confer these ecosystems potential to impact on the Earth's climate (Baldocchi et al., 1997). The objective of this paper is to identify and quantify sources of energy imbalance using data registered at one of the FLUXNET sites located in Sodankylä, Finland. In particular, we focus on the dataset collected as part of the Solar Induced Fluorescence Experiment in the summer of 2002 (SIFLEX-2002). With this goal we expect to contribute to the existing literature on the energy balance closure in general, and over forest sites in particular.

In a forest environment, the surface energy balance is conveniently expressed as:

$$R_n = H + LE + G + S \quad (1)$$

where R_n is the net radiation flux ($W m^{-2}$), H is the sensible heat flux ($W m^{-2}$), LE is the latent heat flux ($W m^{-2}$), G is the soil heat flux ($W m^{-2}$), and S is the storage heat flux ($W m^{-2}$). The importance of S is expected to be small in short canopies with minimal biomass; however this term must be maintained for tall, forested sites (McCaughey, 1985). Other minor terms, such as photosynthesis, could be added to Eq. (1). Some authors have illustrated that, on a 30 min basis, this term can amount up to 1–2% of the available energy over boreal forests on clear summer days (Blanken et al., 1997). Even in these favourable conditions photosynthesis is negligibly small and can be ignored.

The soil heat flux is measured by heat flux plates placed at a certain depth in the soil to avoid disturbances, such as the loss of contact with the underlying soil or the accumulation of water below the plates. As a consequence, the real value of G is a

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combination of the flux measured by the plate, G' , and the heat storage between the ground and the depth of the plate, ΔG :

$$G = G' + \Delta G \quad (2)$$

The storage term can be significant when we aim to resolve the diurnal cycle over short periods, particularly around sunrise and sunset. Since measurements of biomass heat storage were not available during SIFLEX experiment, in this paper S is obtained as the addition of only the flux divergences between the surface and the EC measurement level, estimated from the change in storage, the EC storage-fluxes (S_H and S_{LE}):

$$S = S_H + S_{LE} \quad (3)$$

Two different methods are proposed to evaluate energy balance closure. The first method is to derive linear regression coefficients from the ordinary linear regression between the turbulent fluxes against the available energy. A second method is to calculate the energy balance ratio (EBR) (Wilson et al., 2002; Oliphant et al., 2004). With the aim of analysing the effect of the storage terms on the total energy balance closure, three versions of the EBR are studied:

$$EBR_1 = \frac{H + LE}{R_n - G'} \quad (4)$$

$$EBR_2 = \frac{H + LE}{R_n - G} \quad (5)$$

$$EBR_3 = \frac{H + LE}{R_n - G - S} \quad (6)$$

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2 Experimental site and measurements

2.1 Site description

This study was conducted at a boreal forest stands in Sodankylä, northern Finland. This site (67°22' N, 26°38' E, 179 m above sea level) was established in 2000 as part of the FLUXNET network of eddy covariance sites measuring long-term carbon and energy fluxes (Moreno et al., 2002). It is also one of the reference sites of the Global Energy and Water Cycle Experiment (GEWEX) of the World Climate Research Programme. Table 1 summarises some of the site characteristics. Additional details are given by Moreno et al. (2002), and Sánchez et al. (2009).

2.2 Instrumental set-up and measurements

A 48-m height micrometeorological mast was placed in the site. Wind speed and wind direction data were collected by a Vaisala WAA252 anemometer, placed at a height of 23 m. At this same height, sensible and latent heat fluxes, as well as the friction velocity u^* , were measured with a SATI-3Sx (Applied Technologies Inc.) sonic anemometer and a platinum thermal probe in combination with a closed path, infrared gas analyzer LICOR LI-7000, by using eddy-covariance methodology. Half-hourly H and LE values were computed as covariance of the vertical wind speed and the air temperature or vapour density, respectively, sampled at 10 Hz. The EC data acquisition has been carried out by in-house programs McMillen (1986). The 30-min averaging period has been used together with an autoregressive running-mean filter with a 200-s time constant. A double rotation of the coordinate system was performed according to McMillen (1988). The lag between the time series resulting from the transport through the inlet tube is taken into account in the on-line calculation of the flux quantities. The LI-7000 does not take into account the humidity variations, and thus a partial density correction was performed. Corrections for the systematic flux loss owing to the imperfect properties and setup of the sensors (insufficient response time, sensor separation, damping of the sig-

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nal in the tubing and averaging over the measurement paths) were formerly performed according to the procedures suggested by Moore (1986).

Air temperature and humidity were measured at different levels (3, 18, and 23 m) using Vaisala HMP 45 temperature-humidity probes. These measurements were used to calculate S_H and S_{LE} by integration of the temperature-humidity profiles (Barr et al., 2006).

A net radiometer Q-7, REBS was placed at the top of the tower (48 m) to measure the net radiation flux. The soil heat flux G' was measured using a HFT3 (Campbell Scientific) soil heat flux plate at 7 cm depth. Soil temperature was measured at 2, 5, 10, 20, 50, and 100 cm depth by using a set of thermocouples, and volumetric soil moisture at 5, 10, 20, 30, and 50 cm through Delta-T TDR-probes. The heat stored in the soil profile above the plate, ΔG , was computed from the temporal change in soil temperature, soil water content, and ancillary data such as the bulk density or the specific heat of the dry soil (Tanaka et al., 2008).

In this paper we focus on the data collected during the SIFLEX-2002 project, from 5 May to 9 June of 2002.

3 Results and discussion

3.1 Energy balance closure

The energy balance closure was evaluated for the whole half-hourly dataset. A total of 1270 valid data were considered. For this study no distinction was established between day-time and night-time data since solar radiation was rarely null during the experiment. Figure 1 shows the plots of the linear regressions between the turbulent heat flux ($H+LE$) and the available energy. When only fluxes directly measured by the sensors are considered, and thus storage terms are neglected, a slope of 0.75 and an intercept of 15 W m^{-2} are obtained (Fig. 1a). In Fig. 1b, the heat storage in the soil is included in the available energy. An increase of 6% is observed in the slope of the

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linear regression, as well as a decrease of 8 W m^{-2} in the intercept. An additional increase of 3% is observed when the EC storage-fluxes are considered. Similar results are reproduced in terms of the energy balance ratios, EBR, defined previously (see Table 2). Wen et al. (2009), using data from the LOPEX05 (Loess Plateau mesa region land surface process field Experiment 2005), showed that the contributions from heat storage in the soil and the atmosphere-canopy layer between the Sonic anemometer and land surface were about 11% and 3%, respectively. Gao et al. (2009) were also aware of this problem and reported an increase of about 20% in the slope of the linear regression when including the soil heat storage in a steppe prairie in Inner Mongolia.

After considering all the storage terms there is still around 18% of available energy missing in total average. Wilson et al. (2002), in a comprehensive study across 22 sites in FLUXNET, reported values of slopes and intercepts ranging from 0.53 to 0.99, and from -33 to 37 W m^{-2} , respectively, and EBR ranging from 0.39 to 1.69. Wu et al. (2007) showed a slope of 0.86 on a 30 min basis in a mixed broadleaved-pine forest in Northeastern China. Using data from the Tibetan Observation and Research Platform (TORP), Ma et al. (2009) reported energy closures of 0.70 in summer and 0.92 in winter over the flat prairie on the northern Tibetan Plateau. Liu et al. (2010) observed an EBR value of 0.85 in an alfalfa field located in a semi-arid area in China. Also in China, and under similar semi-arid conditions, an EBR value of 0.80 was found by Xin and Liu (2010) in a maize crop. Were et al. (2007) showed EBR values close to 0.90 over shrub and herbaceous patches in a dry valley on southeast Spain.

Some authors (Foken et al., 2006; Cava et al., 2008; Wilson et al., 2002) have discussed possible causes for this lack of energy balance since it is clear that the problem cannot be described only as an effect of statistically distributed measuring errors. These causes include: (i) mismatch in source areas for the terms in Eq. (1), (ii) systematic bias in instrumentation, (iii) neglected energy sinks, (iv) loss of low and/or high frequency contributions to turbulent fluxes, etc. With the aim of determining potential sources of error for this imbalance, in the following sections we analyse its diurnal evolution, the effect of the friction velocity and stability/instability atmospheric conditions,

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the influence of the time-scale of the data, and the dependence of the energy balance closure on the wind direction.

3.2 Dependence on the time of day

Plots in Fig. 2 show the average diurnal variation of the energy balance closure in terms of the residual energy (Fig. 2a), and the energy balance ratio (Fig. 2b). The residual energy ($R_n - H - LE - G - S$) exhibits a daily pattern characterised by positive values from 02:00 to approximately 18:00, and by negative values outside this time period, ranging from 60 W m^{-2} to -10 W m^{-2} . Daily pattern of EBR_3 is shown in Fig. 2b. A quite stable value between 0.8 and 1 is maintained from 06:00 to 17:00, while EBR_3 values are around 0.6 or below for the rest of the day, with two critical times, concurrent with the inversion of sign of the net radiation, when EBR values fall drastically below 0.4. This pattern was also observed by Oliphant et al. (2004) in a south-central Indiana (USA) forest, with the best closure occurring during daylight hours, peaking shortly before sunset. Two additional curves have been also added to plots in Fig. 2, showing the results when neglecting the storage terms, and the effect of these terms on the diurnal variation of the closure can be analysed. The EC-storage has a minimum influence on the overall closure, as already seen in Fig. 1. However, note that from 20:00 to midnight the inclusion of S in the energy balance yields an improvement of about 20% in the total closure. More evident is the regulation of the closure after the inclusion of the heat storage in the soil. It basically contributes to decrease the residual energy while positive and to increase it while negative, with punctual variations reaching 40 W m^{-2} at some particular morning hours. In terms of the energy balance ratio, the inclusion of the heat storage in the soil improves the closure generally by increasing the EBR value, except for the time range 14:00–18:00 when it produces a decrease in the upper unity EBR values. These hours together with those from 20:00 to midnight are especially sensitive to the heat storage.

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3.3 Dependence on the friction velocity

Low friction velocity u^* conditions are often indicative of poorly developed turbulence. Under these conditions the eddy-covariance method is prone to underestimate the true atmospheric exchange, contributing to the imbalance of the energy budget. Different authors have shown that the closure improved when turbulent intensity increased (Barr et al., 2006; Oliphant et al., 2004; Liu et al., 2010). Figure 3 shows the dependence of the energy balance closure on the friction velocity. Average values have been plotted in intervals of 0.10 m s^{-1} . A rapid increase of closure occurs with u^* , until values of 0.25 m s^{-1} . Then, the increase continues but much slower. Values of EBR over 0.9 are observed when u^* exceeds 0.80 m s^{-1} , but a slight imbalance still remains. This dependence of EBR on u^* explains why the energy closure is better in central hours of the day when turbulence intensity is higher, and why the worst closures are obtained at night when winds are prone to be in calm. Table 2 includes the results of energy balance closure after filtering low values of u^* ($<0.25 \text{ m s}^{-1}$). The energy balance ratio increases about 13%.

3.4 Dependence on the stability/instability conditions

Atmospheric stability was estimated using the stability parameter ξ . Figure 4 plots the energy balance closure as a function of ξ in terms of both, residual energy (Fig. 4a), and energy balance ratio (Fig. 4b). Mean values were computed after segregating the data into 10 groups of 120 points each. ξ values represent very stable ($\xi > 1$), stable ($0.01 < \xi < 1$), neutral ($-0.01 < \xi < 0.01$), unstable ($-0.01 < \xi < -1$), and very unstable ($\xi < -1$) conditions. During stable conditions the residual energy remains below or very close to zero whereas it is positive for unstable conditions. Looking at Fig. 2a and discussion in section above, it is evident that stable conditions occur for negative net radiation values whereas instability is the dominant condition for positive values of R_n . This different pattern between stable/unstable conditions is repeated in terms of the energy balance ratio. EBR values are generally below 0.6 for positive ξ values, and

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above 0.7 for negative ξ values. EBR peaked at ξ around -0.1 , corresponding to mixed convection, with closures approaching 90%. These results are supported by those obtained by Barr et al. (2006) at three mature, boreal forest stands in central Saskatchewan, Canada, and Tanaka et al. (2008) in a young larch forest in eastern Siberia.

As already seen in Fig. 2, the effect of the inclusion of the storage terms in the energy balance is more significant during stable conditions. For ξ around 0.1, corresponding to mildly-stable conditions, the decrease in the imbalance produced by the consideration of the storage terms may reach 30%. Table 2 includes the results of energy balance closure after filtering and selecting only unstable conditions ($-0.01 < \xi < -1$). The energy balance ratio increases about 14%.

3.5 Effect of the flux footprint

The turbulent vertical flux of a constituent measured at some height above the surface represents the exchange between the atmosphere and the surface over a larger area (footprint) upwind of the measurement mast. The size of this flux footprint depends on the atmospheric stability, and the canopy structure together with the measurement height. However, the footprint of the net radiometer and storage flux observations is static (Schmid et al., 1991). According to Oliphant et al. (2004) the diameter of the net radiometer source area (placed at ~ 50 m height) is about 150 m. The ground area influencing the soil heat flux plates is one to several orders of magnitude smaller. However, the turbulent flux footprint may reach up to two orders of magnitude greater depending on the wind direction. This is a serious concern when validating spatially distributed surface fluxes, and one of the reasons of the increasing popularity of using large aperture scientillometers (LAS) since they are capable of obtaining spatially aggregated flux estimates, as stated in Timmermans et al. (2009). These authors presented a two-dimensional footprint approach to infer average sensible heat fluxes over heterogeneous landscapes, and compared these results with LAS based estimates. Discrepancies were shown for some wind directions. The hypothesis of the different

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5 footprints was also considered by Su et al. (2009) to justify the observed differences between EC and LAS measurements in the framework of the EAGLE (Exploitation of AnGular effects in Land surface observations from satellites) 2006 campaign. Van der Kwast et al. (2009) observed very high values of sensible heat flux measured in a corn field, part of the SPARC (SPectra bARrax Campaing) 2004. The placement of the sonic anemometer on the northern edge of this corn pivot in combination with northern winds provoked the inclusion of other land covers in the footprint. Were et al. (2007) were also aware that there might be some source areas not representative of the study patches for some wind directions.

10 We evaluated the impact of this possible mismatch in footprints by checking the sensitivity of the energy balance closure to wind direction. Data were grouped in 12 bins of 30 degrees each, and average values of EBR were calculated. Results are plotted in Fig. 5. EBR_3 values ranged between 0.45 and 0.85, showing a remarkable dependence on the wind direction. A similar test was attempted by Oliphant et al. (2004), but no significant difference was shown associated with any direction. These authors focused their study in a south-central Indiana (USA) forest site, where the flux tower supporting the eddy covariance, radiation and meteorological instrumentation, was placed at an exact location with the minimum fetch of uninterrupted forest exceeding 4 km in any direction. The aerial picture of the SIFLEX target (Fig. 5) evidences a strong directional surface inhomogeneity. By superposing this aerial picture with the polar graph centred on the exact location of the flux tower, it can be clearly seen that the poorest closures are obtained in the fourth quadrant (270–360°), with EBR_3 values below 0.65. A possible reason can be that the instruments are receiving turbulent flux contribution from the bare soil patch located about 100 m northwest of the tower. Another source of mismatch could be the presence of a river about 400 m southwest, which could explain the low closure, $EBR_3=0.7$, observed at 240°. For the rest of wind directions EBR_3 values are over 0.7. Table 2 includes de results of energy balance closure after removing wind directions located in the fourth quadrant. The energy balance ratio increases about 5%.

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Finally, the combined effect of all the previous error sources (u^* , ξ , and wind direction) was evaluated. Table 2 shows the results using the 459 data remaining after the filtering process. The energy balance ratio increases about 21%, reaching a value of $EBR_3=0.94$. This is a reasonable good closure, but there is a 6% of the total energy still missing.

3.6 Dependence on the averaging time

Foken et al. (2006) showed that the loss of high-frequency contribution due to the finite sampling frequency can be neglected because of the very small effect on the energy imbalance. However, this is not the case of the low frequency contributions. The 30 min averaging period appears often insufficient to include a sufficient number of the largest convective eddies to statistically resolve them (Sakai et al., 2001; Finningan et al., 2003; Foken et al., 2006; Malhi et al., 1998). A study was conducted to test the effect of the averaging time on the energy balance closure. For that, 10 days were selected with the criterion of no more than 3 gaps in the half-hourly dataset. Missing data were filled by temporal interpolation. The study of the energy balance closure was repeated as described in Sect. 3.1, but using this reduced and selected dataset instead, averaged at 30 min, 1 h, 2 h, and 1 day. Results of this analysis are included in Table 3. Increasing turbulent flux block averaging time from 30 to 60 min resulted in a small improvement in closure of 2%. When the averaging time is expanded to 2 h the improvement in EBR resulted 5%. Similar results were obtained by Oliphant et al. (2004). These authors reported a small but consistent improvement of closure (2–3%) as a function of increasing the sampling period from 15 to 60 min. A much larger improvement in closure, 21%, is shown when daily averages are considered to estimate EBR. In addition, differences between EBR_1 and EBR_3 are about 7% for all the averaging time considered except the daily scale, at which the effect of including the storage terms is negligible.

Therefore, part of the 6% remaining imbalance, shown in previous section, could be justified as the impossibility of the 30 min averaging time to capture the low frequency

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with recent studies on energy balance closure.

The particular conditions of the emplacement surrounding the flux tower yield a lack of homogeneity of the turbulent fluxes footprint showing a dependence of the closure on the wind direction. An improvement of 5% is detected after removing winds coming from a bare soil patch located 100 m to the northwest. An overall increase of 22% in the energy balance closure is finally observed after merging and filtering all unfavourable conditions. These findings reinforce the necessity of proper filtering of the flux dataset before comparison with model simulations. Even though the final value of 0.94 is a reasonable good energy closure further experiments are required to identify additional factors causing the 6% imbalance still existing.

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Table 2. Parameters of the linear regression between the turbulent flux ($H + LE$) and the available energy, as well as the Energy Balance Ratio (EBR), for the entire dataset and after several filtering processes. For each case the available energy considered is: $R_n - G'$ (top line), $R_n - G$ (middle line), and $R_n - G - S$ (bottom line).

Data set (half-hourly)	n	Slope	Intercept (W m^{-2})	R^2	EBR
Entire	1270	0.75	15	0.89	0.66
		0.81	7	0.90	0.69
		0.84	4	0.90	0.72
Filtered friction velocity ($u^* < 0.25 \text{ m s}^{-1}$)	908	0.77	13	0.88	0.80
		0.84	5	0.88	0.82
		0.85	4	0.89	0.85
Filtered stability and neutral conditions ($-1 < \xi < -0.01$)	707	0.77	13	0.81	0.84
		0.84	5	0.82	0.84
		0.84	7	0.83	0.86
Filtered unfavourable wind directions ($15^\circ < \theta < 285^\circ$)	900	0.77	17	0.90	0.70
		0.83	9	0.91	0.72
		0.86	6	0.91	0.77
All data filtering superimposed	459	0.76	28	0.81	0.91
		0.82	20	0.81	0.92
		0.83	21	0.82	0.94

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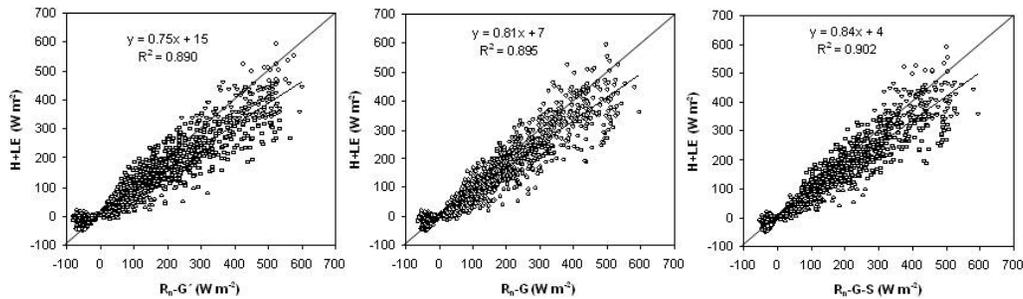


Fig. 1. Relationships between half-hourly data turbulent heat flux $H+LE$ and available energy: (a) $R_n - G'$, (b) $R_n - G$, and (c) $R_n - G - S$.

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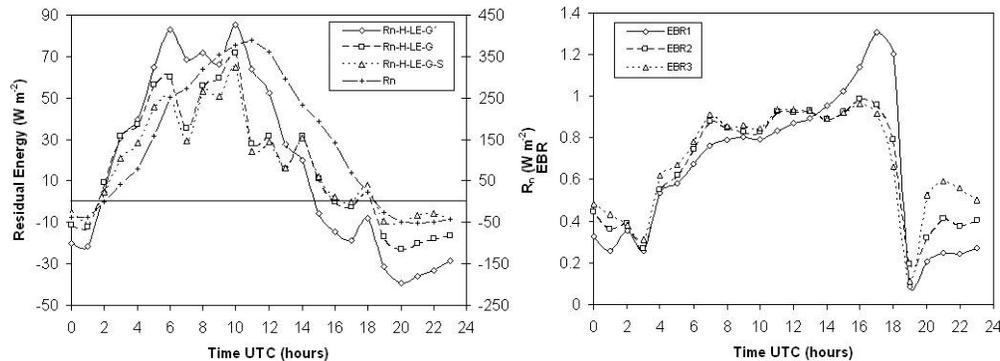


Fig. 2. Average diurnal variation of: **(a)** the residual energy in principal axis and net radiation in secondary axis, **(b)** the energy balance ratio.

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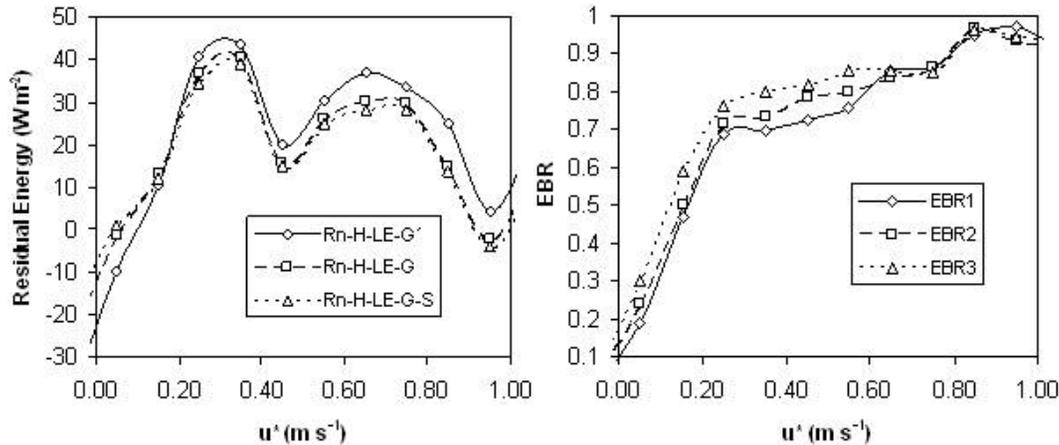


Fig. 3. Effect of the friction velocity u^* on: **(a)** the residual energy, **(b)** the energy balance ratio. Values indicate averages in each range of u^* .

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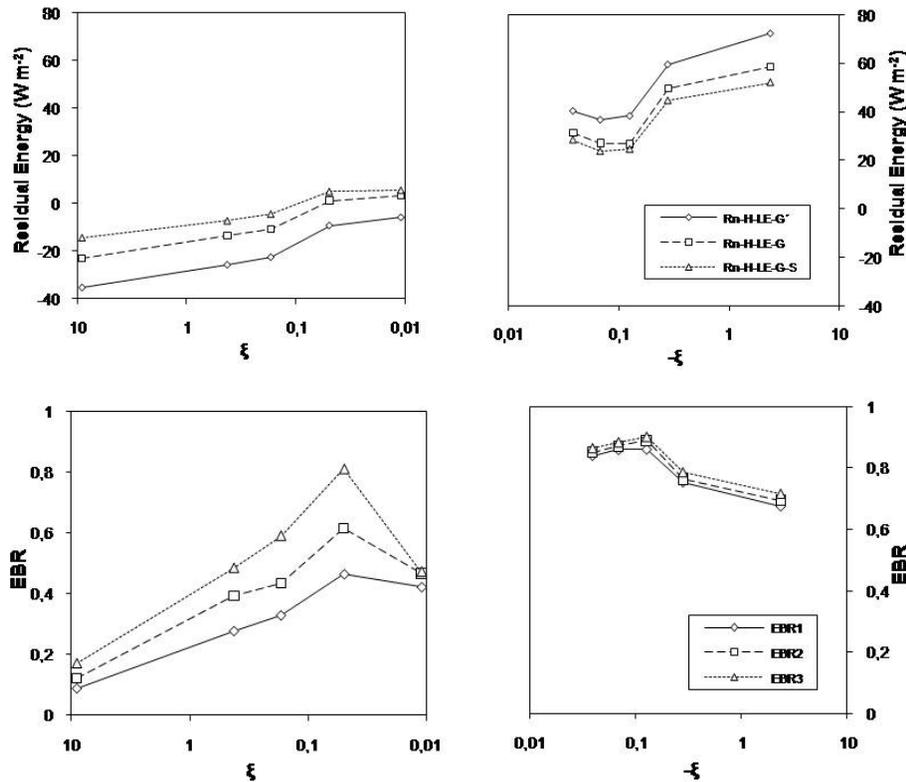


Fig. 4. Effect of the atmospheric stability ξ on: **(a)** the residual energy, **(b)** the energy balance ratio. Left-hand plots correspond to neutral-and-stable conditions, and right-hand plots correspond to unstable conditions. Values indicate averages in each range of ξ .

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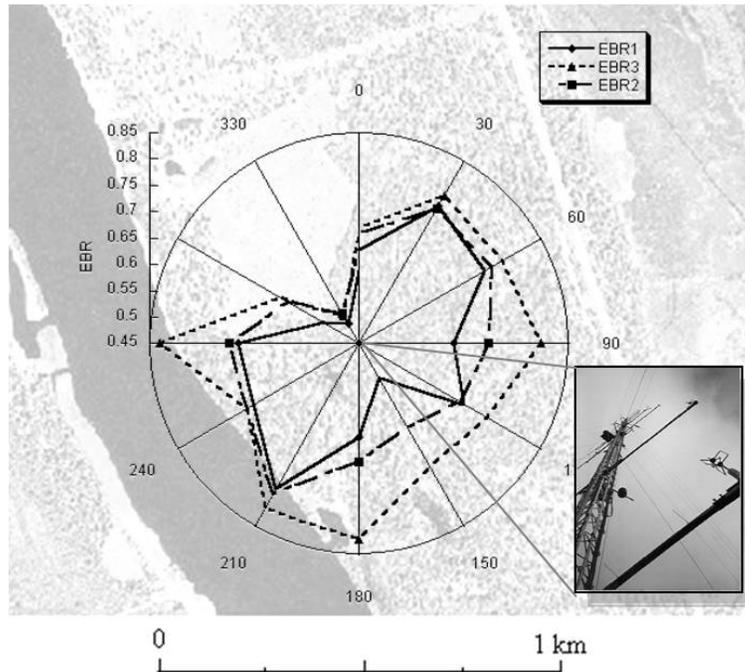


Fig. 5. Combination of an aerial view of the study site with a polar plot, centred on the location of the meteorological tower, showing the effect of the wind direction on the energy balance ratio. Values indicate averages in each angular range.

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