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Aerodynamic roughness length estimation from very high-resolution imaging LIDAR observations over the Heihe basin in China

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Abstract

Roughness length of land surfaces is an essential variable for the parameterisation of momentum and heat exchanges. The growing interest about the estimation of the surface turbulent flux parameterisation from passive remote sensing lead to an increasing development of models, and the common use of simple semi-empirical formulations to estimate surface roughness. Over complex surface land cover, these approaches would benefit from the combined use of passive remote sensing and land surface structure measurements from Light Detection And Ranging (LIDAR) techniques. Following early studies based on LIDAR profile data, this paper explores the use of imaging LIDAR measurements for the estimation of the aerodynamic roughness length over a heterogeneous landscape of the Heihe river basin, a typical inland river basin in the northwest of China. LIDAR points were used to extract a Digital Surface Model (DSM) and a Digital Elevation Model (DEM) from a single flight pass over an irrigated area covered by field crops, small trees arrays and tree hedges, with a ground resolution of 1 m and a total surface of 7.2 km². As a first step, the DSM is used to estimate the plan surface density and frontal surface density of obstacles to wind flow and compute a displacement height and roughness length following strictly geometrical approaches. In a second step, both the DSM and DEM are introduced in a Computational Fluid Dynamics model (CFD) to calculate wind fields from the surface to the top of the Planetary Boundary Layer (PBL), and invert wind profiles for each calculation grid and compute a roughness length. Examples of the use of these three approaches are presented for various wind direction together with a cross-comparison of results on heterogeneous land cover and complex roughness element structures.

1 Introduction

Roughness length (z_{0m}) of land surfaces is an essential variable for the parameterisation of momentum and heat exchanges. The growing interest about the estimation of

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the surface energy balance components from passive remote sensing lead to a increasing development of models e.g. (Bastiaanssen et al., 1998; Roerink et al., 2000; Su, 2002; Colin et al., 2006b), some of which propose detailed parameterisation of resistances to heat transfer using advanced algorithm to retrieve roughness length for heat (z_{0h}) from kB^{-1} formulations (Massman, 1997; Blümel, 1999). However, as complex as the parameterisation can be, the actual benefit from such formulations depends on an adequate estimate of the roughness length for momentum. Numerous formulations to derive this parameter from *NDVI* can be found in many studies e.g. (Moran, 1990; Bastiaanssen, 1995), but are commonly used out of recommended bounds and on highly heterogeneous land surfaces, sometime leading to a significant degradation of turbulent flux estimates (Colin et al., 2006a). These approaches would benefit from the combined use of passive remote sensing and land surface structure measurements from Light Detection And Ranging (LIDAR) techniques. Since the very early use of laser altimetry (Ketchum Jr., 1971), sensor performances have significantly improved, allowing airborne profiler to be used for surface aerodynamic roughness measurement (Menenti and Ritchie, 1994). More recently, satellite and airborne imaging LIDAR systems have paved the way to the mapping of vegetation properties over forest areas (Hofton et al., 2002), sometimes associated with complex topography (Dorren et al., 2007), but also on low vegetations like salt-marsh (Wang et al., 2009) or semi-arid steppe (Streutker and Glenn, 2006).

The objective of this paper is to explore the use of imaging LIDAR measurements for the estimation of the aerodynamic roughness length over a heterogeneous landscape of the Heihe river basin, a typical inland river basin in the northwest of China. This investigation is part of the Watershed Allied Telemetry Experimental Research (WATER) project (Li et al., 2009), which is a simultaneous airborne, satellite-borne, and ground-based remote sensing experiment aiming at improving the observability, understanding, and predictability of hydrological and related ecological processes at a catchment scale. LIDAR points were used to extract a Digital Surface Model (DSM) and a Digital Elevation Model (DEM) from a single flight pass over an irrigated area

covered by field crops, small trees arrays and tree hedges, with a ground resolution of 1 m and a total surface of 7.2 km². As a first step, the DSM is used to estimate the plan surface density and frontal surface density of obstacles to wind flow and compute a displacement height and roughness length following the work done by (Raupach, 1994) and (MacDonald et al., 1998). In a second step, both the DSM and DEM are introduced in a Computational Fluid Dynamics model (CFD) to calculate wind fields from the surface to the top of the Planetary Boundary Layer (PBL), and invert wind profiles for each calculation grid and compute a roughness length. Examples of the use of these three approaches are presented for various wind direction together with a cross-comparison of results on heterogeneous land cover and complex roughness element structures.

2 Theoretical background

The wind velocity profile over the land surface is commonly approximated by a simple logarithmic expression of the form:

$$u_{(z)} = \frac{u_*}{k} \cdot \ln \left(\frac{z - d_0}{z_{0m}} \right) \quad (1)$$

where u_* is the friction velocity, k the von Karman constant, d_0 the displacement height and z_{0m} the aerodynamic roughness length. The later is usually expressed as a constant ratio of the canopy height for homogeneous surface like continuous low vegetation canopy, with a consensus for values of around $z_{0m}/h_v \approx 0.1$ (Brutsaert, 1982). However, the homogeneity assumption makes such kind of approximation of limited interest for most of the environmental studies. It as long been demonstrated from field work and wind tunnel experiments that the drag affecting the airflow over a heterogeneous land surface is related to roughness elements density and size (Counihan, 1971; Wooding et al., 1973). This was expressed in the formulation proposed by

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(Lettau, 1969):

$$z_{0m} = 0.5 \cdot h_v \cdot \lambda_f \quad (2)$$

were the frontal area index is defined as:

$$\lambda_f = \frac{A_f}{A_T} \quad (3)$$

5 and expresses the ratio of frontal surface A_f (perpendicular to the flow) over the total surface covered by roughness elements A_T . A well-known formulation based on the combined use of h_v and λ_f was then proposed in (Raupach, 1994). Here the frontal area index is used in both the calculation of d_0 and z_{0m} , leading to the formulation of the displacement height:

$$10 \frac{d_0}{h_v} = 1 - \frac{1 - \exp[-(C_{dl} 2\lambda_f)^{0.5}]}{(C_{dl} 2\lambda_f)^{0.5}} \quad (4)$$

and for the roughness length:

$$\frac{z_{0m}}{h_v} = \left(1 - \frac{d_0}{h_v}\right) \cdot \exp\left(-k \frac{U}{u_*} + \psi_h\right) \quad (5)$$

with

$$\frac{u_*}{U} = \min \left[(C_s + C_R \lambda_f)^{0.5}; \left(\frac{u_*}{U}\right)_{\max} \right] \quad (6)$$

15 where ψ_h expresses the influence of the roughness sublayer, C_s is the drag coefficient for an obstacle free surface, C_R the drag coefficient for an isolated obstacle, and C_{dl} a free parameters. Recommended values of 0.193, 0.003, 0.3 and 7.5 a, respectively used, as for $(u_*/U)_{\max} = 0.3$

(Theurer, 1993) quoted in (MacDonald et al., 1998) noted that z_{0m} and d_0 could be approached by combining the frontal area index with the plan area index defined as:

$$\lambda_p = \frac{A_p}{A_T} \quad (7)$$

were A_p is the plan surface of the roughness elements within the same total surface A_T . The plan area index λ_p is related to the importance of intervening spaces between roughness elements. Considering an array of roughness elements of equivalent height, the canopy tends to be a homogeneous surface to airflow when λ_p tends to 1. increasing the displacement height. This allows describing the non-monotonic behaviour of z_{0m} with λ_f . If the frontal area index is related to z_{0m} , an increase of λ_p leads to a decrease of the drag effect of the roughness elements. Therefore the Lettau's formulation of z_{0m} is known to fail for plan area index higher than 0.2–0.25, because of mutual effects of high frontal area index and limited intervening spaces.

This was expressed by (MacDonald et al., 1998), who proposed two formulations for z_{0m} and d_0 based on Lettau's concept to account for a larger variety of geometrical configurations of roughness elements, and show an appropriate behaviour over the entire range of density indexes. The ratio of the displacement height over the roughness element height is expressed as:

$$\frac{d_0}{h_v} = 1 + \alpha^{-\lambda_p} (\lambda_p - 1) \quad (8)$$

The convexity can be controlled by α . Experiments lead (MacDonald et al., 1998) to recommend a value of $\alpha=4.43$ for staggered arrays of roughness elements and $\alpha=3.59$ for squared arrays. This ratio is then incorporated in the calculation of the ratio of the roughness length over the roughness element height following:

$$\frac{z_{0m}}{h_v} = \left(1 - \frac{d_0}{h_v}\right) \exp \left[- \left(0.5\beta \frac{C_D}{k^2} \left(1 - \frac{d_0}{h_v}\right) \lambda_f \right)^{-0.5} \right] \quad (9)$$

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The expression includes the obstacle drag coefficient $C_D = 1.2$, and an extra β coefficient to best fit the relation with experiments. In the following study this coefficient is not used ($\beta = 1$). This formulation proved to reproduce the peak of z_{0m}/h_v for $\lambda_f = 0.15 - 0.30$, which is consistent with wind tunnel experiments.

Beside the use of the plan area and frontal area index, the direct use of both the DEM and DSM in a Computational Fluid Mechanics (CFD) solver is explored. The CFD solver called Canyon, embedded in the WindStation software (Lopes, 2003), allows for numerical simulations of turbulent flows over complex topography, and can account for the geometry of surface roughness elements through the Digital Surface Model, as obtained from LIDAR data. The solver follows a control-volume approach, and solves for mass conservation, momentum conservation following Navier-Stokes equations, and also energy conservation for non-neutral situations. 3-D wind fields obtained in output of the CFD express the combined effect of topography and roughness elements on the airflow, and result from the solving of the transport equation. Values of wind speed of a given profile not only characterise local effects of the vegetation structure, but the total surface stress resulting from the upstream roughness elements on a distance called the length scale (Menenti and Ritchie, 1994). This length scale is usually considered to be of 1–2 order of magnitude of the height of the wind profile used for roughness length calculation. Therefore an aerodynamic roughness length can be obtained from the wind profile of each computation grid by inverting Eq. (1) with values within the ground and a given elevation.

3 Experiment

3.1 Study area

The HeiHe River Basin is a typical inland river basin in the northwest of China. Second largest inland river basin of the country, it is located between $97^\circ 24' - 102^\circ 10' E$ and $37^\circ 41' - 42^\circ 42' N$, and covers an area of approximately $130\,000\text{ km}^2$ (Fig. 1). Ex-

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periments conducted in the scope of the WATER project consisted in simultaneous airborne, satellite-borne and ground-based remote sensing measurements aiming at improving the observability, understanding and predictability of hydrological and ecological processes at catchment scale (Li et al., 2009). Observations focused on six different areas with landscapes ranging from desert steppe and gobi desert to grassland and irrigated farmland. Airborne data used in this study were acquired over the Yingke area. The Yingke Oasis, located to the south of Zhangye city (100° 25' E, 38° 51' N, 1519 m a.s.l.), is a typical irrigated farmland. The primary crops are maize and wheat, with fields often separated by tree hedges. This site was selected for its interest in investigating crop evapotranspiration, bio-geophysical and structure parameters of crop, interaction between groundwater and surface water, and irrigation.

3.2 Airborne LIDAR

The WATER field campaign has been completed with an intensive observation period. Twenty-five missions were flown in 2008 with different sensors. This study is based on the use of an LiteMapper 5600 imaging LIDAR, whose major characteristics are a wavelength of 1550 nm, a pulse of 3.5 ns at 100 kHz and a scan angle range of $\pm 22.5^\circ$. The spatial density for a flight height of 800 m above the ground is 4 impacts per square meter. After correction of the raw data to account for the attitude of the plane, point clouds are processed to extract the minimum and maximum values for each square meter grid, providing a Digital Elevation Model and a Digital Surface Model respectively, with a spatial resolution of 1 m. The LIDAR flight used here was operated the 20 June 2008, and the scene covers an area of 7.2 km². A 3-D view of a subset of the entire dataset is presented in Fig. 2.

3.3 Meteorological data

The Yingke Oasis experimental site is permanently instrumented with an Automatic Weather Station (AWS). The station records air temperature, wind speed and direction

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at 2 and 10 m, and air pressure, relative humidity, precipitation, net radiation, soil heat flux, soil temperature and water content every ten minutes. Moreover, latent heat flux, sensible heat flux and water vapour concentration are obtained from eddy correlation systems with an integration step of 30 min.

5 Six atmospheric soundings were performed during June and July 2008 with GPS-tracking balloons. The instruments onboard have measured air temperature, relative humidity, air pressure, wind horizontal component and direction, mixing ratio and some information about localization and altitude.

3.4 Implementation of the approach from (MacDonald et al., 1998)

10 The canopy height obtained by difference between the DSM and DEM gives the distribution of roughness elements over the entire area. Considering a subset grid of this area with a surface A_T , and the total surface of roughness elements A_D within this subset, it is possible to compute a plan area index for the grid. The separation of pixels between roughness element and intervening space was performed by defining a
15 height threshold from the vertical distribution of pixels. It should be noted that a $\Delta h \approx 0$ within a LIDAR grid can either mean that there is no vegetation within this grid, or that the canopy is homogeneous and dense enough to prevent impulses to reach the soil underneath. In both cases it could be considered that this grid belongs to intervening spaces in between bigger roughness elements. In the following calculations, the
20 threshold was defined as 12 cm. In the same way, pixels of the same subset grid of surface A_T can be projected on a plan surface orthogonal to the airflow, giving a total integrated surface A_f used to compute the frontal area index.

A tool was developed for these purposes. Considering a given grid size, a number of wind direction (2, 4, 8...) and an input pixel size, the tool will sequentially compute
25 the plan area index, the frontal area indexes for each wind directions, and the associated displacement heights and roughness lengths following the two formulations of (Raupach, 1994) and (MacDonald et al., 1998). The tool can optionally generate views of frontal surfaces for various wind configurations, with associated roughness elements

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view within a grid, as illustrated in Fig. 3.

Depending of wind direction, the shape of the frontal surface opposed to wind flow can change significantly, as illustrated on Fig. 3, with frontal area index ranging from 0.078 to 0.108. In this particular example, the effect of the orientation of the airflow as compared to the orientation of the tree hedges explains most of the variation, with high values when the wind is perpendicular to the wind flow (45° and 90°), and lower ones when it becomes nearly parallel (0° and 135°).

3.5 Configuration of the computational fluid dynamics model

The Canyon CFD requires the input of a Digital Elevation Model and at least one local set of wind profile properties for initialization, i.e. wind speed and direction at two levels, and the height of the top of the Planetary Boundary Layer. It can use a roughness element height map whenever available, or assumes this height constant on the entire scene. In this study, the Digital Surface Model is used to document the height of the elements on the entire scene. Therefore model can account for both the topography and the surface stress from roughness elements. It should be noted that the Yingke area is almost planar, with a very slight slope from West to East leading to an altitude difference of nearly 30 m over the 2400 m swath of the LIDAR path. The AWS wind speed and direction measurements at 2 and 10 m are used to initialize the profile, together with the PBL height obtained from nearly simultaneous atmospheric soundings (Table 1). In the following experiments, meteorological contexts are limited to neutrally stratified PBL conditions, leading to the selection of five simulation periods listed in Table 2.

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4 Results and discussion

4.1 Wind field computation

Wind fields were computed with a ground resolution of 25 m and 15 levels from 5 to 870 m above ground. A control of the computed wind speed at 2 and 10 m with original values from the AWS reveals that speeds can significantly vary. Table 3 shows differences between measured and simulated wind speed values. The differences are more important near the surface, with a mean underestimation of 40% for CFD wind speeds at 2 m, but reduce at 10 m, with a mean underestimation of 10% and mean overestimation of 20%. As quoted in Sect. 2, this is due to the solving of the transport equation.

Output wind fields are affected by a border effect on the upstream boundaries of the scene (e.g. on the lower left image of Fig. 4). This imposes to discard results within the first 150 m north and east of the fields. It could however be overcome following a nested scale approach, with use of a lower resolution regional DEM to compute a first initialization field to be used in place of the AWS initialization measurements. This couldn't be performed at this stage of the study.

4.2 Roughness length processing

LIDAR data were processed to compute the plan area index of the scene, the frontal area indexes for each wind directions, and associated displacement height following both the approaches from Raupach and MacDonald. The average height of roughness elements within the scene lead to choose a grid size of 100 m, i.e. ten times the height of most of the obstacles to airflow, also tree hedges usually reach 30 m, and up to 38 m for some trees. However, the following calculations stick to the 100 m grid to preserve some granularity. Values of λ_p were found to range between 0.08 to 0.64 for tree arrays and some building groups. This leads to $d_{0(\text{Raupach})}/h_v$ values mainly ranging between 0.35 and 0.45, while $d_{0(\text{MacDonald})}/h_v$ values range from 0.2 for bare soil, and up to 0.7

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for dense low tree arrays. The lowest λ_f values are of 0.025, but can reach 0.2 for grids containing tree hedges. These values are globally rather low because the area doesn't contain regular arrays of high elements, but rather one-line obstacles like the alignments of trees. Values of z_{0m} are very similar from one orientation to the other, e.g. with a variation of the order of $\pm 8 \cdot 10^{-3}$ m between values obtained with a 51° and 270° airflow. However, significant variations with wind direction in frontal area index, and as a consequence in roughness length, can be obtained for grids containing tree line structures, as illustrated on Fig. 3. The difference of values of z_{0m} between the formulations of Raupach and MacDonald are more significant, and a related to the larger values of displacement height obtained over densely vegetated surface from the MacDonald's formulation. Indeed, $z_{0m(\text{Raupach})}$ range from 0.015 to nearly 0.51. with a maximum $z_{0m(\text{Raupach})}/h_v$ of 0.142, while $z_{0m(\text{MacDonald})}$ from 0.015 to 0.195, maximum $z_{0m(\text{MacDonald})}/h_v$ of 0.120.

CFD based roughness obtained from the inversion of Eq. (1) using wind fields give a rather different view of the surface drag effect. Also computations are made at a 25 m ground resolution, a grid values expresses the effect of surface stress upstream on the entire footprint of the profile used in the inversion, while the geometrical approach can only account for the frontal density of obstacles within the calculation grid. Here it is assumed that the footprint for the selected neutral conditions is ten times the height of the profile. To obtain results at local scale, the wind field levels from the ground up to 30 m are used to compute the roughness length, for an assumed footprint size of 300 m. Results presented in Fig. 4 illustrate very well in particular the shelter effect of tree hedges, and simulations differ significantly from one wind direction to the other. Here z_{0m} values are of the order of 0.02–0.03 for low vegetation areas, 0.12–0.2 for corn fields, but can reach values as high as 0.8 and even 1.1 nearby tree hedges areas, depending of the orientation of the airflow as compared to the orientation of the hedges.

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4.3 Discussion

A strict grid per grid comparison between geometrical and CFD based results may not be relevant. Indeed geometrical approaches account for airflow orientation, but they cannot reproduce the footprint effect of upstream roughness elements. These approaches are designed for regular arrays of roughness elements. It can very well account for heterogeneity in terms of e.g. grassland with staggered arrays of trees, or any configuration where local heterogeneity tends to a meso-scale homogeneity. However, in such a complex land cover context, the CFD approach proves to give a much finer view of interactions between the airflow and the structure and orientations of roughness elements of significant height. That said, geometrical and CFD based Z_{0m} tend to converge on large, open areas covered either by bare soil, grassland, low field crops, and even to some extent on some corn fields. For an example, Fig. 5b shows in green output grids where $Z_{0m(Raupach)}$ match $Z_{0m(CFD)}$ within an interval of ± 0.05 m. Compared to the Digital Surface Model presented on Fig. 5a, and considering that the wind direction is 51° , it appears rather clearly that beside areas affected by a significant shelter effects, both approach tend to give comparable results. This suggests that geometrical formulation could give more comparable results on natural heterogeneous land covers present in the region, like the sparse grassland and low trees land covers.

It should be mentioned that the values obtained from the CFD wind fields for grids containing tall trees might not be correct. In these calculations, it was decided to use the levels of wind fields within the first 30 m to stick to the 300 m footprint, also in some areas some trees can reach up to 38 m. Further investigations are needed to check the quality of the wind speed estimates in the lower part of the boundary, but it seems clear that in cases were roughness elements can reach such a height, the footprint size should be reconsidered, e.g. by using the first 60 m of wind profiles.

It should also be noted that results from the two approaches could only be compared because of the very low variation of the topography over the scene. The use of the difference between the Digital Surface Model and the Digital Elevation Model in the

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scale. On the other hand, the combined use of the DEM and the DSM in a CFD model proves to account for the complexity of the land cover, in particular for staggered structures of tall roughness elements. However, the spatial meaning of the values is different from the gridded geometrical approaches, as a 25 m resolution grid actually accounts for the upstream surface stress within its own footprint. It is also emphasized that both the geometrical and CFD based approach rely on a simple representation of the roughness elements, and do not account for the porosity of foliage structures to the airflow. The definition of the exact footprint of such computations still needs to be investigated. And a cross comparison of results from the CFD based approach with ground measurements at footprint scale could provide a first validation of the results. Moreover, a general analysis of the structure of the landscape along the airflow should allow for an adequate definition of the footprint size and related wind fields levels to be used in the inversion. Results would also benefit from a nested scale computation of the wind fields. The use of coarser DEM over a larger area for the initialization of the high-resolution computations should remove any border effects. Finally, the use of such approaches over other land cover types, but also more accentuated topographies within the Heihe basin could give an extended view of the adequacy of both approaches in various contexts.

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Table 2. Wind speed and direction measured at Yingke AWS for the selected neutrally stratified PBL conditions.

Date	Wind speed (m/s)		Wind direction (°)
	2 m	10 m	
30 June 2008 – 15:30 LT	1.11	1.41	251
30 June 2008 – 16:00 LT	1.01	1.36	295
30 June 2008 – 16:10 LT	1.17	1.60	277
30 June 2008 – 16:30 LT	0.95	1.53	270
14 July 2008 – 16:30 LT	2.44	3.99	51

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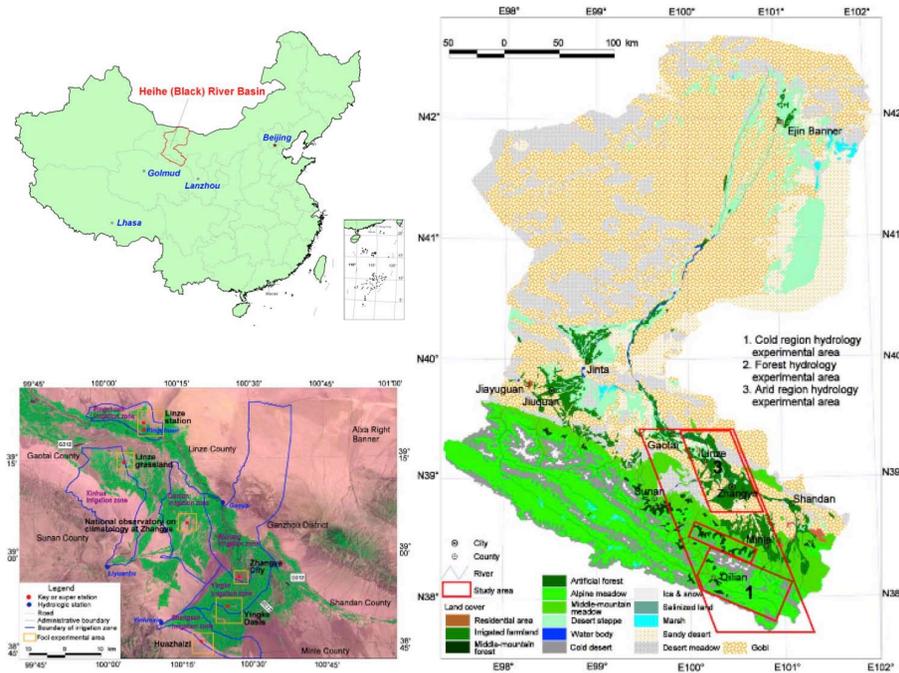


Fig. 1. (top left) location of the HeiHe River Basin in the Popular Republic China; (right) location of the three experimental areas within the basin; (bottom left) detailed location map of the Yingke Oasis. Source: (Li et al., 2009).



Fig. 2. Example of 3-D rendering of the South-West part of the Yingke area obtained by combination of the LIDAR Digital Surface Model and the high resolution image simultaneously acquired by the CCD camera installed together with the LiteMapper.

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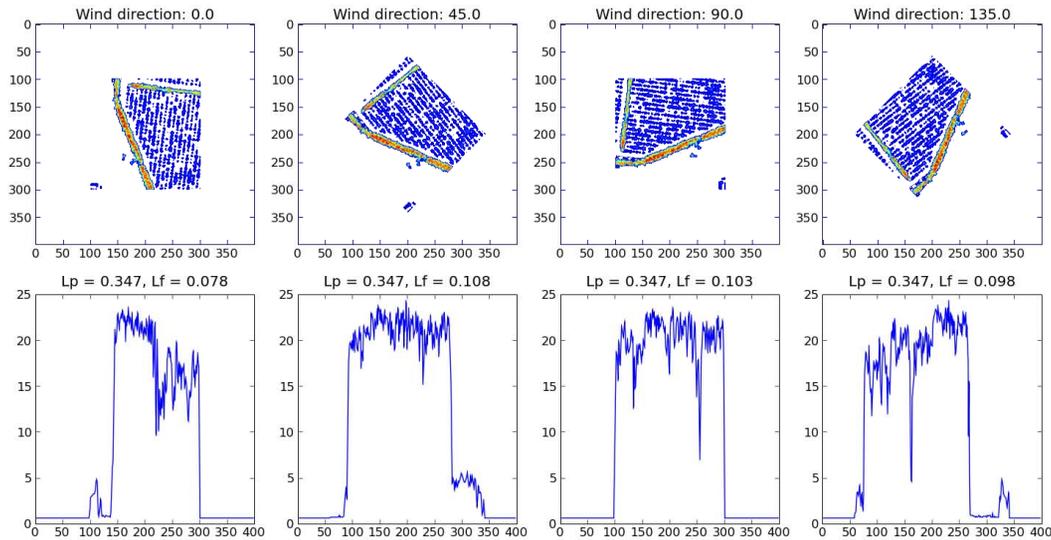


Fig. 3. example of frontal profiles for a 100 m grid containing field crops and tree hedges, computed for four wind directions. In this figure, L_p refers to the plan area index, and L_f to the frontal area index.

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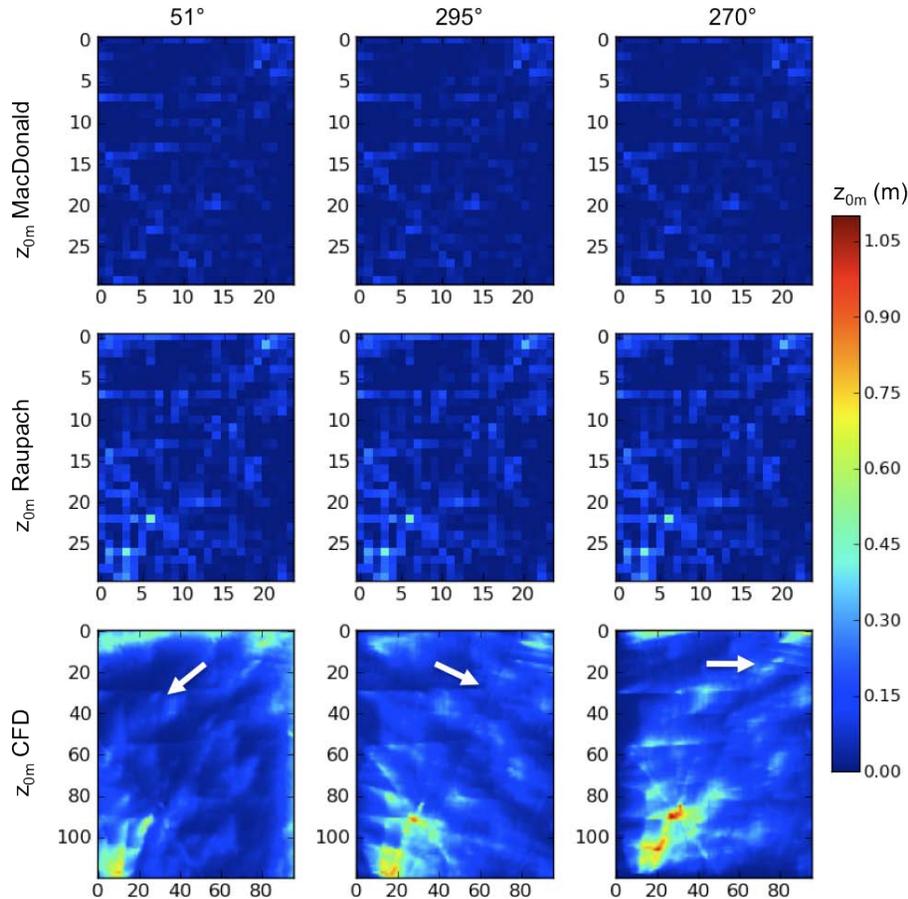


Fig. 4. Roughness length maps derived from the LIDAR data over the Yingke area for 441 wind flows from N–E (51°), W–NW (295°) and W (270°), with related results following the approaches from MacDonald, Raupach, and the CFD based results. Arrows represent wind directions accounted in both geometrical and CFD based calculations.

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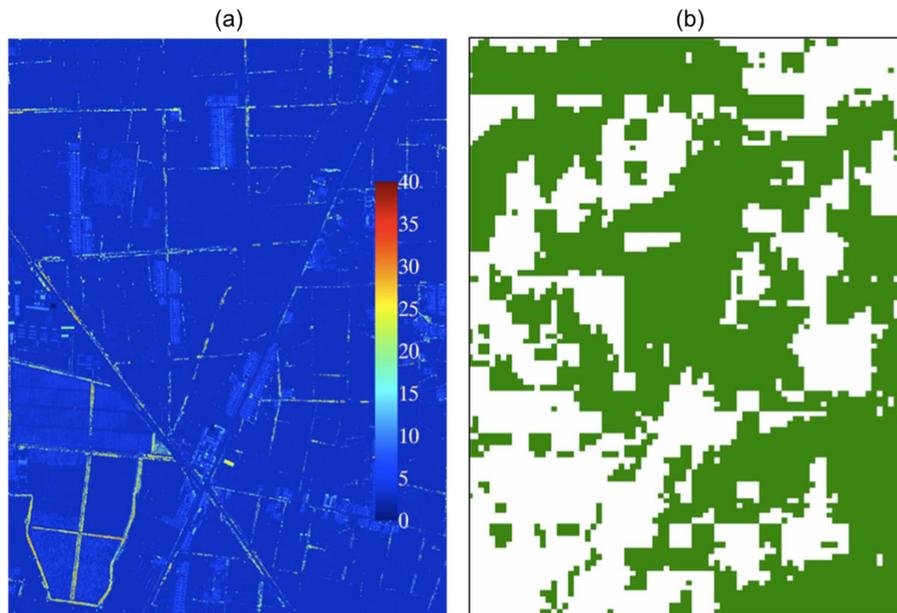


Fig. 5. (a) Roughness element height from the DSM (in m); (b) areas where both z_{0m} (Raupach) and z_{0m} (CFD) match at ± 0.05 m for the calculation with a N–E wind are represented in green.

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