



A site-level comparison of lysimeter and eddy-covariance flux measurements of evapotranspiration

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Abstract. Accurate measurements of evapotranspiration are required for many meteorological, climatological, ecological, and hydrological research applications and developments. Here we examine and compare two well-established methods to determine evapotranspiration at the site level: lysimeter-based measurements (E_L) and eddy-covariance (EC) flux measurements (E_{EC}). The analyses are based on parallel measurements carried out with these two methods at the research catchment Rietholzbach in northeastern Switzerland, and cover the time period June 2009 to December 2015. The measurements are compared on various time scales, and with respect to a 40-year lysimeter-based evapotranspiration time series. Overall, the lysimeter and EC measurements agree well, especially on the annual time scale. On that time scale, the long-term lysimeter measurements also correspond well with catchment water-balance estimates of evapotranspiration. This highlights the representativeness of the site-level lysimeter and EC measurements for the entire catchment despite their comparatively small source areas and the heterogeneous land use and topography within the catchment. Furthermore, we identify that lack of reliable EC measurements during and following rainfall events (due to limitations of the measurement technique under these conditions) significantly contributes to an underestimation of E_{EC} and to the overall energy balance gap at the site.

Keywords. Evapotranspiration, lysimeter, eddy covariance, catchment water balance, measurements



1 Introduction

25 Evaporation E from land, also termed evapotranspiration, is an essential contributor to the water and energy balances on
continents. It returns about 60% of the precipitated water on land back to the atmosphere and also uses up more than 50% of
all net radiation available on land (e.g., Oki and Kanae, 2006; Trenberth et al., 2009; Jung et al., 2010; Seneviratne et al.,
2010; Wang and Dickinson, 2012). In addition, it is coupled to the carbon dioxide (CO_2) uptake by vegetation (e.g., Farquhar
and Sharkey, 1982; Sellers et al., 1996; Ciais et al., 2005; Reichstein et al., 2013), which implies important links between
30 carbon and water cycles. Furthermore, evapotranspiration is related to other nutrient cycles such as the nitrogen cycle
(Larcher, 2003).

Approaches to measure or estimate evapotranspiration are diverse and can include ground observations, remote sensing-
based estimates, diagnostic techniques, as well as modelling and reanalyses (e.g., Seneviratne et al., 2010; Jiménez et al.,
35 2011; Mueller et al., 2011; Wang and Dickinson, 2012). Despite their relative scarcity, the best-established reference
measurement remains ground observations, which can be for example either performed with the lysimeter technique
commonly used in hydrology (e.g., Maidment, 1992; Rana and Katerji, 2000; Seneviratne et al., 2012), or the eddy-
covariance flux measurement technique (EC) established in micrometeorology (e.g., Baldocchi et al., 2001; Aubinet et al.,
2012). Both techniques hold specific intrinsic limitations (see Section 2).

40 Unfortunately, long-term parallel measurements of evapotranspiration with different techniques are rare. Many studies are
limited in terms of number of methods and/or length of analysed time period (e.g., Schume et al., 2005; Kosugi and
Katsuyama, 2007; Castellví and Snyder, 2010; Wang and Dickinson, 2012 and references therein). Nonetheless, it is worth
mentioning the project BEAREX08, within which several methods were evaluated at different spatial scales for one
45 vegetation period (Evetts et al., 2012a,b) and substantial differences between EC and lysimetry were reported (Alfieri et al.,
2012).

The purpose of the present study is to compare lysimeter- and EC-based measurements of evapotranspiration in the pre-
alpine Rietholzbach catchment, which is characterized by a unique hydroclimatological record, including lysimeter
50 measurements since 1976 (Seneviratne et al., 2012). In 2009, EC sensors were installed, thus allowing an extensive
comparison between the two techniques. Furthermore, we use the catchment-wide water balance as an additional constraint
for estimated evapotranspiration on the yearly time scale. Hence, we compare three approaches to measure or estimate
evapotranspiration, which vary both in temporal resolution (from minutes to a year) and spatial scale (from m^2 to km^2). This
allows us to evaluate (i) the correspondences between the two well-established local-scale evapotranspiration measurement



55 techniques, (ii) the representativeness of these local-scale measurements for the catchment, and (iii) the quality of the EC
measurements under the considered conditions at the site.

This article is structured as follows: The methods and data employed in this study are presented in Section 2. Section 3
shows the resulting evapotranspiration estimates by the different techniques and on different time scales. Section 4 discusses
60 the results, and the summary and conclusions of this study are provided in Section 5.

2 Methods and data

2.1 Site description and catchment characteristics

The measurements considered in this study were conducted at the hydrometeorological research catchment Rietholzbach in
northeastern Switzerland (47.37°N, 8.99°E, 795 m asl; see Seneviratne et al., 2012 for an overview of the site). The hilly,
65 pre-alpine catchment (elevation range: 682-950 m asl) drains an area of 3.31 km² and is a headwater catchment of the Thur
river. The region is characterized by a temperate humid climate with a mean air temperature T_{air} of 7.1 °C and ample
precipitation P with a mean annual sum of 1438 mm yr⁻¹ (data basis 1976-2015, Figure 1a,b). Net radiation R_n exhibits a
clear seasonal pattern with on average 105 W m⁻² in summer and -7 W m⁻² in winter (data basis 2000-2015, Figure 1c).
Predominantly weak winds (77% below 2 m s⁻¹) blow along the east-west orientation of the valley (Figure 2). Catchment
70 runoff Q_C is strongly related to subsurface storage (Teuling et al., 2010a) and shows an annual mean of 104 L s⁻¹, which
corresponds to 991 mm yr⁻¹. It displays lowest values in summer and its peak value during snowmelt in March (data basis
1976-2015, Figure 1d). The conglomerate Nagelfluh, the main parent rock type, originates from the Würm glaciation. The
soil type and depth exhibit a high spatial variability. Overall, shallow regosols dominate on steep slopes, deeper cambisols
are found in flatter areas, and gley soils are located in the vicinity of small creeks. Land use underwent no major changes
75 since the start of the measurements in late 1975 and is highly related to the topography. On slopes and along creeks, in about
one fourth of the area, forest dominates. Otherwise the area is used as grassland and partially as pasture. The catchment is
only sparsely populated.

Most measurements considered in this article are conducted at the site “Büel”, which is located in a grassland area next to
80 the valley bottom in the upper part of the Rietholzbach catchment. The on-going measurements include standard
meteorological and hydrological variables such as air temperature, precipitation, air humidity, radiation, soil moisture,
runoff, and ground water level. Evapotranspiration measurements are provided by a lysimeter and an eddy flux tower (see
Figure 2 for an overview on the setup of these measurements). Further details on the relevant instrumentation for this study
are given in the following sections. Seneviratne et al. (2012) provide an overview of the characteristics of the catchment and
85 of measurements at the site. For more general information about the catchment we also refer to
www.iac.ethz.ch/url/rietholzbach.



2.2 Lysimeter measurements

Lysimetry is a well-established technique to measure evapotranspiration (e.g., Maidment, 1992; Rana and Katerji, 2000; Goss and Ehlers, 2009; Meissner et al., 2010; see also Seneviratne et al., 2012 for a recent overview). Lysimeters are vessels
90 containing a soil column in near-natural condition. Weighing lysimeters allow the quantitative measurement of water changes within the soil column and thus, in combination with precipitation and lysimeter seepage measurements, also the estimation of evapotranspiration.

Formally, the lysimeter evapotranspiration E_L (mm) within a given time interval Δt (here one hour) is estimated from the
95 initial weight W_t minus the final weight W_{t+1} (both in kg), the precipitation P (mm), and lysimeter seepage Q_L (mm) at the vessel bottom:

$$E_L = \frac{W_t - W_{t+1}}{\rho_w \pi r^2} + P - Q_L \quad , \quad (1)$$

100 where ρ_w stands for the density of water (kg m^{-3}) and r (m) for the radius of the lysimeter. For the comparison with eddy covariance measurements, we derive a parallel time series “ E_{L0} ” in which E_L is set to zero during hours with rain ($P \geq 0.1$ mm, 15.4% of data), as no reliable data is available from the EC measurements in those cases (see Section 2.3).

The Rietholzbach lysimeter has a radius of 1 m and a total depth of 2.5 m including a gravel filter layer at the bottom. This is
105 a relatively large vessel compared to typical lysimeter instruments, which ensures a higher quality of the measurements (see Seneviratne et al., 2012 and references therein). The lysimeter weight is measured with three load cells and a resolution of 100 g, which corresponds to a water column of approximately 0.03 mm. The surface is covered by grass of similar species composition and treated according to the surrounding grassland (same cutting scheme, but synthetic fertilization instead of slurry; see also Figure 2). At its installation at the site “Büel” in late 1975 the lysimeter was backfilled with a typical gleyic
110 cambisol. Seepage at the lysimeter lower boundary is measured by a tipping bucket with a volume of 50 ml, i.e., with a resolution of 0.02 mm (Gurtz et al., 2003). Following the recommendations of the World Meteorological Organization (WMO, 2008), precipitation data were not derived from the lysimeter measurements but were taken from a standard tipping bucket (see Section 2.5).

115 A key requirement for the accurate estimation of local evapotranspiration is the representativeness of the lysimeter for the surrounding area in terms of soil conditions, vegetation composition, and treatment. Major drawbacks are the existence of



the vessel and its specific design (Allen et al., 2011; WMO, 2008). At the investigated site, this implies the main following limitations:

- (i) The lateral water transport is not contributing to the lysimeter water storage dynamics. This point is assessed as being relatively negligible, as the lysimeter is located in a flat area close to the valley bottom and surrounded by a slight and uniform slope. Thus, potential lateral in- and outflow to the investigated soil volume are assumed to be equal.
- (ii) There is no connection to the groundwater. This may become potentially important under drought conditions (e.g., Rana and Katerji, 2000; Seneviratne et al., 2012) even for a grass-covered lysimeter.
- (iii) Drainage occurs by gravitation only as soil suction is not artificially reproduced within the vessel.
- (iv) Time periods with snow cover have to be analyzed with special care as snow drift induced by wind as well as snow bridges to the surrounding can falsify the weight measurement.

Despite these issues, Seneviratne et al. (2012) show that the Rietholzbach lysimeter seepage and catchment runoff display very similar monthly dynamics, which suggests to a first approximation, that the lysimeter is well representative for the entire catchment despite the scale discrepancy and mentioned limitations. The largest discrepancies between lysimeter seepage and catchment runoff are found in March, most likely linked to a higher spatial variability of hydrological processes in that month, due to snow melt and the onset of the growing season.

Lysimeter data analysed in this study cover the time period 1 June 2009 until 31 December 2015 (start being restricted by the availability of the EC measurements, see Section 2.3). In addition, we refer to the climatological lysimeter time series dating back to 1976 (see Section 2.5). Evapotranspiration is calculated in hourly time steps according to equation (1) and taking into account the weight changes due to management activities. Missing values in lysimeter weight change $W_t - W_{t+1}$ (<0.1% of data) are filled by a linear interpolation when the gaps were short and no precipitation occurred. For lysimeter seepage Q_L missing values (<0.1% of data) are filled manually preserving the actual seepage pattern. Evapotranspiration is defined here as an upward flux, i.e., comprising positive values only, as the lysimeter accuracy does not allow to resolve dew formation. Yet, equation (1) can result in negative E_L values, because the measurements entering the calculation are based on instruments with differing resolutions, and because they can be biased due to sensor uncertainty. The latter is in particular the case for the precipitation measurements, which are often biased due to an undercatch (e.g., Sevruk, 1982; Adam and Lettenmaier, 2003). Days comprising negative E_L are thus treated as described in Jaun (2003) to eliminate such negative values, in consistence with the scheme used for the climatological data series dating back to 1976 (see Section 2.5). It takes into account the amount and predominant sign of E_L during such days. The method mainly affects the winter period, when it leads to a reduction of the overall amount of positive E_L as compared to e.g., simply setting all negative E_L values to zero (not shown), as the occurrence of negative E_L is increased during this season. Based on measured values a threshold for maximum realistic E_L of 0.2 mm h^{-1} during nighttime (global radiation $R_{sd} < 10 \text{ W m}^{-2}$) and periods with snow cover (albedo



150 $\alpha > 0.5$) is applied. In addition, a limitation of E_L is defined as a function of R_{sd} . The subsequent gap filling is conducted in two steps: (i) missing nighttime values (0.7% of data) are set to zero, and (ii) for missing daytime values (0.8% of data) a linear regression with global radiation R_{sd} (R^2 : 0.67) is applied.

2.3 Eddy-covariance measurements

The eddy-covariance method estimates the vertical mass flux of water vapour (E_{EC}) exchanged by an ecosystem based on fast measurements of vertical wind velocity w (m s^{-1}) and specific humidity q (kg m^{-3}), respectively on their turbulent fluctuations (denoted by a prime):

$$E_{EC} = \overline{w'q'}. \quad (2)$$

E_{EC} is measured at the Rietholzbach catchment since late May 2009. The measurements are conducted on a 9 m flux tower, installed at the site “Büel” (see Figure 2) and equipped on three levels with an ultrasonic anemometer thermometer (sensor type CSAT3, Campbell Scientific Inc., USA; hereafter referred to as “sonic”). On the bottom and top levels, an open-path $\text{CO}_2/\text{H}_2\text{O}$ infrared gas analyser (sensor type Li-7500, LI-COR Biosciences, USA; hereafter referred to as “IRGA”) complete the set-up. The instruments are operated at 10 Hz and data is saved with a CR3000 data logger (Campbell Scientific Inc., USA). The present study is based on data obtained from the sensors at the lowest level (2 m above ground), as their source area is smallest and closer to the lysimeter (see Figure 2), and therefore, they experience more homogeneous physical environmental conditions. Note that this level is well above the vegetation height (mostly below ~ 15 cm, maximum 40 cm), and clear of the roughness sublayer (Kaimal and Finnigan, 1994; Foken, 2008). We consider in this study data from the time period 1 June 2009 until 31 December 2015.

To enable the comparison with the lysimeter estimates, the statistics were calculated on an hourly time step following the methodology described in e.g., Lee et al. (2004) or Aubinet et al. (2012). This includes a time lag correction of w and q by maximization of their covariance, the application of the planar fit method after Wilczak et al. (2001) for the coordinate rotation, spectral correction (Moore, 1986), conversion of the buoyancy flux into the sensible heat flux (Schotanus et al., 1983), and correction of density fluctuations (Webb et al., 1980). As open-path IRGAs are not reliably measuring when water is accruing on the optical elements, λE_{EC} is explicitly set to zero during hours with precipitation ($P \geq 0.1$ mm, 15.4% of the data).

Figure 2 displays the location of the tower with respect to the lysimeter and the radiation measurements, together with the frequency of wind direction and velocity at the location. The horizontal separation distance between sonic volume and IRGA volume amounts to 0.2 m both laterally and longitudinally, with the IRGA being situated west of the sonic (not shown). EC data is masked when the tower and the IRGA are in the upwind direction of the sonic volume (i.e., from 310 to 50 degree, red sector) in order to avoid impacts on the measured turbulent fluxes. This is the case for 10.6% of the data.



Evapotranspiration E_{EC} is related to the surface energy balance as follows:

$$R_n - G = H + \lambda E_{EC}, \quad (3)$$

185 where R_n refers to the net radiation, G is the surface soil heat flux, H stands for the sensible heat flux, and λE_{EC} stands for the latent heat flux, where λ (J kg^{-1}) is the latent heat of vaporization. Details on the measurements of R_n and G are given in Section 2.5. The storage of energy between the surface and the measurement height is neglected in the analysed measurements as these are performed at 2 m above short grassland (vegetation height mostly below ~ 15 cm, maximum 40 cm). However, it is not negligible for tall vegetation (e.g., Foken et al., 2006).

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For the latent heat flux λE_{EC} the same data constraints are applied as for lysimeter evapotranspiration E_L , i.e., during nighttime conditions, periods with snow cover, and limitation by R_{sd} (see Section 2.2). Under the present generally humid climate conditions at Rietholzbach, net radiation R_n is the main driver and limiting factor for λE_{EC} (Teuling et al., 2010b; Seneviratne et al., 2012). Thus, gaps in the λE_{EC} time series (31.5% of data) are filled by a linear regression (R^2 : 0.90) of these two variables. However, it should be noted that the simple regression with radiation could lead to errors when evapotranspiration is constrained by soil moisture (e.g. Seneviratne et al., 2010). Overall, the relation between the λE_{EC} and the lysimeter time series is not changed by the gap filling (not shown).

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As commonly observed with using EC data (e.g., Twine et al., 2000; Wilson et al., 2002; Franssen et al., 2010), the energy balance is not closed at the investigated site (see Section 3.2), i.e., the available energy ($R_n - G$) is generally higher than the sum of the turbulent fluxes ($H + \lambda E_{EC}$). This known issue of the EC method is extensively discussed in the literature (e.g., Mahrt, 1998; Foken, 2008; Aubinet et al., 2012; Leuning et al., 2012). It is important to address this issue also in light of the use of EC data for model validation (e.g., Jaeger et al., 2009).

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Several approaches can be used to force-close the energy balance. Here we apply three different simple approaches to enforce the energy balance closure on an hourly basis, assigning the gap to:

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- (i) both H and E_{EC} according to the Bowen ratio β (E_{EC_BOWEN})
- (ii) sensible heat flux only (E_{EC_H})
- (iii) latent heat flux only (E_{EC_E}).

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Due to weak turbulent conditions, small turbulent fluxes, and a poor definition of the Bowen ratio during nighttime, the approaches are only applied to daytime values ($R_{sd} \geq 10 \text{ W m}^{-2}$). Approach (i) (E_{EC_BOWEN}) is a commonly used assumption in the literature (e.g., Jaeger et al., 2009; Jung et al., 2010). Approaches (ii) and (iii) represent two extreme assumptions but they are useful as they indicate the entire range of possible energy balance options (given that R_n and G are correctly estimated and no other fluxes (e.g., advection) or storage terms are of importance, see also Section 4.2).



215 2.4 Catchment water balance measurements

The catchment water balance integrates its components over the entire catchment area over longer time periods. While we focus here on the comparison of the lysimeter and EC evapotranspiration measurements, such catchment water-balance estimates provide an additional reference to evaluate the local-scale techniques. Using this approach, the evapotranspiration E_C is estimated as the difference between precipitation P and catchment runoff Q_C (all in mm):

$$220 \quad E_C = P - Q_C. \quad (4)$$

This approach implies that the change in catchment storage over the given time interval is zero. This assumption generally only holds for long-term averages (≥ 1 year). Although year-to-year variations in storage cannot be fully excluded (e.g., Seneviratne et al., 2012), it can be assumed to yield a reasonable estimate for hydrological years (October to September in Switzerland). In addition, catchment precipitation is estimated here using one precipitation gauge only (thus assumed to be spatially homogeneous). This approach also assumes that all water is leaving the catchment through the discharge gauge at the catchment outlet (see below). Previous analyses suggest that both conditions are reasonably met for the study catchment (Gurtz et al., 2003; Seneviratne et al., 2012).

Precipitation data is taken from the standard tipping bucket (for details see Section 2.5). As the catchment evapotranspiration E_C is known to suffer from unrealistic negative values during winter (Lehner et al., 2010), related to high precipitation undercatch during snow fall (up to 60%, see Gurtz et al., 2003), the precipitation data entering equation (4) is corrected for undercatch (based on Gurtz et al. 2003, Table 1 therein). Runoff is captured at the catchment outlet at the gauge “Rietholz-Mosnang”. This gauge is operated by the FOEN (Federal Office for the Environment, Hydrology Division, Berne, Switzerland). More information on the gauge is available on www.hydrodaten.admin.ch/en/2414.html or in FOEN (1996).

235 2.5 Additional measurements at the site “Büel”

Precipitation is measured by a standard tipping bucket installed at 1.5 m. Data from parallel measurements with a standard tipping bucket at 0 m and a weighing pluviometer at 1.5 m at the same measurement site are used for quality assessment and gap filling. For remaining gaps a regression with data from nearby meteorological stations operated by MeteoSwiss is applied.

Net radiation is derived from separate measurements of all four components of the radiation balance (CM21 and CG4, Kipp&Zonen, NL, all ventilated) at a height of 2 m (see Figure 2).

Soil heat flux is captured with three heat-flux plates (HFP01, Hukseflux, NL) installed at 0.05 m below ground, which are averaged for the analysis. Surface soil heat flux G is determined following Fuchs and Tanner (1968) by calculating the



change in heat storage above the sensors. This estimation is performed using soil temperature (107T, Campbell Scientific Inc., USA), soil moisture (TRIME-IT, IMKO GmbH, D), and soil density measurements (Mittelbach et al., 2012).

250 Note that soil heat flux was not measured during a four-month period from July to October 2014 due to a logger failure. This leads to some gaps in the following comparisons due to the fact that the energy gap corrections (i.e., E_{EC_BOWEN} and E_{EC_E} , see Section 2.3) cannot be applied for this time period. Apart from this period, the soil heat flux and net radiation time series only hold few and short gaps (<0.1%), which are filled by a linear interpolation.

255 All measurements mentioned in Section 2 are on-going. The descriptions refer to the instrumentation for the data since (at least) June 2009. The climatological data series (since 1976) are generally based on varying sensors (types), but have been homogenized over time. More details on the climatological record and respective instrumentation since 1976 can be found in Seneviratne et al. (2012) and in a German-language report (Gurtz et al., 2006).

3 Results

3.1 Climate conditions in 2009-2015 compared with long-term climatology

260 We first assess how the measurements in the study period compare with the long-term climatology to evaluate if the study period from 1 June 2009 until 31 December 2015 is representative for the mean climatological conditions at the site. Figure 1 displays the average monthly meteorological conditions during the study period compared to the long-term climatology with respect to air temperature T_{air} , precipitation P , net radiation R_n , catchment runoff Q_C , lysimeter evapotranspiration E_L , and lysimeter seepage Q_L . The long-term climatological values are derived over the time period 1976-2015, with the
265 exception of net radiation, which is only measured since 2000 at the site.

Temperature (Figure 1a) during the study period ranges on average in the upper part of the distribution based on the climatological data series. This is consistent with the recorded long-term increasing temperature trend in Rietholzbach (Seneviratne et al., 2012) and in Switzerland (OcCC, 2008). The variability within the seven years is similar to the
270 climatology. Precipitation of the study period (Figure 1b) shows high variability with extreme values in November 2011 (0 mm) and December 2011 (275 mm). Overall, the precipitation data shows that the spring season is often drier and the summer season often wetter in the seven considered years compared to the long-term climatology. Absolute values and variability for R_n (Figure 1c) are close to the long-term average. Catchment runoff and lysimeter seepage (Figure 1d,f) show high variability within the seven years and compared to the climatological values. Q_C and Q_L show a similar behaviour (see
275 also Seneviratne et al. 2012). Lysimeter evapotranspiration E_L often shows higher summer values (i.e., mostly for June and August) in 2009-2015 compared to the climatology.



280 Mean precipitation P of a hydrological year sums up to 1598 mm yr^{-1} (respectively 1446 mm yr^{-1} when undercatch is not corrected), whereof 37% are evaporated as E_C and about 63% leave the catchment as runoff Q_C (Table 1). All catchment water balance components show a high year-to-year variability, which is highest for Q_C with respect to the mean. However, none of the components displays a significant trend over the entire time period (see also Seneviratne et al., 2012 for trends in calendar-year values over the time period 1976-2007). Figure 3 displays the catchment water balance for the hydrological years since 1976/77. The comparison with the lysimeter-based evapotranspiration E_L suggests that in the long-term mean, the E/P ratio agrees well with the catchment water-balance approach. The discrepancies between E_C and E_L on a year-to-year basis are likely due to non-negligible year-to-year variations in terrestrial water storage (soil moisture, groundwater, snow). In fact, the non-equality of $(E_L + Q_L)$ vs. P indicates annual storage variations at the lysimeter, while for E_C the change in catchment storage over the given time interval is assumed to be zero (see Section 2.4).

290 The hydrological year 2009/10 is one of the wettest hydrological years in terms of precipitation (+14.5% resp. +18.6% compared to the average, undercatch-corrected and uncorrected values), yet the partitioning of P into Q_C and evapotranspiration (E_C and E_L) is still close to the long-term average (Table 1). The pattern of the hydrological year 2010/11, in contrast, is different. Precipitation is lower than average (-9.8% resp. -6.9%) for that year, but evapotranspiration (E_C and E_L) is up to 1.25 times the average, whereas runoff and seepage (Q_C and Q_L) display the lowest values of the entire period (-28.8% and -34.2% respectively compared to the average). It should be noted that the spring 2011 was very dry (e.g., Wolf et al., 2013; Wetter et al., 2014; Whan et al., 2015), which can partly explain these features. Both hydrological years 2009/10 and 2010/11 display amongst the highest evapotranspiration values since the beginning of the measurements (E_C and E_L). The year 2011/12 appears rather normal on the catchment scale, but the lysimeter again shows high E_L (+24.8% compared to the average) and low Q_L (-18.2%). This is followed by a wetter year 2012/13 with higher P (+10.8% resp. +10.4%), Q_C (+23.8%) and Q_L (+16.6%), but lower E_C and E_L (-11.1% resp. -3.9%). The years 2013/14 and 2014/15 finally show again lower than average precipitation (up to -10.5%). For the catchment, this resulted in below normal Q_C for both years (-12.4% and -14.1%) and close to normal E_C (+4.2% and -2.7%). The lysimeter on the other hand shows for 2013/14 slightly enhanced Q_L (+6%) while E_L is close to normal (-4.8%). For 2014/15 it experienced a pronounced drying with high E_L (+14.7%) and low Q_L (-16.8%), related to the hot and dry summer of that year (e.g., Scherrer et al. 2016). Overall, the study period is well covering the climatological variability, including both years with rather extreme conditions as well as years close to average conditions (see Table 1).

3.2 Energy balance closure

The energy balance closure as evaluated from the ordinary least squares regression between the hourly estimates of the turbulent fluxes ($H + \lambda E_{EC}$) against the available energy ($R_n - G$) reaches values of 0.77 for the slope and 18.54 W m^{-2} for the intercept (R^2 : 0.95, Figure 4a; note ideal closure is represented by an intercept of zero and slope of one). The ratio of the total



310 amount of the turbulent heat fluxes to available energy amounts to 109.2%, indicating a surplus of turbulent energy. This is
due to mostly slight positive nighttime values of the sum of the turbulent fluxes while available energy displays negative
values during night (see Figure 4b). Ignoring nighttime values ($R_{sd} < 10 \text{ W m}^{-2}$), the closure ratio amounts to 88.1%, i.e., the
sum of the turbulent fluxes $H + \lambda E_{EC}$ is generally lower than the available energy $R_n - G$. The regression between the daytime
hourly estimates reveals a slope of 0.79 and intercept of 12.7 W m^{-2} ($R^2: 0.95$). All these values are in the range of values
315 reported in literature (e.g., Wilson et al., 2002). Note that the analyses presented here are based on hours without
precipitation and masked for wind directions impacted by the tower (see also Section 4.2).

The mean daily patterns of the energy balance components (Figure 4b) show that during nighttime H and λE_{EC} often are of
similar small magnitude but opposite sign, resulting in slight positive nighttime values of the sum of the turbulent fluxes and
an energy balance closure gap equivalent to about the amount $R_n - G$. The zero-crossing of R_n and H occurs at around 07:00
320 when λE_{EC} starts to increase as well. G is delayed by about 2 hours. All fluxes have their peak value around 13:00. In the
afternoon R_n and H change sign again after 18:00, followed by G . λE_{EC} reaches the nighttime values at around 20:00.
Available energy is larger than the turbulent fluxes throughout the day. The energy balance closure gap displays a
pronounced daily cycle. During nighttime the closure gap is almost constant at around 20 W m^{-2} and the largest closure gap
325 is found around noon. The overall daily cycle of the energy closure gap is approximately symmetric around the noon peak,
and generally increases with higher fluxes.

3.3 Comparison of the different evapotranspiration estimates

In the following we compare the evapotranspiration estimates on different time scales, from yearly down to hourly time
scales. The lysimeter values E_L and E_{L0} are used as reference. The analysis is based on the period 1 June 2009 until 31
330 December 2015, respectively on the six hydrological years therein (i.e., 2009/2010 to 2014/2015). For consistency with E_L
(see Section 2.2), also only the upward fluxes are considered for E_{EC} . This may result in sums of E_{EC_H} to become higher
than the sums of E_{EC_BOWEN} (depending on the distribution of the neg. hourly fluxes, see Figures 5, 6). Note that the absolute
sum of negative E_{EC} amounts to 2.3% of positive E_{EC} .

335 Table 2 summarizes the evapotranspiration values of the different methods for the hydrological years 2009/10 to 2014/15.
The lysimeter estimates (E_L) range between 537 mm yr^{-1} and 704 mm yr^{-1} . Setting evapotranspiration to zero during rain
events (E_{L0}) allows for a comparison with the estimates of the eddy covariance method and reduces the values remarkably to
a range of 521 mm yr^{-1} to 672 mm yr^{-1} (by up to -8% and -5% on the average). Except for E_{EC_E} , the eddy covariance
estimates show mostly lower values than the lysimeter estimates.

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The monthly evolution of E_{L0} (and E_L for comparison) displayed in Figure 5 displays a pronounced seasonal cycle with highest values in summer and lowest values in winter. E_{L0} in spring is higher than in autumn. The difference of the monthly EC estimates to E_{L0} (Figures 5, 6) exhibits a seasonal cycle as well, with highest absolute differences in summer when the highest fluxes occur and highest relative differences in winter. The EC estimates mostly underestimate (overestimate) E_{L0} in summer (spring), while there is no clear tendency during autumn and winter.

A similar picture results from the analysis of daily (not shown) and hourly evapotranspiration values. Regarding the latter, Figure 7 displays a scatterplot of hourly values from our reference lysimeter-based evapotranspiration measurement E_{L0} and from the different EC evapotranspiration estimates for the raw measured data (excluding gaps; Figure 7). Overall, all EC-based estimates appear to underestimate E_{L0} (slopes of less than 1, and negative biases except for E_{EC_E}), in particular for high values. However, the R^2 values are similar for the different EC estimates.

4 Discussion

4.1 Temporal/spatial representativeness and differences between measurements

The analysis of the hydrological year-based evapotranspiration values derived from the catchment water balance approach E_C and from the lysimeter E_L (Figure 3, Table 1) shows that the considered period with parallel EC and lysimeter measurements is representative and well covering the climatological variability at the site (see also Figure 1). Despite the scale discrepancy (catchment vs. local scale), E_C and E_L overall show a similar magnitude of the fluxes. In addition, a previous comparison of the lysimeter seepage and whole-catchment runoff at monthly time scale revealed a high correlation in these measurements (Seneviratne et al., 2012). Based on this, we infer that the local-scale lysimeter measurements are representative for the whole catchment.

The difference between the EC evapotranspiration estimates and the lysimeter evapotranspiration E_{L0} displays a seasonal dependency with highest absolute differences in summer, with E_{EC_BOWEN} showing about 10% lower values during this season (on the monthly time scale). On the other hand, highest relative differences occur in winter (as shown in Figures 5, 6). Despite these differences, it is important to note that overall good agreement between the two measurement techniques are achieved.

4.2 Limitations/errors in the measurements

In terms of instrumental uncertainty of EC measurements, attention was spent on the surface heating effect of the IRGA and its influence on the measured fluxes (e.g., Burba et al., 2008). Reverter et al. (2011) showed that for evaporation fluxes the impact is much smaller than for CO_2 . In addition, the instrument at the site is tilted downwards at an angle of 45° in order to minimize the impact on the near-infrared signal by direct solar radiation, as it faces south. This orientation of the IRGA also



375 reduces the accumulation of rainwater on the optical element (see Section 2.3). Recently, the accuracy of the vertical wind component w measured with non-orthogonal sonics and the temperature measured by sonics have been under discussion as a potential source of lack of closure. But the different studies disagree on the impact (Loescher et al., 2005; Mauder et al., 2007; Burns et al., 2012; Frank et al., 2013; Kochendorfer et al., 2012; Mauder, 2013), and further investigations are thus needed.

380 In addition, a drawback of the EC method is the commonly observed closure gap of the energy balance (see Section 2.3). The possible underlying reasons are discussed extensively in literature (e.g., Mahrt, 1998; Foken, 2008; Franssen et al., 2010, Aubinet et al., 2012; Leuning et al., 2012). Similar values and daily patterns of the energy balance closure are reported in numerous studies (e.g., Wilson et al., 2002; Franssen et al., 2010) and are also consistent with the data presented in this study. The complex determination of evapotranspiration with the EC method does not allow for a simple error analysis. The closure gap of the energy balance is taken here as an appropriate error estimate assuming an error of less than 5% in the measurement of the available energy. Thus, the bias in the turbulent fluxes is assumed to be of the order of 5-15% with a clear daily cycle and higher relative (but smaller absolute) errors during nighttime.

390 Our analysis also points at another contributor to an overall underestimation of turbulent fluxes by the EC method, namely the lack of reliable latent heat flux measurements during and following rain events. Because of its sensitivity to precipitation, the operation of an open-path IRGA at a rainy site results in a larger fraction of erroneous data in the E_{EC} time series. The assumption is made that E_{EC} is zero during hours with precipitation (see Section 2.3). But the difference between E_L and E_{L0} , which reaches up to 8% (with an underestimation for E_{L0}), shows that this assumption can lead to substantial underestimation of actual evapotranspiration, e.g., because it does not always rain during the entire integration period and it takes a while after a rain event until the optical elements are dry again. This is an interesting result for the interpretation of the EC measurements, as E_{EC} is often found to be closer to E_{L0} than to E_L in this study.

395 Figure 8 shows the energy balance closure analysis as calculated by masking precipitation hours (left part) compared with the same analysis taking into account all hours (i.e., including precipitation hours, right part). As mentioned in Section 3.2, the energy closure amounts to 88.1% (for daytime and masked precipitation) for the measured turbulent fluxes (i.e., no gap correction). For the three applied force-closing methods (see Section 2.3) the gap becomes closed per definition. When precipitation hours are included in the analysis, the EC-based energy balance gap becomes larger due to the additional contribution of available energy during these hours (i.e., 84% closure). Applying a correction for the missed latent heat flux during rain hours (based on the E_L vs. E_{L0} comparison of Table 2, i.e., increasing latent heat flux by 5% on the average) results in a closure of 87.1%. This shows that the amount of underestimation of latent energy during precipitation hours is substantial compared to the overall energy imbalance, contributing about 20% to the uncorrected gap (cf. 84.0% vs. 87.1%).

400 Applying in addition the energy gap correction of the precipitation-masked data (i.e., from Figure 8, left part) results in

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closures of 98.4%, 98.8% and 99.0% respectively. Thus, the additional amount of evapotranspiration during rain hours as estimated by the lysimeter corresponds well with the independent measurements of available energy during these periods. It should be noted here that the amount of underestimation of evapotranspiration during precipitation periods scales with the time step used in equations (1) and (2).

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The site-specific error in the lysimeter evapotranspiration is discussed in Seneviratne et al. (2012). An overall measurement uncertainty of 5-10% is assumed, whereas higher errors (20% or more, e.g., Gurtz et al., 2003) can be assumed during periods of snow cover. In the latter case, also errors in the precipitation measurement (high undercatch under snow fall, e.g., Rasmussen et al., 2012) strongly contribute to errors in E_L . While these errors are large in relative terms during the affected months, they are nonetheless only affecting E_L when it is at very low values (late fall, winter, and early spring; see Figure 1). Hence, they should not substantially affect the lysimeter evapotranspiration during the growing season, nor when aggregated on the yearly time scale.

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5 Summary and Conclusions

We examined and compared two well-established methods to measure evapotranspiration at the site level: lysimeter-based measurements (E_L), which are common in hydrological research, and eddy-covariance flux measurements (E_{EC}), which are widely used in micrometeorology. For the analyses, we employ parallel measurements based on these two methods carried out at a research catchment in northeastern Switzerland and covering the time period 1 June 2009 to 31 December 2015. Over this multi-year time period, the measurements were compared on yearly down to hourly time scales. Moreover, they are related to a 40-year long lysimeter evapotranspiration time series.

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Overall, the lysimeter and EC measurements agree well, in particular on the annual time scale. Also, the long-term lysimeter evapotranspiration agrees well with a catchment-wide estimate of evapotranspiration based on the catchment water balance over hydrological years (and assuming no changes in storage). This emphasizes the representativeness of the site-level lysimeter and EC measurements for the entire catchment despite their comparatively small source areas. We note, however, that the agreement is closest when the two time series are processed in the same manner, i.e., setting hourly evapotranspiration to zero during hours with rainfall (E_{L0} for lysimeter record). The lysimeter measurements actually reveal the occurrence of non-negligible evapotranspiration fluxes during these periods, which leads to an underestimation of 5% on average of the total evapotranspiration fluxes with this processing. Hence, the lack of reliable EC measurements from the open-path IRGA immediately following rainfall events significantly contributes to the overall underestimation of latent heat flux from EC measurements, at least for humid sites such as Rietholzbach. Given this issue of underestimation of the EC evapotranspiration in hours with precipitation, a correction based on lysimeter estimates for these specific time periods could be possibly envisaged in future studies for humid sites, in addition to the correction for the energy balance closure gap. We

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further note that the difference between the EC and E_{LO} lysimeter evapotranspiration shows a seasonal cycle, but the same pattern on different time scales (monthly to hourly).

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In conclusion, our results still highlight remaining uncertainties in the various methods and techniques available to measure and estimate evapotranspiration. Nonetheless, the good agreement of the different methodologies on yearly time scale is an important result in the context of long-term water balance studies. In addition, our results emphasize the value of parallel lysimeter- and EC-based measurements to characterize the respective errors of these measurement systems.

445 **Author contribution**

I.L. implemented the initial analyses for this study and co-wrote a first version of the manuscript with S.I.S.. M.H. and D.M. revised and extended the evaluation and revised the manuscript together with S.I.S.. S.I.S. initiated and oversaw the project.

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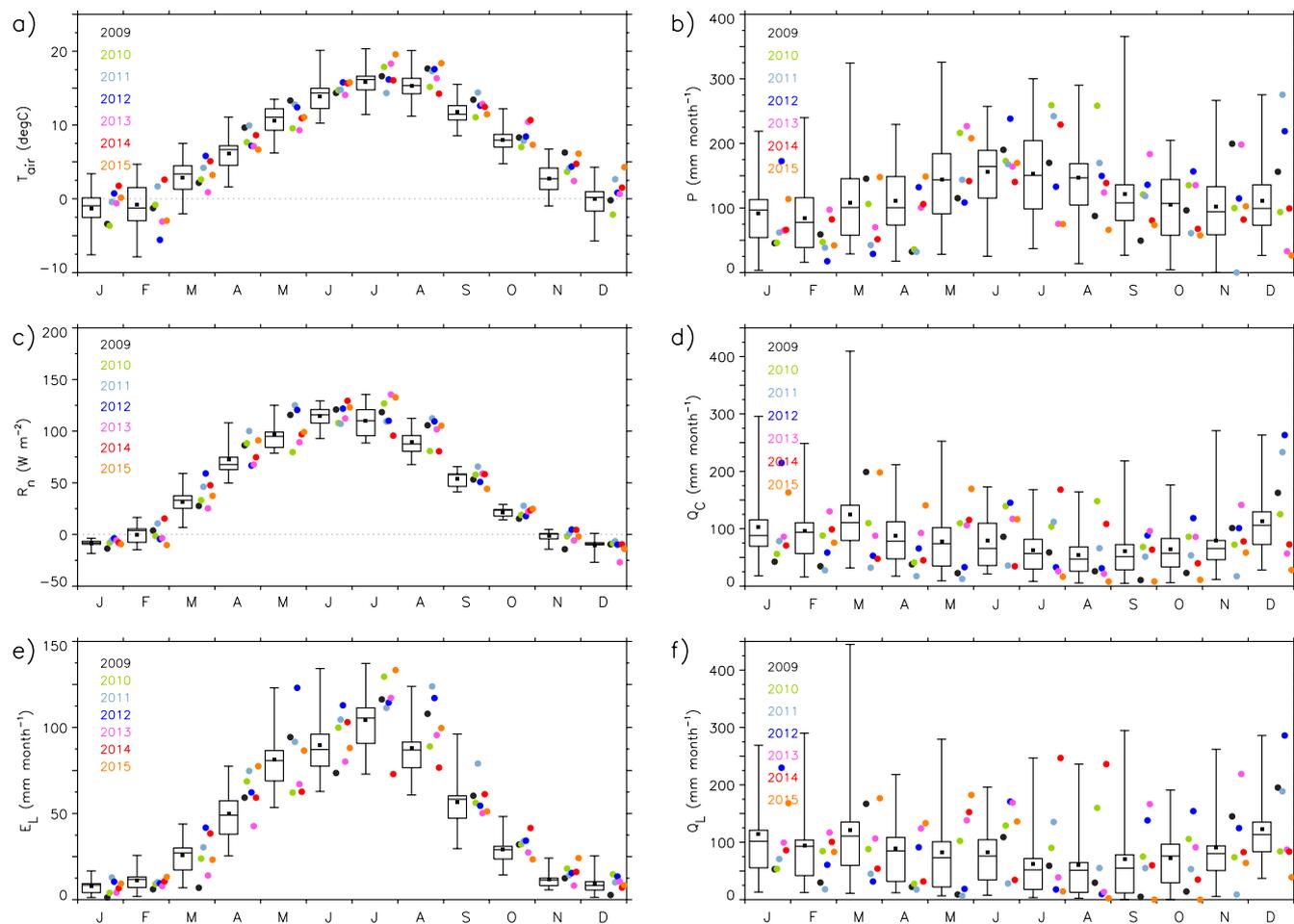
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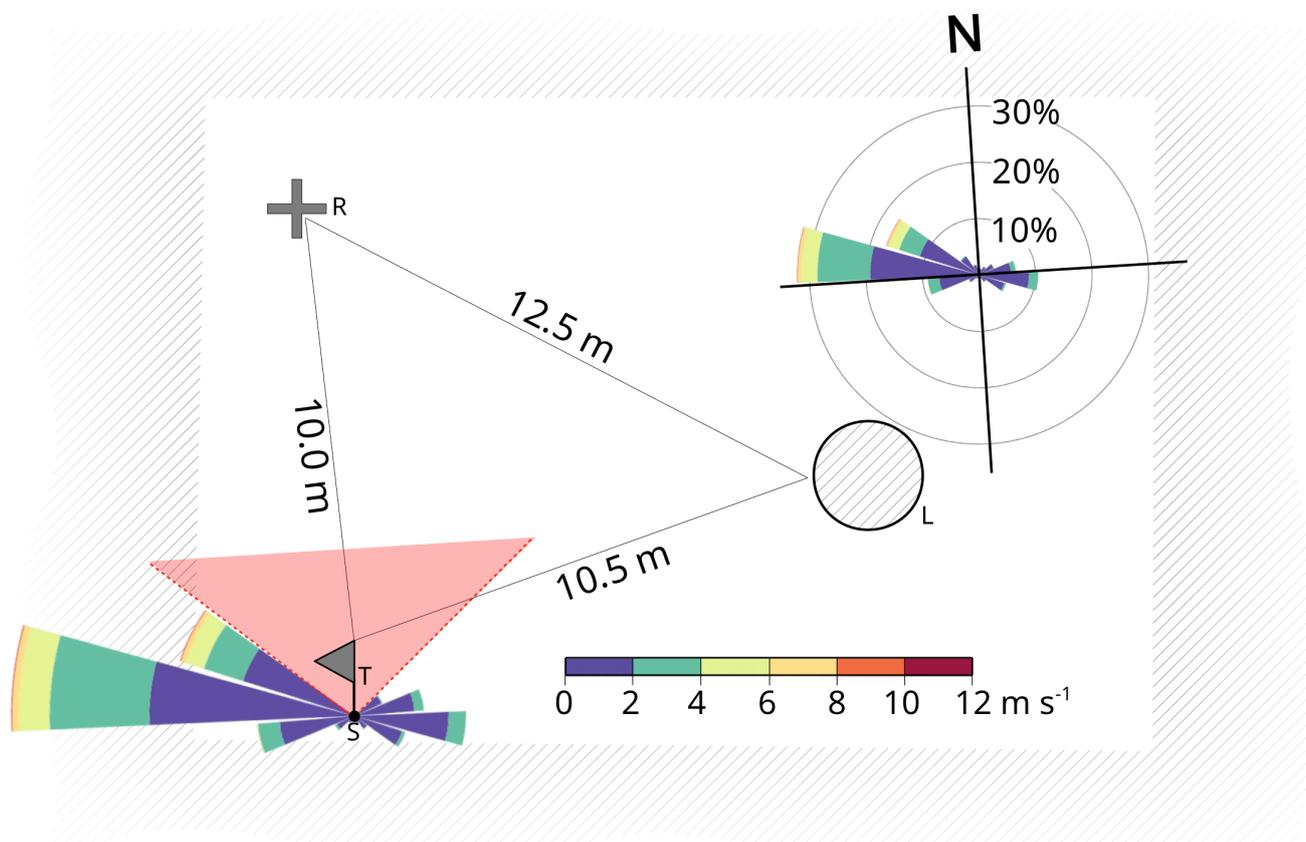


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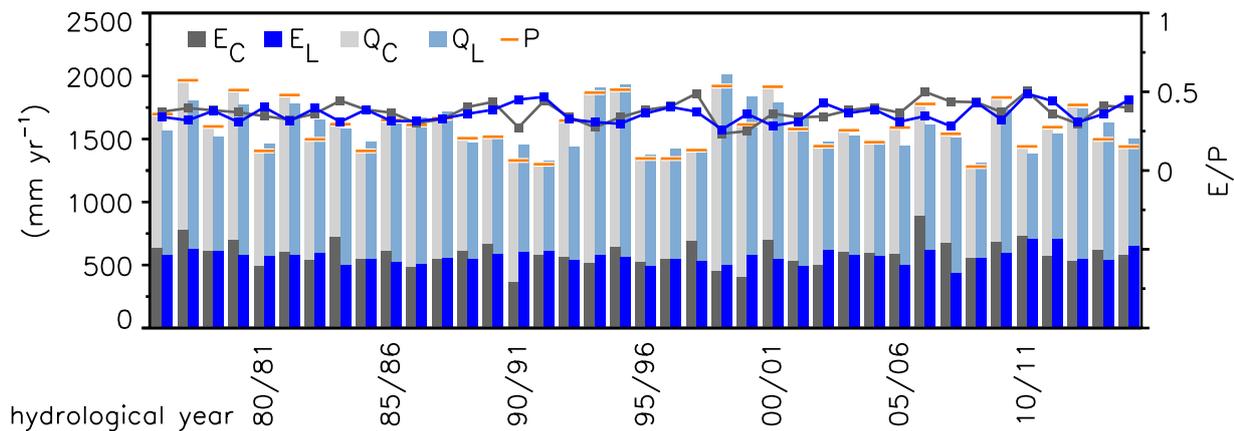
695 **Figure 1: Box plots showing the median, interquartile range as well as minimum and maximum of monthly climatological values (in black, climatological mean included as black square) with the monthly values for the period of investigation 2009-2015 (in colour) for: (a) air temperature T_{air} , (b) precipitation P , (c) net radiation R_n , (d) catchment runoff Q_C , (e) lysimeter evapotranspiration E_L , and (f) lysimeter seepage Q_L .**



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Figure 2: Schematics of the EC tower (denoted T), lysimeter (L) and radiation (R) measurement setup as well as the frequency of wind direction and velocity at the site "Büel" (white rectangle defines the area of the measurement field). The distance between the tower and the sonic (S) volume equals to 1.17 m. The wind sector obstructed by the tower and the IRGA is highlighted in red and masked for the analyses. The identical hatching of the surrounding grassland and the lysimeter surface indicates that the latter is treated according to the former (see text for details).

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710 **Figure 3:** Catchment water balance for the hydrological years (i.e., October-September) 1976/77 until 2014/15. E_C denotes catchment evapotranspiration, E_L lysimeter evapotranspiration, Q_C catchment runoff, Q_L lysimeter seepage, and P precipitation (corrected according to Gurtz et al. 2003, Table 1 therein). The lines show the ratio E_C/P (dark grey) resp. E_L/P (blue).

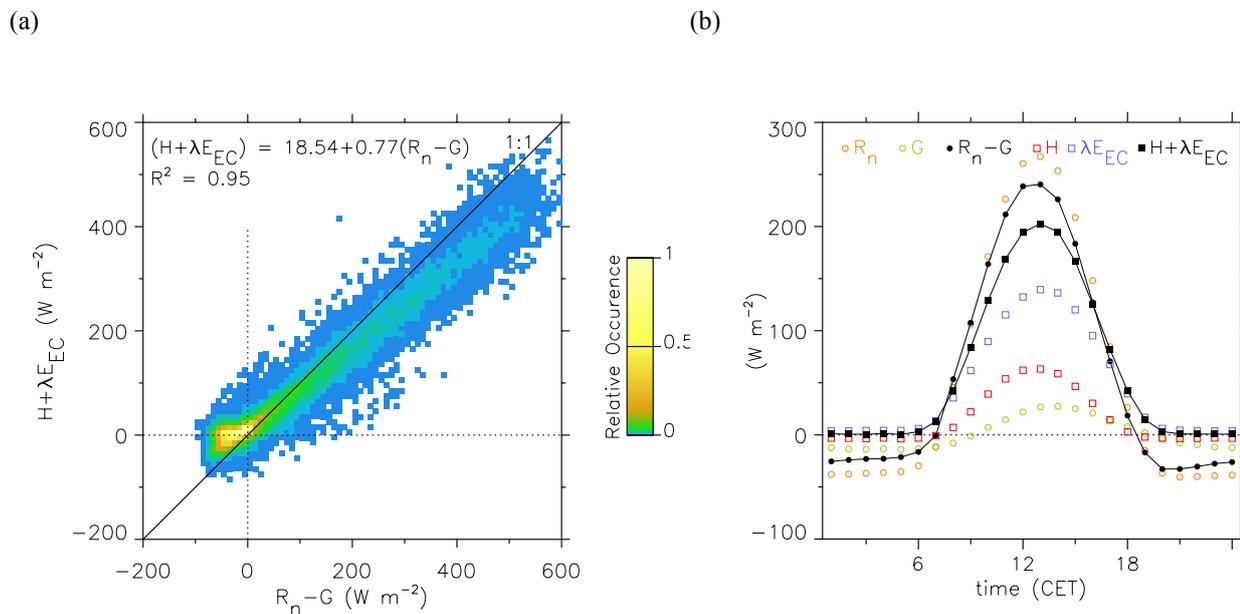


Figure 4: (a) Sum of turbulent fluxes ($H + \lambda E_{EC}$) versus the available energy ($R_n - G$) and (b) mean daily pattern of the energy balance components. Graphs are based on hourly values for the time period 1 June 2009–31 December 2015 and masked for precipitation and wind directions affected by the tower.

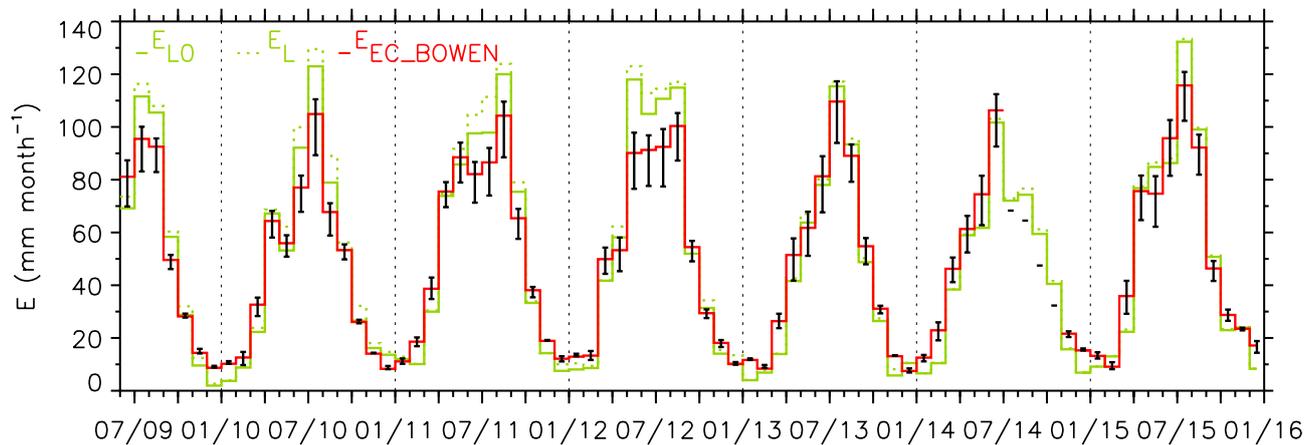


Figure 5: Monthly values of the different evapotranspiration estimates for the time period June 2009 to December 2015. The black bars indicate the range based on E_{EC_H} and E_{EC_E} . Note that from July to October 2014 an energy gap correction is not possible due to missing ground heat flux (see Section 2.5) and thus E_{EC_BOWEN} and E_{EC_E} are not available.

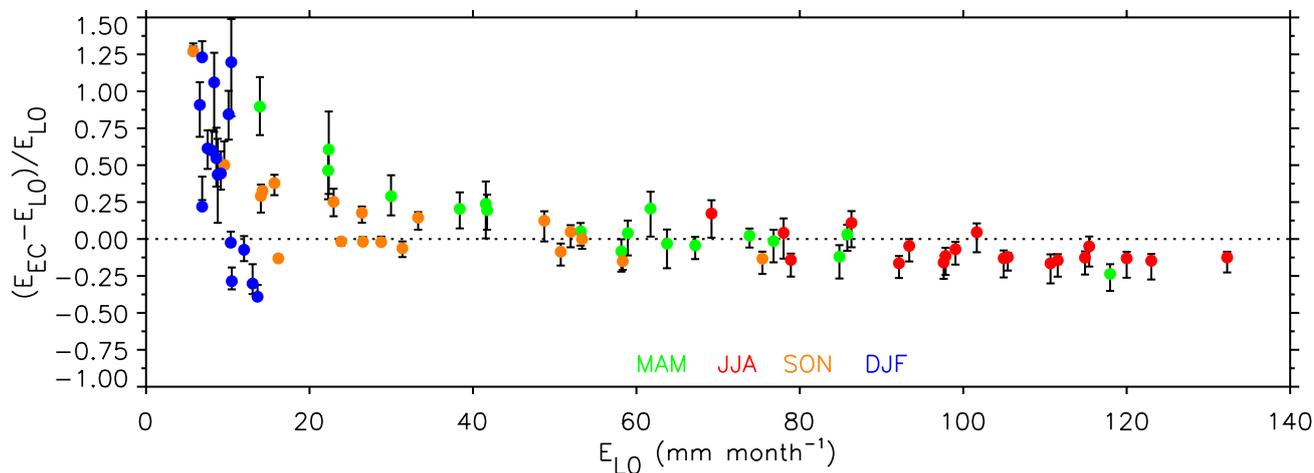


Figure 6: Monthly relative differences, i.e., $(E_{EC} - E_{LO})/E_{LO}$ versus the absolute values of E_{LO} . Different seasons are displayed in different colours. The points indicate E_{EC_BOWEN} , and the black bars indicate the range based on E_{EC_H} and E_{EC_E} . Note that the July to October 2014 values with missing E_{EC_BOWEN} and E_{EC_E} (see Section 2.5) are omitted.

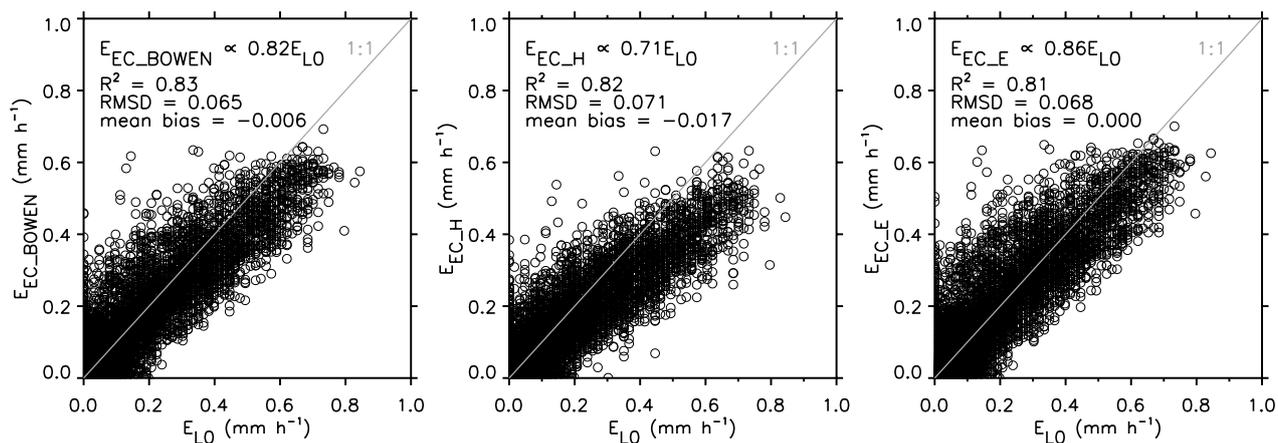


Figure 7: Comparison of hourly E_{EC} estimates with E_{LO} based on measured values (i.e., excluding gaps, $n=39525$) in the time period 1 June 2009–31 December 2015.

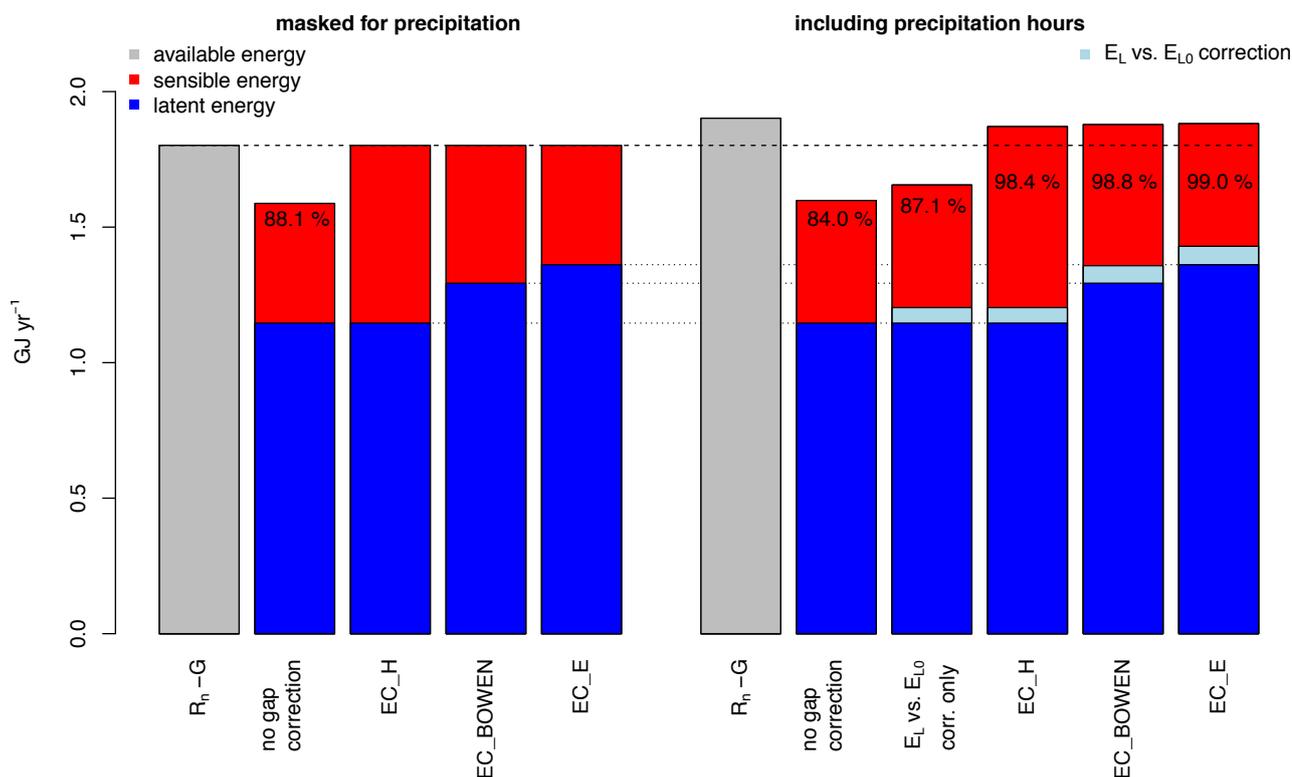


Figure 8: Yearly aggregated available energy vs. sum of turbulent fluxes (for daytime, time period June 2009 to December 2015), with percentages denoting the amount of closure. (Left part) Totals calculated from hourly data masked for precipitation. The energy closure amounts to 88.1% for the measured turbulent fluxes (i.e., no gap correction) and the gap becomes per-definition closed for the three applied corrections (see Section 2.3). (Right part) Totals calculated by including also precipitation hours. Here the gap is corrected by applying a correction for missed evapotranspiration during hours with rain (E_L vs. E_{L0} correction) plus considering the energy gap correction based on the precipitation-masked data (see text for details).

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Table 1: Statistical properties of the catchment water balance for the hydrological years (i.e., October-September) 1976/77 until 2014/15 and the absolute values for the hydrological years 2009/10 to 2014/15. P denotes precipitation, Q_C catchment runoff, Q_L lysimeter seepage, E_C catchment evapotranspiration, and E_L lysimeter evapotranspiration. Units in mm yr^{-1} (except for E_C/P and E_L/P , which are dimensionless).

	mean	median	minimum	maximum	standard deviation	1976-2007 climatology ¹	2009/10	2010/11	2011/12	2012/13	2013/14	2014/15
P	1598 (1446)	1591 (1443)	1281 (1177)	1967 (1764)	193 (168)	1459	1830 (1715)	1442 (1346)	1594 (1453)	1771 (1597)	1498 (1403)	1440 (1294)
Q_C	1006	967	716	1471	185	1063	1151	716	1025	1245	881	864
E_C	592	584	364	887	101	396	679	725	569	526	617	576
E_C/P	0.37	0.37	0.23	0.50	0.06	0.27	0.37	0.50	0.36	0.30	0.41	0.40
Q_L	1026	984	675	1506	187	-	1140	675	839	1196	1088	854
E_L	564	566	433	704	55	560	589	704	704	542	537	647
E_L/P	0.36 (0.40)	0.36 (0.39)	0.26 (0.28)	0.49 (0.52)	0.06 (0.06)	0.38	0.32 (0.34)	0.49 (0.52)	0.44 (0.48)	0.31 (0.34)	0.36 (0.38)	0.45 (0.50)

¹from Seneviratne et al. (2012), based on calendar-year (January-December) values. For P (and E_L/P) the statistics based on undercatch-corrected and uncorrected (in brackets) P are provided (see Section 2.4).

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Table 2: Lysimeter (E_L and E_{L0}) and EC (E_{EC}) evapotranspiration for six hydrological years and the respective six-year averages. Percentages denote the differences of E_{EC} and E_L to E_{L0} . Note that for 2013/14 and 2014/15 an energy gap correction is not possible for a four-month period due to missing ground heat flux (see Section 2.5) and thus E_{EC_BOWEN} and E_{EC_E} are not available. Units in mm yr^{-1} .

hydrological year	E_L	E_{L0}	E_{EC_BOWEN}	E_{EC_H}	E_{EC_E}
2009/10	589 (+8%)	543	524 (-3%)	471 (-13%)	552 (+2%)
2010/11	704 (+7%)	659	612 (-7%)	544 (-17%)	650 (-1%)
2011/12	704 (+5%)	672	621 (-8%)	542 (-19%)	662 (-1%)
2012/13	542 (+4%)	521	545 (+5%)	475 (-9%)	587 (+13%)
2013/14	537 (+2%)	526	NA	505 (-4%)	NA
2014/15	647 (+1%)	638	NA	546 (-14%)	NA
average	621 (+5%)	593	576 (-3%)	514 (-13%)	613 (+3%)