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A general framework for understanding the response of the water cycle to global warming over land and ocean

Authors:

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Response to Referee Comments by Dr van der Hurk

Referee comments in Italics

1. *This manuscript describes in a clear and easy to follow manner the effect of green-house warming on the global balance of P and E, elaborating on earlier work by Held and Soden (2006). It shows that the zonally averaged estimates of $d(P-E)$ do not hold at the grid box level and not over land, and present an alternative Budyko-based framework for this. It also illustrates the small role of changes in surface evaporation, and the dominance of longwave cooling at the surface. It reverts the (public) rationale that elevated temperatures lead to acceleration of the hydrological cycle: had surface evaporation increased more, the surface warming would have been correspondingly less.*

We thank the reviewer for the positive comments.

2. *The main question that then remains unanswered is the physical explanation of the current partitioning of dL_{in} over dL_{out} and dE : why is x in Eq 10 equal to 0.24? It probably is related to the notion that relative humidity changes relatively little, but it does not shed light on why this is the case. Do the authors have evidence for this?*

Very good point.

We did try but we were unable to find a definitive underlying physical reason for that finding. We agree that the so-called water vapour feedback is likely to be central but in the absence of a physical explanation the only option is to leave it as a model result that requires future explanation.

3. *The paper reads very well (very suitable for teaching at MSc/PhD level) and is certainly suitable for publication, apart from a number of minor comments:*

We thank the reviewer for the positive comments.

4. *p 15270: the number of references to the Budyko framework (19) is a bit overdone, I would say.*

When we originally asked an experienced atmospheric sciences colleague to comment on the work they responded by stating something along the lines of ... “the Budyko thing must be pretty good because it agreed with climate models”. In fact the reality is the other way round with the test being whether the climate models were consistent with hydrologic understanding embodied in the Budyko curve. With that background we wanted to ensure that readers not familiar with Hydrologic applications of the Budyko curve could peruse a variety of key literature to see the generality of the approach.

In response we have removed two references from the list and note that we would greatly prefer to keep the remainder as they represent some of the key works that readers could follow up.

5. *Eq 1: can you give some indication of the typical value of n?*

The value of n that best reproduces the original Budyko curve is 1.9 (Donohue, Roderick, McVicar, 2011 J Hydrology 406: 234-244). We used $n = 1.8$ in Figure 2ef as noted in the caption. In previous work we have calculated values of n using long term catchment data in Australia and found values ranging from 0.6 to 3.6 (op cit). That range has also been found in Chinese catchments.

We modified the text following Eqn 1 to address the questions raised.

6. *p 15271, second para: the fact that the models obey the Budyko framework so well is not very surprising, as both are based on conservation of energy and water. A model data set can be expected to better comply with this framework than an observational data set where energy and water balance conservation are normally quite problematic*

As noted by another reviewer (Sivapalan), it is not so straightforward. While the asymptotes may be straightforward the approaches to them are not. The curvature in the Budyko curve is an emergent relation and depends on many things (e.g. water, energy, soil-vegetation, etc.). No change was made.

7. *p 15272, last sentence: add a statement that the framework is not suitable for the cryosphere since additional long-term mass balance terms (storage in snow/ice packs) violate the balance assumptions*

We modified the sentence as per the reviewer’s suggestion.

Original sentence reads:

The Budyko framework is not intended for use in the cryosphere and we limit the calculations to the latitudinal range 60S to 60N.

We replaced that sentence with:

The Budyko framework is not intended for use in the cryosphere since additional long-term mass balance terms (snow/ice) violate the mass balance assumptions. We limit the calculations to the latitudinal range 60S to 60N.

8. *p 15273: the 82% explained variance shown in fig 4 is actually a bit low, since all terms in eq 3 come from the same model archive. The missing terms that explain this limited fraction of explained variance are the (ignored) changes in n and the covariance terms, is that right?*

Yes, that is correct. In addition, the explained variance would have increased if we used the best fit value for n (=1.5) instead of the recommended default value for n (= 1.8).

9. *p 15279, L15: add the word “averaged” before “model ensemble”, as the word “en-semble” often points at a large collection of model data*

Good point. We also located several other instances of the same problem in the text (e.g., P15266, line 18; P15270, line 8 & 18; P15271, line 13; P15274, line 10; P15275, line 7 & 13; P15277, line 18; P15278, line 18; P15280, line 18; P15279, line 21).

We have fixed all instances of this problem throughout the text.

10. *it is a coincidence that the fractional changes in dP and dE over land (5.3 and 3.7) add up to the fractional change in $d(P-E)$ (9%). It may be helpful to make this notion*

We did not notice that originally, but yes, it is a (peculiar numerical) coincidence (in Table 1).

Response to Comments by Referee (Prof. Savenije)

Referee comments in Italics

This is a very interesting and well prepared paper, which clearly shows that interpretation of climate change effects only make sense if we distinguish between land and ocean, where the land is moisture constrained whereas the ocean is not. The Budyko framework is a very useful way to analyse the sensitivity of the hydrological fluxes to changes in energy and precipitation input. This paper is brilliant in its simplicity, and I very much welcome a resubmission.

We thank the reviewer for the positive comments.

There are two sets of comments that I would like to make. The first set refers to the correct use of units, the second to an additional feature that becomes clear if a different functional form of the Budyko curve is chosen.

1a. In the paper the evaporation is sometimes expressed as a volumetric flux per unit area (mm year) and sometimes as an energy flux per unit area ($W m^{-2}$). In the latter case the evaporative flux is symbolized by LE . Although the paper doesn't say so, the convention for L (Specific latent heat) is the energy (heat) required to evaporate 1 kg of water ($=2.45 kJ/g$). It then still requires multiplication with the density to become a volumetric flux per unit area. So the equation should use ρLE for LE (if E is expressed in a volume flux per unit area, as the paper does). Unless of course L has the unit $J m^{-3}$. So preferably include the density, or explain that L includes the density. This also applies to all the places where LE or LE are used (eqs. 5, 6, 7, 8, 11, 12; p15275, L10, L19; p15276, L1, L5; p15277, L8 and caption of Table 2).

Good point. We use common hydrologic units (volume per area per time) but we agree that it is dimensionally inconsistent with the equations we use. The equations themselves are dimensionally correct – it is in the tables and in the Budyko analysis where we make this dimensional error.

We have now added a new paragraph to section 2 explaining the unit convention adopted.

1b. Another mistake often made is that G in eq.(5) is not the storage of heat, but the storage increase over time. It is the temporal derivative of the heat storage and not the storage itself, as is suggested on p15274, L7 and in the caption of Table 2. This may seem like nitpicking, but in HESS we want to be precise in the correct use of units and dimensions. Of course one might say that the term storage means the process of storing, but this is not what in hydrology is the convention. The storage of water is the water stored. The term dS/dt in the water balance means the temporal change of storage and not the storage; similarly G is the temporal change of heat stored in the earth and not the amount stored. The temporal derivative of the storage is the process of storing or depleting. Maybe a way out is to replace the term 'heat storage' into 'the process of heat storage', or to replace it with 'heating up'.

Good point. We agree. We have refined our description and now use change in enthalpy for G throughout.

2. The authors prefer to use a Budyko curve of the type presented in (1). A simpler form of the Budyko curve is

$$\frac{E}{P} = \left(1 - \exp\left(-\frac{E_0}{P}\right)\right)$$

This exponential equation may have the disadvantage that it does not have the additional parameter n , which allows additional tuning, but the authors don't make use of n anyway. An advantage of this equation is that it links to the probability distribution of rainfall (see: De Groen and Savenije, 2006, and Gerrits et al., 2009), and hence has some physical reasoning behind it. A further advantage of this equation is that ε_o and ε_p have physical meaning. It can be shown that ε_o equals the runoff coefficient:

$$\varepsilon_o = \frac{P - E}{P} = \frac{Q}{P} = C_R$$

This follows simply from partial differentiation of the exponential Budyko curve. In fact, Figure 3b shows the global distribution of the runoff coefficient. If the colour scale is changed to a maximum of 1.0 (now it is scaled at a maximum of $16 \cdot 10^{-1} = 1.6$, which is a physically impossible number), then we recognise immediately the distribution of the runoff coefficient over the world. I think using the exponential definition of the Budyko curve makes the paper even more transparent. In addition it can be shown that:

$$\varepsilon_p = 1 - \frac{E}{P} \left(1 - \frac{E_0}{E} C_R\right) = 1 - \frac{E}{P} + \frac{E_0}{P} C_R = \left(1 + \frac{E_0}{P}\right) C_R$$

So ε_p is proportional to the runoff coefficient and is strengthened by the aridity index. These expressions can also be obtained directly by derivation of the exponential Budyko curve:

$$dQ = \frac{\partial Q}{\partial P} dP + \frac{\partial Q}{\partial E_0} dE_0$$

$$dQ = \frac{Q}{P} \left(1 + \frac{E_0}{P}\right) dP - \frac{Q}{P} dE_0 = C_R \left(\left(1 + \frac{E_0}{P}\right) dP - dE_0 \right)$$

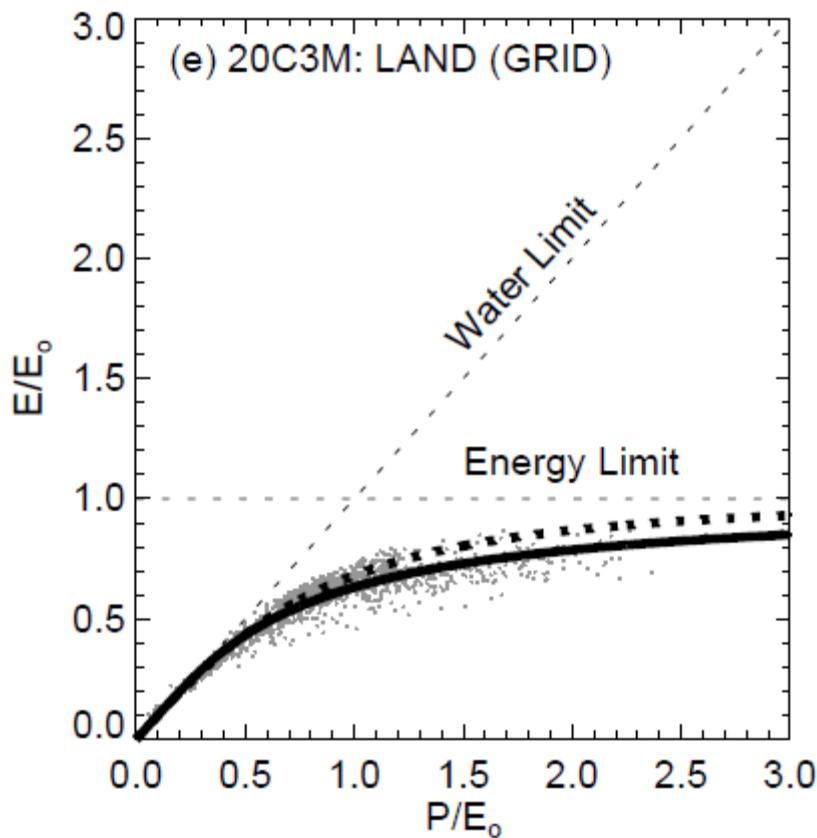
or:

$$\frac{dQ}{Q} = \left(1 + \frac{E_0}{P}\right) \frac{dP}{P} - \frac{dE_0}{P}$$

We see that the main control on runoff change is the runoff coefficient itself. The larger the runoff coefficient, the larger the runoff increases with increasing precipitation. Further, since the change in the potential evaporation is not so large, the runoff change is affected by the aridity index $E_0=P$. The aridity index strengthens the sensitivity of runoff to rainfall.

Very good point/s and a very clear commentary. We first note that this sensitivity framework is not actually in the cited references – as far as we are aware it is presented in this particular review for the first time! We agree that the resulting partial differentials are easier to

interpret. We have also plotted the suggested form for the Budyko curve. In the modified Fig. 2e (below) we show the new form suggested by Prof Savenije:



Modified Fig. 2e New form of the Budyko curve is shown as a full heavy line and falls slightly below the original dashed line.

We conclude that the Savenije equation actually follows the data more closely than our original default equation. If we modified our default equation to use $n=1.5$ (as noted in the original figure caption) then it would be more or less the same as the Savenije equation.

Thus the new framework offered by Prof Savenije has some clear advantages. Given that we chose not to use the tunable parameter (n) it was suggested we could use this new and simpler form. Alternatively, in real catchments the additional parameter is actually needed to adequately account for the observed variation (Donohue et al 2011 J Hydrology) and a theoretical basis is currently being developed (Roderick & Farquhar 2011 WRR, Donohue et al 2012 J Hydrology) to express that parameter in terms of standard hydrologic quantities; mean storm depth, soil water holding capacity and effective rooting depth of the vegetation. That form also has a strong theoretical basis in terms of satisfying mathematical boundary conditions (Yang et al 2008 WRR) although we expect that the Savenije form is also likely well behaved at the boundaries.

We conclude that the presentation by Prof Savenije is novel and worthy of publication in its own right.

In response we have added a new Appendix to include this new derivation with appropriate modifications in the main text. The appendix includes a new figure (Fig. 7) that shows the excellent agreement with model output.

Further, we were aware that the maximum physical value is 1 in Fig. 3b. We simply could not draw the figure appropriately using our software. We have now tried harder and have made colour scales in Fig. 3 that scale from 0 to 1 and are more suitable from a physical point of view.

Response to Anonymous Comments by Referee 3

Referee comments in Italics

1. *Overall comments: This is a well written and clear article, which addresses an important research topic in climate science (why changes in precipitation under enhanced greenhouse gas concentrations are not changing at the Clausius-Clapeyron rate). It also highlights some potential pitfalls when applying rules derived (and valid) for latitude bands including both land and ocean areas (proportionality of changes in P-E to background P-E) to changes in land-only areas or to local changes over the oceans. Furthermore, it highlights an important misconception, i.e. that it is evapotranspiration that drives temperature changes under enhanced greenhouse gas forcing rather than the opposite, because most of the enhanced incoming longwave radiation is used for enhanced outgoing radiation at the surface. I have only minor comments on the article (see below) and thus recommend it being accepted subject to minor revisions.*

We thank the reviewer for the positive comments.

2. *Detailed comments: 1) P. 15264, lines 17-20: The construction of this sentence is a little convoluted and confusing. The three terms that are referred to should be more clearly highlighted and recognizable upon first reading. In addition, the sentence should note that most of the evapotranspiration changes occur over the oceans rather than land areas. Here is a suggested revision of this sentence: "In terms of global averages, we find [that] the climate model projections are dominated by changes in only three terms of the surface energy balance: 1) an increase in the incoming long-wave irradiance, and the respective responses in 2) outgoing longwave irradiance and 3) evaporative flux (the latter being much smaller than the other two terms and mostly restricted to the oceans).*

That is better. We will incorporate that into the revision. Thank you.

3. *2) P. 15274, line 11: The following paragraph is mostly based on the numbers in Table 2, but I found Fig. 5 more straightforward to interpret upon first reading. I would suggest to add in the parenthesis "(Table 2)" also a reference to Fig. 5, e.g: "(Table 2; see also Fig. 5 for a summary of the changes between the two periods)".*

Again – that is better. We will incorporate that into the revision. Thank you.

4. *3) P. 15275, line 6: Add "over the oceans" after "in the latent heat flux" (same comment as 1): most of the changes in evaporation occur over the oceans)*

Agreed and done.

Response to Comments by Referee (Prof. Sivapalan)

Referee comments in Italics

I enjoyed reading the paper: some time ago eminent physicist S. Chandrasekhar published a voluminous book titled "Principia Mathematica for the Common Reader", which was still over my head (in spite of written in English as opposed to Newton's Latin). This paper could be termed "climate change for the common hydrologist": just like some eminent climatologists are wont to do (e.g., Ramanathan), it aims to capture the bare essentials so the common hydrologist reader can get to the bare essentials. I want to add a few more comments and suggestions that the authors can make this even better.

We thank the reviewer for the positive comments.

- (1) *I felt that the authors went too far in simplifying to the point some parts of the text seem rather cryptic. In view of the potential educational value of this paper, it may be more useful to make this a bit more clear. I give an example: the sentence "... the atmospheric humidity is projected to increase at the Clausius-Clayperon (CC) value of around 7%/K". A similar statement is made later about P, which was clear, but I was confused by the CC reference here.*

We were unsure of the point being made. We think it refers to the spelling mistake (should be Clapeyron) and we can fix that. When we came to correct the spelling we could not locate a spelling mistake in the manuscript?

- (2) *I can follow the arguments on the authors' interpretation and clarification of the results of Held and Soden. However I am unclear about the take-home message from this. Is the message meant for climate scientists or for hydrologists? As a hydrologist, I don't know what to make of this for my work - may be the authors can clarify.*

The message is for both atmospheric scientists and for hydrologists. In particular, one often hears the statement in the press or even in scientific papers that the wet get wetter and dry get drier. However, as we note, in terms of $P-E$ at least, climate models in the CMIP3 archive do not actually project this at the local scales that matter for impacts and for management. Those local scales are of primary interest for hydrologists.

After reading this comment and comment (6) below, we think that the problems highlighted by the reviewer arise because of the abstract.

In response we have completely rewritten the abstract to highlight key results for hydrologists and for atmospheric/climate scientists along the lines we indicated in the original response to the review.

- (3) *I will say something similar about the authors' findings about Budyko. It is clearly reassuring that climate models "on average" satisfy the Budyko theory of annual water balance partitioning. Is this the take home message? I agree that this is important: some 20 years ago during the PILPS experiment (inter-comparison of land surface parameterizations) that climate models did not satisfy Budyko, which was a major concern. In spite of the good result, I remain curious - how did this happen? Unlike the comments of one of the reviewers, this is not just a matter of balancing water and energy: it is about co-evolution of climate, soils, vegetation etc. Any insights by the authors would be very valuable.*

(4) One more query on the Budyko: again, what is the take home message? Is it that climate models are now able to satisfy Budyko "on average"? Of course they should, if they are to be used with confidence? I am wondering if there is a deeper message here.

These (3 & 4) are good questions. We were aware of earlier results with PILPS and we admit to being (pleasantly) surprised by the finding that the model ensemble average does follow the standard Budyko curve. As the reviewer implies, that result should give a clear indication to hydrologists that the climate models are not without some skill in terms of the partitioning of water and heat at the surface. As to deeper insights - we really do not know why. We assume that the models strictly enforce mass and energy closure schemes over the land surface. That would explain the close adherence to the water and energy limits but it is a little more difficult to explain why the model ensemble average gets the apparent curvature correct as well. This is particularly interesting since we know from work by Prof Graeme Stephens and others that the rainfall dynamics are not well simulated by climate models. That remains an important research question.

(5) Compared to these interpretations (above), to me the more interesting conclusion of climate models is for a global increase of P by around 1-3 %/K. Isn't this the essence of the "response of the water cycle to global warming" (from the title of the paper). I was expecting that the paper would also address this point, as this would be of a lot of value to hydrologists. I looked for discussion of this and did not find it (or did I miss it). I felt that the second part of the paper skirted this issue, but I could not make the connection. May be the authors can clarify.

Perhaps we need to rewrite this? As we noted, the response of global P is equal to the response of global E. In the second half of the paper (section 5) we decomposed the response of global E in terms of projected changes in the surface energy balance over the globe and separately for both land and ocean.

(6) In conclusion, it may be good if the paper can be organized so that clear take-home messages that hydrologists can use. These are already there probably, and only need to be brought out more clearly.

See response to comment 2 above.

Additional Comment by Prof Sivapalan (received by email after the review)

It was suggested we examine a recent paper and contrast their results with our results as follows:

Kumar, S., Lawrence, D. M., Dirmeyer, P. A., and Sheffield, J.: Less reliable water availability in the 21st century climate projections, *Earth's Future*, n/a-n/a, 10.1002/2013ef000159, 2014.

This turned out to be a highly relevant paper and we have added a comparative analysis of those results in two new paragraphs in the discussion.

1 **A general framework for understanding the response of the water**
2 **cycle to global warming over land and ocean**

3
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1 **Abstract**

2 Climate models project increases in globally averaged atmospheric specific humidity that are
3 close to at the Clausius-Clapeyron (CC) value of around 7% K⁻¹ whilst projections for mean
4 annual global precipitation (*P*) and evaporation (*E*) are somewhat muted at around 2% K⁻¹.
5 Such global projections are useful summaries but do not provide guidance at local (grid box)
6 scales where impacts occur. To bridge that gap in spatial scale, previous research has shown
7 that the following “wet get wetter and dry get drier” relation, $\Delta(P-E) \propto P-E$, follows CC
8 scaling when the projected changes are averaged over latitudinal zones. holds for zonal
9 averages in climate model projections. Much of the impacts research has been based on an
10 implicit assumption that this CC relation also holds at local (grid box) scales but that has not
11 previously been examined. In this paper we first find that the simple latitudinal average CC
12 scaling relation does not hold at local (grid box) scales over either ocean or land. test whether
13 that relation holds at grid box scales over ocean and over land. We find that the zonally
14 averaged relation does not hold at grid box scales. This means that in terms of *P-E*, the climate
15 models do not project that the “wet get wetter and dry get drier” at the local scales that are
16 relevant for agricultural, ecological and hydrologic impacts. We further find that the zonally
17 averaged relation does not hold over land—it is specific to zonal averages over the ocean. In
18 an attempt to develop a simple framework for local scale analysis As an alternative we we
19 found that the climate model output shows a remarkably close relation to the long standing
20 Budyko framework of catchment hydrology. tested whether the long-standing Budyko
21 framework of catchment hydrology We subsequently use the Budyko curve and find that the
22 local scale changes in *P-E* projected by climate models are dominated by changes in *P* while
23 the changes in net irradiance at the surface due to greenhouse forcing are small and only play
24 a minor role in changing the mean annual *P-E* in the climate model projections. could be used
25 to synthesise climate model projections over land. We find that climate model projections of
26 $\Delta(P-E)$ out to the year 2100 conform closely to the Budyko framework. The analysis also
27 revealed that climate models project little change in the net irradiance at the surface. To
28 further understand the apparently small changes in net irradiance that result we also examined
29 projections of the key surface energy balance terms. In terms of global averages, we find that
30 the climate model projections are dominated by changes in only three terms of the surface
31 energy balance: 1) an increase in the incoming long-wave irradiance, and the respective
32 responses 2) in outgoing longwave irradiance and 3) in the evaporative flux with the latter
33 change being much smaller than the former two terms and mostly restricted to the oceans. It

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1 ~~terms of global averages, we find the climate model projections are dominated by changes in~~
2 ~~only three terms of the surface energy balance; an increase in the incoming longwave~~
3 ~~irradiance while the responses are (mostly) restricted to the outgoing longwave irradiance~~
4 ~~with a small change in the evaporative flux. Because the change in outgoing longwave~~
5 ~~irradiance is a function of the change in surface temperature, we show that the precipitation~~
6 ~~sensitivity (i.e., $2\% \text{ K}^{-1}$) is an accurate summary of the partitioning of the greenhouse induced~~
7 ~~surface forcing. The small fraction of the realised surface forcing that is partitioned into E~~
8 ~~explains why the hydrologic sensitivity ($2\% \text{ K}^{-1}$) is so much smaller than CC scaling ($7\% \text{ K}^{-1}$).~~
9 ~~With that we demonstrate that the precipitation sensitivity ($2\% \text{ K}^{-1}$) is less than the CC~~
10 ~~value ($7\% \text{ K}^{-1}$) because most of the greenhouse induced surface forcing is partitioned into~~
11 ~~outgoing longwave irradiance (instead of evaporation). Much public and scientific perception~~
12 ~~about changes in the water cycle has been based on the notion that temperature enhances E .~~
13 ~~That notion is partly true but has proved an unfortunate starting point because it has led to~~
14 ~~misleading conclusions about the impacts of climate change on the water cycle. A better~~
15 ~~general understanding of the potential impacts of climate change on water availability that are~~
16 ~~projected by climate models will surely be gained by starting with the notion that the greater~~
17 ~~the enhancement of E , the less the surface temperature increase (and vice versa). That latter~~
18 ~~notion is based on the conservation of energy and is an underlying basis of climate model~~
19 ~~projections. In essence, the models respond to elevated $[\text{CO}_2]$ by an increase in atmospheric~~
20 ~~water vapour content that increases the incoming long wave irradiance at the surface. The~~
21 ~~surface response is dominated by a near equal increase in outgoing long wave irradiance with~~
22 ~~only minor changes in other terms of the surface energy balance.~~

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1 **1 Introduction**

2 The water cycle is like a vast heat engine with water evaporating at the surface and the vapour
3 subsequently condensing at relatively colder temperatures high up in the atmosphere before
4 precipitating and thereby closing the atmospheric component of the water cycle. The cycle
5 begins with evaporation that by itself consumes around 80% or so of the available energy at
6 the surface (Monteith, 1981; Trenberth et al., 2009; Wild et al., 2013). Because of the
7 energetic importance, understanding global scale changes in climate requires an
8 understanding of global scale changes in the water cycle. However, the water cycle is not just
9 of interest at global scale. Many of the key impacts of anthropogenic climate change, e.g., on
10 agriculture, water resources, terrestrial ecology, etc., are projected to occur via changes in
11 water availability. Of particular interest are changes in precipitation (P), evaporation (E) and
12 their difference ($P-E$). In that respect two key results have emerged from previous syntheses
13 of climate model output. First, the atmospheric specific humidity is projected to increase at
14 the Clausius-Clapeyron (CC) value of around $7\% \text{ K}^{-1}$ (Held and Soden, 2000). That result is
15 not programmed into the models - rather it emerges and is more or less the same as the
16 original constant relative humidity assumption made by Arrhenius in the first detailed
17 calculations of the impact of changing atmospheric CO_2 (Arrhenius, 1896; Ramanathan and
18 Vogelmann, 1997). A second emergent projection from climate models is for global P to
19 increase by around 1 to $3\% \text{ K}^{-1}$ that is often summarised by the $2\% \text{ K}^{-1}$ statement (Boer, 1993;
20 Allen and Ingram, 2002). These global scale syntheses are useful because they enable
21 scientists to better understand and interpret the climate model output. More importantly, they
22 offer ongoing opportunities to confront the model projections with observations (e.g., Wu et
23 al., 2013; Wentz et al., 2007; Liepert and Previdi, 2009; Sherwood et al., 2010; Paltridge et
24 al., 2009; Vonder Haar et al., 2012).

25
26 Simplifying projected changes in the global water cycle using temperature-based scaling
27 relations is also useful because it readily relates to widely discussed projections and political
28 targets, e.g., a 3 K increase in globally averaged surface temperature for a doubling of CO_2
29 (IPCC, 2007). However, the global results themselves have little direct application for impact
30 studies because the impacts are local and not global. Some typical questions of direct
31 relevance to impacts include; will it rain more or less where I live?, or, will the runoff
32 increase or decrease in the local catchment over the coming century?, and so on. Local scale

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1 questions like those cannot be answered using global averages. Simulations and projections of
2 key water cycle variables (P , E , $P-E$) are readily available at local (grid box) scales for all
3 climate models. For example, the widely used CMIP3 (Coupled Model Intercomparison
4 Project Phase 3) simulations and projections are summarised in the Global Water Atlas (Lim
5 and Roderick, 2009). Similar summaries are expected to become available shortly for the
6 newly developed CMIP5 archive. Those summaries faithfully represent the simulations and
7 projections, but for scientific understanding, some level of synthesis is desirable.

8
9 Held and Soden (2006) extended the globally averaged results by studying changes projected
10 to the end of the 21st century in the latitudinal (i.e., zonal) averages of key water and energy
11 variables. Using a multi-model ensemble [mean derived from CMIP3 models](#) they uncovered a
12 simple relation where the projected change in $P-E$ in each latitudinal zone scaled with $P-E$,
13 i.e., $\Delta(P-E) \propto P-E$, where the scaling coefficient was the CC value ($7\% \text{ K}^{-1}$) multiplied by the
14 temperature difference. In attempting to summarise their result they used the phrase the “wet
15 get wetter and dry get drier”. By that they meant that if $P-E$ was greater than zero, then one
16 could consider the surface to have a surplus of water (i.e., the hydrologic equivalent of runoff)
17 and in that sense it was wet. Further, the change, $\Delta(P-E)$, would have the same sign (\pm) as $P-$
18 E , hence the wet get wetter (and vice versa). That definition has some problems when trying
19 to interpret land and ocean changes in a single integrative framework (see below). Despite
20 that difficulty, the emergent relation remains an important insight [for climate science](#) -because
21 one can readily understand projected changes in the *zonally averaged* poleward transport of
22 heat and moisture from the *zonally averaged* projected changes in $P-E$ (Held and Soden,
23 2006).

24
25 Given the now widespread use of the “wet get wetter and dry get drier” phrase it is important
26 to briefly revisit, and understand, what the results presented by Held and Soden (2006)
27 actually showed. Their zonal averages included both ocean and land. At most latitudes, P and
28 E are dominated by exchanges over the ocean (Oki and Kanae, 2006; Lim and Roderick,
29 2009) and zonal averages will be mostly determined by exchanges over the ocean. Held and
30 Soden (2006, p. 5693) were well aware of this limitation and also noted the key difference
31 between land and ocean; over land the long term average E must be less than or equal to P . In
32 contrast, water is always available for evaporation over the ocean and E is not constrained by

1 P . This creates a problem for interpreting the results. In particular, if we adopt their definition
2 of wet, i.e., $P-E \geq 0$, then all land is classified as wet as is around half the ocean while the
3 remaining part of the ocean will be defined as dry. That is clearly an unsatisfactory basis for
4 interpretation. More generally, the different behaviour of land and ocean with respect to the
5 water cycle makes it difficult to treat land and ocean in one common interpretative framework
6 (Roderick et al., 2012). Given that the zonal averages are dominated by the oceanic
7 components, it follows that the $\Delta(P-E) \propto P-E$ relation ~~should will~~ be mostly relevant to the
8 ocean. With that in mind, we reinterpret the Held and Soden (2006) result by first noting that
9 the ocean surface is always wet irrespective of the values of P and E . Instead, $P-E$ is a useful
10 index of the salinity status of the surface ocean water (Durack et al., 2012). On that basis, a
11 better description of their finding is that the *fresh get fresher and salty get saltier*. Two
12 important questions arise. First, does the *fresh get fresher and salty get saltier* framework
13 hold at individual grid boxes over the ocean? Second, is it possible to synthesise the model
14 projections over land either in terms of either zonal averages, or more importantly, for the
15 individual grid boxes, because the latter is the relevant scale for assessing climate impacts.

16

17 The aim of this paper is to address the two above-noted questions. To maintain consistency in
18 the interpretation we use the same climate model output (CMIP3) as originally used by Held
19 and Soden (2006) and follow their analysis by focussing on changes in the mean annual water
20 and surface energy balances over climatic time scales (here we use 30 year averages). The
21 paper begins with a brief overview of projected changes in the water cycle for the globe, and
22 for land and ocean separately, and then tests whether the previous zonally averaged results for
23 changes in $P-E$ also hold at local (grid box) scales. We then extend earlier work by
24 incorporating projected changes in the surface energy balance and show that the climate
25 model projections over land conform closely to the long established Budyko framework of
26 catchment hydrology (Budyko, 1948, 1974, 1982). We finalise the paper by presenting a ~~new~~
27 ~~and~~ novel framework that moves beyond the simple temperature-based scaling of the
28 hydrologic impact of climate change to a more general surface energy balance framework.
29 That new perspective is used to understand how projected changes in the water cycle are
30 simultaneously related to projected changes in greenhouse-induced surface forcing and
31 surface temperature in climate models.

32

2 Climate Model Simulations and Projections

Following Held and Soden (2006), we use the same output from IPCC AR4 models available in the CMIP3 archive for the 20th century simulations (20C3M scenario) and 21st century projections (A1B scenario) (Meehl et al., 2007). A multi-model ensemble mean ($2.5^\circ \times 2.5^\circ$ spatial resolution) was constructed using 39 runs from 20 different climate models for precipitation (P) and evaporation (E). Full details of all individual model runs (including maps and summary tables) are available in the Global Water Atlas (Lim and Roderick, 2009). The mean annual water balance is represented by averages calculated for both the 1970-1999 and 2070-2099 periods. We also calculated averages over the same time periods for all surface energy balance terms (units: W m^{-2}); incoming ($R_{S,i}$) and outgoing ($R_{S,o}$) shortwave and longwave ($R_{L,i}$, $R_{L,o}$) irradiance as well as the latent (LE , with L (J kg^{-1}) the latent heat of vaporisation and E ($\text{kg m}^{-2} \text{s}^{-1}$) the evaporation rate) -and sensible (H) heat fluxes. The rate of change in enthalpy (Storage of heat (G)) is calculated as the residual of the above terms.

The hydrologic analysis (sections 3, 4) uses the traditional depth units for P and E (mm per annum, mm a^{-1}) whilst the surface energy balance analysis (section 5) is based on energetic units (all heat fluxes have units W m^{-2}). In that sense E in the hydrologic analysis (units: mm a^{-1}) is related to LE in the energetic analysis (units: W m^{-2}) via the latent heat of vaporisation and the density of liquid water.

3 Projected Changes in the Water Cycle over Land and Ocean

3.1 Changes in P and E over Land and Ocean

Projected changes for the globe and for the ocean and land components are summarised in Table 1. Global P and E are both projected to increase by around 4.5% by the end of the 21st century. The global mean surface temperature change (per the A1B scenario used here) is 2.8 K and the projected change in global P and E is equivalent to $1.6\% \text{ K}^{-1}$ and consistent with results noted elsewhere (Boer, 1993; Allen and Ingram, 2002). As expected the projection shows that P increases faster than E over land leading to more runoff (Nohara et al., 2006) with the ocean behaving in the opposite fashion as must happen to ensure global mass balance. In preparing Table 1 we have ignored changes in the atmospheric water content (i.e.,

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1 humidity) because that makes little difference to the overall mass balance. In particular, the
2 globally averaged water content of the atmosphere is around 30 kg m^{-2} when expressed per
3 unit of global surface (Oki and Kanae, 2006; Wentz et al., 2007; Vonder Haar et al., 2012).
4 The equivalent depth of liquid water is 30 mm and is projected to change by some $7\% \text{ K}^{-1}$.
5 Hence for a warming of 2.8 K, the projected change in the mass of water in the atmosphere is
6 $(30 \times 0.07 \times 2.8 =) 5.9 \text{ mm}$ (equivalent depth of liquid water). Taken over the 100-year period
7 under consideration here, the change is too small $(= 5.9 \text{ mm}/100 \text{ a} = 0.059 \text{ mm a}^{-1})$ to have a
8 measureable impact on either the global mean annual P or E . This raises an interesting point
9 – the absolute change in water content of the atmosphere plays little role in the global mass
10 balance but that same change leads to a substantial fraction of the global warming projected
11 by the climate models via the so-called positive water vapour feedback (Held and Soden,
12 2000; Russell et al., 2013). We will return to this important point in the Discussion and
13 Conclusions (Section 6).

14
15 Table 1 here

16 Figure 1 here

17
18 Our results confirm the original $\Delta(P-E) \propto P-E$ relation for zonal averages (Held and Soden,
19 2006) (Fig. 1b). We find that this relation does not hold over the land component (Fig. 1e). At
20 individual grid boxes there is no relation between $\Delta(P-E)$ and $(P-E)$ over either ocean or land
21 (Fig. 1c, 1f). We conclude that the original scaling relation, $\Delta(P-E) \propto (P-E)$ (Fig. 1b) is of
22 most relevance over the ocean and only applies to zonal averages. It is not applicable at local
23 (grid box) scales over either the ocean or land.

25 3.2 Relating P and E over Land using the Budyko Curve

26 In terms of the mean annual water balance, water is always available for evaporation over the
27 ocean and E there can be larger than P , whilst over land, $E \leq P$. At individual grid boxes the
28 multi-model ensemble mean respects those physical facts (Figs 2a, 2d). Over land, the most
29 general approach relating to E to P is the Budyko (supply-demand) framework (Budyko,
30 1948, 1974; Turc, 1954; Mezentsev, 1955; Pike, 1964; Fu, 1981; Milly, 1994; Dooge et al.,

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1 important to note here that this is an independent test since the climate models do not use the
 2 Budyko ~~curve approach~~ to calculate the partitioning of water and heat at the surface. They
 3 cannot – the Budyko framework only applies to long-term averages (Donohue et al., 2007).
 4 Rather, each climate model solves the surface energy and water balance and steps (usually
 5 every 15 mins) through time. When aggregated to 30 year averages our results show that the
 6 ~~multi-model ensemble mean output~~ conforms to the Budyko framework. We also aggregated
 7 the land data into 10° latitudinal zones and this also conforms to ~~the a-~~Budyko curve (Fig. 2f).
 8 This is not a surprise given the results in Fig. 2e. In particular, the Budyko framework is
 9 based on the fundamentals of mass and energy conservation and the asymptotic limits
 10 inherent to the approach result will transfer across spatial scales. In that sense the result
 11 shown in Fig. 2f simply follows from Fig. 2e. We also tested the Budyko framework using
 12 climate model output for the end of the 21st century (2070-2099, A1B) and found almost
 13 identical results (not shown).

14

15 **4 Understanding Projected Changes in the Water Cycle over Land**

16

17 The fact that the climate model output conforms to the Budyko framework at grid box scales
 18 (Fig. 2e) is useful. Firstly, it establishes that over climatic time scales, the partitioning of P
 19 between E and runoff ($= P-E$) in climate models is consistent with nearly a century of
 20 accumulated hydrologic experience embodied in the Budyko curve. Secondly, it opens up the
 21 possibility of using the Budyko framework to unravel the model projections of hydrologic
 22 change at the surface into the underlying causes. For that we use the differential form of the
 23 Budyko curve (Roderick and Farquhar, 2011),

$$24 \quad dE = \frac{\partial E}{\partial P} dP + \frac{\partial E}{\partial E_o} dE_o + \frac{\partial E}{\partial n} dn \quad , \quad (2)$$

25 with the partial differentials given by,

$$26 \quad \frac{\partial E}{\partial P} = \frac{E}{P} \left(\frac{E_o^n}{P^n + E_o^n} \right) \quad , \quad (3a)$$

$$27 \quad \frac{\partial E}{\partial E_o} = \frac{E}{E_o} \left(\frac{P^n}{P^n + E_o^n} \right) \quad , \quad (3b)$$

$$1 \quad \frac{\partial E}{\partial n} = \frac{E}{n} \left(\frac{\ln(P^n + E_o^n)}{n} - \frac{(P^n \ln P + E_o^n \ln E_o)}{P^n + E_o^n} \right) \quad (3c)$$

2 Note that the partial differentials are all functions of the existing climate (P , E_o) and the
3 catchment properties parameter (n). We further note that century-scale changes in the
4 catchment properties parameter (dn) are likely related to changes in vegetation (Roderick and
5 Farquhar, 2011; Donohue et al., 2012). Given that the climate models (in the CMIP3 archive)
6 do not simulate changes in land cover we assume no change in the parameter value ($dn = 0$).
7 With that assumption, the change in P - E is given by,

$$8 \quad d(P - E) = \varepsilon_p dP - \varepsilon_o dE_o \quad (4a)$$

9 with the sensitivity coefficients defined by,

$$10 \quad \varepsilon_p = 1 - \frac{\partial E}{\partial P} \quad , \quad \varepsilon_o = -\frac{\partial E}{\partial E_o} \quad (4b)$$

11 [\(Note: Please see appendix A for a physical interpretation of this sensitivity framework using](#)
12 [an alternate mathematical form of the Budyko curve.\)](#) The Budyko framework is not intended
13 for use in the cryosphere [since additional long-term mass balance terms \(snow/ice\) violate the](#)
14 [mass balance assumptions.](#) ~~and w~~ We limit the calculations to the latitudinal range 60°S to
15 60°N.

16
17 Figure 3 here

18
19 The results show that the theoretically based estimate (Fig. 3e) more or less replicates the
20 model output (Fig. 3f). In more detail, $\Delta(P-E)$ is generally much more sensitive to variations
21 in ΔP (Fig. 3a) than to variations in ΔE_o (Fig. 3b) as expected (Roderick and Farquhar, 2011;
22 Donohue et al., 2011). Differences in ΔP between individual grid boxes can be large (range -
23 267 mm a⁻¹ to +579 mm a⁻¹) with the change, averaged over all grid boxes of +53 mm a⁻¹ (\pm
24 {1sd} 89 mm a⁻¹). The spatial variations in ΔE_o are smaller (range -30 mm a⁻¹ to +185 mm a⁻¹
25 ¹) with the change, averaged over all grid boxes of +47 mm a⁻¹ (\pm {1sd} 30 mm a⁻¹). Because
26 the sensitivity of $\Delta(P-E)$ to change in ΔE_o is relatively smaller (Fig. 3b), and the variations in

1 ΔE_o are also relatively small (Fig. 3d), the final predicted map of $\Delta(P-E)$ is dominated by the
2 sensitivity to, and variations in, ΔP .

3

4 Figure 4 here

5

6 The theoretical predictions of $\Delta(P-E)$ (Fig. 3e) are compared with the changes projected over
7 the land surface by the climate models (Fig. 3f) in Fig. 4. The theoretical model accounts for
8 around 82% of the variation in the GCM projections of $\Delta(P-E)$ over the global land surface
9 (Fig. 4c). Note that $\Delta(P-E)$ is more or less independent of the variations due to changes in E_o
10 (Fig. 4b) and is instead dominated by the variations due to changes in P (Fig. 4a) confirming
11 our earlier deductions. [\(See Appendix A for a physically based interpretation of that result.\)](#) In
12 simple terms, whether $P-E$ increases or decreases in a given place depends mostly on changes
13 in P .

14

15 **5 Understanding Projected Changes in the Surface Water and Energy** 16 **Balance**

17

18 The results of the theoretical analysis (Section 4) showed that most of the grid box scale
19 projected changes in $P-E$ were due to changes in P with limited impact due to variations in E_o .
20 There was very little spatial structure in the maps of ΔE_o (Fig. 3d) consistent with the notion
21 of an increase in well mixed greenhouse gases but we noted only a small change in E_o ($+47 \pm$
22 30 mm a^{-1} , mean $\pm 1\text{sd}$) despite the fact that the projected increase in global mean surface
23 temperature is nearly 3 K. Understanding why the projected changes in E_o are so small is the
24 key to understanding why P and E are apparently so insensitive to changes in greenhouse
25 forcing in the climate models. That is the focus of this section.

26

27 **5.1 Projected Changes in the Surface Energy Balance**

28 The surface energy balance is defined as,

$$R_{S,i} - R_{S,o} + R_{L,i} - R_{L,o} - LE - H - G = 0 \quad , \quad (5)$$

with incoming and outgoing shortwave ($R_{S,i}$, $R_{S,o}$) and longwave ($R_{L,i}$, $R_{L,o}$) irradiance being balanced by the latent (LE) and sensible (H) heat fluxes while [the rate of change in enthalpy storage](#) (positive into the surface) is denoted G . To help understand why the projected change in net irradiance, $R_N (= R_{S,i} - R_{S,o} + R_{L,i} - R_{L,o})$ is small, we compiled estimates of the surface energy balance variables from the [multi-model climate model ensemble mean](#) for the two periods in question (Table 2).

Table 2 here

In terms of the climatology (1970-1999) the magnitude of terms in the simulated surface energy balance are generally consistent with current understanding (Trenberth et al., 2009; Wild et al., 2013) [\(Table 2, also see Fig. 5 for a summary of changes between the two time periods\)](#). At the outset we focus on understanding changes in the global energy balance and consider any differences between land and ocean later. For a perfect blackbody at 286.8 K (= 13.6 °C, 1970-1999, Table 2) we expect the outgoing longwave flux would increase by around $(dR_{L,o}/dT = 4 \sigma T^3 dT \sim 5.4 \text{ W m}^{-2} \text{ K}^{-1})$ 5.4 W m⁻² for every 1 K surface temperature increase. Hence for the projected 2.8 K surface T increase (Table 2) we expect $\Delta R_{L,o}$ to be around +15.1 W m⁻². The model projection is very close to that value (+14.8 W m⁻²) implying that the global surface is very close to a blackbody (as expected). There is a projected reduction in shortwave irradiance arriving at the surface ($\Delta R_{S,i} = -1.7 \text{ W m}^{-2}$) that is exactly offset by a reduction in shortwave irradiance leaving the surface ($\Delta R_{S,o} = -1.7 \text{ W m}^{-2}$) because of a decrease in surface albedo. Consequently, there is no net change in the absorbed shortwave irradiance and any change in the global net irradiance (R_N) can only be due to change in the longwave components. The projection is for a small reduction in the sensible heat flux ($\Delta H = -1.1 \text{ W m}^{-2}$) with an equivalent [rate of increase in enthalpy flux of heat taken up by an increase in storage](#) ($\Delta G = +1.1 \text{ W m}^{-2}$) that is almost entirely located in the ocean (Table 2) as expected (Pielke Sr, 2003; Levitus et al., 2005). With those relatively minor changes out of the way, the major changes in the surface energy balance are in the incoming and outgoing longwave irradiance with a smaller residual change in the latent heat flux [that is mostly restricted to the global ocean](#) (Fig. 5). What is critical in terms of changes to the water

1 | cycle is the ultimate fate of the increase in incoming longwave irradiance. In the [multi-model](#)
2 | ensemble [mean](#), most of that increase is simply returned to the atmosphere by an increase in
3 | outgoing longwave irradiance ($\Delta R_{L,o} = +14.8 \text{ W m}^{-2}$) with only a small residual fraction being
4 | partitioned into a non-radiative component - the latent heat flux ($L\Delta E = +3.7 \text{ W m}^{-2}$). In
5 | summary, the reason that models project a relatively small changes in global E (and hence P)
6 | is that the models partition a small fraction of the increase in incoming longwave irradiance
7 | into the latent heat flux. Instead, ~~in the model ensemble,~~ the increased incoming longwave
8 | irradiance mostly increases the outgoing long-wave irradiance. In essence, in the climate
9 | model projections, most of the realised surface (radiative) forcing is [in the longwave part of](#)
10 | [the spectrum and is](#) not transformed into another type of energy ~~like such as~~ a convective flux.

11

12 | Figure 5 here

13

14 | The same basic pattern, i.e., a large increase in incoming longwave irradiance ($\Delta R_{L,i}$) that is
15 | mostly partitioned into outgoing longwave irradiance ($\Delta R_{L,o}$) with a smaller residual increase
16 | in $L\Delta E$ also holds separately over land and ocean although there are some relatively minor
17 | differences between land and ocean (Fig. 5). Over the ocean there are slight reductions in both
18 | incoming and outgoing solar radiation with a small overall reduction in absorbed solar
19 | radiation ($= \Delta R_{S,i} - \Delta R_{S,o} = -1.8 - (-1.4) = -0.4 \text{ W m}^{-2}$), a larger reduction in the sensible heat
20 | flux ($\Delta H = -2.0 \text{ W m}^{-2}$) while virtually all of the global increase in ~~enthalpy storage~~ occurs in
21 | the ocean ($\Delta G = +1.5 \text{ W m}^{-2}$). In contrast, over land there are slight increases in absorbed
22 | solar radiation ($= \Delta R_{S,i} - \Delta R_{S,o} = -1.5 - (-2.3) = +0.8 \text{ W m}^{-2}$) while the fraction of the increase
23 | in incoming longwave irradiance ($\Delta R_{L,i} = + 21.7 \text{ W m}^{-2}$) partitioned into the outgoing
24 | longwave irradiance ($\Delta R_{L,o} = + 19.6 \text{ W m}^{-2}$) is larger with only a very small residual energy
25 | flux available to enhance the latent ($L\Delta E = + 1.6 \text{ W m}^{-2}$) and sensible ($\Delta H = +1.3 \text{ W m}^{-2}$) heat
26 | fluxes. Those minor differences aside, the key finding is that the globally averaged increase in
27 | incoming longwave irradiance at the surface ($\Delta R_{L,i}$) is mostly partitioned into the outgoing
28 | longwave irradiance ($\Delta R_{L,o}$) with a small and essentially residual increase in the latent heat
29 | flux ($L\Delta E$).

30

1 5.2 Synthesis

2 For the purposes of understanding model projections of changes in the global water cycle it is
3 clear from the previous analysis that we can ignore changes in the shortwave radiative
4 components, the sensible heat flux and the rate of change in enthalpy-storage term. With that,
5 we approximate the global projected change by,

$$6 \quad \Delta R_{L,i} \approx \Delta R_{L,o} + L\Delta E \quad . \quad (6)$$

7 For the climate change projection being considered here, we previously noted that global P
8 (and E) increases by $1.6\% \text{ K}^{-1}$ and the average T increase is 2.8 K (Table 1). What has not
9 been readily apparent before is that this simple two statement summary ($\Delta P = 1.6\% \text{ K}^{-1}$, $\Delta T =$
10 2.8 K) already *contains all of the information* needed to reconstruct the projected changes in
11 the global surface energy balance.

12

13 To see that we first define the incremental flux ratio,

$$14 \quad x = \frac{L\Delta E}{\Delta R_{L,o}} \quad . \quad (7)$$

15 Combining that with Eq. (6), the evaporative fraction of the increase in incoming longwave
16 irradiance is given by,

$$17 \quad \frac{L\Delta E}{\Delta R_{L,i}} = \frac{x}{1+x} \quad , \quad (8a)$$

18 and the remaining thermal fraction is,

$$19 \quad \frac{\Delta R_{L,o}}{\Delta R_{L,i}} = \frac{1}{1+x} \quad . \quad (8b)$$

20 The key point is that one can readily convert a statement on the % change in P per degree of
21 warming into an estimate of x . In addition the projected surface warming gives the increase in
22 outgoing longwave irradiance. Combining those two pieces of information allows one to
23 reconstruct the projected change. To do that we first note that the change in global P is equal
24 to the change in global E and that a surface warming of 1 K is equivalent to an increase in the
25 outgoing blackbody irradiance ($dR_{L,o}/dT = 4 \sigma T^3 \sim 5.4 \text{ W m}^{-2} \text{ K}^{-1}$) of 5.4 W m^{-2} .

1 Setting global E as 82.3 W m^{-2} (Table 2), the $1.6\% \text{ K}^{-1}$ increase in global E can be converted
 2 to an estimate of x as follows,

$$3 \quad x = \frac{1.6}{100}(82.3)\frac{1}{5.4} = (1.6)(0.15) = 0.24 \quad . \quad (9)$$

4 With $x = 0.24$, the incremental evaporative and thermal fractions (Eq. 8) are respectively,

$$5 \quad \frac{L\Delta E}{\Delta R_{L,i}} = \frac{0.24}{1+0.24} = 0.19 \quad , \quad \frac{\Delta R_{L,o}}{\Delta R_{L,i}} = \frac{1}{1+0.24} = 0.81 \quad . \quad (10)$$

6 For $\Delta T = 2.8 \text{ K}$, the increase in outgoing blackbody longwave from the surface $\Delta R_{L,o}$ is ($5.4 \times$
 7 $2.8 =$) $+15.1 \text{ W m}^{-2}$. With $x = 0.24$ (Eq. 9), $L\Delta E$ will be ($0.24 \times 15.1 =$) $+3.6 \text{ W m}^{-2}$ and the
 8 increase in incoming longwave irradiance $\Delta R_{L,i}$ is ($15.1 + 3.6 =$) $+18.7 \text{ W m}^{-2}$. This
 9 independent reconstruction is very similar to the values calculated directly from the [multi-](#)
 10 model ensemble [mean](#) (Table 2, $\Delta R_{L,i} = +18.6 \text{ W m}^{-2}$, $\Delta R_{L,o} = +14.8 \text{ W m}^{-2}$, $L\Delta E = +3.7 \text{ W m}^{-2}$).
 11 2).

12
 13 One important consequence of the energy balance framework used here is that it makes it
 14 clear that any increase in evaporation will reduce the surface temperature increase (and vice
 15 versa). We can express that physical relation by rewriting Eq. 6 as,

$$16 \quad \Delta R_{L,i} \approx \Delta R_{L,o} + L\Delta E = 4\sigma T^3 \Delta T + L\Delta E \quad \Rightarrow \quad \Delta T \approx \frac{\Delta R_{L,i} - L\Delta E}{4\sigma T^3} \quad . \quad (11)$$

17 The inter-relationships between changes in the incoming ($\Delta R_{L,i}$) and outgoing ($\Delta R_{L,o}$, $L\Delta E$)
 18 fluxes, the change in surface temperature and the percentage enhancement in the global P are
 19 summarised in Fig. 6. Note that if global P (and hence E) did [turn out to](#) increase at the CC
 20 value of $7\% \text{ K}^{-1}$ ([e.g.](#), Wentz et al., 2007) instead of the $1.6\% \text{ K}^{-1}$ as per the projection
 21 considered here, then the increase in surface temperature would be smaller at around $+1.7 \text{ K}$
 22 (Fig. 6).

23

24 Figure 6 here

1

2 6 Discussion & Conclusions

3 Our study confirms that in the climate models, the relation $\Delta(P-E) \propto P-E$ holds in terms of
4 zonal averages over the ocean, with the scaling coefficient being the CC value ($7\% \text{ K}^{-1}$)
5 multiplied by the temperature difference (Fig. 1b) (Held and Soden, 2006) ~~holds in terms of~~
6 ~~zonal averages over the ocean~~. Further investigations showed that this relation does not hold
7 at the grid box scale over the ocean (Fig. 1c) or the land (Fig. 1f). That is important. For
8 example, imagine one were to identify a scaling relation like $\Delta(P-E) \propto P-E$ in local scale
9 (e.g., grid box) ~~oceanic observations~~. Such a result would actually constitute a falsification of
10 the climate model projections. In that respect what the climate models ~~s_ensemble~~ projects is
11 an emergent scale dependent (zonal) relation that is useful to help understand projected
12 changes in the zonally averaged poleward transport of heat and moisture (Held and Soden,
13 2006). But that same relation does not hold at local grid box scales and is therefore not a
14 useful summary of impacts at the local scale. ~~We also found that the simple scaling relation~~
15 ~~did not hold over land in either the zonal averages (Fig. 1e) or at the local grid box (Fig. 1f)~~
16 ~~scale. In fact We note that it it~~ would have been a real surprise if the simple scaling relation,
17 $\Delta(P-E) \propto P-E$, did hold anywhere over land because ~~that such a~~ simple relation has never
18 previously been identified in observations that span more than a century of hydrologic
19 research (Blöschl et al., 2013).

20

21 To test an alternative approach to synthesise the model projections over land we found that
22 the climate model projections ~~over land~~ closely follow the long-standing Budyko framework
23 (Fig. 2). The Budyko curve emerged at both local grid box scales (Fig. 2e) and in zonal
24 averages (Fig. 2f). This new result establishes that the climate model projections of $P-E$ and
25 $\Delta(P-E)$ accord with more than a century of catchment research experience (Blöschl et al.,
26 2013). It is also very useful because one can use differential forms of the Budyko framework
27 (Roderick and Farquhar, 2011; also see Appendix A) to unravel the underlying basis of the
28 projected response. The differential form introduced here is $\Delta(P-E) = \varepsilon_P \Delta P - \varepsilon_o \Delta E_o$ where
29 the sensitivity terms (ε_P , ε_o) are calculated as a function of the existing climate (P , E_o) with E_o
30 defined as the evaporative equivalent of the net irradiance. This approach accounts for most of
31 the variation in the model projections (Figs 3e, 3f, 4). Further analysis showed that, most of

1 the variation in $\Delta(P-E)$ was actually due to the $\varepsilon_P \Delta P$ term (Fig. 4a). Here we used the a-multi-
2 model ensemble mean but we note that there are large differences in ΔP projections at the grid
3 box scale between different models, and, sometimes, between different runs of the same
4 model (Lim and Roderick, 2009). It is for this reason that local (grid box) scale rainfall
5 projections show the largest between-model differences of all hydro-climatic variables
6 (Johnson and Sharma, 2009). Hence, while the grid box scale projections for P may be highly
7 uncertain, the results presented here show that the climate-multi-model ensemble mean does
8 in fact partition local P between E and runoff in a manner consistent with experience.
9 Whether the output from each individual climate model follows the Budyko framework
10 remains a topic for future research. Perhaps the Budyko framework used here may prove
11 useful for rapidly identifying individual climate models with poorly performing surface water
12 and energy balance schemes.

13
14 Our results show that the “wet get wetter dry get drier” idea does not hold in terms of
15 projected changes in the mean annual water balance over land (Fig. 1). Instead a rough rule of
16 thumb for the land surface that can adequately account for climate model projections is $\Delta(P-$
17 $E) \sim \varepsilon_P \Delta P$ with the sensitivity term (ε_P) varying from near unity in wet regions where $P-E$ is
18 relatively large to near zero in dry regions where $P-E \rightarrow 0$ (Fig. 3, also see Appendix A). In
19 the simplest possible terms our results show that when wet and dry are defined by $P-E$, the
20 dry regions are projected to remain dry while wet regions could become either wetter or drier
21 depending on any change in P . That result is also clearly evident in earlier maps for the land
22 surface (see Fig. 6 in Held and Soden, 2006). It is straightforward to calculate ε_P from existing
23 climatic data and the grand challenge is to estimate ΔP .

24
25 Our analysis was set in terms of the mean annual water balance and does not contain any
26 information on the intra-annual (e.g. seasonal) variations that are so important from a variety
27 of perspectives. Recent findings using the CMIP5 archive have been used to argue that the
28 wet get wetter dry get drier idea holds for intra-annual (i.e., seasonal) variations in climate
29 model projections out to the year 2100 (Kumar et al., 2014). That study used the same multi-
30 model ensemble mean approach as we have and reported that at a given place, $P-E$ is
31 projected to increase at wet times of the year but is projected to decrease during dry times of

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1 the year (Kumar et al., 2014). Those conclusions relate specifically to intra-annual (i.e.,
2 seasonal) differences. One obvious conclusion from the Kumar et al. (2014) finding is that
3 one would project the base flow to decrease whilst the high flows should increase. When
4 integrated over the land surface and over a full year, the increases in high flow ~~must~~ would
5 have to be larger than the decreases in low flow so that the long term mean annual runoff
6 ~~can~~ could still increase to maintain an overall ~~mass balance~~ increase in $P-E$ over land (Table 1).
7 In contrast, observations of the intra-annual streamflow from the United States for the second
8 half of the 20th century show important regional variations but the overall trend tends to be the
9 opposite of the above-noted model projections with increases in base flow and little change in
10 high flows and an associated reduction in the extremes being reported (Lins and Slack, 1999;
11 Lins and Slack, 2005). One important point to keep in mind is that real (as opposed to
12 modelled) streamflows are subject to human modifications (e.g., extraction for irrigation,
13 reservoir storage/release, etc.) -that are not yet routinely included in global climate models. In
14 that respect we note that at local and regional scales it is already clear that effects of human
15 modifications in many river basins (Grafton et al., 2013) are substantially larger than ~~any~~ those
16 of the projected climate changes.

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17
18 Returning to the model projections, ~~w~~We expected, and found, that the perturbed evaporative
19 term ($\varepsilon_0 \Delta E_0$) would show little spatial variation (Fig. 3d) in line with a global forcing induced
20 by well mixed greenhouse gases. However, after 100 years the perturbation ($\varepsilon_0 \Delta E_0$) remained
21 small with an average over all land of only around 10 mm a⁻¹ (Fig. 4b). The ~~relevant~~
22 sensitivity (ε_0) ~~is more or less equal to the runoff ratio (= $(P-E)/P$, see Appendix A). That~~
23 ~~ratio is bounded and varies from near zero in very arid regions to near 1.0 -varies spatially and~~
24 ~~is typically around 0.2 (or less) in very arid regions but can be as high as 0.8~~ in wet humid
25 regions (Fig. 3b, ~~also see Appendix A~~). Even with that variation in ε_0 accounted for, it is clear
26 that the projected changes in ΔE_0 were also typically small (Fig. 3d) with a global average of
27 only +47 mm a⁻¹. Why is ΔE_0 so small? To address that question we summarised all terms of
28 the surface energy balance (Table 2, Fig. 5).

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29
30 Our summary of projected changes in the global surface energy balance revealed several key
31 points. The fact that the projected increase in global evaporation over land is smaller than the

1 increase over the ocean has been noted previously (Nohara et al., 2006; Richter and Xie,
2 2008). Over land, the evaporation increase is relatively small and the increase in incoming
3 longwave irradiance is mostly partitioned into outgoing longwave irradiance that is physically
4 related to the projected increase in surface temperature. Hence it is the smaller increase of E
5 over land relative to the ocean that is a major factor permitting the land to warm faster than
6 the ocean in the model projections (Boer, 1993; Sutton et al., 2007).

7
8 We took the energy balance ~~analysis~~ one step further than is usual by separating the
9 radiative terms into the respective incoming and outgoing shortwave and longwave
10 components. That approach clearly revealed the underlying basis of the projected warming
11 that occurs in the climate models. ~~ensemble~~ In particular a relatively small top of the
12 atmosphere forcing due to CO₂ and other long-lived greenhouse gases is amplified, mostly by
13 water vapour feedback, into a large increase in the incoming longwave irradiance at the
14 surface (Held and Soden, 2000, Russell et al., 2013). Paradoxically, there is not yet enough
15 warming to be able to confidently test the projected changes against global observations of P
16 and atmospheric water vapour (Liepert and Previdi, 2009; Vonder Haar et al., 2012). In that
17 respect, ongoing monitoring of P ; and especially the atmospheric water vapour remain
18 central. However, the results presented here (Fig. 5) suggests that monitoring the incoming
19 longwave irradiance, and especially of the incoming longwave irradiance at the surface
20 (Philipona et al., 2009; Philipona and Durr, 2004; Philipona et al., 2004; Philipona et al.,
21 2005) should perhaps have the highest priority. ~~are the highest priority.~~

22
23 What is not so well known, yet critical for understanding the impacts on water availability, is
24 that most (81%) of the realised surface forcing is partitioned into the outgoing longwave
25 irradiance that is in turn physically related to the increase in surface temperature. Only a small
26 fraction of the realised surface forcing (19%) enhances the latent heat flux with further small
27 and more or less residual changes in other parts of the surface energy balance (Fig. 5).
28 Because of that, the global sensitivity of P (e.g., 1.6% K⁻¹) can be used to calculate the flux
29 partitioning (81%, 19%). This comes about because in that ratio (1.6% K⁻¹), the numerator
30 gives the change in global P (and hence E) (1.6%) whilst the denominator (K⁻¹) gives the
31 associated change in the outgoing longwave irradiance. When put into energetic units the sum
32 of the numerator and denominator give the realised surface forcing. This new integrative

1 framework shows that if the hydrologic cycle were to go faster, say at 7% K⁻¹ (e.g., Wentz et
2 al., 2007), then the increase in surface temperature would be smaller for a given realised
3 surface forcing (Fig. 6).

4
5 ~~Much Most~~ public understanding of the impacts of climate change on water availability has
6 been based on a is based on the conception that an increase in T leads to a faster hydrologic
7 cycle in the sense that the global average E (and hence P) increase because the temperature
8 increases. That conception ~~That perception~~ is partly true but it is is misleading because it is
9 not the whole story. The key point is that E depends on more many more factors (e.g.,
10 humidity, wind, radiation, etc.) than just the surface T (Monteith, 1981). A reinterpretation
11 using the energy balance approach leads to the physically based interpretation that for a given
12 realised surface forcing, the greater the enhancement of global E (and hence P), the less the
13 surface temperature increase (and vice versa). From the point of view of communicating
14 results to other scientists and to the impacts community one can avoid (or at least minimise)
15 confusion by using the conservation of energy as a starting point. That leads directly to the
16 notion that the greater the increase of E , the less the surface temperature increases (and vice
17 versa).

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28

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1 **Appendix A**

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2 **Derivation of alternative sensitivity coefficients by Prof H. Savenije**

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3 While this paper was under review, the journal editor presented an alternative derivation of
4 the sensitivity coefficients (i.e., alternative to Eq. 2-4 in main text) based on an alternative
5 mathematical form of the Budyko curve (de Groen and Savenije, 2006; Gerrits et al., 2009).
6 The new derivation was novel and offered advantages for the physical interpretation of the
7 sensitivity coefficients (Savenije, 2014). An overview of this new derivation due to Prof
8 Savenije is presented here to aid in the physical interpretation of the sensitivity coefficients
9 (ϵ_p, ϵ_o) in the main text.

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11 The form of the Budyko curve we used is (see Eq. 1 in main text),

12
$$E = \frac{P E_o}{\mu^n + E_o^{\mu n}} \quad (A1)$$

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13 In the review of our article, Prof Savenije began with the Schreiber form of the Budyko curve,

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14
$$E = P \left(1 - e^{-\left[\frac{E_o}{P} \right]^\mu} \right) \quad (A2)$$

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15 Note that Eq. (A2) reproduces the climate model output (Fig. 7). This implies that Eq. (A2) is
16 more or less numerically identical to Eq. (A1) when $\mu = 1.5$ (see Fig. 2 caption).

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18 Figure 7 here

19
20 Numerically either equation is an adequate description for our purpose. Eq. (A1) has the
21 advantage that the adjustable parameter, μ , can be varied to describe real catchments (see
22 discussion in main text). Eq. (A2) has the advantage that the sensitivity coefficients take a
23 particularly simple form. To see that, we start with Eq. (4) from the main text,

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24
$$d(P - E) = \left(1 - \frac{\partial E}{\partial P} \right) dP - \frac{\partial E}{\partial E_o} dE_o = \epsilon_p dP - \epsilon_o dE_o \quad (A3)$$

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25 Calculating the sensitivity coefficients using Eq. (A2) we get,

1
$$\frac{\partial E}{\partial P} = \frac{-E_o}{P} e^{-\frac{E_o}{P}} - e^{-\frac{E_o}{P}} + 1 \quad (A4)$$

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2 and after some rearrangement and simplification we find,

3
$$\varepsilon_p = 1 - \frac{\partial E}{\partial P} = \left(\frac{P-E}{P} \right) \left(\frac{E_o}{P} + 1 \right) \quad (A5)$$

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4 Similarly,

5
$$\varepsilon_o = \frac{\partial E}{\partial E_o} = e^{-\frac{E_o}{P}} = \left(\frac{P-E}{P} \right) \quad (A6)$$

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6 Putting those two results into Eq. (A3) we have,

7
$$d(P-E) = \left(\frac{P-E}{P} \right) \left(\frac{E_o}{P} + 1 \right) dP - \left(\frac{P-E}{P} \right) dE_o \quad (A7)$$

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8 The advantages of this form for physical interpretation become very clear. First, we note that
 9 $(P-E)/P$ is simply the runoff ratio. In other words the sensitivity of $P-E$ to variations in net
 10 irradiance (E_o) is determined by the runoff ratio. Secondly, E_o/P is known as the aridity index,
 11 Hence it is clear that the sensitivity of $P-E$ to variations in P depends on the runoff ratio and
 12 an enhancement that depends on the aridity index.

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14 We found that dE_o is generally small in the model projections (Fig. 3d). If we ignore those
 15 variations in this instance we have,

16
$$d(P-E) \approx \left(\frac{P-E}{P} \right) \left(\frac{E_o}{P} + 1 \right) dP \quad (A8)$$

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17 as a simple form that provides physical guidance to the interpretation.

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1 | Table 1 Mean annual water balance over the globe, ocean and land simulated at the end
2 of the 20th century (1970-1999, 20C3M) and the changes projected to the end of the 21st
3 century (2070-2099, A1B). The percentages are shown below the projected changes. Note
4 that the change in global mean surface temperature between the two periods is +2.8 K, giving
5 a projected change in global *P* (and *E*) of (4.5% / 2.8 K =) 1.6% K⁻¹.

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Region	Area (× 10 ¹⁴ m ²)	1970-1999 (20C3M)			2070-2099 (A1B)		
		<i>P</i>	<i>E</i>	<i>P-E</i>	Δ <i>P</i>	Δ <i>E</i>	Δ(<i>P-E</i>)
GLOBE	5.09	1045	1045	0	47	47	0
					[4.5%]	[4.5%]	[0%]
OCEAN	3.62	1153	1248	-95	50	58	-8
					[4.3%]	[4.7%]	[8.4%]
LAND	1.47	775	542	+233	41	20	+21
					[5.3%]	[3.7%]	[9.0%]

1 Table 2 Surface energy balance components for the globe, ocean and land simulated at
 2 the end of the 20th century (1970-1999, 20C3M) and projected to the end of the 21st century
 3 (2070-2099, A1B). Areas (globe, ocean, land) are listed in Table 1. T , surface temperature;
 4 $R_{S,i}$, incoming shortwave irradiance; $R_{S,o}$, outgoing shortwave irradiance; $R_{L,i}$, incoming
 5 longwave irradiance; $R_{L,o}$, outgoing longwave irradiance; $R_N (= R_{S,i} - R_{S,o} + R_{L,i} - R_{L,o})$, net
 6 irradiance; LE , latent heat flux; H , sensible heat flux; G , rate of change in enthalpystorage.

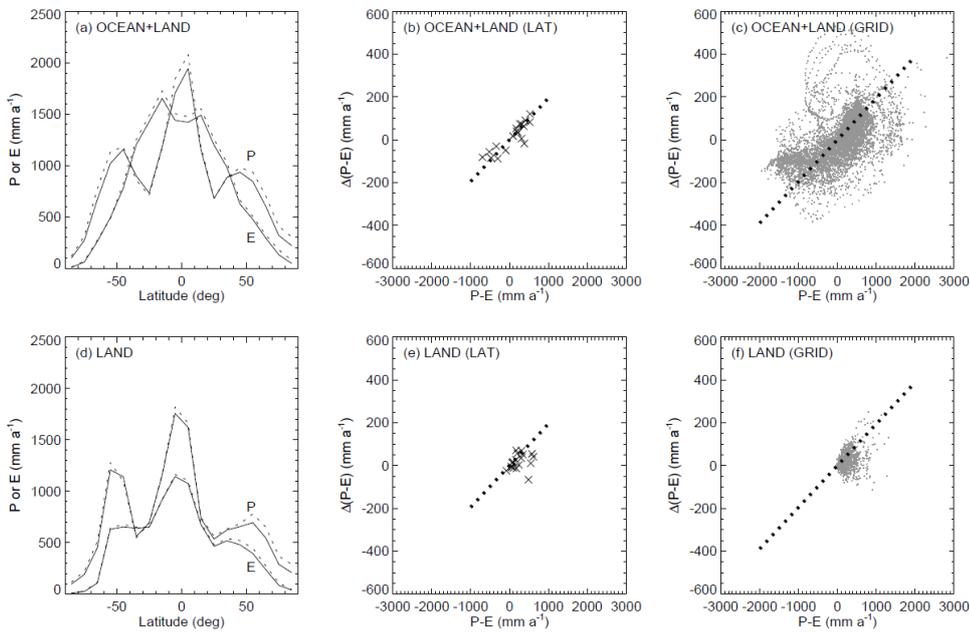
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Region	Period	T (°C)	$R_{S,i}$ (W m ⁻²)	$R_{S,o}$ (W m ⁻²)	$R_{L,i}$ (W m ⁻²)	$R_{L,o}$ (W m ⁻²)	R_N (W m ⁻²)	LE (W m ⁻²)	H (W m ⁻²)	G (W m ⁻²)
GLOBE	1970-1999	13.6	185.8	25.5	335.2	392.0	103.5	82.3	20.0	1.3
	2070-2099	16.4	184.1	23.8	353.8	406.8	107.3	86.0	18.9	2.4
	Δ	2.8	-1.7	-1.7	18.6	14.8	3.8	3.7	-1.1	1.1
OCEAN	1970-1999	15.8	183.6	16.2	349.3	402.1	114.7	98.3	15.2	1.2
	2070-2099	18.2	181.8	14.8	366.6	414.9	118.7	102.9	13.2	2.7
	Δ	2.4	-1.8	-1.4	17.3	12.8	4.0	4.6	-2.0	1.5
LAND	1970-1999	8.3	191.3	48.4	300.4	367.2	76.0	42.7	31.8	1.5
	2070-2099	12.1	189.8	46.1	322.1	386.8	79.0	44.3	33.1	1.6
	Δ	3.8	-1.5	-2.3	21.7	19.6	3.0	1.6	1.3	0.1

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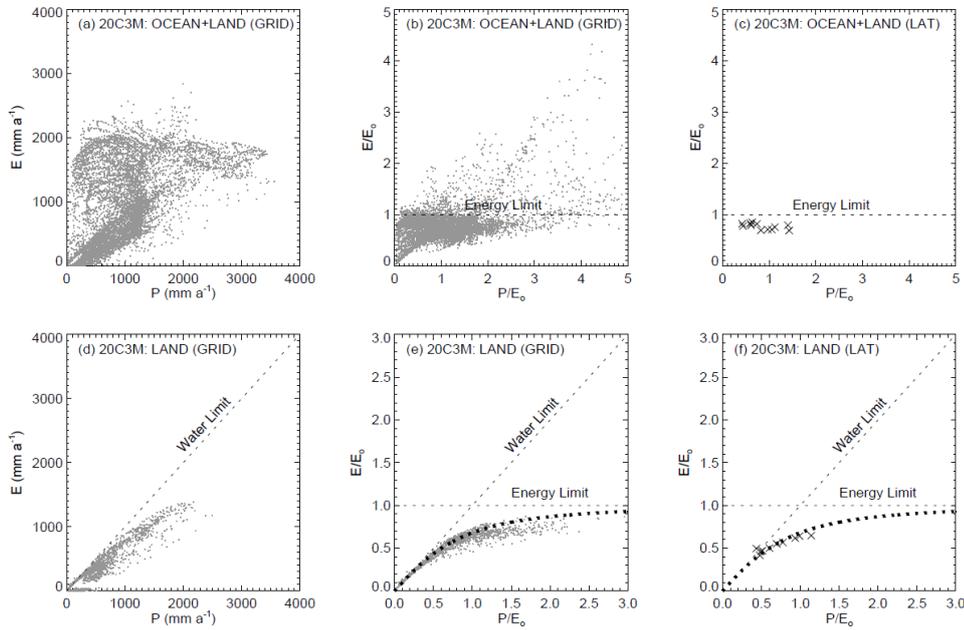
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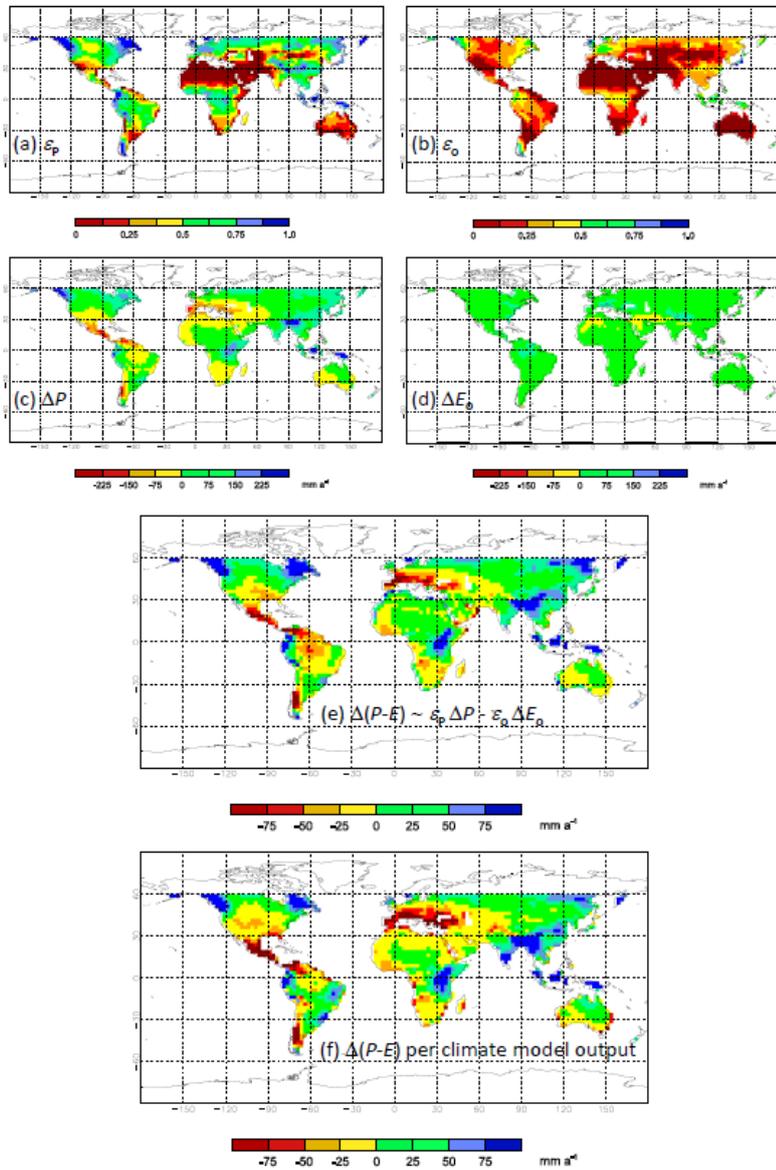
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Figure 1 Annual average P and E over the (top panels) globe (land plus ocean) and over (bottom panels) land. (a) Latitudinal distribution of P , E at the end of the 20th (1970-1999, 20C3M) (full) and 21st (2070-2099, A1B) (dotted) centuries. (b) $\Delta(P-E)$ versus $P-E$ averaged over 10° latitudinal zones. (c) $\Delta(P-E)$ versus $P-E$ at individual grid boxes. (d) (e) (f) Equivalent plots restricted to the land component. Dotted line (b) (c) (e) (f) highlights the Held and Soden (2006) prediction ($\Delta(P-E) = 0.07 \text{ K}^{-1} \times 2.8 \text{ K} \times (P-E) = 0.20 \times (P-E)$) for the projected increase in global mean temperature.



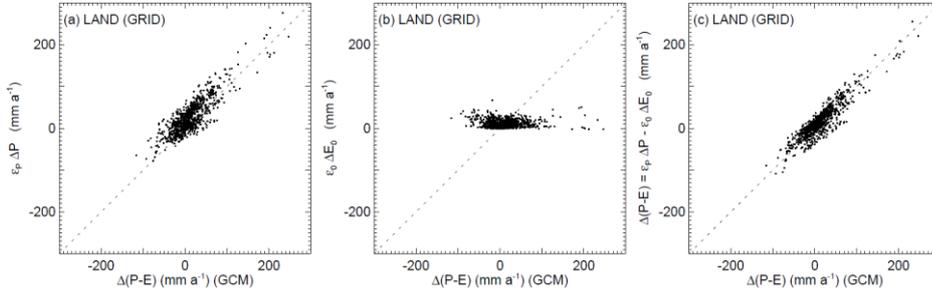
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2 Figure 2 Relation between mean annual P and E over the (top panels) globe (land plus
3 ocean) and over (bottom panels) land. All climate model output are for the end of the 20th
4 century (1970-1999). Model output for (a) P , E at individual grid boxes (b) normalised by the
5 net irradiance (E_o), and (c) averaged over 10° latitudinal zones. (d) (e) (f) Equivalent plots
6 restricted to the land component. The energy ($E/E_o = 1$) and water ($E \leq P$) limits are discussed
7 in the main text. The dotted curve in panels (e) and (f) is the predicted Budyko curve (Eq. 1)
8 with the default value of the parameter ($n = 1.8$, Choudhury (1999)). (Note: in (e) a better fit
9 is obtained using $n = 1.5$ but adopting that value does not materially change the subsequent
10 results or conclusions.)

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2 Figure 3 Comparison of $\Delta(P-E)$ estimated using the Budyko-based framework versus
3 $\Delta(P-E)$ calculated from climate model output. Components of the Budyko-based approach
4 include (a) ε_p (Eq. 4) (b) ε_o (Eq. 4) (c) ΔP (per climate model output), (d) ΔE_o (per climate
5 model output) and the (e) calculated change, $\Delta(P-E) \sim \varepsilon_p \Delta P - \varepsilon_o \Delta E_o$ (Eq. 4) compared
6 with (f) $\Delta(P-E)$ calculated directly from the climate model output.

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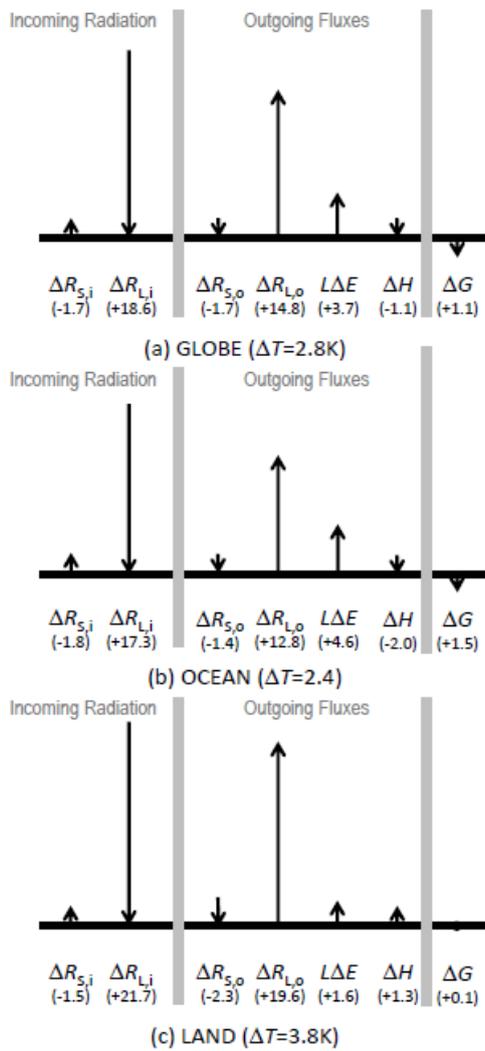


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3 Figure 4 Comparison between components of the change predicted by the theory with
4 changes projected by the global climate multi-model ensemble mean (GCM). Change in $\Delta(P-$
5 $E)$ due to change in (a) the rainfall ($\varepsilon_P \Delta P$) (regression: $y = 0.89 x + 13.8$, $R^2 = 0.72$, $N=1119$)
6 (b) the evaporative term ($\varepsilon_o \Delta E_o$) (regression: $y = 0.01 x + 9.8$, $R^2 = 0.00$, $N=1119$) and the (c)
7 total calculated change ($\Delta(P-E) = \varepsilon_P \Delta P - \varepsilon_o \Delta E_o$) (regression: $y = 0.89 x + 4.0$, $R^2 = 0.82$,
8 $N=1119$) versus the GCM estimates of $\Delta(P-E)$.

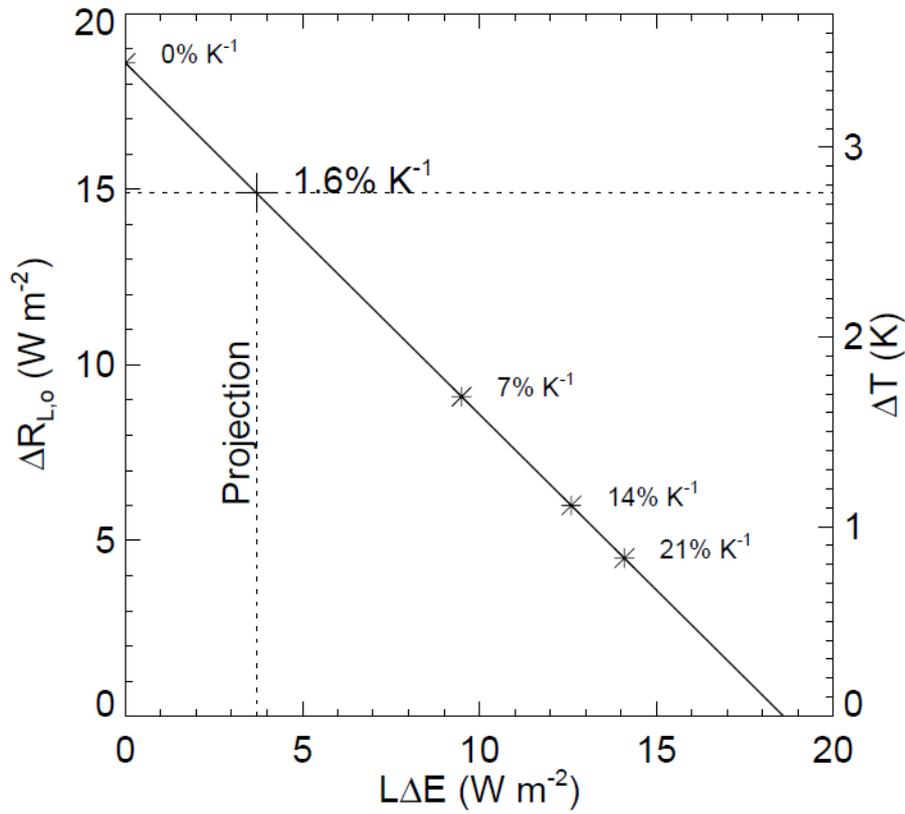
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2 Figure 5 Stylised diagram showing projected changes (2070-2099 less 1970-1999) in
3 components of the surface energy balance (units: W m^{-2}) over the (a) globe, (b) ocean and (c)
4 land. Data are from Table 2. Projected changes in (left) incoming radiation (shortwave, $\Delta R_{S,i}$;
5 longwave, $\Delta R_{L,i}$) are separated from (middle) changes in the outgoing radiative ($\Delta R_{S,o}$, $\Delta R_{L,o}$)
6 and convective ($L\Delta E$, ΔH) fluxes and from (right) the rate of change in enthalpy storage
7 (ΔG). ΔT (below each panel) denotes the surface temperature change.
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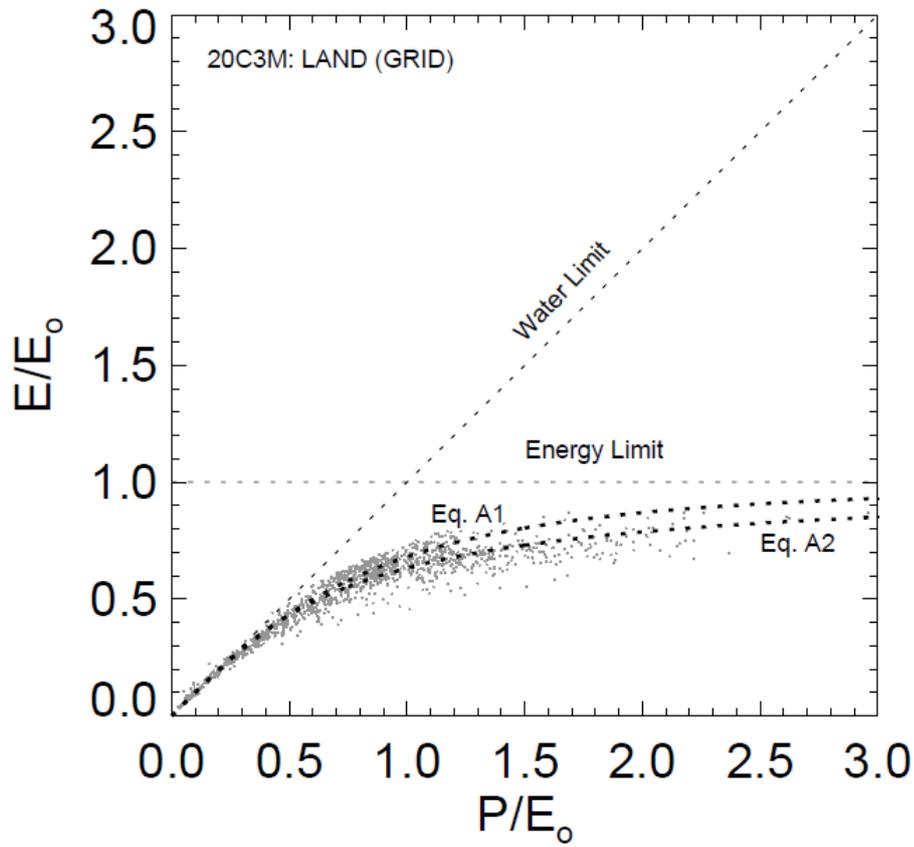
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3 | Figure 6 Relation between global projected change ~~in of~~ the latent heat flux ($L\Delta E$) and
4 | ~~the~~ outgoing longwave irradiance ($\Delta R_{L,o}$) for a given increase in incoming longwave
5 | irradiance ($\Delta R_{L,i} \approx \Delta R_{L,o} + L\Delta E = 18.6 \text{ W m}^{-2}$). Equivalent surface temperature changes are
6 | noted (right-hand axis) as are the percentage enhancements in global P per Kelvin.

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5 Figure 7 Comparison of the two alternate forms (Eq. A1 vs Eq. A2) of the Budyko
6 curve. All else is the same as Fig. 2e in the main text.

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