

On inclusion of water resource management in Earth System models - Part 1: Problem definition and representation of water demand

Revision summary and point-to-point reply to the reviewers' comments

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I. Letter to the editor and revision summary

Dear Dr. Buytaert

Many thanks for handling our submitted manuscript (hess-2014-256). We revised our manuscript and provided a point-to-point reply to the reviewers' comments. We found the comments extremely constructive and took all necessary steps to provide a reasonable response and incorporate them in our revision. We believe that the revised manuscript is substantially improved.

To summarize the revisions made, we majorly restructured and rewrote Section 1, according to comments made by the three reviewers and Bruce Davison. This also includes a new schematic figure in Section 1.3 based on the suggestion made by anonymous reviewer #2. Section 3 was revised to address the comments of Jan Polcher and anonymous reviewer #1. Section 4 was extended by including a discussion on environmental flow needs to address a comment made by anonymous reviewer #2. The discussion in Section 5.1 was extended according to a set of extremely constructive comments made by Jon Polcher. Section 6 was extended and some new points were added based on the comments made by the three reviewers. Finally, 26 new references have been used and added to the reference list to appropriately address the reviewers' comments.

Below, we first provide a point-to-point reply to the reviewers' comments and then include a marked up revised manuscript. Although the marked up version includes most of our revisions, it has some minor differences with the final revised manuscript. Accordingly, we prepared our response to the reviewers' comments based on the final revised manuscript, not the marked up version. Many thanks for considering our revisions.

II. Point-to-point reply to Anonymous Reviewer #1

We greatly appreciate Anonymous Reviewer #1 for their positive, constructive and thoughtful comments, which led to substantial improvements in the revised version of our manuscript. In the following, the issues raised are addressed point-by-point in the order they are asked. The reviewers' comments are numbered; our reply to each comment is shown immediately below the comment in blue.

1- Title and models: Your definition of Earth System Models is unclear. On the one hand you talk about GHMs and on the other hand about LSSs, while DGVMs also come into play. Please consider a thorough definition of model types (and a change of the title if applicable).

Many thanks for your comment. We tried to thoroughly define the model types and their distinctions (please see **Section 1.1** in the revised manuscript). Please note that now we refer to land-surface schemes (LSSs) as land-surface models (LSMs) according to comments we received from other reviewers of this paper and the companion paper. Please see the modified text in the revised manuscript related to definition of Earth System models (**lines 48 to 50**), LSMs (**lines 50 to 58**), GHMs (**lines 74 to 76**) and difference in their applications throughout our review (**lines 223 to 235**). Please note that we do not specifically discuss DGVMs in our paper; however, some LSMs are equipped with algorithms for represent dynamic vegetation. Please note that in the revised version, we limit the large-scale models in this survey to GHMs and LSMs only.

2- The title mentions “water resource management” while your focus is rather water demand (indeed, how models do water management is explicitly left out as stated on p. 8249 lines 2f – or do you mean effects on climate here?).

Indeed, the focus of our paper is on including water resource management in large-scale hydrologic and land-surface models that can be considered as sub-models within the broader definition of Earth System models (see Section 1.1 in the revised manuscript). However, for the purpose of our presentation, we divided the water resource management into two fully interactive elements namely water demand and water supply and allocation and in this paper we only focus on water

demand (please see **Section 1.3** in the revised manuscript). We tried to elaborate this in Section 1 (please see **lines 213 to 215** in the revised manuscript). Please note that from Section 2 onward, we only focus on the demand side of the water resource management and the discussion regarding the water supply and allocation is remained for the companion paper. Regarding your point in p. 8249 lines 2f, we meant agricultural land management strategies (e.g. no-till agriculture, double-cropping etc.) and declared that beyond the scope of this paper. We deleted this sentence in the revised manuscript to avoid any other confusion.

3- Section 3.2. and 3.3: I'm afraid I haven't understood the difference between "bottom-up" and "top-down" approaches. Are these appropriate terms? And aren't the problems discussed in 3.3 (e.g. the PET method) also inherent to approaches discussed in 3.2?

Many thanks for your comment. We should have clarified this. We named this way of calculation as "top-down"; since the information at the grid scale is estimated by downscaling data available at coarser scales. These data are coming from census information or socio-economic models' outputs. Please note that socio-economic models do not directly calculate the agricultural water demand; but they estimate the agricultural productivity. The water use is then estimated indirectly using water required for producing each crop per unit of land. An example for such model is Global Change Assessment Model (GCAM; cited in the paper). Therefore, problems associated to PET are not in this kind of models (but of course, they are associated to other sources of uncertainty; please see p. 8255 line 15 in the original HESSD submission). We revised the text to elaborate this better. Please see the revised manuscript for top-down (**lines 341 to 344**) and bottom-up approaches (**lines 362 to 363**) respectively.

4- P. 8251 first paragraph: Models with fully dynamic crop growth and dynamic irrigation may also misrepresent irrigation demands if they do not correctly represent the seasonality. In contrast, models with fixed crop calendars may not respond well to yearly weather conditions. I think Portmann et al. (2010) have a discussion on these effects, which should be considered here.

Many thanks for the heads-up on this. We include this discussion in the paper. Please see the revised manuscript (**lines 317 to 329**). We believe Portman et al. (2010) used the crop calendars reported in several inventories and/or national reports and gave more attention to the uncertainty

associated to these sources; therefore, we used the reference for elaborating the revisions related to the top-down algorithms. Please see the revised manuscript (**lines 356 to 358**).

5- Some further aspects could be briefly discussed, i.e. the following: How do models treat demand from groundwater (fossil, renewable)? How do water demand and its parameterization feed back to runoff/discharge and eventually sea level rise (could be part of section 5.1)? What can be said about how models treat tradeoffs among different demands (irrigation, industry, municipal) – which I think is a major topic? Do/can models rigorously consider water limitations in their demand calculations – which is another very important topic in my view? Whether models consider seawater desalination and “green” water demands could also be mentioned.

We completely agree with you and believe that these issues are extremely important. However, please note that we discussed issues related to the water supply and allocation in the companion paper. This has been clearly indicated in the paper (**lines 213 to 215**). In the companion paper, we do discuss the allocation from fossil and renewable groundwater, runoff/reservoir discharge and desalination, and highlighted how models deal with water limitation and priorities (i.e. trade-offs) in water allocation.

6- The Abstract should mention a focus on how water limits energy, agriculture, etc., in case you’ll consider this in your revision.

We included this point. Please see the revised manuscript (**lines 13 to 15**).

7- The text on hydrologic improvements of models in terms of water supply (p. 8242 lines 17ff) is rather long given the focus of this paper; isn’t this the focus of the companion paper?

Many thanks for your comment. Here the task is to discuss the importance of hydrological simulation capability in LSMs and the gradual improvement in representing the water cycle elements in these models over time. We did not tend to discuss the water supply there, but aim at providing a brief overview on evolution of LSMs in describing terrestrial water cycle. According to your comment, we shortened the discussion and attempt to be more concise in our description. Please see the revised manuscript (**lines 59 to 80**).

8- P. 8243 lines 7-12 could also be left out.

Many thanks for your comment. After a careful consideration, we decided not to exclude this section in complete as it provides a context to explain why anthropogenic activities, and more specifically those related to water resource management, should be represented in Earth System models. Please note that in the revised version, we shortened and moved the discussion. Please see the revised manuscript (**lines 85 to 92**).

9- P. 8245 lines 8-19: This paragraph could be shortened and moved to the related discussion on the preceding page.

Many thanks for your comment. We did shorten and move the paragraph within the text, however, we kept it in the same order in relation to preceding paragraphs. Please note that the aim of this section is to provide some examples on why the human-water interactions can be relevant to hydrological and water security modelling and simulating land-atmospheric interactions, and therefore, justifies the inclusion of human-water interactions in large-scale models. Irrigation is an important component of water resource management and included here just as an example in which a human activity can affect the climatic surface boundary condition and perturb local climate. Please see the revised manuscript (**lines 142 to 156**).

10- Section 3 starts rather suddenly with irrigation, please introduce the section in a better way.

We revised the beginning of Section 3 based on your comment. Please see the revised manuscript (**lines 293 to 306**).

11- P. 8257 lines 19-22: I have the impression that non-irrigative demands are usually treated less interactively with other components than irrigation demands, can you say something about that?

This is due to the fact that the non-irrigative water demands are predominantly non-consumptive and therefore do not change the energy balance and/or perturb the atmospheric moisture condition. We highlighted this in the revised version. Please see the revised manuscript (**lines 283 to 290**).

III. Point-to-point reply to Anonymous Reviewer #2

We greatly appreciate Anonymous Reviewer #2 for their positive, constructive and thoughtful comments, which led to substantial improvements in the revised version of our manuscript. In the

following, the issues raised are addressed point-by-point in the order they are asked. The reviewers' comments are numbered; our reply to each comment is shown immediately below the comment in blue.

1- I agree with the anonymous referee #1 that it would be nice to have some more explanation with regard to the basic structure of the review (maybe even a schematic illustration). It should describe the classification of models into Land-Surface-Schemes (LSS) versus Global Hydrological Models (GHM), irrigative versus non-irrigative demand, top-down versus bottom-up approaches, online representation versus offline representation. In addition to the explanation of terms it could be described why exactly these distinctions are useful. This would fit nicely to the end of section 1 (page 8247).

Many thanks for your comment. Based on your comments, we extensively revised Section 1 (please see the revised manuscript, **lines 42 to 243**). We now thoroughly define LSMs and GHMs and differentiate in their application (please see the revised manuscript, **lines 50 to 58, 174 to 76 and 223 to 235**, respectively). We further defined irrigative and non-irrigative demands (please see the revised manuscript, **lines 215 to 218**) as well as online and offline representations (please see the revised manuscript, **lines 223 to 235**). We also added a schematic illustration to the revised manuscript to show the main components of water resource management and highlight their feedbacks with each other as well as with land-surface and climate processes (**Figure 1**; Please see the revised manuscript **page 66**). We also explained the difference between top-down and bottom-up approaches (please see the revised manuscript, **lines 219 to 221, 341 to 344 and 362 to 363**). In all these revisions, we try to highlight the relevance of these distinctions describe how they fit within the context of our survey (please see the revised manuscript, **lines 204 to 236**).

2- I miss some discussion related to environmental water demand. The authors describe nicely all the anthropogenic impacts on the world's freshwater system and the structures like reservoirs or dams controlling amount and dynamics of the discharge in many rivers or (over)use of groundwater. Shouldn't it also be part of water resources management to ensure basic environmental water requirements when considering that most of the freshwater bodies are controlled or at least impacted by human activities? Or in other words: do we need to manage these

requirements actively instead of just constraining human water extractions? Should we account for environmental water demand at the demand side (this paper) or at the supply side (the companion paper in HESSD)? It seems that the topic becomes more and more relevant while the implementation in large-scale models remains very weak and simplified. At least in the discussion section I would therefore expect some sentences related to this issue.

Many thanks for your comment. You are absolutely right. Environmental flow needs are an essential part of water resource management. After a careful consideration, we decided to include environmental flow needs at the demand side. Accordingly, we extended our survey and added a brief review on available procedures for estimation of environmental demands at large-scale models. Please see the revised manuscript (**lines 106 to 111 and 487 to 512**).

3- Page 8240, lines 23-25: “We argue that current limitations in simulating various human demands and their impact on the Earth System are mainly due to the uncertainties in data support, demand algorithms and large-scale models.” => It seems that this is obvious. I don’t know any other reason that may contribute to the limitations.

Many thanks for head-up on this. We deleted this sentence in the revised manuscript.

4- Page 8244, lines 23-26: “Although human water use still accounts for a small proportion of total water on and below the surface (see Oki and Kanae, 2006), it currently includes around 26% of terrestrial evaporation and 54% of surface runoff that is geographically and temporally available (Postel et al., 1996).” => 54% of global surface runoff seems to be a lot! Does this include instream uses (e.g. for water power)?

Many thanks for very careful reading. Please note that we mentioned 54% of surface runoff that is accessible by human and this number includes total withdrawals including instream uses and other non-consumptive needs. In fact, Postel et al. (1996) argue that 19% of the global runoff is not accessible. Please see the revised manuscript (**lines 132 to 134**).

5- Page 8248, line 13: I miss the reference to Wada et al., 2010 in the list of references. The same for Siebert et al., 2010 in line 15. Please check the list of references for completeness.

Many thanks for heads-up on these. We included these references in the revised manuscript and double check the whole list to make sure all references are included.

6- Page 8264, lines 26-30: “Uncertainty in current data support ...”. I think, another major constraint in data support are inconsistencies across model input data. The models described in this paper require information for many different input variables. Typically, these input data sets are developed independently from each other with different methods resulting in inconsistencies, in particular at pixel level (e.g. soil properties do not fit to land use, humidity does not fit to precipitation, irrigated land in forest areas...). Typically, modelers fix these inconsistencies by applying simple rules or assumptions. The impact may be small for global mean values but can be high at the local or regional scale.

Many thanks for your comment. This is definitely the case. We added few sentence to point at this source of uncertainty. Please see the revised manuscript, **lines 765 to 773**.

IV. Point-to-point reply to Dr. Jon Polcher

We greatly appreciate Jon Polcher for their positive, constructive and thoughtful comments, which led to substantial improvements in the revised version of our manuscript. In the following, the issues raised are addressed point-by-point in the order they are asked. The reviewers’ comments are numbered; our reply to each comment is shown immediately below the comment in blue.

1- 8242, 19 : for me the first attempts to include routing in LSMs (I prefer the Land Surface Model term so that in Earth System modelling the land is at the same level as the ocean and models. Who would dare speak about an ocean or atmosphere scheme ?) are: Miller et al, 1994 J. Clim, Hagemann and Dümenil, 1998, Climate Dynamics, Oki and Sud, 1998, Earth Interactions.

Many thanks for your comment. We changed LSSs to LSMs throughout this paper and the companion paper. We have also included the early works you have reminded. Please see the revised manuscript (**lines 69 to 72**).

2- 8245, 7 : In the list of possible effects of irrigation and water usage on the climate system, the impact on ocean circulation should be mentioned. This is of particular concern for closed oceans

and the polar environment where a change in fresh water input can modify the oceanic circulations and thus feedback on continental rainfall. A recent review of literature showed a few nice examples for the Mediterranean : E. J. Rohling and H. L. Bryden (1992) Man-induced salinity and temperature increases in western Mediterranean deep water. *J. Geophys. Res.*, 97(C7), 11191–11198 M. 11, C3403–C3410, 2014. Vargas-Yañez et al. (2010) Climate change in The Western Mediterranean Sea (1900-2008). *Journal of Marine Systems* 82(2010) 171-176. N. Skliris and A. Lascaratos (2004) Impacts of the Nile River damming on the thermohaline circulation and water mass characteristics of the Mediterranean Sea. *Journal of Marine Systems* 52(1–4), 121–143.

Many thanks for the heads-up on this important issue. We added few sentences regarding this and included the references in the text. Please see the revised manuscript (**lines 150 to 156**). We believe that these issues are more related to the effect of water resource management on water quality rather than water quantity. As we look at water resource management as a water quantity problem (Please see the revised manuscript, **lines 162 to 164**), we do not follow this issue further up in the paper.

3- 8245, 15 : A recent study which shows (from one specific model !) the regions where irrigation triggers an atmospheric feedback in the water cycle and those where rainfall is not affected : Guimberteau et al. (2012) Global effect of irrigation and its impact on the onset of the Indian summer monsoon, *Climate Dynamics*, Volume 39, Issue 6, pp. 1329-1348.

Many thanks for introducing this valuable contribution. We included the reference where you suggested. We have also used the reference to elaborate our discussion in Section 5.1. Please see the revised manuscript (**line 146** as well as **lines 428 to 431, 598 to 600** and **608 to 614**).

4- 8245, 24 : I would already write in the abstract that this review paper is in line with GEWEX's ambition to strengthen activities on human-water interactions and raise the awareness on this issue.

We modify the text based on your suggestion. Please see the revised manuscript (**lines 22 to 26**).

5- 8246, 9 : Yes, there are still fundamental obstacles to include water resources in large scale models, but I would say that it does not matter if this is on-line or off-line. The nature of the coupling to the atmosphere is not affected by irrigation as it is only evaporation and the surface

energy balance which are changed. I would say that in the “water conserving approach” to irrigation, we have to deal with the fundamental problem that man is also modifying the transport of water and tapping non renewable water sources which are outside of the climate system.

Many thanks for this extremely constructive comment. We revised the text to include this very important point in the text and discuss it further in the discussion section. Please see the revised manuscript (**lines 170 to 177**).

6- 8252, 20 : In the this discussion of the usage of ETP one has to take into account that LSMs define potential evaporation in a quite different way from FAO, Penman-Monteith or others. Thus using simply the FAO guidelines for estimation irrigation needs will induce inconsistencies at various time scales with the evaporation estimated by the model. This is of particular concern for water stressed surfaces which is the case when we expect irrigation to occur. This problem is limited to LSMs which resolve the diurnal cycle and does not occur in GHMs which use anyway some empirical estimates of ETP for evaporation. This issue is well documented in : Milly, P. C. D.: Potential evaporation and soil moisture in general circulation models (1992), *J. Climate*, 5, 209–226. Barella-Ortiz, A., et al. (2013) Potential evaporation estimation through an unstressed surface energy balance and its sensitivity to climate change, *Hydrol. Earth Syst. Sci.*, 17, 4625-4639.

Many thanks for this very useful comment. We made some revisions in the discussion to reflect the difference between calculation of potential evaporation in LSMs and GHMs and incorporated the references indicated in the text. Please see the revised manuscript (**lines 365 to 384**).

7- 8254, 5 : Using the extra information available in LSMs we can now do better and the concerns raised here are behind us. The irrigation need can be estimated using potential transpiration. This is the transpiration which would occur should the plan not be water stressed. If this is implemented together with a sub-grid soil moisture division (i.e. bare soils and non-crop PFTs have different soil moisture reservoirs) then the irrigation taken from the water reservoirs optimises photosynthesis and is only evaporated by the crops and not used by other surface types. Furthermore the potential transpiration takes into account the CO₂ fertilisation, the adaptation of the plants to the climatic conditions or crop growth cycles as far as the LSM represents them. This

is now present in ORCHIDEE and documented by Guimberteau et al. (see above for the full reference). The next step in the uncertainty is whether the irrigation is sprinkled on the crop, and thus induces some interception loss, or localized and limited to soil moisture processes. But this far beyond the current state of our models and would require knowledge on the irrigation techniques used in each region of the world.

Many thanks for the valuable discussion. We used the first part of your discussion in the next paragraph, where we discuss the potential transpiration algorithms. Please see the revised manuscript (lines 425 to 449). We incorporated the second part of your comment in the discussion related to data uncertainty in Section 6. Please see the revised manuscript (lines 778 to 781).

8- 8254, 19 : This evolution toward potential transpiration is partially explained in this paragraph but does not address the issue of having to treat separately in the grid box the irrigated vegetation from the rest. Most LSMs today define multiple plant functional types (PFTs) in each grid box and can thus distinguish the various water needs. But as long as all PFTs share the same soil moisture reservoir this does not help. Irrigation will increase the soil moisture of all PFTs and thus reduce water stress for forests as well as crops and in particular increase bare soil evaporation. Thus too much water will be used for irrigation and the evaporation increase overestimated.

Many thanks for the follow up discussion. We merged your discussions in this comment and the previous one and revised the related text accordingly. Please see the revised manuscript (lines 442 to 449).

9- 8254, 21: The projection of irrigative demand is closely linked to the infrastructures which can be put into place to adducts water to the area where farming occurs. There is some pioneering work being done by economists and which is able to predict which regions can be irrigated and how the irrigation can be sustained in a changing climate. The modelling is purely based on the economical cost of bringing the water from the regions where it is available (generally mountains because of the amount of rainfall and the available potential energy) to those where the farming occurs (sedimentary plains and urbanized areas). The thesis of Hypatia Nassopoulos: <http://halshs.archivesouvertes.fr/pastel-00838516/>, her papers and more generally the group at CIRED are at the forefront of this research. I know the thesis is in French and I am not sure if the

part on the model to predict dam operations and water adduction has been published. But Hypatia Nassopoulos can be contacted.

Many thanks for the heads-up on Hypatia's work. We were not aware of her work. We found one of her papers and a presentation online, which could help us to write a short entry on her work and incorporate it at the end of Section 3.4. The reference are as following:

Nassopoulos, H., Dumas, P. and Hallegatte, S.: Adaptation to an uncertain climate change: cost benefit analysis and robust decision making for dam dimensioning, *Climatic change*, 114(3-4), 497-508, doi: 10.1007/s10584-012-0423-7, 2012.

Nassopoulos, H., Dumas, P. and Hallegatte, S.: Climate change, precipitation and water management infrastructures, presented at: Water in Africa: Hydro-Pessimism or Hydro-Optimism, 2-3 October 2008, Porto, Portugal, available at: <http://www.slideshare.net/water.in.africa/hypatia-nassopoulos-ppt-presentation>.

Please see the revised manuscript (**lines 471 to 475**).

10- 8259, 7: In this discussion of the irrigation-induced (or irrigation-displaced) rainfall the rôle of the conservation of water needs to be taken into account. For a model which limits irrigation by the available water stabilising feedbacks can be envisioned. Should irrigation for instance displace rainfall into the neighbouring valley/catchment, then the originally irrigated farmland cannot be sustained as the basin total rainfall might become to low to support the activity. This is perhaps far fetched, but it is a process which can limit irrigation and is not available to parametrisations which do not close the water balance. Thus I would classify these studies into the general topic of surface/atmosphere feedback studies where the surface energy balance perturbation is irrigation. As far as I could verify, none of the studies referred to in Table 3 include the feedbacks generated by water conservation.

Many thanks for the discussion. We merged your discussions in this comment and the next one and revised the related text accordingly to highlight the limitations in current online studies analyzing the irrigation-induced precipitation. Please see the revised manuscript (**lines 620 to 630**).

11- 8259, 28 : The studies presented here on the surface/atmosphere interactions are all analysed on the simple scheme of whether evaporation increase can favour moisture convergence or on the contrary reduce it. This has to be linked in some way to the wealth of literature where deforestation (or more academic perturbations) and its impact on evaporation are discussed. But I feel there is a recent evolution which is being missed here. It is now accepted that landscape contrasts (transitions between wet and cool and dry and hot areas) are critical in generating rainfall. Irrigation has a huge effect on this type of mechanisms as it creates sharp contrasts in evaporation and surface temperature. But models are known to be limited in their ability to generate the atmospheric perturbations caused by these processes and thus sensitivity experiments have to be analysed with caution. I would suggest that the authors take a look at this part of the literature of which I only highlight 2 recent publications : Taylor (2009) Feedbacks on convection from an African wetland, GRL, VOL. 37, L05406 (These African wetlands are just naturally irrigated areas !) Taylor et al. (2012) Afternoon rain more likely over drier soils, Nature, 489, 423–426.

Many thanks for the follow up discussion. We merged your discussions in this comment and the previous one and revised the related text accordingly to highlight the limitations in current online studies analyzing the irrigation-induced precipitation. Please see the revised manuscript (**lines 620 to 630**). Please note that we only incorporate the references indicated, as the text and the reference list are already quite long (i.e., 280 references).

12- 8260, 18: Some LSMs have included irrigation in all of their studies as it simply was available in the model and provided more realistic river discharge values on many of the basins considered. One of these cases are the studies performed by Thanh Ngo-Duc during his thesis. When validating his atmospheric forcing over large basins, looking at the water exchanges between continents and oceans or validating ORCHIDEE with GRACE, the irrigation parametrisation of de Rosnay et al. was used but its impact not specifically analysed. The references are : Ngo-Duc T. et al. (2005) 53 years forcing data set for land-surface models, J. Geophys. Res., 110:D06 116 Ngo-Duc, T. et al. (2005): Effects of land water storage on global mean sea level over the past 50 years. Geophysical Research Letters, 32:L09704 Ngo-Duc, T. et al. (2006): Validation of the land water storage simulated by ORCHIDEE with the GRACE data, role of the routing scheme. Water Resources Research, 43(4):W04427.

Many thanks for the heads-up on these references. We incorporated them in the related discussion for offline simulations. Please see the revised manuscript (**lines 652 to 655**).

13- 8264, 17 : I believe that in this section the authors mix different aspects of spatial resolution. First there is the spatial resolution needed to represent properly the irrigation processes. This can be achieved either by running the LSM at a higher resolution than the atmospheric component or by obtaining a higher effective resolution at the surface by using tiling approaches. As I pointed out above, if the crop PFTs have their own soil moisture reservoir the impact of irrigation on their evaporation can be quite well represented. The second issue is the adequate resolution to represent the impact of increased evaporation and surface flux contrasts onto the atmospheric processes. For this problem, I do not know of any study as it is probably strongly regionally and seasonally dependent. But this issue of resolution is not independent of the complexity of the parametrisation of irrigation. As the resolution of the surface increases more processes need to be included in order to ensure water conservation within the model as else not enough water is available in each grid box to sustain the enhanced evaporation.

Many thanks for this discussion. We elaborate our discussion by incorporating this into the related text. Please see the revised manuscript (**lines 733 to 749**).

14- 8266, 4 : The uncertainty of the demand linked to the potential evaporation is not that much of an issue as long as the same assumption is used for reference evaporation (or ETP) in the calculation of the crop evaporation and the irrigation demand. If the GHM uses Priestley-Taylor then the FAO guideline has to be re-interpreted accordingly. For the LSM more options are available as ETP or potential transpiration consistent with the surface energy balance can be derived in the model (but significantly different from Penman-Monteith as pointed out above). Thus if the consistency of the model is preserved, then the uncertainty of the irrigative demand linked to ETP is the same as that of the evaporation.

Many thanks for the discussion. We merged your discussions in this comment and the next one and revised the related text accordingly to better highlight the main sources of uncertainty in current irrigation demand algorithms. Please see the revised manuscript (**lines 796 to 805**).

15- 8266, 4 : To me the largest uncertainty in the parametrisations currently available is the limitation of irrigation by the available water. If the irrigation is limited by the water available within the grid box then we are hindered by our ability to describe water transports and the role played by humans and our lack of geological water used in some regions.

Many thanks for the discussion. We merged your discussions in this comment and the previous one and revised the related text accordingly to better highlight the main sources of uncertainty in current irrigation demand algorithms. Please see the revised manuscript (**lines 795 to 804**).

16- 8293, Table 1 : de Rosnay et al. was implemented globally and only analysed over the Indian Peninsula. So it should probably move to table 2.

Many thanks for the heads-up on this. We moved the reference to Table 2.

17- 8295, Table 3 : Guimberteau et al. 2013 is missing.

Many thanks for introducing this reference. The reference is now incorporated in Table 3.

On inclusion of water resource management in Earth System models - Part 1: Problem definition and representation of water demand

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Abstract

Human activities have caused various changes to the Earth System, and hence, the interconnections between human activities and the Earth System should be recognized and reflected in models that simulate Earth System processes. One key anthropogenic activity is water resource management, which determines the dynamics of human-water interactions in time and space and controls human livelihoods and economy, including energy, and food production. There are immediate needs to include water resource management in Earth System models. First, the extent of human water requirements is increasing rapidly at the global scale and it is crucial to analyze the possible imbalance between water demands and supply under various scenarios of climate change and across various temporal and spatial scales. Second, recent observations show that human-water interactions, manifested through water resource management, can substantially alter the terrestrial water cycle, affect land-atmospheric feedbacks and may further interact with climate and contribute to sea-level change. Due to the importance of water resource management in determining the future of the global water and climate cycles, the World Climate Research Programs' Global Energy and Water Exchanges project (WRCP-GEWEX) has recently identified gaps in describing human-water interactions as one of the grand challenges in Earth System modeling. Here, we divide the water resource management into two interdependent elements, related firstly to water demand and secondly to water supply and allocation. In this paper, we survey the current literature on how various components of water demand have been included in

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43 large-scale models, in particular Land Surface and Global Hydrological Models. Issues of water
 44 supply and allocation are addressed in a companion paper. The available algorithms to represent
 45 the dominant demands are classified based on the demand type, mode of simulation and underlying
 46 modeling assumptions. We discuss the pros and cons of available algorithms, address various
 47 sources of uncertainty and highlight limitations in current applications. We conclude that current
 48 capability of large-scale models to represent human water demands is rather limited, particularly
 49 with respect to future projections and coupled land-atmospheric simulations. To fill these gaps,
 50 the available models, algorithms and data for representing various water demands should be
 51 systematically tested, intercompared and improved. In particular, human water demands should be
 52 considered in conjunction with water supply and allocation, particularly in the face of water
 53 scarcity and unknown future climate.

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55 1 Background and scope

56 1.1 Large-scale modeling – an introduction to Land-Surface and Global- 57 Hydrological Models

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58 The Earth System is an integrated system that unifies the physical processes at the Earth's surface.
 59 These processes include a wide range of feedbacks and interactions between and within the
 60 atmosphere, land and oceans and cover the global cycles of climate, water and carbon that support
 61 planetary life (e.g., Schellnhuber, 1999; Kump et al., 2010). From the advent of digital computers,
 62 Earth System models have been a key tool to identify past changes and to predict the future of
 63 Planet Earth. These models normally include sub-models that represent various functions of the
 64 land, atmosphere and oceans (Claussen et al., 2001; Schlosser et al., 2007). A crucial sub-model
 65 in Earth System models is Land-Surface Models (LSMs), that represent the land portion of the
 66 Earth System. LSMs contain interconnected computational modules that characterize physical
 67 processes related to soil, vegetation and water over a gridded mesh, and account for their influences
 68 on mass and energy exchanges. A wide range of LSMs is currently available, which can be
 69 differentiated based on how, and to what extent, different land-surface processes are represented;
 70 nonetheless, a LSM, should explicitly or implicitly include the dynamics of these processes, and
 71 account for their drivers at various temporal and spatial scales (see Trenberth, 1992; Sellers, 1992).

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104 [The importance of representing the terrestrial water cycle in LSMs is well-established \(see Pitman,](#)
105 [2003 and references therein\) and there has been progressive development of LSMs in representing](#)
106 [various components of the hydrologic cycle, such as soil moisture, vegetation, snowmelt and](#)
107 [evaporation. In early LSMs, hydrology was conceptualized as a simple lumped bucket model](#)
108 [\(Manabe, 1969\), but this representation has progressively been improved by including more](#)
109 [complexity and explicit physics in canopy, soil moisture and runoff calculations \(see Deardorff,](#)
110 [1978; Dickinson, 1983, 1984; Sellers et al., 1986, 1994, 1996a; Nicholson, 1988; Pitman et al.,](#)
111 [1990\). Despite these improvements, major limitations and uncertainties remain in the hydrological](#)
112 [simulations, causing systematic bias in water and energy balance calculations. These deficiencies](#)
113 [have been attributed \(in part\) to unrealistic assumptions and incomplete parameterizations of](#)
114 [catchment response in LSMs \(Soulis et al., 2000; Music and Caya, 2007; Sulis et al., 2011\). Further](#)
115 [attempts, therefore, have focused on including catchment scale runoff generation and routing](#)
116 [processes \(e.g. Miller et al., 1994; Hageman and Dümenil, 1998; Oki and Sud, 1998; Oleson et al.,](#)
117 [2008; Lawrence et al., 2011\). These components determine the hydrological response at the larger](#)
118 [scales and have been frequently used in large-scale hydrological models, so called Global](#)
119 [Hydrologic Models \(GHMs\). Similar to LSMs, GHMs are gridded large-scale models; however,](#)
120 [they are typically simpler in structure and focus on representing the water cycle among other land-](#)
121 [surface processes \(such as the energy and carbon cycles\). Improved LSMs have been applied](#)
122 [frequently in regional and global modeling \(e.g., Liang et al., 1994; Pietroniro et al. 2007; Adam](#)
123 [et al., 2007; Livneh et al., 2011\) and compared to GHMs \(see Haddeland et al., 2011\). At this stage](#)
124 [of research, however, both LSMs and GHMs are still imperfect and incomplete, as current](#)
125 [simulations cannot match recent hydrological observations \(see Lawrence et al., 2012\).](#)

126 **1.2 Modeling human-water interactions**

127 [While external forcing, mainly the energy flux from the Sun, is the main driver of the Earth System,](#)
128 [internal disturbances such as volcanic eruptions, wildfires and human activities can substantially](#)
129 [affect the natural Earth System cycles \(Vitousek et al., 1997; Trenberth and Dai, 2007; Bowman](#)
130 [et al., 2009\). In particular, post-industrial human activities, from the mid-20th century onwards,](#)
131 [have severely perturbed the Earth System \(Crutzen and Steffen, 2003; Crutzen, 2006\). This has](#)
132 [initiated a new geological epoch, informally termed the “Anthropocene”, in which it is recognized](#)
133 [that the natural processes within the land surface system are highly controlled and regulated by](#)

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153 humans (see McNeil, 2000; Steffen et al., 2007, 2011). Accordingly, Earth System models should
 154 address feedbacks and interactions between the natural Earth System and the anthroposphere,
 155 which includes human cultural and socio-economic activities (Schellnhuber, 1998, 1999;
 156 Claussen, 2001). The terrestrial water cycle is one set of Earth System processes that is greatly
 157 perturbed by human activities; it also is of critical importance in determining human health, safety
 158 and livelihoods, as well as local, regional and global economies (e.g., Nilsson et al., 2005).
 159 However, although some anthropogenic effects, such as the emission of greenhouse gases and
 160 land-use change, have been incorporated in LSMs (e.g., Lenton, 2000; Zhao et al., 2001; Karl and
 161 Trenberth, 2003; Brovkin et al., 2006; Solomon et al., 2009), less effort has been made to represent
 162 human-water interactions (e.g., Trenberth and Asrar, 2012; Lawrence et al., 2012; Oki et al., 2013).
 163 One major reason for current deficiencies in performance of LSMs and GHMs is a failure to
 164 represent anthropogenic influences on the , and result in seasonal decline in flows of major rivers
 165 such as the Colorado River (e.g., Cayan et al., 2010). Similarly, dam operations considerably
 166 change the timing, volume, peak and the age of natural streamflow and reduce inputs to wetlands,
 167 lakes and seas (e.g., Vörösmarty et al., 1997, 2007; Vörösmarty and Sahagian 2000; Meybeck,
 168 2003; Tang et al., 2010). This is associated with some extreme effects, such as the death of the
 169 Aral Sea (e.g., Precoda, 1991; Small et al., 2001). In parallel, groundwater abstractions are
 170 associated with declining groundwater levels, reduced baseflow contributions and loss of wetlands.
 171 For instance, current assessments reveal significant groundwater depletion in some areas of the
 172 globe, such as Indian peninsula, the US mid-west, and Iran (Giordano, 2009; Rodell et al., 2009;
 173 Gleeson et al., 2012). Without considering human withdrawals, these changes in surface- and
 174 ground- water availability cannot be captured by large-scale models. It should be noted that human
 175 activities have large effects on water quality as well. For instance, extensive groundwater pumping
 176 is also associated with potential long-term contamination, for example by salt-water intrusion
 177 (Sophocleous, 2002; Antonellini et al., 2008), and nutrient pollution of surface and groundwater,
 178 which is an outstanding global challenge. These impacts, however, remain beyond the scope of
 179 this survey
 180 As human life and water availability are tightly interconnected (see Sivapalan et al., 2012), current
 181 and future changes in the water availability are not only important for Earth System modeling, but
 182 are also of major importance to human society, and these issues can be explored to a large extent
 183 with large-scale models (i.e., GHMs and/or LSMs). Although human water use still accounts for a

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235 small proportion of total water on and below the surface (see Oki and Kanae, 2006), total human
 236 withdrawals currently include around 26 percent of terrestrial evaporation and 54 percent of the
 237 accessible surface runoff that is geographically and temporally available (Postel et al., 1996).
 238 There are already major water security issues across highly populated regions of the globe (e.g.,
 239 Falkenmark, 2013; Schiermeier, 2014), which raise fundamental concerns about how future
 240 demand should be supplied, particularly considering climate change (e.g., Arnell, 1999, 2004; Tao
 241 et al., 2003; Döll, 2009; Taylor et al., 2013, Hanasaki et al., 2013a, b; Wada et al., 2013b; Schewe
 242 et al., 2013; Millano et al., 2013; Mehta et al., 2013). Such important threats to water security
 243 necessitate a detailed understanding of water availability and demand in time and space; and
 244 therefore large-scale models are required for impact assessments.
 245 Apart from the hydrologic and water security relevance discussed above, human-water interactions
 246 can have broader implications for the water cycle and affect climate; although these issues are yet
 247 to be fully explored, and remain in some cases controversial. For instance, irrigation can disturb
 248 the “natural” atmospheric boundary conditions (e.g., Sacks et al., 2009; Destouni et al., 2010;
 249 Gerten et al., 2011; Pokhrel et al., 2012; Hossain et al., 2012; Guimberteau et al., 2012; Dadson et
 250 al., 2013). At this stage of model development, the available quantitative understanding of these
 251 land-atmospheric implications is limited. To explore these issues it is necessary to include these
 252 processes in coupled land-atmospheric models, and this requires explicit representation of relevant
 253 human-water interactions within LSM computational schemes. Moreover, the return flows from
 254 human usage, entering the seas and oceans, can affect salinity and temperature and consequently
 255 impact their circulation patterns (e.g., Rohling and Bryden, 1992; Skliris and Lascaratos, 2004;
 256 Vargas-Yañez et al., 2010). This is of particular concern for closed oceans and the polar
 257 environment, where a change in fresh water input can modify the oceanic circulations and thus
 258 feedback on continental rainfall (Polcher, 2014). However as noted above, issues related to water
 259 quality remain beyond the scope of our survey.

260 **1.3 Aim and scope of this survey**

261 The aim of this review is to consider the associated scientific and data challenges, the state of
 262 current practice, and directions for future research around including human effects on terrestrial
 263 water cycle. In this paper and a companion paper (hereafter Nazemi and Wheeler, 2014), we focus
 264 on human-water activities manifested through water resource management and note that this is

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Deleted: There are two general applications for LSSs. First, LSSs are essential components of climate and weather-forecasting models, as they provide the dynamics of surface boundary conditions to the atmospheric models (Verseghy, 1991; Verseghy et al., 1993). Such applications are generally termed in the LSS community as online or coupled simulations (e.g., Entekhabi and Eagleson, 1989; Noilhan and Planton, 1989). A second area of application relates to offline simulations, typically at global, regional or large catchment scales, for assessment of impacts of climate or other environmental changes on land-surface processes. Offline LSSs are computationally much less demanding; they require atmospheric driving variables and simulate land-surface responses to climate but do not represent the effects of land responses on the atmospheric system. ¶ The importance of representing the water cycle in LSSs is well-established (see Pitman, 2003 and references therein) and there has been progressive development of LSSs in representing various components of the hydrologic cycle, such as soil moisture, vegetation, snowmelt and evaporation. As these processes also determine the hydrological response at the catchment and larger scales, LSSs have been applied frequently in offline hydrological modeling (e.g., Liang et al., 1994; Pietroniro et al. 2007; Adam et al., 2007; Livneh et al., 2011) and often compared to large-scale hydrological models, so called Global Hydrologic Models (GHMs) – see Haddeland et al., (2011). In early LSSs, hydrology was conceptualized as a simple lumped bucket model (Manabe, 1969), but this representation has progressively been improved by including more complexity and explicit physics into canopy, soil moisture and runoff calculations (see Deardorff, 1978; Dickinson, 1983, 1984; Sellers et al., 1986, 1994, 1996a; Nicholson, 1988; Pitman et al., 1990). Despite these improvements, major limitations and uncertainties remained in the hydrological simulations of LSSs, causing systematic bias in water and energy balance calculations. These deficiencies have been attributed (in part) to unrealistic assumptions and incomplete parameterizations of catchment response in LSSs (Soulis et al., 2000; Music and Caya, 2007; Sulis et al., 2011). Further attempts, therefore, have focused on including catchment scale runoff generation and routing processes in LSSs. For instance, Pietroniro et al. (2007) combined the streamflow modeling capability of WATFLOOD (Kouwen et al., 1993) with the land-surface parameterizations of CLASS (Verseghy, 2000). Similarly Oleson et al. (2008) improved the representation of hydrology in the 3rd generation Community Land Model (CLM3; Oleson et al., 2004), by including a simple hydrological model inspired by TOPMODEL (Beven and Kirby, 1979), and a simple groundwater model. The simulation results showed that these ...

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428 ~~subject to operational and policy constraints. We only consider water quantity aspects of water~~
429 ~~resource management, which we define as a suite of anthropogenic activities related to storage,~~
430 ~~abstraction and redistribution of available water sources for various human demands. Although a~~
431 ~~fully coupled representation of water resource management in Earth System models is not~~
432 ~~currently available, important progress is being made, and more generally a body of literature is~~
433 ~~gradually shaping around describing different aspects of water resource management in large-scale~~
434 ~~models, in particular within the context of GHMs. Nonetheless, there are still fundamental~~
435 ~~obstacles in including water resource systems within large-scale models.~~

436 ~~First, a fundamental principle in Earth System models as well as LSMs and GHMs is the~~
437 ~~conservation of water. To represent water resource management, therefore, it is necessary to fully~~
438 ~~capture water in a coupled human-natural system. To achieve this i) modeling complexity should~~
439 ~~be increased, ii) process representations related to both natural and anthropogenic systems should~~
440 ~~be improved and iii) modeling capability should be extended to new domains (see Polcher, 2014~~
441 ~~for an in-depth discussion). For instance, a large proportion of human demand is supplied by~~
442 ~~groundwater, which is often absent or crudely represented in both LSMs and GHMs and is widely~~
443 ~~considered disjoint from other elements of the Earth System such as climate.~~

444 ~~Second, multiple factors affect water resource management at the larger scales, such as climate,~~
445 ~~hydrology, land-cover and socio-economy as well as land and environment management.~~
446 ~~Moreover, real-world management decisions often include cultural values and political concerns~~
447 ~~(Gober and Wheater, 2014). These various influences are so far considered in isolation and the~~
448 ~~interactions among them are widely unseen (e.g., Beddington, 2013).~~

449 ~~Third, there is considerable lack of regional and global data concerning the actual use and operation~~
450 ~~of water resources systems, and therefore, large-scale models cannot be properly tuned or~~
451 ~~validated. This major limitation, for instance, has led the research community to use estimated~~
452 ~~demand as a surrogate for actual use. Lack of data about human operations can also introduce large~~
453 ~~uncertainty into simulations of terrestrial storage and runoff. For instance Gao et al. (2012) noted~~
454 ~~that the "...results from global reservoir simulations are questionable" as "there are no direct~~
455 ~~observations of reservoir storage".~~

456 ~~Fourth, there is a major gap between the scope of local operational water resource models and~~
457 ~~large-scale applications and research needs. Essentially, the scale at which local water resource~~

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488 management takes place is often within the sub-grid resolution of current large-scale models,
489 which requires narrowing the resolution in large-scale models for explicit representation (see
490 Wood et al., 2011) or adding more sub-grid heterogeneity into grid calculations for implicit
491 parameterization. In addition, there is (and will increasingly be) competition between various
492 water demands which requires allocation decisions. At this stage of model development, however,
493 it is still unclear how operational policies should best be reflected at larger scales. At the local
494 scale, detailed information on physical and operational systems as well as climate and water supply
495 conditions are available (or can be generated as scenarios; see e.g., Nazemi et al., 2013) and the
496 competition between demands is often reflected as an optimization problem. As the simulation
497 scale moves from local and small basin scales to regional and global scales, the data availability
498 degrades considerably and the high level of calculations within optimization algorithms cannot be
499 maintained, due to computational barriers as well as data availability issues.

500 Conceptually, water resource management at larger scales can be seen as an integration of two
501 fully interactive elements, related to water demand as well as water supply and allocation: Water
502 demand is constrained by water availability and drives water allocation, which results in extraction
503 from water sources and determines the extent of change in hydrological elements of the land-
504 surface. Moreover, as noted briefly above, perturbations in the terrestrial water cycle due to water
505 resource management can further interact with other elements of the Earth System, particularly
506 with climate (see Figure 1). To assess the impacts of water resource management on land-surface
507 processes and associated feedbacks with climate, the elements of water demand and water
508 allocation should be described using computational algorithms and included in large-scale models.
509 For the purpose of our survey, and reflecting the state of algorithm development and data
510 availability, we focus in this paper only on the representation of water demand, and in the Nazemi
511 and Wheater (2014) on water supply and allocation. Here, we classify human-water demands under
512 two general categories, namely irrigative and non-irrigative, and further divide non-irrigative
513 demands into municipal, industrial, environmental, energy-related, and livestock water needs. This
514 is useful to put current algorithms and modeling applications into context. Accordingly, we discuss
515 how these demands are characterized using various computational algorithms. As will be shown
516 later in this paper, human demands are mainly quantified either using downscaling (i.e. top-down
517 approaches) or through direct modeling at the grid scale (i.e., bottom-up approaches). Depending
518 on the type of application, the algorithms can be included in a wide range of large-scale models.

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526 Throughout our review, we consider both offline and online implications of water demand. Offline
 527 simulations assess the effects of water demand on land-surface processes without considering the
 528 associated feedbacks to the climate system, but can be linked to atmospheric driving variables to
 529 simulate land-surface and/or hydrological responses to climate and water resource management.
 530 Online models also account for the effects of water demand on land-atmospheric feedbacks and
 531 are further coupled with climate models. This is done by considering the effects of water demand
 532 on the dynamics of land-surface variables and updating the surface boundary conditions in climate
 533 models (Verseghy, 1991, 2000; Verseghy et al., 1993). Online applications are also termed in the
 534 LSM community as coupled land-atmospheric simulations (e.g., Entekhabi and Eagleson, 1989;
 535 Noilhan and Planton, 1989) and are more computationally demanding comparing to offline
 536 simulations. While off-line models include both LSMs and GHMs, it should be noted that GHMs
 537 cannot be used for online applications as they do not account for the energy balance and therefore
 538 cannot fully represent land-atmosphere feedbacks.

539 The structure of this paper is as follows: In Section 2 we highlight the impacts of irrigative and
 540 non-irrigative water demands on the terrestrial water cycle and land-atmospheric feedbacks.
 541 Sections 3 and 4 provide an overview of available representations of irrigative and non-irrigative
 542 demands at larger scales, respectively. In section 5, we briefly explore state-of-the-art applications
 543 and highlight current limitations and uncertainties in estimating current and future water demand
 544 and associated online and offline impacts. We further discuss current gaps in Section 6 and provide
 545 some suggestions for future developments. Finally, Section 7 summaries this first part of our
 546 survey and outlines our main findings with respect to representing human water demand.

548 2 Types of human demand and their impacts on the water cycle

549 Human water demands can be divided into irrigative and non-irrigative categories. Irrigation is the
 550 dominant human water use and has significantly intensified since the 1950s, due to population
 551 growth and technological development (Steffen et al., 2011). This has major importance for global
 552 food security, as it produces approximately 40 percent of the world's food (Abdullah, 2006).
 553 Currently, around 25 percent of harvested crop area is irrigated (Portmann et al., 2010). This
 554 accounts for some 90 percent of water consumption at the global scale (Döll et al., 2009; Siebert
 555 et al., 2010), which is around 70 percent of the total water withdrawals from surface and

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592 groundwater resources (Wisser et al. 2008; Gerten and Rost, 2010). Clearly supplying such a large
593 water demand can severely disturb the “natural condition” by decreasing streamflow volume (e.g.,
594 Meybeck, 2003; Gaybullaev et al., 2012; Lai et al., 2014) and groundwater levels (e.g., Rodell et
595 al., 2009; Gleeson et al., 2012; Wada et al., 2010; 2012, 2013a). Currently, surface water is the
596 main supplier of global irrigative needs, accounting for 57 percent of the total consumptive
597 irrigation use at the global scale (Siebert et al., 2010).

598 Apart from driving hydrological changes, irrigation-induced changes in soil-moisture can affect
599 land surface-atmosphere feedbacks (see Eltahir, 1998). Pokhrel et al. (2012) showed that increased
600 soil water content through irrigation substantially enhances evapotranspiration, and therefore
601 transforms the surface energy balance. Evapotranspiration due to irrigation leads to cooling of the
602 land surface (e.g., Haddeland et al., 2006; Betts et al., 2007; Saeed et al., 2009; Destouni et al.,
603 2010), as well as enhanced cloud cover and chance of convective precipitation (e.g., Moore and
604 Rojstaczer, 2001; Douglas et al., 2009; Harding and Snyder, 2012a, b; Qian et al., 2013). Irrigation
605 may also alter regional circulation patterns due to temperature difference between irrigated areas
606 and neighboring regions (e.g., Kueppers et al., 2007; DeAngelis et al., 2010; Wei et al., 2013).
607 Over highly irrigated regions, this can mask important climate change signals. Gerten et al. (2011),
608 for instance, showed that the irrigation in South Asia has offset the increasing temperature in the
609 region. ↓

610 Non-irrigative water demands include municipal and industrial uses, energy-related withdrawals,
611 other agricultural uses, such as livestock, as well as designated environmental water uses, which
612 can be an important constraint on water management. Non-irrigative demands contribute a lesser
613 proportion to total human water use at the global scale. This proportion, however, has significant
614 spatial variability (Vassolo and Döll, 2005; Flörke et al., 2013) as regional differences in
615 population, income, life style and technological developments can alter the extent of non-irrigative
616 demand significantly (e.g., Alcamo et al., 2003; Flörke and Alcamo, 2004; Hejazi et al., 2013a).
617 However, while irrigation is predominantly a consumptive water use, only a small portion of the
618 non-irrigative withdrawal is consumptive (e.g., Hanasaki et al., 2013a). Non-irrigative
619 withdrawals, therefore, partially or totally return to surface water or groundwater systems with
620 varying degrees of time lag. Still, this can considerably perturb the streamflow regime (e.g.,
621 Maybeck, 2003; Förste and Lilliestam, 2010). Non-irrigative water demands are currently on a
622 rapid incline due to growing population and industrial development. This can increase water stress

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631 in both time and space (Hejazi et al. 2013a,b,c,d). As non-irrigative demands are mainly non-
632 consumptive, they are less likely to change the energy balance and/or perturb the atmospheric
633 moisture condition significantly and therefore they are less relevant to land-atmospheric
634 interactions. However, changing timing of flows can have significant local effects, for example on
635 wetland inundation. Similarly, for some large-scale mining activities, in which the extent of water
636 withdrawals is considerable, the associated changes in soil moisture and land-cover can be
637 potentially relevant to land-atmospheric feedbacks. To the best of our knowledge, such online
638 considerations for non-irrigative withdrawals have not yet been explored in the literature.

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640 3 Available representations of irrigative demand in large-scale models

641 Irrigation is an important element of water resource management and has been explored more in
642 depth than non-irrigative demands. For simplifying our presentation, we classify current
643 representations with respect to the scale (regional vs. global) and/or mode of simulation (offline
644 vs. online). Tables 1 and 2 summarize representative examples of offline simulations at both
645 regional (Table 1) and global (Table 2) scales. Table 3 presents some online examples. In brief,
646 current, online applications have mainly been performed at rather fine temporal and spatial
647 resolutions with shorter simulation periods than offline representations. In contrast, a wide
648 spectrum of host models (i.e. large-scale models in which the irrigation algorithm is embedded),
649 as well as forcing and land-use data, has been used in current offline examples (see Tables 1 and
650 2). Model resolutions in offline applications can vary from 1 hour (e.g., Leng et al., 2013) to 1 day
651 (e.g., Haddeland et al., 2007) in time and a few kilometers (e.g., Sibert and Döll, 2010; Nakayama
652 and Shankman, 2013) to a few hundred kilometers (e.g., Gueneau et al., 2012) in space. Moreover,
653 offline irrigation demand calculations have already been performed globally under future climate
654 conditions.

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655 3.1 Framework and general procedure

656 Irrigated lands normally introduce heterogeneity into the computational grids of LSMs and GHMs.
657 Such sub-grid heterogeneity can be represented as an additional “tile” similar to forested land, bare
658 soil and snow cover (Polcher et al., 2011). Essentially, irrigation algorithms are required to
659 estimate the irrigation demand, and accordingly irrigative water use, at the grid scale. Here we

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677 refer to the irrigation demand as the water required for ideal crop growth in addition to available
678 water from precipitation. To simulate the grid-based irrigation demand, crop type and the extent
679 of irrigated regions and growing seasons should be first identified. The location and area of
680 irrigation districts and the associated crop types can be extracted from regional and global data
681 sets (e.g., USDA, 2002; 2008; Siebert et al., 2005, 2007; Portmann et al., 2010) and/or remotely
682 sensed data (e.g., Adegoke et al. 2003; Qian et al., 2013). There are two general approaches for
683 identifying growing seasons. The choice of these options depends on the level of detail in the host
684 model. In simpler models, where no energy-balance calculation is available (i.e. GHMs), crops
685 can grow when and where simple temperature- and precipitation-based criteria are met (e.g., Döll
686 and Siebert, 2002). In more detailed models (i.e. LSMs) the optimal growing season can be
687 identified based on biophysical conditions of crop growth and/or soil water, canopy and energy
688 balance conditions to estimate the cropping period that is necessary to obtain mature and optimal
689 plant biomass (e.g., Rost et al., 2008; Pokhrel et al., 2012). Both approaches are subject to
690 uncertainty. On one hand, models with fixed crop calendars ignore inter-annual variability in
691 growing seasons. On the other hand, even models with fully dynamic crop growth algorithms may
692 misrepresent the seasonality. After the growing season is identified, the irrigation demands (and
693 under some assumptions, actual irrigation withdrawals) at each simulation time step can be
694 calculated. A variety of top-down and bottom-up procedures are available for calculating the
695 irrigation demand in large-scale models and are reviewed further below. If the irrigation demand
696 is completely fulfilled, then the actual evapotranspiration would be equal to crop-specific
697 evapotranspiration under standard conditions (see Allen et al., 1998). In offline applications, the
698 irrigation rate can perturb soil moisture content, evaporation, deep percolation and runoff in
699 irrigated tiles (e.g., Hanasaki et al., 2008a,b; Wada et al., 2011, 2012, 2013a). In online
700 applications, the vertical vapor and heat fluxes need also to be considered. The total fluxes for each
701 grid can be then calculated as the sum of the flux contributions from irrigated and non-irrigated
702 portions of the grid (e.g., Haddeland et al., 2006; Pokhrel et al., 2012), and can be further
703 introduced to climate models as coupled surface boundary conditions (e.g., Sorooshian et al., 2011;
704 Harding and Snyder, 2012a,b).

705 3.2 Top-down algorithms for calculating irrigation demand

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Essentially, irrigation algorithms require identifying the extent of irrigated regions and growing seasons. The location and area of irrigation districts and the associated crop types can be extracted from regional and global data sets (e.g., USDA, 2002; 2008; Siebert et al., 2005, 2007; Portmann et al., 2010) and/or remotely sensed data (e.g., Adegoke et al. 2003; Qian et al., 2013). There are two general approaches for identifying growing seasons. The choice of these options depends on the level of detail in the host model. In simpler models, where no energy-balance calculation is available, crops can grow when and where simple temperature- and precipitation-based criteria are met (e.g., Döll and Siebert, 2002). In more detailed models the optimal growing season can be identified based on biophysical conditions of crop growth and/or soil water, canopy and energy balance conditions to estimate the cropping period that is necessary to obtain mature and optimal plant biomass (e.g., Rost et al., 2008; Pokhrel et al., 2012). This latter approach is applied mainly in the context of global vegetation models and to some extent in LSSs. After the growing season is identified, the irrigation demands (and under some assumptions, actual irrigation withdrawals) at each simulation time step can be calculated. The irrigation demand is the water required for ideal crop growth, in addition to available water. A variety of top-down and bottom-up procedures are available for calculating the irrigation demand in large-scale models and are reviewed further below. If the irrigation demand is completely fulfilled, then the actual evapotranspiration would be equal to crop-specific evapotranspiration under standard conditions (see Allen et al., 1998). In offline applications, the irrigation rate can perturb soil moisture content, evaporation, deep percolation and runoff in irrigated tiles (e.g., Hanasaki et al., 2008a,b; Wada et al., 2011, 2012, 2013a). In online applications, the vertical vapor and heat fluxes need to be also considered. The total fluxes for each grid can be then calculated as the sum of the flux contributions from irrigated and non-irrigated portions of the grid (e.g., Haddeland et al., 2006; Pokhrel et al., 2012), and can be further introduced to climate models as coupled surface boundary conditions (e.g., Sorooshian et al., 2011; Harding and Snyder, 2012a,b). ¶

764 In top-down approaches, the irrigation demand is not directly calculated, but estimated based on
 765 downscaling information available at coarser scales, often at national or geopolitical scales. Such
 766 information is based on census-based inventories (e.g., Sacks et al., 2009) or socio-economic
 767 model outputs (e.g., Voisin et al., 2013). Top-down approaches are highly influenced by the
 768 availability of global data on water use, such as FAO's Information System on Water and
 769 Agriculture (AQUASTAT; <http://www.fao.org/nr/water/aquastat/main/index.stm>), which
 770 provides annual inventory data on national (and in some cases also sub-national) scales, and has
 771 been extended to include socio-economic model outputs. An example of such a model is the Global
 772 Change Assessment Model (GCAM; Wise and Calvin 2009; Wise et al., 2009a,b), which estimates
 773 agricultural production based on socio-economic variables, from which the irrigation water use is
 774 indirectly calculated using the water required for each crop per unit of land. Downscaling is
 775 performed mainly using land-use, technological and/or socio-economic proxies. There are various
 776 sources of uncertainty associated with top-down algorithms. First, both inventory and model-based
 777 products have major limitations due to their spatial and temporal scales as irrigation practices are
 778 highly variable within a country and a typical year. Moreover, the quality of both census and
 779 model-based products is poor. For instance, there are inconsistencies between census data and data
 780 quality varies from country to country (see Portman et al. 2010 for a detailed discussion). Also,
 781 socio-economic models widely ignore water availability constraints (Hejazi et al., 2013d). As a
 782 result, calculation of irrigation demand is mainly pursued through bottom-up schemes.

783 3.3 Bottom-up algorithms for calculating irrigation demand

784 In contrast to top-down schemes, bottom-up approaches estimate the irrigation demand directly at
 785 the grid scale by mimicking the optimal crop growth for irrigated tiles. Despite major limitations
 786 due to the heterogeneity in soil and crops, bottom-up algorithms have been widely used in the
 787 literature. These algorithms include a range of modeling assumptions; however, they are all
 788 centered around estimation of an ideal crop water requirement, i.e. where there is no water deficit.
 789 This requirement is based on estimation of "potential evapo(transpi)ration", which characterizes
 790 the atmospheric moisture deficit (Hobbins et al. 2008). There are multiple approaches to estimate
 791 the potential evapo(transpi)ration, and the estimates obtained may vary considerably. LSMs that
 792 resolve the diurnal cycle typically include detailed energy balance calculations (see Milly, 1992;
 793 Barella-Ortiz et al., 2013 for a detailed description). Alternative approaches adopt a variety of

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845 methods are heavily influenced by FAO's guidelines for calculating irrigation water requirements
 846 (see Allen et al., 1998) and are mainly used in GHMs, where the evapotranspiration is calculated
 847 for a reference crop and corrected as a function of crop type and development stage using a set of
 848 empirical coefficients. Various methods are used to characterize the reference evapotranspiration,
 849 such as FAO Penman-Monteith (Allen et al., 1998), Priestley and Taylor (1972) and modified
 850 Hargreaves (Farmer et al., 2011) to name a few (see McKenney and Rosenberg (1993) for more
 851 examples). The choice of appropriate formulation for reference evapotranspiration is rather
 852 arbitrary and depends largely on the data availability as well as the level of detail supported in the
 853 host model. It should be noted that due to the difference in estimation of evaporation, incorporating
 854 FAO's guidelines for estimation irrigation demand in LSMs In LSMs, the calculation of potential
 855 evaporation is rather different.

856 Here we briefly explain the currently available bottom-up algorithms, from the more simple to the
 857 more comprehensive algorithms, and highlight their strengths and weaknesses.

858 In the most simple bottom-up representations, the irrigation demand at every time step is the water
 859 required to bring the soil moisture at the root zone to saturation (e.g., Lobell et al., 2006; Harding
 860 and Snyder, 2012a,b), which describes an extreme demand condition and clearly overestimates the
 861 actual irrigation water requirement (Sacks et al., 2009). In a more realistic but still naïve
 862 representation, the soil moisture requirement during the growing season is considered to be the
 863 field capacity (e.g., Nakayama and Shankman, 2013); therefore, the irrigation water need is the
 864 water required to bring the soil moisture to field capacity. The description of the irrigation demand
 865 based on the field capacity can also overestimate the actual water requirements, as the evaporation
 866 often reaches potential level before the soil reaches field capacity. The threshold at which the
 867 evaporation reaches potential evaporation is crop-dependent, but often considered as a constant
 868 value in large-scale models. As an offline example, Hanasaki et al. (2008a) assumed that paddy
 869 and non-paddy crops require soil moisture content of 100 or 75 percent of the field capacity at the
 870 root zone with constant depth at the global scale. Yoshikawa et al. (2013) later updated the
 871 assumption for non-paddy soil moisture requirement and used 60 percent of field capacity,
 872 referring to the requirement for wheat. This is again rather unrealistic as (1) by assuming a constant
 873 percentage of the field capacity for all crop types, the diversity in crop water requirement is
 874 ignored; and (2) a constant root zone depth at the global scale can result in misestimating the
 875 irrigation demand. There are attempts to address these limitations. For instance, Sorooshian et al.

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902 (2011) assumed that the required soil moisture content can change ~~for~~ each grid based on the
903 dominant crop. Leng et al. (2013) and Qian et al. (2013) implemented root growth in their irrigation
904 demand algorithm to avoid overestimation of demand due to a constant root zone. It should be
905 noted that calculating the root growth is also subject to uncertainty; however, associated limitations
906 remain beyond the scope of this paper.

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907 More realistic definition of irrigation water demand ~~are~~ based on the difference between the crop-
908 dependent potential evapotranspiration and available crop water. This definition has been widely
909 used in global irrigation demand projections (see Table 2). In earlier examples (e.g., Döll and
910 Siebert, 2002; de Rosnay et al., 2003), crop development is described by constant monthly
911 multipliers for potential evapotranspiration and the effective rainfall is used as a surrogate for
912 available crop water. In more advanced algorithms, the correction factors are considered as
913 functions of daily climate, stage of vegetation and root growth. Moreover, actual
914 evapotranspiration or soil moisture content can be used instead of effective rainfall (Haddeland et
915 al., 2006, 2007; Gueneau et al., 2012). There are two key limitations associated with this approach
916 to simulation of irrigation demands. First, FAO's definition of irrigation water requirement
917 considers both transpiration from crop and evaporation from soil. It has been noted that this
918 quantification may result in overestimating the irrigation demand and may not properly represent
919 the dynamics of vegetation (Polcher et al., 2011). Second, it is assumed that crop growth is a
920 function of water availability only; therefore, the effects of other drivers such as CO₂ on
921 photosynthesis are wholly ignored.

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922 Some efforts try to overcome these limitations by defining irrigation demand based on potential
923 transpiration instead of potential evapotranspiration (e.g., Wada et al., 2011, 2012), ~~in conjunction~~
924 ~~with models that have~~ more comprehensive vegetation schemes. ~~Potential transpiration is the~~
925 ~~transpiration that would occur if the crop is not water stressed. Potential transpiration takes into~~
926 ~~account CO₂ fertilization effects and can represent the adaptation of the plants to climatic~~
927 ~~conditions and/or crop growth cycles, if the host model is equipped with relevant calculations~~
928 ~~(Guimberteau et al., 2012); therefore, this approach is mainly used in LSMs with detailed~~
929 ~~consideration of vegetation growth. As an example,~~ Rost et al. (2008) coupled a transpiration
930 deficit algorithm with the Lund-Postdam-Jena managed Land scheme (LPJmL; Bondeau et al.,
931 2007), which has a detailed vegetation growth module based on carbon and water availability (see
932 Sitoh et al., 2003; Gerten et al., 2004). The crop water limitation was calculated based on the

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945 atmospheric water deficit, soil moisture, plant hydraulic states as well as the CO₂ effects.
946 Considering the effects of both carbon and water in vegetation can provide a basis for explicit
947 linkage between CO₂ emission, crop growth and irrigation water requirement. This would be
948 important for future predictions under increasing CO₂ effects. Moreover, some recent simulations
949 showed that the irrigation requirement changes if a dynamic growth model is used; and this can
950 improve the partitioning of latent heat flux, which is relevant to online applications (e.g., Lu,
951 2013). Nonetheless, it should be noted that the success of potential transpiration algorithm depends
952 strongly on the way various tiles are treated at the grid scale. Normally, LSMs can define multiple
953 crops at the grid scale and can distinguish the various water needs across different tiles within a
954 grid. If potential transpiration is implemented consistently with sub-grid soil moisture divisions,
955 then the water taken from the irrigated tiles optimizes photosynthesis and is only evaporated by
956 the crops and not used by other surface types (e.g. bare soil, non-irrigated crops etc.). In contrast,
957 if all tiles share the same soil moisture reservoir at the grid scale, irrigation will increase the soil
958 moisture and evaporation and therefore reduce water stress over the whole grid.

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959 **3.4 Projection of irrigative demand**

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960 From water and food security perspectives, particularly under various global change scenarios, it
961 is crucial to investigate future irrigation demand and assess various possibilities for irrigation
962 deficit. Climate model projections under IPCC emission scenarios (IPCC, 2000) have been widely
963 used to force bottom-up irrigation demand algorithms (e.g.; Arnell, 1999; Wada et al., 2013b;
964 Rosenzweig et al., 2013). Efforts have been also made to include intermediate socio-economic
965 scenarios that can be matched to current climate change scenarios (see e.g., Arnell, 2004; Fischer
966 et al., 2007; Alcamo et al., 2007). For irrigation, intermediate scenarios describe changes in
967 irrigated areas and irrigation efficiency as well as crop type, using empirical approaches. For
968 example, Hanasaki et al. (2013a) recently proposed intermediate scenarios based on newly
969 developed Shared Socio-economic Pathways (SSPs; Kriegler et al., 2012; see also Moss et al.,
970 2010), which are consistent with Representative Concentration Pathways (RCPs; Meinshausen et
971 al., 2011; Taylor et al., 2012). Constructing intermediate scenarios using empirical procedures,
972 however, is uncertain as mechanisms that link irrigation expansion to socio-economic factors are
973 not fully known and current empirical relationships can contain large uncertainties. More dynamic
974 linkage between irrigation expansion and socio-economic drivers can be provided by coupled

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980 socio-economy-energy-carbon models. One emerging model of such a kind is GCAM, which has
981 been recently implemented for simulating the future expansions in irrigation areas and demands
982 (Hejazi et al., 2013b,c,d) as well as policy implications for irrigation water requirements (e.g.,
983 Chaturvedi et al., 2013a,b). Although these models can represent the dynamic effects of various
984 drivers on irrigation, they remain uncertain as their simulations are rather coarse and do not
985 incorporate water availability constraints. There are emerging efforts to avoid this limitation by
986 linking the irrigation demand to climate, economy and water management constraints. This can
987 result in prediction of regions in which irrigation can be developed and sustained considering
988 changing climate, water availability, water price and water management infrastructure. (see
989 Nassopoulos et al., 2008, 2012). Such approaches however have not been applied at larger regional,
990 and global scales.

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Moved up [3]: they often consider irrigation development only as a function of growths in economy and energy-use; therefore, water availability constraints are widely ignored (Hejazi et al., 2013d).

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992 **4 Available representations of non-irrigative demand**

993 **4.1 Forms and drivers of non-irrigative demand**

994 Non-irrigative water demands relate to a wide range of environmental, municipal, industrial and
995 energy-related uses, as well as other agricultural water needs (e.g., livestock), and include both
996 consumptive and non-consumptive withdrawals. Among these, livestock water demand is assumed
997 fully consumptive, and can be estimated by livestock number and demand per livestock head (e.g.,
998 Wada et al., 2011; Strzepek et al., 2012b; Hejazi et al., 2013d). Wada et al. (2013a) made a further
999 improvement by estimating daily livestock requirements at 0.5°×0.5° spatial resolution using
1000 livestock data of Steinfeld et al. (2006). Daily demand was considered as a function of daily
1001 temperature.

1002 In contrast to livestock water demand, environmental flow needs can be considered as a fully non-
1003 consumptive need, required to protect rivers' health and aquatic life. Considering the extent of
1004 environmental degradation at the global scale, accounting for environmental flow needs becomes
1005 more and more relevant and should be considered as an integral part of water resource management
1006 at larger scales (Smakhtin et al., 2004). Tharme (2003) made an extensive review on available
1007 methodologies for estimating the environmental flow needs and identified more than 200
1008 methodologies based on various hydrological, hydraulic rating, habitat simulation and holistic

1020 guidelines at the river basin scale. There are also some recent trends to involve scientists, water-
1021 resource managers and stakeholders to analyze available hydrological information and convert
1022 them into ecologically based and socially acceptable goals for estimating the environmental flow
1023 needs (see Poff et al., 2009). Such procedures however are widely dependent on the availability of
1024 relevant information, and therefore, cannot be easily implemented in large-scale models.
1025 Currently, implementation of environmental flow needs in large-scale models remains rather
1026 limited and simplistic and these needs are often calculated based on generic rules. For instance,
1027 Smakhtin et al. (2004) assigned thresholds for fair (Q90), natural (Q50) and good (Q75) natural
1028 flow conditions. Shirakawa (2004, 2005, referenced from Hanasaki et al., 2008a) distinguished
1029 between two factors, i.e. minimum and perturbation flow requirements, which can also
1030 accommodate transient streamflow conditions. Currently, the perturbation flow requirements are
1031 often ignored in large-scale models and the environmental needs are estimated as a minimum flow
1032 threshold (often Q90 or 10 percent of mean annual), which should be maintained (e.g. Hanasaki et
1033 al., 2008a; Döll et al., 2009; Strzepek et al., 2010, 2012b; Blanc et al., 2013). Other rules have
1034 been also suggested. For instance, Haddeland et al. (2006) considered a seven-day consecutive low
1035 flow with a ten-year recurrence period as the environmental flow requirement. Although these
1036 rules are easily implementable at larger regions and global scales, they widely ignore natural
1037 system complexity and the local policy context and can contribute to misunderstanding of the
1038 extent of environmental water stress (Arthington et al., 2006).

1039 At this stage of model development, municipal, industrial and energy-related water demands are
1040 the most dominant forms of non-irrigative uses, and can be considered as complex functions of
1041 socio-economic and technological factors, with high variability in time and space. Population is
1042 the most significant factor driving these withdrawals (e.g., Alcamo et al., 2003; Hanasaki et al.,
1043 2008a; Wada et al., 2013a). National Gross Domestic Product (GDP) is also a strong factor (e.g.,
1044 Gleick, 1996; Cole, 2004; Wada et al., 2011). Hughes et al. (2010) showed that, in general, water
1045 uses per capita are greater in developing than developed countries due to low-tech water delivery
1046 and industrialization. It must be noted, however, that higher GDP may trigger more municipal
1047 water use per capita (Alcamo et al., 2007), although in various advanced economies, such as the
1048 USA, this has been decreasing with adoption of standards for greater efficiency of domestic
1049 appliances. Strzepek et al. (2010) argued that industrial water use increases with the level of
1050 resource industry and decreases when a country moves toward the service sector. Industrial

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1060 technology is another important factor for non-irrigative use as the extent of both consumptive and
1061 non-consumptive uses can significantly change based on the type of technology. Macknick et al.
1062 (2011), for instance, provided estimates of total water withdrawals and consumption for most
1063 electricity generation technologies within the US. Comparing to recirculating cooling technology,
1064 they noted that once-through cooling requires 10 to 100 times more water withdrawal per unit of
1065 electric generation. However, the later consumes less than half of the water, consumed by
1066 recirculating cooling technology. Climate can be another important factor controlling both
1067 consumptive and non-consumptive withdrawals (e.g., Wada et al., 2011, 2013a, Hejazi et al.,
1068 2013a, Voisin et al., 2013), but it has been often ignored as an explicit driver of non-irrigative
1069 water demand.

Moved up [11]: Industrial technology is another important factor for non-irrigative use as the extent of both consumptive and non-consumptive uses can significantly change based on the type of technology.

1070 **4.2 Top-down algorithms for estimation of grid-based non-irrigative withdrawals**

1071 Unlike irrigation demand, top-down approaches have been widely used to transfer national or
1072 geopolitical data to basin or grid scales. Various downscaling procedures have been suggested,
1073 based on different proxies (see Table 4). These top-down schemes are heavily influenced by the
1074 availability of national and global datasets and the downscaling algorithms within the Water –
1075 Global Assessment and Prognosis scheme, which is a global water budget and use model
1076 (WaterGAP; Alcamo et al., 1997, 2003, 2007). Currently, the availability of different global
1077 information sources has provided the opportunity to generate gridded products from different
1078 sources. As an example, Hanasaki et al. (2008a) merged the FAO-AQUASTAT data with
1079 population distributions and national boundary information from Columbia University (CIAT,
1080 2005) and the consumptive ratios of Shiklomanov (2000) to come up with gridded industrial and
1081 municipal water withdrawals and uses at the global scale. More detailed information on various
1082 industrial uses resulted in breaking down the industrial withdrawals into their components. For
1083 instance, Vassolo and Döll (2005) distinguished between industrial water uses related to
1084 thermoelectric power generation and manufacturing production. Temporal disaggregation of
1085 annual withdrawals, however, has received much less attention. Recently Wada et al. (2011,
1086 2013a) and Voisin et al. (2013) developed simple algorithms to disaggregate annual data to
1087 monthly and daily estimates (see Table 5).

1088 **4.3 Projection of non-irrigative demand**

1093 Characterizing the past and future evolution of non-irrigative demands is required to understand
1094 the mechanisms controlling water use and water allocation. Current projections have coarse
1095 temporal and spatial resolution and describe non-irrigative demands as functions of socio-
1096 economic and technological developments (e.g., Davies et al., 2013; Blanc et al., 2013; Hejazi et
1097 al., 2013b,d; Voisin et al., 2013). These changes can be characterized by intermediate socio-
1098 economic and technological scenarios, as briefly explained above for irrigation expansion (see
1099 Section 3.4). The projected demands can be further downscaled using various proxy variables, as
1100 explained in Section 4.2. Table 6 summarizes some representative efforts, which can be classified
1101 as explicit and implicit algorithms. In explicit algorithms, changes in water withdrawals are
1102 directly described as functions of changes in socio-economy, technology and water price using
1103 simple parametric structures (e.g., Strzepek et al., 2012b; Flörke et al., 2013; Hanasaki et al.,
1104 2013a; Hejazi et al., 2013a). The parameters can be assigned using the available global and
1105 regional data. In implicit procedures, first the production (or population) is estimated based on
1106 integrated economy and population models or prescribed scenarios. By considering the amount of
1107 water withdrawal per unit of production (or population) and accounting for technological and/or
1108 socio-economic shifts, water withdrawals are consequently projected.

1109

1110 **5 State of large-scale modeling applications**

1111 The algorithms reviewed in Sections 3 and 4 have had a wide range of online and offline
1112 applications. Comparing to offline applications, online simulations are still [at a relatively early](#)
1113 [stage of](#) development; they [typically](#) only include irrigation, mainly implemented at regional scale
1114 and under current conditions, and present rather contradictory results. Offline applications in
1115 contrast include both irrigative and non-irrigative demands, performed under current and future
1116 conditions, and provide relatively more consistent results. Here, we briefly summarize recent
1117 applications and highlight the limitations in current simulations.

1118 **5.1 Online representation**

1119 Recent studies have shown that including irrigation in coupled land-surface schemes can generally
1120 improve climate simulations. With respect to regional temperature, for instance, Saeed et al. (2009)
1121 showed that representing irrigation activities over north-western India and Pakistan can reduce

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1125 climate model simulation bias by 5 degrees. It should be noted, however, that there are still large
1126 disagreements in quantifying the effects of irrigation on regional and global temperature (see e.g.,
1127 Boucher et al., 2004 vs. Lobell et al., 2006), mainly attributed to the difference in the implemented
1128 irrigation demand calculations. Sacks et al. (2009) tried to overcome the limitations in demand
1129 algorithms by downscaling the AQUASTAT irrigative water use data to the grid scale. They
1130 concluded that irrigation has significant importance for regional temperature, but at global scale
1131 the temperature cooling in some regions due to irrigation is cancelled by temperature warming in
1132 some other areas due to climate, land-cover and circulation changes. There are, however, some
1133 limitations in their study, as the irrigation demand did not vary between years and they applied
1134 irrigation only when the LAI is around 80% of the annual LAI. These assumptions can result in
1135 large uncertainty.

1136 Irrigation-induced precipitation has been studied for quite some time and [irrigation](#) has been shown
1137 to have a significant [effect](#) on local and regional precipitation patterns (e.g., Barnston and
1138 Schickedanz, 1984; Moore and Rojstaczer, 2001). For instance despite regional decline,
1139 Tuinenberg et al. (2011) found a positive precipitation trend in climate stations located in the
1140 irrigated regions of the Southern Asia. Lucas-Picher et al. (2011) tested four climate models and
1141 argued that lack of representing irrigation is the main reason for precipitation bias over [the Indian](#)
1142 [Monsoon area](#). [Guimberteau et al. \(2012\) showed that irrigation can also affect the onset of mean](#)
1143 [monsoon date over the Indian peninsula, leading to a significant decrease in precipitation during](#)
1144 [May to July](#). Nonetheless, there are still large disagreements in (1) identifying the dominant
1145 mechanisms that drive the irrigation-induced precipitation; and (2) estimating the amount and
1146 spatial extension of change in precipitation. DeAngelis et al. (2010) noted that the growing season
1147 precipitation increased in the Great Plains of the U.S. during the 20th century as a result of
1148 intensive irrigation. Using vapor tracking analysis, they indicated that evaporation from irrigated
1149 lands adds to downwind precipitation, which increases as the evaporation increases. Harding and
1150 Snyder (2012a,b), however, noted that the extent of effects on precipitation also depend on the
1151 antecedent soil moisture. They argued that in low soil moisture conditions, further irrigation can
1152 result in suppression of regional precipitation. [Guimberteau et al. \(2012\) argued that these](#)
1153 [contrasting results might be due to differences in local moisture, where the irrigation is applied.](#)
1154 [Based on a 30-year simulation, they showed an increase in summer precipitation over the arid](#)
1155 [western region of the Mississippi river basin in association with enhanced evapotranspiration.](#)

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1157 However, a decrease in precipitation was identified over the wet eastern part of the basin. These
1158 results, however, are based on only one set of models and the coarse grid resolution might degrade
1159 the quality of simulations. With respect to the scale of disturbance, Sorooshian et al. (2011) showed
1160 that irrigation over California's Central Valley significantly decreases local temperature and
1161 increases local precipitation; however, they argued that the effects of irrigation do not expand far
1162 from the place where irrigation takes place. In contrast, Lo and Famiglietti (2013) argued that
1163 irrigation in California's Central Valley intensifies the water cycle in the southwestern US and can
1164 increase the flow in the Colorado River.

1165 There are two main limitations associated with available simulations of irrigation-induced rainfall
1166 discussed above. First, in most of the online studies, water availability is not a constraint. As a
1167 result, the water balance is not closed and they simply analyze whether evaporation increase can
1168 enhance atmospheric moisture convergence or not. This can be considered as a major limitation as
1169 the available water can control the extent of irrigation (and consequently evaporation) and stabilize
1170 the associated feedback processes. In other words, increased evaporation due to irrigation in a
1171 source region causes increased precipitation at neighboring catchments, which in turn reduces
1172 water availability at the source region and thus decrease the local evaporation. Second, it is known
1173 that sharp landscape contrasts (i.e. transitions between wet and cool as well as dry and hot areas)
1174 critically affect rainfall formation (e.g., Taylor 2009; Taylor et al., 2012). Although irrigation can
1175 create such transitions due to enhanced evaporation and decreased surface temperature, current
1176 LSMs are generally unable to generate the atmospheric perturbations due to these transitions
1177 (Polcher, 2014). Due to these limitations, the results of current sensitivity analyses should be
1178 considered with caution.

1179 Online simulations under future climate change are limited and have been performed mainly at
1180 regional scales. Gerten et al. (2011) used a nested regional climate model to dynamically
1181 downscale the future simulations of a global climate model over the Southern Asia and considered
1182 two modes of simulation, with or without irrigation. They concluded that including irrigation can
1183 result in roughly half of the temperature increase, predicted without representing irrigation. With
1184 respect to future precipitation, simulation with and without irrigation both showed a decrease in
1185 precipitation over northern India and increase in precipitation over the southern peninsular; the
1186 latter was enhanced with irrigation. They noted that the increase in precipitation cannot be seen if
1187 the global scale simulations are not dynamically downscaled. This highlights the importance of

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1194 including irrigation schemes in regional climate models for dynamic downscaling of future climate
1195 change scenarios.

1196 In summary, despite current limitations and differences in the host climate and LSM models,
1197 irrigation demand algorithms and simulation settings, significant feedback effects are associated
1198 with irrigation. Large uncertainties, however, exist in current coupled irrigation-land-surface-
1199 climate modeling, which emphasize the need for more research in this area.

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1200 5.2 Offline representation

1201 Offline representation of water demands is more common and a wide variety of GHMs and LSMs
1202 in conjunction with different demand algorithms have been used to simulate the dynamics of water
1203 demand under both current and future conditions. The available global simulations under current
1204 conditions are compared and summarized in Wada et al. (2013a) and Chaturvedi et al. (2013a,b)
1205 for irrigative demands and in Alcamo et al. (2003) and Hejazi et al. (2013b) for total water
1206 consumption. Although, incorporating the water demand calculations can generally result in more
1207 realistic river discharge simulations (see Ngo-Duc et al., 2005a, b, 2007), current simulations
1208 exhibit large differences in estimates of water demand and use at countrywide, continental and
1209 global scales. This can be referred to the differences in data support, demand calculation schemes
1210 and host models – see the discussion of Section 6 below.

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1211 Normally, future projections of water demands include more uncertainty than simulation of current
1212 conditions as they are also conditioned on uncertain climate futures and/or socio-economic and
1213 technological scenarios. Considering future climate projections, with or without considering
1214 irrigation expansion, irrigation demand algorithms have mainly projected increase in irrigation
1215 demand under climate change scenarios. As an earlier example, Fischer et al. (2007) estimated
1216 irrigation water requirement as a function of both projected irrigated land and climate change from
1217 1990 to 2080. They showed that the impact of climate change on increasing irrigation water
1218 requirement could be nearly as large as the changes initiated by socio-economic developments.
1219 There are, however, two sets of uncertainty associated with future projections of irrigation demand.
1220 First, gridded climate products have significant deficiencies in representing current and future
1221 climate, particularly with respect to precipitation (e.g., Lorenz and Kunstmann, 2012; Grey et al.,
1222 2013). This can further propagate to estimation of irrigation demand at the sub-grid scale. Second,

1229 there are large disagreements between irrigation demand projections with respect to different
1230 climate model simulation, irrigation algorithms and host large-scale models. One possible
1231 approach to account for these uncertainties would be using a multi-model approach, as
1232 recommended by Gosling et al. (2011) and Haddeland et al. (2011) and implemented to some
1233 extent by Wada et al. (2013b) and Rosenzweig et al. (2013). Based on the latest IPCC climate
1234 scenarios (Taylor et al., 2012), these studies generally concluded that a significant increase in
1235 future demand is likely, with possibly one-month or more shift in the peak irrigation demand in
1236 mid-latitude regions (Wada et al., 2013b), but large uncertainties are associated with the
1237 predictions (see Rosenzweig et al., 2013). Moreover, both studies noted that CO₂ increases might
1238 have beneficial effects on crop transpiration efficiency, if other factors are not limiting (see also
1239 Gerten et al., 2011; Konzmann et al., 2013). Nonetheless, it still remains unclear whether increased
1240 transpiration efficiency is cancelled out by increased transpiration due to increasing biomass and
1241 plant growth. More studies, therefore, are required in this direction (see Gerten, 2013). This is a
1242 context for which LSMs can offer an ideal platform as they have the explicit modules required for
1243 considering dynamic interactions of carbon, vegetation and water – see the discussion of Section
1244 6.

1245 Similar conclusions were obtained with respect to non-irrigative demands. Alcamo et al. (2007)
1246 and Hejazi et al. (2013d) showed that increasing domestic and industrial water uses, if not
1247 controlled, can be a major threat for water security. There are, however, large discrepancies
1248 between different projections of non-irrigative demands (Gleick, 2003), in which the divergence
1249 between modeling results becomes more highlighted as the projection horizon increases (see Davis
1250 et al. (2013) for electrical demand and associated water use). These uncertainties can be referred
1251 to limitations in current data availability for supporting robust and reliable projections, differences
1252 in socio-economic and technological scenarios, as well as some underlying assumptions in demand
1253 calculation algorithms, which can limit their efficiency in future simulations.

1254 As the current global potential for expanding water demand is rather limited (Rost et al., 2009;
1255 Gerten and Rost, 2010), adaptation and mitigation strategies are required to moderate human water
1256 demands. In such cases prescribed “policy” scenarios can be introduced into large-scale models
1257 for impact assessment. Using this approach, it has been shown that mitigation can significantly
1258 decrease future global water demand. For example, Hanasaki et al., (2013a) showed approximately
1259 7-fold and 2.5-fold variation in industrial and municipal demands, depending on the SSP

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1261 considered. The effects of mitigation, however, have large regional variation. For irrigative
1262 demands, Fischer et al. (2007) showed that some regions may be negatively affected by mitigation
1263 actions, which depend on specific combinations of CO₂ changes that affect crop water requirement
1264 and projected precipitation and temperature changes. Kyle et al. (2013) showed that applying CO₂
1265 mitigation policies can result in high deployment of other high-tech solutions for electrical
1266 generation (e.g., solar power) that have low water requirements. Hejazi et al. (2013c) further
1267 showed that taxation can be an important factor in mitigating the effect of water scarcity by
1268 regulating more water efficient options for irrigation. Hejazi et al. (2013a) further showed the
1269 possibility of even a slight decrease in municipal withdrawals in the year 2100 under a high-tech
1270 scenario, despite significant population growth. Davies et al. (2013) showed similar results for
1271 electricity water withdrawals if high-tech solutions are employed. Large-scale models also showed
1272 that promoting international trade can be a strong adaptation option for controlling regional
1273 demand, in which water-limited regions can import water-expensive products from other areas
1274 (e.g., Siebert and Döll, 2010; Hanasaki et al., 2010; Konar et al., 2013). Assessment of trade
1275 scenarios and water footprinting, however, needs detailed tracking of the water cycle (see
1276 Chenoweth et al., 2013) and is highly dependent on how reasonable the human demands and
1277 production, as well as water availability and water allocation, are described in time and space.
1278 Such a level of accuracy is currently not available and therefore the assessments remain widely
1279 uncertain.

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1280 In summary, current offline projections agree on large impacts of future change in climate, socio-
1281 economy and technology on water demands and the importance of adaptation and mitigation
1282 strategies for managing future water security threats. Available projections, however, are rather
1283 limited and suffer from major sources of uncertainty, which is revealed by large discrepancies
1284 between different simulation products under current and future conditions. We now turn to discuss
1285 these gaps in more detail and identify the research needs and priorities.

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1287 **6 Discussion**

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1288 Major gaps remain in the current capability in modeling water demands and understanding their
1289 online and offline impacts on the Earth System and human livelihoods. These gaps are partially
1290 due to inherent complexity in modeling Earth System processes, which is more significant in

1294 coupled simulation modes. Apart from various computational barriers, one main challenge in
1295 online simulations is the uncertainty associated with coupling land and atmospheric models, as
1296 given a unique land-surface boundary condition, the simulations obtained by different climate
1297 models can be divergent (Koster et al., 2004; Pitman et al., 2009; Dadson et al., 2013). Another
1298 major challenge for coupled irrigation-land-surface-climate simulations is the choice of
1299 appropriate temporal and spatial resolutions, in which the relevant physical processes and
1300 feedbacks between land and atmosphere should be represented and described. Ideally, the optimal
1301 modeling resolution should be identified based on physical realism; nonetheless, the choice of
1302 resolution in coupled simulations is mainly constrained by computational resources, data
1303 availability ~~and the complexity supported by the LSMs. If these are not limiting factors, it has been~~
1304 ~~shown that finer temporal and spatial resolutions can improve online representation of irrigation.~~
1305 ~~For instance, using six different combinations of temporal/spatial resolutions, Sorooshian et al.~~
1306 ~~(2011) concluded that spatial and temporal resolution in coupled irrigation-land-climate models~~
1307 ~~can significantly change both temperature and precipitation simulations over irrigated grids and a~~
1308 ~~fine level of detail is required for representing the physical processes controlling the feedbacks~~
1309 ~~between irrigation and atmosphere. However, these findings remain regionally and seasonally~~
1310 ~~dependent and are closely linked to the level of complexity supported in the considered irrigation~~
1311 ~~parameterization and host model. It should be noted that by increasing the spatial resolution, more~~
1312 ~~processes need to be included in order to ensure water conservation within the model and that can~~
1313 ~~further complicate the issues related to water availability – see the discussion below.~~ The effects
1314 of fine modeling resolution seem to be in general less significant in offline runs, ~~as far as the~~
1315 ~~evaporation calculation is consistent with estimation of crop water requirements and each crop is~~
1316 ~~supplied by a unique moisture reservoir.~~ Compton and Best (2011) conducted offline global
1317 simulations and showed that fine spatial resolution has little importance on long-term modeling of
1318 evaporation and runoff; however, ~~the~~ temporal resolution does change the mean
1319 evaporation/runoff balance. The issues around modeling resolution are explored ~~further~~ in Nazemi
1320 and Wheater (2014).
1321 Large uncertainties are also associated with offline human water demand simulations under current
1322 and future conditions. Lissner et al. (2012), for instance, noticed significant difference in terms of
1323 water demand per capita between the simulated products of WaterGAP and reported AQUASTAT
1324 data. These uncertainties are mainly related to (i) available data support, (ii) demand calculation

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Moved up [8]: If these are not limiting factors, it has been shown that finer temporal and spatial resolutions can improve online representation of irrigation. For instance, using six different combinations of temporal/spatial resolutions, Sorooshian et al. (2011) concluded that spatial and temporal resolution in coupled irrigation-land-climate models can significantly change both temperature and precipitation simulations over irrigated grids and a fine level of detail is required for representing the physical processes controlling the feedbacks between irrigation and atmosphere.

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1339 algorithms and (iii) host models. These sources are widely connected and cannot be easily
1340 addressed and quantified independently. Here we briefly discuss these sources and propose few
1341 directions for future developments.

1342 (1) ~~Uncertainty in current data support: Primarily, there are considerable uncertainties~~
1343 ~~across the input and forcing data required for executing large-scale models. Generally,~~
1344 ~~large-scale models discussed in this paper are forced and initialized using various data~~
1345 ~~sources that are developed and maintained independently. This results in major~~
1346 ~~inconsistencies, particularly at the grid scale, where it is often the case that information~~
1347 ~~coming from different sources does not match each other (e.g. soil properties do not fit~~
1348 ~~to land use etc.). Typically, modelers fix these issues by applying simple rules or~~
1349 ~~assumptions; however, these inconstancies can highly affect the quality of simulations~~
1350 ~~at the local and regional scales. Major uncertainties are also associated with the data~~
1351 ~~required for executing demand calculation algorithms.~~ Siebert et al. (2005) noted that
1352 even the locations of irrigation districts are uncertain in many regions and sub-grid
1353 variability of crops within irrigated are not generally available. Wissler et al. (2008)
1354 argued that major uncertainties are associated with forcing, irrigation and crop maps
1355 and this can result in large differences between simulations of irrigation water
1356 requirement. ~~Another source of data uncertainty is the generally sparse information on~~
1357 ~~irrigation techniques. This can be important for understanding the amount of water~~
1358 ~~losses and thus estimating the actual irrigation use and evaporation.~~ The issues around
1359 data support applies to non-irrigative demands as well. For the case of water use for
1360 electricity generation in the US, Macknick et al. (2011) noted that “federal data sets on
1361 water use in power plants have numerous gaps and methodological inconsistencies”.
1362 Data uncertainty can propagate into structural and parametric identification during
1363 model development and can further extend to future projections. The availability of
1364 different sources of global and regional data has resulted in emergence of various
1365 datasets, with varying degrees of quality, which can potentially support demand
1366 calculation algorithms. At this stage of research, the various datasets ~~have not been~~
1367 systematically compared with respect to their uncertainty and the associated effects on
1368 demand simulations. This is a major need for future exploration.

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1376 (2) Uncertainty in demand calculation algorithms: This includes both irrigative and non-
1377 irrigative demands.

1378 a) Irrigative demand: Limitations in current algorithms mainly include the uncertainty
1379 in describing the crop moisture requirements in time and space and constraining the
1380 irrigation to water availability. If the irrigation is limited by the water available at
1381 the grid scale, then the quality of simulation is hindered by the ability of host model
1382 in describing water allocation from surface and groundwater resources (see Nazemi
1383 and Wheater, 2014). In addition, current bottom-up algorithms do not appropriately
1384 consider plant-specific water requirements at the sub-grid scale due to missing soil
1385 and crop diversity. This can result in misestimating the irrigation demand. In the
1386 best situation, where the same assumption is used for the calculation of the crop
1387 evaporation and the irrigation demand, the uncertainty of the irrigative demand is
1388 the same as evaporation, but this can still vary greatly across various host models.
1389 Considering future simulations, widely-used irrigation demand estimates based on
1390 FAO's guidelines often require several input variables (see e.g., Farmer et al., 2011
1391 and Hejazi et al., 2013b for simplifications), and given the need for downscaling
1392 of climate variables for future simulations, these can be outperformed by simpler
1393 models (e.g., Vörösmarty, 1998; Oudin et al., 2005; Wisser et al., 2010). At the
1394 current stage of research, different methods for calculating irrigative demand have
1395 not yet been fully intercompared to identify appropriate algorithms with respect to
1396 region, climate and type of crops. This can be considered as an important need for
1397 further research. Another avenue for future development is improving the demand
1398 simulations using data assimilation and model calibration. These opportunities will
1399 be discussed further in Nazemi and Wheater (2014).

1400 b) Non-irrigative demand: The current off-line modeling capability is generally
1401 temporally coarse and available downscaling and projection algorithms mainly do
1402 not account for seasonal variations in water demand. There are also parametric and
1403 structural uncertainties in functional mappings that link water demand to socio-
1404 economic and technological proxies. At this stage, it is not fully understood how
1405 these uncertainties propagate into future projections. This is an important avenue
1406 for future exploration. Developing robust downscaling and projection algorithms

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1420 for non-irrigative demands is another important need for future development.
1421 Future developments should consider limitations in available data and future
1422 scenarios as well as the diversity and spatiotemporal variability in non-irrigative
1423 demands

1424 (3) Uncertainty in host models: Host models can add substantial uncertainty to demand
1425 simulations, particularly for irrigation. As noted in Section 3, the calculation of
1426 irrigation demand involves solving the soil water balance at every simulation time step
1427 and this is determined by how the relevant natural processes, such as actual
1428 evapotranspiration and soil moisture are parameterized in the host model. Haddeland
1429 et al. (2011) showed major differences in the global simulations obtained from six
1430 LSMs and five GHMs due to differences in underlying assumptions, process
1431 representations, and related parameterizations. It is also shown that considering
1432 feedback effects between irrigation and atmosphere can considerably change potential
1433 evaporation (e.g., Blyth and Jacobs, 2011; Lu, 2013); therefore offline irrigation
1434 demand simulations based on GHMs might be biased as they inherently ignore climate
1435 feedbacks. Moreover, GHMs often cannot represent important processes such as the
1436 effects of increased carbon concentration on irrigation demand. This limitation may
1437 result in major deficiencies in simulating climate change scenarios as CO₂ increases
1438 can significantly change vegetation dynamics (e.g., Prudhomme et al., 2013), which
1439 can further alter the evaporation and runoff regimes (Gerten et al., 2004). From this
1440 perspective, it can be concluded that online LSMs are superior to GHMs with respect
1441 to simulations under increasing CO₂ concentration and future water stress, as they often
1442 include many of the required computational components for investigating interactions
1443 between climate, carbon, vegetation and water cycles. Efforts are however needed to
1444 transfer recent demand calculation algorithms developed in the context of GHMs into
1445 LSMs. In addition, although it has been argued that the uncertainties in host models are
1446 more significant than in climate forcing (e.g., Wada et al., 2013b), uncertainties in
1447 irrigation algorithms and large-scale host models have not been fully disjointed and
1448 distinguished. This requires “mix and match” multiple demand algorithms with
1449 multiple host models to conduct a systematic intercomparison and sensitivity analysis.

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1453 This can be considered as an important research direction – see [Nazemi and Wheater](#)
1454 [\(2014\)](#).

1455

1456 7 Summary and concluding remarks

1457 The terrestrial water cycle has been greatly affected in time and space by human activities during
1458 the recent past, to the extent that the current geological era has been named the “Anthropocene”.
1459 Anthropogenic activities, therefore, are required to be represented in models that are used for
1460 impact assessments, large-scale hydrological modeling and land-atmosphere feedback
1461 representations. Current human-water interactions are mainly manifested through water resource
1462 management, which can be further broken down into two interacting components, related to water
1463 demand as well as water supply and allocation. In this paper we considered the representation of
1464 water demand in large-scale models. Water demand was further divided into irrigative and non-
1465 irrigative categories. We summarized current demand calculation algorithms based on type of
1466 demand, modeling procedure and underlying assumptions. Current applications were overviewed;
1467 and limitations in knowledge ~~were~~ identified and discussed. Considering current gaps in
1468 representing the anthropogenic demands in large-scale models, three main directions are suggested
1469 for future developments. These include (1) systematic intercomparisons between different
1470 datasets, demand algorithms and host models and associated uncertainties with respect to different
1471 geographic regions as well as various socio-economic and climate conditions; (2) developing
1472 improved algorithms for calculating both irrigative and non-irrigative demands in time and space
1473 considering data limitations as well as diversity and spatiotemporal variability in human demand;
1474 and finally (3) transferring the algorithms developed in the context of GHMs to ~~LSMs~~ for (a)
1475 improved irrigation demand calculation under increasing CO₂ effects; and (b) further coupled
1476 studies with climate models to address various scientific questions with respect to interactions
1477 between carbon, irrigation and climate under climate change conditions. Apart from these
1478 immediate research needs, efforts are also required to link with socio-economic and energy models
1479 to have a full understanding of the dynamic interactions between natural and anthropogenic drivers
1480 of human water [availability](#), demand and consumption (Calvin et al., 2013). This seems to be more
1481 of a long-term development due to the limitations in current demand algorithms, ~~LSMs~~ as well as
1482 socio-economic and energy models.

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1486 As a final remark, it must be noted that the effects of water demand on both terrestrial water cycle
1487 and water security cannot be fully studied unless considered in conjunction with water supply and
1488 allocation, which determine the extent of human intervention in water cycle. This is particularly
1489 important for future predictions, as the increasing water scarcity is a major limiting factor for water
1490 demand and can substantially increase competition over available water sources. In Nazemi and
1491 Wheater (2014), we review how water supply and allocation have been represented at larger scales
1492 and been integrated with various water demands and natural land-surface processes at grid and
1493 sub-grid scales.

1494

1495 **Acknowledgments**

1496 The first author would like to thank Amir Aghakouchak for his valuable inputs during the early
1497 stages of this survey. The authors gratefully acknowledge the constructive comments from Jan
1498 Polcher and two anonymous reviewers, which have enabled us to make significant improvements
1499 to this paper. Financial support for this study was provided by the Canada Excellence Research
1500 Chair in Water Security at the University of Saskatchewan.

1501

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Table 1. Representative examples for including regional irrigation in large-scale models (offline mode)

Reference	Irrigation data	Irrigation demand	Region	Host model	Forcing	Temporal resolution	Spatial resolution
Haddeland et al. (2006)	Döll and Siebert (2002)	Difference between current soil moisture content and minimum of FAO Penman-Monteith crop-specific evapotranspiration and soil moisture content at field capacity.	Colorado (USA) and Mekong (east Asia)	VIC (Liang et al., 1994)	Adam and Lettenmaier (2003); Maurer et al. (2002)	3hr	0.5°×0.5°
Haddeland et al. (2007)	Siebert et al. (2005)	Haddeland et al. (2006)	North America and Asia	VIC (Liang et al., 1994)	Maurer et al. (2002)	24hr	0.5°×0.5°
Gueneau et al. (2012)	GAEZ (IIASA/FAO, 2012); FRIS (USDA, 2008)	Difference between actual and potential evapotranspiration based on Farmer et al. (2011). Crop growth and irrigation losses included.	USA	CLM3.5 (Oleson et al., 2004, 2008)	NCC (Ngo-Duc et al., 2005)	6hr	2.5°×2.5°
Leng et al. (2013)	MODIS (Ozdogan and Gutman, 2008); NASS (USDA, 2002)	Difference between current and ideal soil moisture content based on CLM4CNcrop crop growth model of CLM4 (Levis and Sacks, 2011; Levis et al., 2012).	Conterminous USA	CLM4 (Lawrence et al., 2011)	NLDAS (Cosgrove et al., 2003)	1hr	0.125°×0.125°
Nakayama and Shankman (2013)	Liu (1996, in Chinese; see Liu et al., 2010)	Difference between current soil moisture content and soil moisture at the field capacity.	Changjing, Yellow River basins (China)	NICE (Nakayama et al., 2011)	ECMWF (http://www.ecmwf.int/en/forecasts/datasets)	6hr	10 ^{km} ×10 ^{km}
Voisin et al. (2013)	Crop area projections in Chaturvedi et al. (2013a,b).	Downscaling GCAM model estimations (Wise and Calvin, 2009; Wise et al., 2009a) using methods of Hejazi et al. (2013a), Siebert and Döll (2008) and Hanasaki (2013a,b).	US mid-west	SCLM-MOSART (Lawrence et al., 2011; Li et al., 2013a,b)	CASCaDE (http://cascade.wr.usgs.gov)	1hr	0.125°×0.125°

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Table 2. Representative examples for including global irrigation in large-scale models (offline mode)

Reference	Irrigation data	Irrigation demand	Host model	Forcing	Temporal resolution	Spatial resolution
Döll and Siebert (2002)	Döll and Siebert (2000)	Difference between Smith (1992) effective rainfall and Priestley and Taylor (1972) crop specific potential evapotranspiration and Allen et al. (1998) multipliers.	WaterGAP (Alcamo et al., 2003)	CRU TS 1.0 (New et al., 1999, 2000)	24hr	0.5°×0.5°
<u>de Rosnay et al. (2003)¹</u>	<u>Döll and Siebert (2002)</u>	<u>Difference between effective rainfall and FAO potential evapotranspiration (Allen et al., 1998) without considering irrigation efficiency.</u>	<u>ORCHIDEE (Ducoudré et al., 1993)</u>	<u>ISLSCP-I (Sellers et al., 1996b)</u>	<u>24hr</u>	<u>1°×1°</u>
Hanasaki et al. (2006)	Döll and Siebert (2000)	Similar to Döll and Siebert (2002). Reference evaporation is based on FAO Penman Monteith.	TRIP (Oki and Sud, 1998)	ISLSCP-I (Sellers et al., 1996b)	24hr	0.5°×0.5°
Wisser et al. (2008)	Siebert et al. (2005, 2007); GIAM (Thenkabail et al., 2009)	Similar to Haddeland et al. (2006) using Allen et al. (1998) procedure.	WBM (Vörösmarty et al., 1998)	CRU TS 2.1 (Mitchell and Jones, 2005); NCEP (Kalnay et al., 1996)	24hr	0.5°×0.5°
Rost et al. (2008, 2009)	Siebert et al. (2007)	Difference between available plant-moisture and an updated Priestley and Taylor (1972) potential evaporation based on potential canopy conductance of carbon and water (Sitch et al., 2003).	LPJmL (Bondeau et al., 2007)	CRU TS 2.1 (Mitchell and Jones, 2005)	24hr	0.5°×0.5°
Hanasaki et al., (2008a,b)	Döll and Siebert (2000)	Difference between current and 75% of field capacity. Irrigation applied 30 days prior to planting. Detailed crop growth representation based on SWIM (Krysanova et al., 1998).	H07 (Hanasaki et al., 2008a,b)	NCEP-DOE (Kanamitsu et al., 2002); GSWP-2 (Zhao and Dimmeyer, 2003)	24hr	1°×1°
Siebert and Döll (2010)	MIRCA2000 (Portmann et al., 2010)	Difference between actual and crop-dependent reference evapotranspiration computed according to Priestley and Taylor (1972). Crop coefficients obtained from Allen et al., (1998).	GCWM (Siebert and Döll, 2008)	CRU TS 2.1 (Mitchell and Jones, 2005)	24hr	0.08°×0.08°
Wada et al. (2011, 2012)	MIRCA2000 (Portmann et al., 2010)	Difference between actual and potential transpiration according to van Beek et al. (2011), using Priestley and Taylor (1972) crop-specific and transpiration (Allen et al., 1998).	PCR-GLOBWB (van Beek et al., 2011)	CRU TS 1.0 (New et al., 1999, 2000)	24hr	0.5°×0.5°
Pokhrel et al. (2012)	Siebert et al. (2007)	Procedure of Hanasaki et al. (2008a,b). Crop calendar is based on Potential evapotranspiration (Allen et al., 1998).	MASTIRO (Takata et al., 2003)	Kim et al. (2009); GPCC (Rudolf et al., 2005)	6hr	1°×1°

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¹ The simulation is performed globally but the results are analyzed only over the Indian Peninsula.

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<u>Reference</u>	<u>Irrigation data</u>	<u>Irrigation demand</u>	<u>Host model</u>	<u>Forcing</u>	<u>Temporal resolution</u>	<u>Spatial resolution</u>
Wada et al. (2013a)	MIRCA2000 (Portmann et al., 2010)	Constant 50mm surface water depth for paddy Irrigation until 20 days before harvesting. For non-paddy areas, the difference between current and ideal plant available moisture at field capacity with dynamic root zone	PCR-GLOBWB (van Beek et al., 2011)	ERA-Interim (Dee et al., 2011); MERRA (http://gmao.gsfc.nasa.gov/merra/)	24hr	0.5°×0.5°

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Table 3. Representative examples for including irrigation in coupled land-surface models (online mode)

Reference	Irrigation data	Irrigation demand	Region	Host LSM	Climate model	Temporal resolution	Spatial resolution
Adegoke et al. (2003)	LandSat (http://landsat.gsfc.nasa.gov/)	Target soil moisture deficit (difference between actual and saturated Soil moisture).	High Plains (USA)	LEAF-2 (Walko et al., 2000)	RAMS (Pielke et al., 1992)	30sec nested in 1 min	10 ^{km} ×10 ^{km} nested in 40 ^{km} ×40 ^{km}
Sacks et al. (2009)	FAO-AQUASTAT (http://www.fao.org/nr/water/aquastat/main/index.stm)	AQUASTAT irrigated water uses applied at constant rate when LAI exceeds 80% of the maximum annual value.	Global	CLM3.5 (Oleson et al., 2008)	CAM (Collins et al., 2004, 2006)	20min	2.8°×2.8°
Sorooshian et al. (2011)	CIMIS-MODIS (http://www.cimis.water.ca.gov/)	Target soil moisture deficit (Irrigation starts when the soil moisture drops below a maximum depletion threshold beyond which the plant is stressed (a percentage of field capacity, depending on the crop) and continues to field capacity)	California Central Valley (USA)	Noah (Ek et al., 2003)	NCAR-MM5 (Chen and Dudhia, 2001a,b)	30min 1hr	4 ^{km} ×4 ^{km} 12 ^{km} ×12 ^{km} 36 ^{km} ×36 ^{km}
Harding and Snyder (2012a,b)	MODIS (Friedl et al., 2002; Ozdogan and Gutman, 2008); NASS (USDA, 2002)	Target soil moisture deficit (difference between actual and saturated soil moisture at depth of 2m).	Great Plains (USA)	Noah (Ek et al., 2003)	WRF (Skamarock et al., 2005)	30s and 25s	10 ^{km} ×10 ^{km}
Guimberteau et al. (2012)	Döll and Siebert (2002)	<u>Difference between potential transpiration and the net water amount kept by the soil (i.e. the difference between precipitation reaching the soil and total runoff).</u>	Global	ORCHIDEE (Ducoudré et al., 1993)	LMDZ4 (Hourdin et al., 2006)	<u>30 min</u>	<u>2.5°×1.25°</u>
Qian et al. (2013)	MODIS (Ozdogan and Gutman, 2008; Ozdogan et al., 2010)	Similar to Sorooshian et al. (2011). Based on Ozdogan et al., (2010), moisture threshold is fixed at 50% of filed capacity. Roots grow based on the greenness index.	Southern Great Plains (USA)	Noah (Ek et al., 2003)	WRF (Skamarock et al., 2005)	3hr	12 ^{km} ×12 ^{km}

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Table 4. Representative examples for calculating grid-based non-irrigative demands using downscaling coarse scale estimates

Reference	Estimated demand	Downscaling procedure	Data support	Targeted resolution
Alcamo et al. (2003)	Domestic	Distributing country-level withdrawals based on population, ratio of rural to urban population (constant for each country) and percentage of population with access to drinking water	Population (van Woerden et al., 1995); Access to drinking water (WRI, 1998)	0.5°×0.5° (Global)
	Industrial	Downscaling county-wide industrial withdrawals based on proportion of urban population	Population (van Woerden et al., 1995)	
Vassolo and Döll (2005)	Thermoelectric cooling	Calculating the gridded data for power production based on downscaling global estimates. Allocating constant flow to each unit of production according to type of cooling system.	World Electric Power Plants Data Set (http://www.platts.com).	0.5°×0.5° (Global)
	Manufacturing	Estimating country-wide sectoral production volumes along with water intensity for each unit of production in each sector. Downscaling total demand to the grid-scale based on city nighttime light.	Industrial production volumes (UN, 1997; CIA, 2001); Sectoral intensity (Shiklomanov, 2000; WRI, 2000); Night city light pollution (US Air Force, www.ngdc.noaa.gov/dmsp)	
Hanaskai et al. (2008a)	Domestic and industrial	Countrywide data downscaled to grid scale by weighting population and national boundary information, further converted to water consumption estimates.	AQUASTAT countrywide withdrawals, Population and national boundaries (CIAT, 2005); ratio of consumption to withdrawal (Shiklomanov, 2000).	1°×1° (Global)
Hejazi et al., (2013b)	Municipal and industrial	Demand estimates of GCAM model (http://wiki.umd.edu/gcam) downscaled as a function of population. Population density assumed static in time.	Global population density data based on WWDR-II and methodology of Wada et al. (2011, 2013a)	0.5°×0.5° (Global)

Table 5. Representative examples for disaggregating annual non-irrigative demand into monthly estimates

Reference	Estimated demand	Disaggregation procedure	Data support
Wada et al. (2011, 2013)	Municipal and livestock	Downscaling annual demand to monthly fluctuations as a function of temperature	CRU (New et al., 1999; 2000)
Voisin et al. (2013)	Electrical	Dividing electrical use into industry, transportation and building sectors. Assuming uniform distribution for industry and transportation uses and capturing the monthly fluctuations in building use based on heating/cooling degree days.	CASCaDE (http://cascade.wr.usgs.gov)

Table 6. Representative examples for projection of non-irrigative water demands using socio-economic variables

Reference	Simulated demands	Simulation procedure	Temporal resolution	Spatial resolution
Alcamo et al. (2003a)	Domestic and industrial	Explicit simulation of change in industrial and domestic withdrawal as functions of usage intensity and technological change. Usage intensities are functions of GDP.	Annual	Countrywide
Strzepek et al. (2012b)	Municipal and industrial	Explicit simulation of change in municipal water use as a function of population and per capita income. Industrial water use considered as a function of water use per capita and GDP considering growth rate and climatic and water availability factors.	Annual	Assessment sub-regions (global)
Flörke et al. (2013)	Domestic and industrial	Explicit simulation of domestic demand using Alcamo et al. (2003) with parameterization based on HYDE (http://themasites.pbl.nl/tridion/en/themasites/hyde/) and UNEP (http://www.unep.org/) datasets. Technological change influenced electrical demand. Manufacturing water use computed as a function of baseline structural intensity and rates of manufacturing gross value and technological change.	Annual	Countrywide (global)
Davies et al. (2013)	Electrical	Implicit simulation – changes in regional cooling system shares estimated based on shift from wet to dry cooling technologies. Reductions in water withdrawal and consumptions estimated based on level of technological change.	Annual	Geopolitical regions (global)
Hanasaki et al. (2013a)	Industrial and municipal	Explicit simulation of industrial withdrawal as a function of electricity production and water intensity which decreases linearly in time. Municipal water use calculated as a function of population and change in municipal intensity, varying based on GDP.	Five-year interval	countrywide
Blanc et al. (2013)	Electrical, domestic, industrial and mining	Electrical demand projected implicitly using ReEDS (Short et al., 2009) and integration with USREP model (Rausch and Mowers, 2013). Water withdrawal and consumption to meet electrical demand estimated using Strzepek et al. (2012a). Other demands categorized into three groups: public supply, self-supply and mining supply and simulated explicitly. Public supply considered as a function of population and GDP per capita. Self-supply considered as function of sectoral GDP. Mining supply considered as a function of mining's GDP.	Annual	Assessment sub-regions (US)
Hejazi et al. (2013a)	Municipal	Withdrawal per capita explicitly determined as a function of GDP per capita, water price and technological development. Technological development considered as a function of operational efficiency, which further determines extent of water use.	Annual	Geopolitical regions (global)
Hejazi et al., (2013b,d)	Industrial	Manufacturing water demand is explicitly simulated based on population and GDP. Water demand for primary energy scaled by amount of fuel production and water demand for secondary energy.	Annual	Geopolitical regions (global)
Wada et al. (2013a)	industrial and municipal	Industrial and municipal withdrawal taken from WWDR-II dataset (Shiklomanov, 1997; Vörösmarty et al., 2005) and backcasted explicitly using economic and technological proxies. Net municipal water demand calculated as a function of fraction of urban to total population and recycling ratio.	Annual	Countrywide (global)

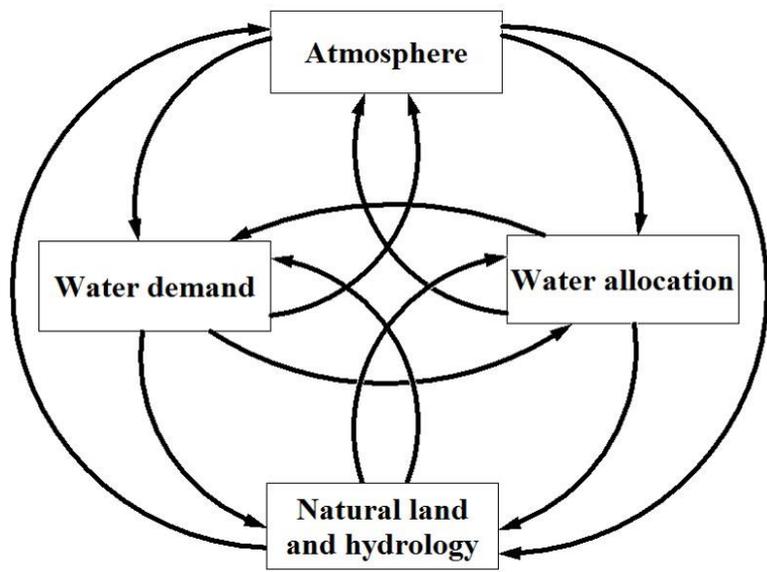


Figure 1. Water resource management as an integration of water demand and water allocation and its interactions with natural land-surface and climate.

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