

1 **Trends of floods in West Africa: Analysis based on 11**
2 **catchments of the region.**

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13

14 **Abstract:**

15 After the drought of the 1970s in West Africa, the variability of rainfall and land use changes
16 affected mostly flow, and recently flooding has been said to be an increasingly common
17 occurrence throughout the whole of West Africa. These changes raised many questions about
18 the impact of climate change on the flood regimes in West African countries. This paper
19 investigates whether floods are becoming more frequent or more severe, and to what extent
20 climate patterns have been responsible for these changes. We analyzed the trends in the floods
21 occurring in eleven catchments within West Africa's main climate zones. The methodology
22 includes two methods for sampling flood events, namely the AM (annual maximum) method
23 and the POT (peak over threshold), and two perspectives of analysis are presented: long-term
24 analysis based on two long flood time series, and a regional perspective involving eleven
25 catchments with shorter series. The Mann-Kendall trend test and the Pettitt break test were
26 used to detect non-stationarities in the time series. The trends detected in flood time series
27 were compared to the rainfall index trends and vegetation indices using contingency tables, in
28 order to identify the main driver of change in flood magnitude and flood frequency. The

1 relation between the flood index and the physiographic index was evaluated through a success
2 criterion and the Cramer criterion calculated from the contingency tables.
3 The results point out the existence of trends in flood magnitude and flood frequency time
4 series with two main patterns. Sahelian floods show increasing flood trends and one Sudanian
5 catchments present decreasing flood trends. For the overall catchments studied, trends in the
6 maximum 5-day consecutive rainfall index (Rx5d) show good coherence with trends of flood,
7 while the trends in NDVI indices do not show a significant agreement with flood trends,
8 meaning that this index has possibly no impact in the behavior of floods in the region.

9

10 **1. Introduction**

11 The drought that affected West African countries after the end of the 1960s is known as one of
12 the “the most undisputed and largest recent climate changes recognized by the climate
13 research community” (Dai et al., 2004) and is well documented in terms of rainfall variability
14 (Le Barbé et al., 2002; Lebel et al., 2009a; Paturel et al., 1998). Although there is recent
15 agreement on the resurgence of rainfall since the end of the 1990s (Lebel et al., 2009b; Lebel
16 and Ali, 2009; L’Hôte et al., 2002), Mahé and Paturel (2009) showed that the mean rainfall of
17 the 1970–2009 decades remained lower than the 1900–1970 decades. Moreover, some authors
18 found an intensification of the rainfall regime in the Sahelian region since 2000, characterized
19 by a greater contribution of extreme precipitation to the annual total rainfall (Descroix et al.,
20 2013; Panthou et al., 2014).

21 The rainfall deficit over West Africa has contrasting consequences on the hydrological regime
22 of river basins. In Sudanian areas, the mean annual discharge of rivers has significantly and
23 substantially decreased more than rainfall (Mahé, 2009; Mahé et al., 2013, 2011; Mahé and
24 Olivry, 1995; Paturel et al., 2003), while in the Sahelian areas, a general increase in the runoff
25 coefficient has been noted since the end of the 1980s, despite the low amount of precipitation
26 compared to the 1950s (Albergel, 1987; Amani and Nguetora, 2002; Bricquet et al., 1996;
27 Descroix et al., 2009; Mahé and Paturel, 2009, Roudier et al., 2014).

28 The causes of the runoff coefficient increase in Sahelian catchments is often attributed to the
29 land clearing and land use changes that occurred in the region after 1970 (Amogu et al., 2010;
30 Descroix et al., 2009; Mahe et al., 2010). Indeed, the largest variations in rainfall in the 1970s
31 and 1980s over West Africa have consequently induced a reduction in vegetation cover,
32 particularly in the Sahelian region (Anyamba and Tucker, 2005), and the growth of the

1 population in Sahelian countries has led people to remove the natural vegetation in order to
2 increase the surface area of cultivated land. However, evidence from recent data based on
3 remotely sensed observations of vegetation have shown that the Sahelian region has been
4 undergoing a “regreening” process since the beginning of the 1990s, due to the rainfall
5 increase (Anyamba and Tucker, 2005; Fensholt et al., 2013; Herrmann et al., 2005).

6 Meanwhile, there is growing concern about fatalities related to floods in West Africa over the
7 past half century (Di Baldassarre et al., 2010; Descroix et al., 2012; Sighomnou et al., 2012;
8 Tschakert et al., 2010). Despite this widespread perception of increased flooding events in
9 West Africa (Tarlule, 2005; Tschakert et al., 2010), there is very little information about the
10 regional trend of floods and their potential causes, partly because of the scarcity and quality of
11 long-term hydrological data. One can hypothesize that flood regimes have been impacted by
12 the climatic and environmental changes that have occurred since 1970. Some authors have
13 pointed out an increase in the number of heavy daily rainfall that might have caused changes
14 in flood regimes in areas where the infiltration capacity has been reduced (Descroix et al.,
15 2013).

16 Identifying the drivers of change in the flood regimes of West Africa’s catchments is a
17 challenging task because of the heterogeneity of the region and the modification of
18 hydrological functioning of drainage basins. However, the detection of trends in flood time
19 series has scientific and economic importance. It is essential for planning protection systems
20 against flooding, where the common assumption for system design is the stationarity of the
21 flood regime (Kundzewicz et al., 2005). The main study of Di Baldassarre et al. (2010) that
22 focused on flood trend analysis concluded that for a majority of 30 river basins in Africa, there
23 was no significant trend during the twentieth century. However, this study was based on a very
24 large scale of catchments with quite diverse hydroclimatic settings and used sparse temporal
25 data, which may have an effect on the coherence of the trends detected. This precludes deeper
26 analysis on the role of extreme rainfall variability and land use changes. Another recent study
27 of (Aich et al., 2014) focused on the role of flood hazard in the increasing flooding risk of the
28 Niger basin. He concluded that there is a correlation between flood damage and maximum
29 discharge evolution in the main climatic areas of the Niger basin during the period 1970-2010.

30 In the present study, we investigate the trends on flood magnitude and flood frequency of
31 eleven catchments reflecting the main hydroclimatic conditions in West Africa. We also
32 investigate the agreement between flood trends and climate and environmental trends in order

1 to identify the potential drivers of flood variability. Because data from the catchments studied
2 have different record lengths, we focus our analysis firstly on two long-term time series for an
3 historical perspective of flood behavior, and secondly on the 1970–2010 period, using the
4 study's eleven catchments. Section 2 presents the general characteristics of the region and the
5 data set used to create annual time series. In Section 3 we explain the methodology used for
6 this analysis and Section 4 presents the results of this work.

7

8 **2. Study domain and original data**

9 The study domain refers to the region of West Africa. This region is usually divided into two
10 climatic zones, the Sahelian and the Sudanian regions, separated by an isohyet of 750 mm/yr
11 (Figure 1) as described by (Descroix et al., 2009). As presented in Table 1, we collected the
12 mean daily flow records of eleven catchments with areas ranging from 1750 km² to 12,200
13 km². These eleven catchments are considered representative of the hydroclimatic diversity of
14 West Africa.

15 Following the above-mentioned terminology, our database contains three Sahelian catchments,
16 the Goudebo River at Falagontou, the Gorouol River at Koriziena, and the Dargol River at
17 Kakassi, which are located north of isohyet 750 mm. These catchments are on the right bank
18 tributaries of the Niger River. The other catchments located south of the isohyet 750 mm are
19 Sudanian catchments. All these data have been subject to quality control before being included
20 in the study. Time series of Burkina Faso were provided by the DGRE (Direction Générale des
21 Ressources en Eau) in their rudimentary form, including gaps, and a particular care was taken
22 in the selection of the target variable for this study. However, data of Senegal and Mali have
23 been processed, and their time series have been criticized by the research team of OMVS
24 (Organisation pour la mise en valeur du fleuve Sénégala) and the IRD (Institut de Recherche
25 pour le Développement) team of Senegal before being included in this study.

26 The hydrological functioning of West African rivers is closely related to rainfall seasonality,
27 which is controlled by the West African Monsoon system (Lebel and Ali, 2009). In both
28 regions, the rainfall season is generally limited to the boreal summer months, from May to
29 October. In the sahelian region, rainfall length ranges from 2 to 4 months, with maximum
30 rainfall occurring in August (Nicholson S., 2013), this correspond to the flow season where

1 flow are generalized spatially. The beginning of the flow season is characterized by a series of
2 pic discharge each year, and the maximum generally occurs within the month of August.
3 In the sudanian region, flows generally span from July to November. The first precipitations
4 till July and the half of August cause the saturation of the ground from the bottom. The
5 maximum discharge occurs generally between the end of August and September, the rest of
6 the year being dry for small watersheds. Figure 2 presents the monthly hydrograph of two
7 representative catchments of the West African rivers studied, the Dargol River at Kakassi in
8 the Sahelian region and the Faleme River at Fadougou in the Sudanian region.
9 Ideally, the data set should have record periods spanning the same interval, but this is not the
10 case for the eleven catchments studied. Only two long-term flow series were found, the Dargol
11 River at Kakassi (1959–2009) and the Faleme River at Fadougou (1950–2010); the nine other
12 flow time series generally start after 1970. Consequently, two data sets were considered in this
13 study: a data set consisting of the long-term time series for the two catchments and a data set
14 composed of more catchments (11) but over a shorter time period (typically from 1970 to
15 2010).
16 The latter data set with a shorter period of analysis ensures greater spatial coverage. They were
17 considered for the 1970–2010 period, with at least 20 annual maximum records per catchment.
18 This data set was used to assess the relation between the flood and rainfall indices. The
19 former, with a longer period of analysis, increases the likelihood of identifying trends and
20 provides an overview of the flood behavior before and after the drought that started in the
21 1970s.
22 Inherent uncertainties in using observations to detect trends in flood time series derive from
23 the quality and quantity of data. Some problems linked to the quality of data such as missing
24 values and gaps in time series can cause apparent changes, and are complicating factors for the
25 analysis of the data, and interpretation of the results. However the main difficulty in the area
26 of study is the availability of long term series with no gaps. In addition, comparatively to the
27 sahelian region, very few studies have investigated trend of flow in the sudanian region. This
28 is certainly due to a particular lack of data in this part of west Africa. In general it is possible
29 to find more catchments in the region, but the data of most of these catchments are often
30 deficient, which makes impossible to use them for the study of hydrological extremes. Then,
31 we decided to concentrate our analysis to the few catchments showing more reliable time
32 series, and no supplement treatment was made on these data. In addition, significant

1 uncertainties of measurement can impact the results of trends, but there have been no
2 significant change in measuring technique of data in the West African region since 1970. And
3 regarding the history of the gauging stations used in this study there is no major hydraulic
4 infrastructure within the catchments that can impact flows.

5 Daily rainfall data were obtained from the SIEREM database for the 1970–2000 period
6 (http://www.hydrosciences.fr/sierem/index_en.htm). In Burkina Faso, we also collected data
7 for the 2000–2010 period from the country's National Meteorological Service. For the data
8 collected from other countries, data record periods ended in 2000. Generally speaking, we
9 were able to find a sufficient number of local rain gauges that allowed us to compute the mean
10 areal rainfall of each catchment. The Thiessen Polygon method was applied to determine the
11 mean areal daily rainfall for each catchment. Table 1 presents the mean annual precipitation of
12 the eleven catchments over the 1970–1999 period, and the number of rain gauges used to
13 obtain these values for each catchment.

14 The Normalized Difference Vegetation Index (NDVI, source: International Research Institute
15 for Climate and Society Data library online) is used in this study as an environmental variable
16 providing information on the evolution of vegetation or land degradation (Fensholt et al.,
17 2013). NDVI data are derived from imaging obtained with the Advanced Very High
18 Resolution Radiometer (AVHRR) instrument onboard the NOAA satellite series (Tucker et
19 al., 2004). This is a product of the GIMMS (Global Inventory Modeling Mapping Studies)
20 available for a 25-year period from 1981 to 2006. The NDVI values are recorded every 2
21 weeks on each $0.072^\circ \times 0.072^\circ$ pixel, allowing the study of seasonal and interannual vegetation
22 changes. The NDVI data are dimensionless numbers varying from zero to unity depending on
23 vegetation density. NDVI values near zero indicate very sparse vegetation, while dense
24 vegetation is indicated by NDVI values approaching unity.

25

26 **3. Methods**

27 The relatively large and homogeneous data set used in this study allows one to address the
28 issue of flood non stationarity in West Africa, with particular consideration given to the
29 diverse results obtained according to rainfall and vegetation indices in the region. To this aim,
30 a series of methods were monitored to derive annual time series of high-flow characteristics,
31 rainfall indices, and vegetation characteristics. For all these time series, we applied a trend

1 detection test that is also presented in this section. Last, the agreements between the trends
2 detected for high flows and the trends detected for climatic and vegetation indices were
3 compared.

4 **3.1. Flood sampling**

5 Two time series were derived from daily flow records using two sampling methods, annual
6 maximum (AM) sampling and peak-over-threshold (POT) sampling.

7 Annual maximum sampling consists in extracting the peak values of daily discharge within the
8 calendar year of a series. AM is a well-established and simple approach that allows
9 investigation of the changes in flood magnitude (Q_{max}) (Di Baldassarre et al., 2010; Robson
10 et al., 1998). However, the disadvantage of this concept is that only the major event is selected
11 for years with more than one high flow, while in years without substantial flow, the event
12 selected can correspond to a medium or even a low flow (Kundzewicz et al., 2005).

13 Figure 3 illustrates the specific Q_{max} (Q_{max} divided by the catchment area) for the eleven
14 catchment studied. Figure 3 shows that all Q_{max} time series have skewed distributions. The
15 Sokoroto River at Bafing is the smallest catchment in terms of area, but presents the highest
16 specific maximum discharge values. Generally, Sahelian catchments have a lower specific
17 Q_{max} than Sudanian catchments.

18 The second sample derived from daily flow series is the nPOT series. The nPOT time series
19 presented in Figure 4 were constructed from POT sampling, for which all independent floods
20 exceeding a certain threshold are considered (Lang et al., 1999). The POT series are useful to
21 investigate the trends in either flood frequency or flood magnitude (Svensson et al., 2005). In
22 this study, we analyzed the flood frequency (nPOT), which is the number of floods extracted
23 in each year of the time series the data were collected.

24 The strategy used for POT sampling is schematically represented in Figure 4 and the following
25 sequence was observed. 1) All nPOT must exceed the flow threshold (u). In this study, the
26 threshold was taken as the minimum value of the respective annual maximum time series. This
27 choice was made because at least one nPOT per year can be obtained for all the catchments
28 studied, while remaining within the range of maximum values sampled in the corresponding
29 Q_{max} series. 2) The time between two consecutive nPOT (Θ) is greater or equal to
30 corresponds to the average duration of half of the exceeding maximum discharges in the mean
31 flood hydrograph. Consequently, a mean duration of flood events was estimated on the basis

1 of historical flood events. 3) The minimum daily flow value (X_{\min}) between two consecutive
2 nPOT X_i and X_{i+1} shall be less than a second threshold that is $C1 = 0.5\min(X_i; X_{i+1})$. Thus we
3 ensure that the two values sampled are derived from different and independent events. Figure
4 5 provides a summary of the nPOT. It should be noted that with these criteria and given the
5 hydrological behavior of some of these catchments, we did not obtain a large number of POT
6 events per year for some catchments such as Samendeni, Sokoroto, and Missira.

7 **3.2. Rainfall and vegetation indices**

8 International research teams such as the Expert Team on Climate Change Detection
9 Monitoring Indices (ETCCDMI) have proposed a set of climate indices enabling comparison
10 across different regions (New et al., 2006; Peterson, 2002; Vincent et al., 2005). From this set
11 of indices, we selected the most meaningful for the study of floods in the West African region.

12 For each catchment, we computed the annual time series of the rainfall indices presented in
13 Table 2. These indices provide information on both intensity and frequency of rainfall
14 characteristics that were subject to change within the last few decades in West Africa (Klein et
15 al., 2009; Ly et al., 2013; New et al., 2006; Sarr et al., 2013). Rtot and SDII provide
16 information on the wetness of catchments within the rainy season, while R20, Rmax, R95p,
17 and Rx5d are valuable for the study of extreme rainfall patterns.

18 With reference to previous studies, the indices selected present observable trends since 1950.
19 The decrease in annual total rainfall in the 1950–2000 period over West Africa has been well
20 documented (Le Barbé et al., 2002; Lebel and Ali, 2009; L'Hôte et al., 2002), but the climate
21 is less dryer since the beginning 1990s (Nicholson, 2005; Ozer et al., 2002). As for the indices
22 related to extreme climate, Descroix et al. (2013) and Panthou et al. (2013) noticed that
23 extreme daily rainfall have increased over the central Sahel region. They also suggested that
24 the contribution of extreme rainfall in the annual total rainfall has increased over the 2000s. Ly
25 et al. (2013) came to the same conclusion for the 1961–1990 period in the Sahelian region.
26 These trends are evaluated here in a comparative approach with flood trends.

27 For each catchment and each date, we computed the mean spatial value of all NDVI pixels
28 within the catchment. The seasonal evolution of NDVI is known to be closely related to the
29 rainfall pattern, and since the catchments studied present similar hydrological regimes (one
30 wet season and one dry season), we computed three yearly mean NDVI values for each
31 catchment. The yearly means of the NDVI index for the full 12 months (NDVI_m), for the dry

1 season (NDVI-d) from January to June, and for the wet season (NDVI_w) from July to
2 December. This choice of dry and wet seasons was made to take into account the lag time of
3 the greening process after the rainy season.

4 **3.3. Trends and breaks in the time series**

5 In this study, the Mann-Kendall (Kendall, 1975; Mann, 1945) and the Pettitt (Pettitt, 1979)
6 tests are used to identify trends and break dates in the annual time series. These tests are
7 recognized as being robust for trend analysis of hydroclimatic data in the sense that they are
8 nonparametric and thus do not make assumptions on the distributions of the variables
9 (Kundzewicz et al., 2005). For all these tests, the null hypothesis is that there is no trend or no
10 break in the time series at the significance level 0.10.

11 The result of the Mann-Kendall test is given through its two estimated coefficients, namely the
12 correlation coefficient (τ_{MK}) and the p -value (α_{MK}). The τ_{MK} value of the Mann-Kendall
13 varies between -1 and 1 , either positive or negative for increasing and decreasing trends,
14 respectively. An absolute value close to 1 indicates that the correlation between the two
15 variables involved (in this case the data and the time) is high. The value of α_{MK} is then
16 compared to the significance level of the test. The null hypothesis is rejected if α_{MK} is less
17 than the significance level; if not the null hypothesis is not rejected.

18 The Pettitt test investigates the existence of a break in the time series. The result is given
19 through a p -value (α_{PET}) and the probable date for a break. As for the Mann-Kendall test, the
20 Pettitt test p -value is compared to the significance level. If the p -value is less than the
21 significance level, the null hypothesis is rejected. If not, the null hypothesis is not rejected and
22 the computed date of change is rejected. This test was used only for the two long flood time
23 series.

24 **3.4. Statistical agreement between flood evolution and rainfall /vegetation
25 indices evolution**

26 For each catchment of the short series sample, we performed the Mann-Kendall test on the
27 Qmax time series, the nPOT time series, and the physiographic indices (either rainfall or
28 vegetation); then the trend obtained on each flow index was compared to the trend of each
29 physiographic index using contingency tables for all catchments. To obtain a synthetic
30 assessment of the contingency tables, we computed two criteria:

1 The Cramer index (Cramer, 1946; Johnson, 2004) is commonly used to estimate the
2 dependency between variables in contingency tables. Its value ranges between zero and unity,
3 a value of 1 meaning a complete dependency of the variables. The Cramer Index is also
4 associated with the chi-squared test, which gives a *p*-value (α) indicating the significance of
5 the **test**.

6 We also computed the Success Criterion (SC), inspired from the Critical Success Index
7 (Schaefer, 1990). The SC can be considered as a quality criterion, with values ranging from
8 zero to unity. However, the use of this criterion requires some assumptions about the known
9 and possible combinations of trends between floods and rainfall. Table 3 presents the basic
10 considerations made for the calculation of SC, and Equation 1 gives the formulation of SC.

11

12
$$\text{Success Criterion} = \text{SC} = \frac{\sum \text{CD} + \sum \text{CR}}{\sum \text{CD} + \sum \text{FD} + \sum \text{MD} + \sum \text{CR}} \quad (1)$$

13

14 where CD (correct detection) is the number of catchments that present similar trends for both
15 flood and physiographic indices, FD (false detection) is the number of catchments that present
16 opposite trends for both flood and physiographic indices, MD (missed detection) is the number
17 of catchments that are stationary for one index and non stationary for the other, and CR
18 (correct rejection) is the number of catchments that present non stationary behavior for both
19 indices.

20 The SC value gives the proportion of agreements (correct detection and correct rejection)
21 between flood trends (either Qmax or nPOT) and each physiographic index trend in the whole
22 catchment set. A value close to unity indicates good agreement between both flood and
23 physiographic trends. On the contrary, a value close to zero indicates that there is no
24 agreement between the trends of the indices involved.

25 **4. Results**

26 This section presents the results of the trend analyses on flood characteristics as well as on
27 rainfall and vegetation indices. As mentioned in section 2, the catchment set presents different
28 record period lengths. We investigated the temporal variability of the trends on two
29 catchments presenting long series. Then we investigated the spatial variability of the trends by
30 analyzing the flood trends on the whole catchment set, but focusing on the 1970–2010 period.
31 Flood trends were compared to rainfall trends and last, flood trends were compared to

1 vegetation trends over the 1981–2006 time period. This allowed us to identify the factor with
2 the greatest influence on flooding.

3 **4.1. Historical perspectives of trends in flood magnitude and frequency**

4 For long-term analysis, we only considered the two long time series representing the climatic
5 region of West Africa, namely the Dargol River at Kakassi and the Faleme River at Fadougou.
6 The results of the Mann-Kendall and Pettitt tests performed on the flood time series of these
7 two catchments are presented in Table 4.

8 The evolution of flood in the two long times series (Figure 6) presents two main behaviors.
9 For the Dargol River at Kakassi, the Qmax and nPOT time series significantly increased over
10 the 1959–2009 period according to the Mann-Kendall test, and breaks were also detected with
11 the Pettitt test. The break in the Qmax time series occurred in 1987 and for nPOT the break
12 date occurred later, in 1993. The same tests were also applied to the subperiod time series for
13 each flood index and the subseries were found to be stationary. The comparison of the mean
14 Qmax and nPOT values within the two subperiods shows that the Qmax and nPOT values in
15 the second subperiod were on average twice as high as their values in the first subperiod. For
16 the Faleme River at Fadougou, the results highlight a decreasing Qmax trend with a break in
17 1971, while the nPOT time series was stationary. As for Kakassi, the Mann-Kendall and Pettitt
18 tests performed on the subperiods of Fadougou's Qmax index revealed no significant trend
19 and no significant break. According to the mean Qmax value in the subperiods, a decrease of
20 Qmax at Fadougou between the two subperiods was also demonstrated.

21 The tests performed on the annual total rainfall index (Rtot) of the two catchments agreed on a
22 break in the Rtot in 1967, which corresponds to the beginning of the drought. The mean value
23 decreased from the first subperiod to the second. For Kakassi, no significant trend was
24 detected in the rainfall index time series, but a break date occurred for the Simple Daily
25 Intensity Index (SDII) in 1993. In this case, the mean SDII value was higher in the second
26 subperiod, meaning that daily rainfall over the catchment were less frequent but more intense,
27 which was also observed in previous studies (Descroix et al., 2013; Le Barbé et al., 2002;
28 Panthou et al., 2014). As for Fadougou, all rainfall indices presented significant negative
29 trends, and break dates all occurred within the 1967–1977 period, within the drought period.
30 Considering the Dargol River at Kakassi, we can assume that after the drought, the catchment
31 experienced a stationary flood regime between the end of 1960 and the beginning of 1990.

1 Since the end of the 1980s, substantial changes in the catchment led to the increase of flood
2 magnitude and flood frequency. In the Sahelian zone, land use changes and land clearing were
3 often mentioned as the main contributing factors of runoff increase since 1987. The coherence
4 of a break in the SDII time series with the Qmax and nPOT time series for this catchment
5 suggests that flooding in these Sahelian catchments has been rising more than what can be
6 explained by land use changes alone, and that some rainfall indices could have an impact on
7 the increase in flooding. Interestingly, the *p*-value of the Pettitt test for other rainfall indices
8 such as the R20 (0.13) and Rx5d (0.13) are close to the significance level (0.10). Although
9 these *p*-values are not significant, the estimated break dates for these indices' time series (1993
10 for R20 and 1987 for Rx5d) are in the same period as the Qmax and nPOT breaks, which
11 suggests agreement with the breaks in flood time series. Finally, these results show that for the
12 Faleme River at Fadougou, the Qmax decrease within the 1950–2000 period is consistent with
13 the decrease in rainfall indices over the 1950–2000 period. Even if the 1950–2010 period is
14 considered, the Fadougou Qmax still shows a decreasing trend, but unfortunately the rainfall
15 time series for this catchment stopped in the year 2000, so no information was provided for the
16 last decade. The decrease in Qmax for Faleme at Fadougou, which is in agreement with the
17 decrease in the annual discharge of the Sudanian rivers, reinforces the hypothesis that strongly
18 decreasing groundwater flow is the factor explaining the high reduction of discharges with
19 regard to the rainfall reduction since the 1970s in the Sudanian basins .

20 **4.2. Regional perspective of trends in flood magnitude and frequency**

21 To assess the flood trends in a regional perspective, we focused on short time series since
22 1970. The results of the Mann-Kendall trend test applied to Qmax and nPOT of the eleven
23 catchments studied are presented in Table 5. Eight out of the eleven catchments do not show
24 significant trends on Qmax, while the remaining three catchments present increasing trends
25 (the Dargol River at Kakassi, the Gorouol River at Koriziena, and the Goudebo River at
26 Falagontou a).

27 When using the short time series, the trend detected the Qmax time series of the Falémé River
28 at Fadougou in section 4.1 is no longer dominant. This suggests that since the 1970 drought,
29 the catchment has experienced stationary behavior with regard to its flood regime, while the
30 Kakassi Qmax and nPOT time series still exhibit an increasing trend since 1970.

1 The results of the Mann-Kendall trend test on nPOT are similar to the flood magnitude results.
2 The three Sahelian catchments also present a significant positive trend, all the remaining time
3 series being stationary. These results suggest that the Sahelian catchments analyzed in this
4 study have experienced more frequent floods.

5 The few significant trends detected in this section contrast with the perception that floods had
6 regionally increased in West Africa, but these results are consistent with the results obtained
7 by (Di Baldassarre et al., 2010), who found 17% significant trends detected in a global
8 database of 79 annual maximum time series in Africa before the 2000s.

9 However, it is important to note the clustering of the trends detected. All positive trends were
10 detected for the three Sahelian catchments. This is in line with the “Sahelian paradox”
11 (Descroix et al., 2009), which implies an increase in annual runoff coefficients while at the
12 same time annual rainfall remains low compared to wet years (1950–1970).

13 To identify the similarities between flood patterns and environmental indices more accurately,
14 the agreement between flood trends and physiographic index trends for the catchments studied
15 are analyzed hereafter, first on the entire set of catchments with particular attention paid to the
16 same time interval for the flood and physiographic index time series.

17 When analyzing all catchments at the same time, we expect the rainfall–runoff relationships of
18 the eleven catchments studied to be quite different, since the catchments are known to have
19 different hydrological processes due to the spatial variability of the climate and the
20 heterogeneity of the soil. However, this has been considered an advantage in this section
21 because it more clearly identifies which index is in agreement with the flood trends in the two
22 climatic zones.

23 The results presented in Table 6 on the SC and Cramer criteria show similar Qmax and nPOT
24 scores. The best SC criterion scores are recorded for Rtot (0.82) and Rx5d (0.73) in both cases.
25 The other indices showed a SC score between 0.36 and 0.55, which will be considered as non
26 significant given the small number of catchments. The Cramer criterion has low scores for the
27 Rtot (0.16) and Rx5d (0.35) indices in both cases, with associated *p*-values (α) higher than
28 0.10, meaning that these scores are not significant and conclusions cannot be drawn on the
29 relation between flood trends and rainfall index trends. However, these results show good
30 consistency between the two criteria chosen for this analysis and highlight two main indices

1 (Rtot and Rx5d) for which trends are in agreement with flood trends (Qmax and nPOT)
2 according to the SC criterion.

3 As mentioned above, we used series of different lengths, which may have had an effect on the
4 coherence of the trends detected. Abdul Aziz and Burn (2006), Hamed (2008), and Burn et al.
5 (2004) showed that using the Mann-Kendall trend test on different extents of the same time
6 series can lead to contradicting results, due to the existence of non-monotonic temporal
7 patterns in time series. This is also true for the Fadougou time series as presented above.
8 Therefore, for better coherence of the period in the analysis, only the Burkina Faso catchments
9 will be used in the following, since they present longer time series; this allows us to analyze
10 trends in the 1970–2010 period. The Goudebo River at Falagontou, the Gourouol at Koriziena,
11 and the Dargol at Kakassi are considered hereafter for Sahelian catchments, and the Mouhoun
12 River at Samendeni, the Noaho at Bittou, the Bambassou at Batie and the Bougouriba at
13 Diebougou are considered for Sudanian catchments. The new SC criterion and Cramer test
14 values are presented in Table 7.

15 According to the results obtained when catchments with more homogenous time series periods
16 are considered, the Rx5d index appears to match the flood trends of the two climatic areas
17 perfectly. For this index, the SC criterion is equal to 1, and the Cramer criterion is significant,
18 with a high score of 0.71 for Qmax and nPOT. This suggests that the Rx5d index is the
19 overriding climatic factor that is most likely to impact the flood behavior in the two climatic
20 zones. This could be attributed to the fact that for the range of catchment areas studied herein,
21 the maximum discharge was found with a substantial accumulation of rainfall recorded over
22 several days.

23 To take into account the difference between the climatic zones, the trends of the seven
24 catchments in Burkina Faso were calculated in a more detailed analysis to determine which
25 rainfall indices match flooding trends for each climatic zone. In this case, the Cramer criterion
26 was not calculated since the number of catchments taken into account for each group was too
27 low.

28 According to the results presented in Table 8, the Sahelian flood trends are the same for three
29 indices, namely R20, Rx5d, and SDII. In this case, they all presented a significant increase,
30 thus confirming the results obtained so far on the long time series of the Dargol River at
31 Kakassi. In this respect, (Descroix et al., 2013) showed that in the central Sahel, the mean
32 daily rainfall has increased in 2000–2010 compared to 1971–1990, and its value reached the

1 value of wet decades (1950–1970). The number of heavy rainfall days (R20) also increased
2 over the 1990–2010 decade in the central Sahel. The greatest contribution of extreme rainy
3 days in the annual total rainfall since the beginning of 1990s (Descroix et al., 2013; Panthou et
4 al., 2014) can also explain the increasing SDII trend since 1970 for the Sahelian catchments
5 presented here.

6 For the Sudanian catchments, the Rtot, Rmax, and Rx5d indices showed the same trend as the
7 Qmax and nPOT for the group's four catchments, which has already been shown in the long-
8 term perspective analysis of Fadougou.

9 **4.3. Agreements between flood trends and NDVI index trends**

10 Generally speaking, NDVI characteristics tend to increase for the studied catchments over the
11 1981–2006 period, and this was more pronounced for NDVI_w and the Sahelian catchments.
12 According to the results of the Mann-Kendall trend test presented in Table 9, similar behaviors
13 for NDVI_w and NDVI_m was detected in nine catchments of the eleven investigated. When
14 integrating NDVI_d, only five catchments showed similar trends for the three vegetation
15 indices.

16 Concerning the results of SC on flood/NDVI indices presented in Table 10, the agreements are
17 similar between Qmax and NDVI in one hand and between nPOT and NDVI in this the
18 second hand. The Cramer Index, presents very poor scores indicating that the relation between
19 the flood index trends and the NDVI trends is not significant.

20 With regard to the NDVI, several publications have established that the Sahelian region has
21 been going through a “regreening” process for almost 20 years now (Anyamba and Tucker,
22 2005; Fensholt et al., 2013; Herrmann et al., 2005). The NDVI changes on the catchments
23 used in this study confirm this theory. However, this points out an obvious discrepancy with
24 the “Sahelian paradox” concept, which implies an increase in the runoff coefficient due to land
25 clearing. In that respect, (Dardel et al., 2014) explained that these two behaviors of the
26 vegetation index can take place in the same area but at different spatial scales depending on
27 the type of soil.

28

1 **5. Conclusion**

2 This paper aimed to study the trends of maximum flows in West African rivers, and the study
3 was based on eleven catchments of sahelian and sudanian zones of the region. To isolate the
4 related climate and environmental impact on flood regime, we compared the trends of floods
5 with the trends of physiographic variables of the medium size catchments (1750 km^2 to 12200 km^2). However this study was based on small sample of catchment comparatively to the
6 region, the methodology applied allows us to confidently assert that for the set of data used
7 two opposite trends can be observed on flood magnitude and flood frequency depending on
8 the climatic zone.

10 The Sahelian catchments studied showed increasing trends in both flood magnitude and flood
11 frequency, in accordance with the evolution of flow in Sahelian catchments attributed to the
12 increase in annual runoff coefficients, but we also found significant similarities between flood
13 trends and the trends indicated by certain extreme rainfall indices, namely the number of
14 heavy rainfall, the maximum amount of rainfall in 5 consecutive days, and the mean daily
15 rainfall. This climate signal is possibly another aggravating factor of the increase in runoff
16 coefficients in the sahelian region. Since the number of catchments was relatively low, this
17 result needs to be confirmed on other catchments.

18 For the Sudanian catchments studied, we identified only one decreasing trend in flood
19 magnitude in the long time series, but the large sample of short time series used can be
20 considered stationary with respect to flood magnitude and occurrence. The decreasing trends,
21 as well as the stationarity of flood time series, are more attributable to the evolution in mean
22 rainfall since 1970 which have induce a continual decrease in base flow (Mahé, 2009, 2011).

23 We did not find a significant link between NDVI trends and flood magnitude trends.
24 Therefore, the overall increase of NDVI does not appear here as a particular environmental
25 pattern affecting flood magnitude trends, but rather as a regional behavior related to the
26 resurgence of rainfall.

27 For years now, the design of hydraulics structures is based on standards computed since 1960,
28 with the hypothesis that extreme hydrological regimes are stationary, but after the drought in
29 the 1970s, a number of elements contributed to the alteration of hydrological regime in West
30 Africa, such as demographic changes, increasing urbanization, and land usage, to mention a
31 few known examples. The change in watershed environment and the results presented in this

1 study suggest that the assumption of stationarity of floods is no longer valid for some
2 catchments, and special care have to be taken when designing hydraulic structures, specifically
3 with the use of old standards for the calculation of design flood.

4 Limitations inherent to the rainfall–runoff relationship analysis using statistical tools derive
5 from the fact that hydrological processes as well as their spatial and temporal variability are
6 not taken into account. It is therefore important to use hydrological models, which have the
7 advantage of more accurately accounting for certain hydrological processes.

8

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8 **Table 1.** General information on the 11 catchments and the flow and rainfall data sets used for the study. Annual rainfall is computed over the
 9 1960–1999 period. Value of missing years recorded for the catchments Fadougou, Sokoroto, Missira and Kedougou represent the number of
 10 filled maximum discharge for these catchments.

| Country | Main river | Tributary | Gauging station | Area (km²) | First and last years for floods | Missing years | Mean annual precipitation (mm) | Number of rain gauges used | First and last years for rainfall |
|---------------------|-------------------|------------------|------------------------|------------------------------|--|----------------------|---------------------------------------|-----------------------------------|--|
| Burkina Faso | Niger | Goudebo | Falagontou | 3750 | 1987/2010 | 4 | 410 | 5 | 1970/2010 |
| Burkina Faso | Niger | Gorouol | Koriziena | 2500 | 1970/2010 | 8 | 371 | 4 | 1970/2010 |
| Niger | Niger | Dargol | Kakassi | 6950 | 1959/2009 | 12 | 408 | 6 | 1970/2010 |
| Burkina Faso | Volta | Mouhoun | Samendeni | 4580 | 1970/2006 | 0 | 996 | 8 | 1970/2010 |
| Burkina Faso | Volta | Noaho | Bitto | 4050 | 1973/2006 | 3 | 804 | 7 | 1970/2010 |
| Burkina Faso | Volta | Bambassou | Batie | 5485 | 1971/2004 | 2 | 1006 | 6 | 1970/2010 |
| Burkina Faso | Volta | Bougouribga | Diebougou | 12200 | 1970/2005 | 4 | 956 | 14 | 1970/2010 |
| Mali | Senegal | Faleme | Fadougou | 9350 | 1950/2010 | 23 | 1073 | 7 | 1970/2000 |
| Guinea | Senegal | Bafing | Sokoroto | 1750 | 1970/2010 | 12 | 1280 | 2 | 1970/2000 |
| Senegal | Gambie | Koulountou | Missira | 6200 | 1970/2000 | 2 | 1375 | 4 | 1970/2000 |
| Senegal | Gambie | Gambie | Kedougou | 8130 | 1970/2002 | 0 | 1262 | 7 | 1970/2000 |

1 **Table 2.** Description of the rainfall indices used.

| ID | Description | UNIT |
|-------------|--|-------------|
| Rtot | Annual total rainfall, where precipitation ≥ 1 mm | mm |
| R20 | Annual number of days when precipitation ≥ 20 mm | days |
| Rmax | Daily maximum rainfall per year | mm |
| R95p | Sum of daily rainfall exceeding the 95 th percentile | mm |
| Rx5d | Maximum rainfall over 5 consecutive days. | mm |
| SDII | Simple Daily Intensity Index (annual total rainfall divided by the number of wet days in the year) | mm/day |

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1 **Table 3.** 3×3 Contingency table of trends for flood and physiographic indices. Each cell
2 contains the number of catchments respecting the trends in the row (for flood indices) and
3 column (for physiographic indices)
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| Flood index | Physiographic index | | |
|--------------|---------------------------------|---------------------------------|---------------------------------|
| | Positive | Negative | Stationary |
| Positive | correct detection (CD) | false detection (FD) | missed detection (MD) |
| Negative | false detection (FD) | correct detection (CD) | missed detection (MD) |
| Stationarity | missed detection (MD) | missed detection (MD) | correct rejection (CR) |

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1 **Table 4.** Results of Mann Kendall and Pettitt tests on Kakassi and Fadougou time series
 2 (flood and rainfall indices). "+" for significant positive trend; "-" for significant negative trend;
 3 "0" for no significant trend.

| | | MANN-KENDALL | | PETTITT | | CONCLUSIONS | |
|---------------------------------|---------------------------|---------------|-------------|------------|----------------|-------------|--|
| | | α_{MK} | τ_{MK} | Conclusion | α_{PET} | Break date | Mean by subperiod |
| Kakassi (1959–2009) | Qmax (m ³ /s) | 0.01 | 0.3 | + | 0.01 | 1987 | 1959–1987 1988–2009 65 135 |
| | nPOT (---) | 0 | 0.48 | + | 0.05 | 1993 | 1959–1993 1994–2009 2 4 |
| | Rtot (mm) | 0.12 | -0.17 | 0 | 0.06 | 1967 | 1959–1967 1968–2009 523 398 |
| | R20 (---) | 0.49 | 0.08 | 0 | 0.13 | No break | ----- ----- |
| | Rmax (mm) | 0.35 | 0.11 | 0 | 0.22 | No break | ----- ----- |
| | R95 (mm) | 0.78 | 0.03 | 0 | 0.27 | No break | ----- ----- |
| | Rx5d (mm) | 0.23 | 0.14 | 0 | 0.13 | No break | ----- ----- |
| | SDII (mm) | 0.48 | 0.08 | 0 | 0.08 | 1993 | 1959–1993 1994–2009 7.4 8.9 |
| | Qmax* (m ³ /s) | 0 | -0.38 | - | 0 | 1971 | 1950–1971 1972–2010 1006 525 |
| Fadougou (1950–2010) | nPOT* (---) | 0.96 | 0.01 | 0 | 0.51 | No break | ----- ----- |
| | Rtot (mm) | 0 | -0.47 | - | 0 | 1967 | 1950–1967 1968–2000 1571 1070 |
| | R20 (---) | 0 | -0.47 | - | 0 | 1976 | 1950–1976 1977–2000 25 16 |
| | Rmax (mm) | 0 | 0.28 | 0 | 0.01 | 1966 | 1950–1966 1966–2000 95.6 62 |
| | R95p (mm) | 0 | -0.44 | - | 0 | 1967 | 1950–1967 1968–2000 440 180 |
| | Rx5d (mm) | 0 | -0.32 | - | 0 | 1967 | 1950–1967 1968–2000 184 126 |
| | SDII (mm) | 0 | -0.52 | - | 0 | 1977 | 1950–1977 1978–2000 15.3 11.1 |

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8 **(*)For Fadougou, the Qmax and nPOT tests were performed on two periods. First for**
 9 **the 1950–2010 period and second on the 1950–2000 period for the comparison with**
 10 **rainfall index time series with a shorter length. The results obtained were the same for**
 11 **the two periods and for Qmax and nPOT.**

1 **Table 5.** Results of Mann Kendall trend test on Q_{max} and nPOT time series for the 11 shortter
 2 time series. “+” for significant positive trend; “-”for significant negative trend; “0” for no
 3 significant trend.

| Catchments | Area (km ²) | Period | Mann-Kendall Q_{max} | | Conclusion | Mann-Kendall nPOT | | Conclusion |
|-------------------|----------------------------|-----------|----------------------------------|--------------------|------------|----------------------|--------------------|------------|
| | | | α_{MK} | τ_{MK} | | α_{MK} | τ_{MK} | |
| Falagontou | 3750 | 1987–2010 | 0 | 0.46 | + | 0.04 | 0.36 | + |
| Koriziena | 2500 | 1970–2010 | 0.03 | 0.27 | + | 0.07 | 0.25 | + |
| Kakassi | 6950 | 1970–2010 | 0.01 | 0.35 | + | 0.07 | 0.25 | + |
| Samendeni | 4580 | 1970–2006 | 0.34 | 0.11 | 0 | 0.77 | 0.05 | 0 |
| Bittou | 4050 | 1973–2006 | 0.66 | 0.07 | 0 | 0.99 | 0 | 0 |
| Batie | 5485 | 1971–2004 | 0.28 | 0.14 | 0 | 1 | 0 | 0 |
| Diebougou | 12200 | 1970–2005 | 0.45 | 0.1 | 0 | 0.19 | 0.19 | 0 |
| Fadougou | 9350 | 1970–2010 | 0.78 | -0.04 | 0 | 0.52 | -0.08 | 0 |
| Sokoroto | 1750 | 1970–2010 | 0.67 | -0.06 | 0 | 0.83 | -0.03 | 0 |
| Missira | 6200 | 1970–2000 | 1 | 0 | 0 | 0.87 | -0.03 | 0 |
| Kedougou | 8130 | 1970–2002 | 0.8 | 0.03 | 0 | 0.49 | -0.1 | 0 |

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1 **Table 6.** SC criterion and Cramer criterion values for precipitation index trends compared to
 2 Qmax trends and nPOT trends on the set of 11 short-term catchments.

| | Qmax time series | | | nPOT time series | | |
|------|------------------|----------|--------|------------------|----------|--------|
| | SC | α | Cramer | SC | α | Cramer |
| Rtot | 0.82 | 0.59 | 0.16 | 0.82 | 0.59 | 0.16 |
| R20 | 0.36 | 0.63 | 0.29 | 0.36 | 0.63 | 0.29 |
| Rmax | 0.55 | 1 | 0 | 0.55 | 1 | 0 |
| R95p | 0.55 | 1 | 0 | 0.55 | 1 | 0 |
| Rx5d | 0.73 | 0.24 | 0.35 | 0.73 | 0.24 | 0.35 |
| SDII | 0.36 | 0.63 | 0.29 | 0.36 | 0.63 | 0.29 |

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2 **Table 7.** SC criterion and Cramer criterion values for precipitation index trends compared to
 3 Qmax trends and nPOT trends for the seven homogeneous catchments of Burkina Faso.

| | Qmax time series | | | nPOT time series | | |
|-------------|------------------|----------|--------|------------------|----------|--------|
| | SC | α | Cramer | SC | α | Cramer |
| Rtot | 0.71 | 0.88 | 0.06 | 0.71 | 0.88 | 0.06 |
| R20 | 0.57 | 1 | 0 | 0.57 | 1 | 0 |
| Rmax | 0.71 | 0.88 | 0.06 | 0.71 | 0.88 | 0.06 |
| R95p | 0.71 | 0.74 | 0.13 | 0.71 | 0.74 | 0.13 |
| Rx5d | 1 | 0.06 | 0.71 | 1 | 0.06 | 0.71 |
| SDII | 0.57 | 1 | 0 | 0.57 | 1 | 0 |

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1 **Table 8.** SC criterion and Cramer criterion values for precipitation index trends compared to
2 Qmax trends and nPOT trends for the seven homogeneous catchments in Burkina Faso, three
3 Sahelian catchments, and four Sudanian catchments.

| Rainfall indices | SC for Sahelian catchments | SC for Sudanian catchments |
|------------------|----------------------------|----------------------------|
| Rtot | 0.33 | 1 |
| R20 | 1 | 0.25 |
| Rmax | 0.33 | 1 |
| R95p | 0.67 | 0.75 |
| Rx5d | 1 | 1 |
| SDII | 1 | 0.25 |

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1 **Table 9.** NDVI time series trends for the 11 catchments studied over the period 1981- 2006
 2 according to the Mann-Kendall test. “+”, significant positive trend; “0”, no significant trend

| | NDVI_m | NDVI_w | NDVI_d |
|-------------------|---------------|---------------|---------------|
| Falagontou | 0 | + | 0 |
| Kakassi | + | + | 0 |
| Koriziena | + | + | 0 |
| Samendeni | + | + | + |
| Bittou | + | + | + |
| Batie | + | + | + |
| Diebougou | + | + | 0 |
| Fadougou | + | + | 0 |
| Sokoroto | 0 | 0 | 0 |
| Missira | + | 0 | + |
| Kedougou | 0 | 0 | 0 |

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1 **Table 10.** SC criterion and Cramer criterion values for the NDVI index trends compared to
 2 Qmax trends and nPOT trends for the set of 11 catchments..

| | Qmax time series | | | nPOT time series | | |
|---------------|------------------|----------|--------|------------------|----------|--------|
| | SC | α | Cramer | SC | α | Cramer |
| NDVI_m | 0.36 | 0.69 | 0.12 | 0.45 | 1 | 0 |
| NDVI_d | 0.55 | 1 | 0 | 0.55 | 0.63 | 0.15 |
| NDVI_w | 0.55 | 1 | 0 | 0.45 | 0.63 | 0.15 |

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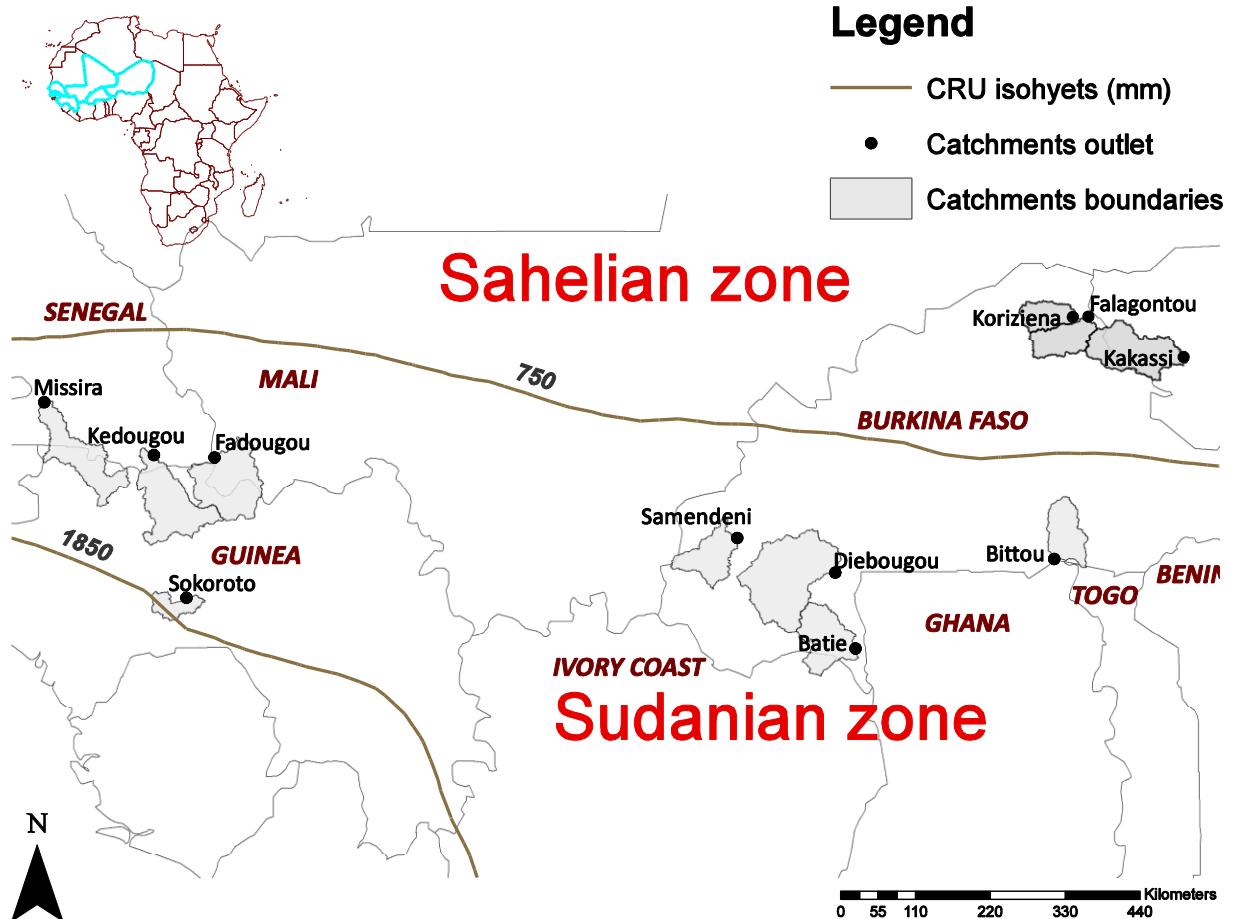
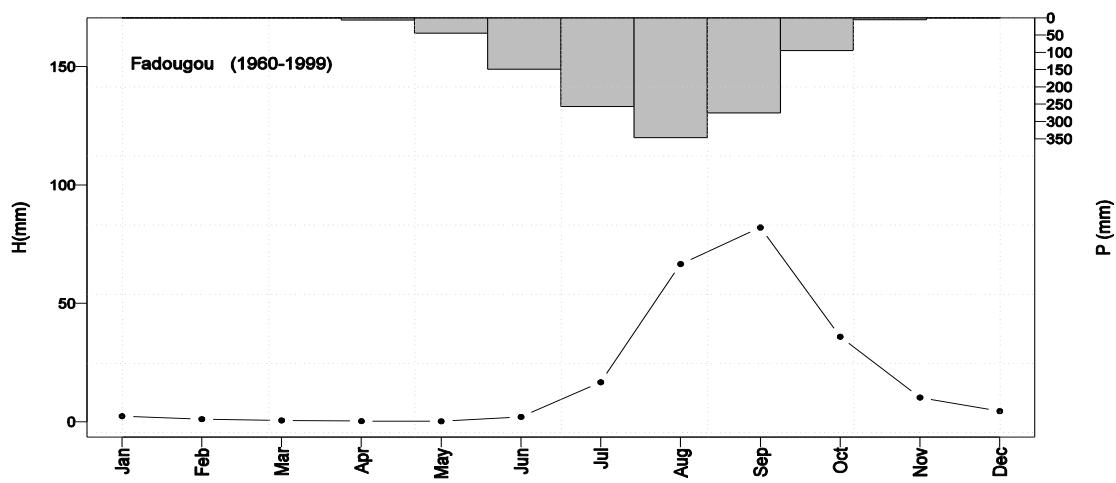
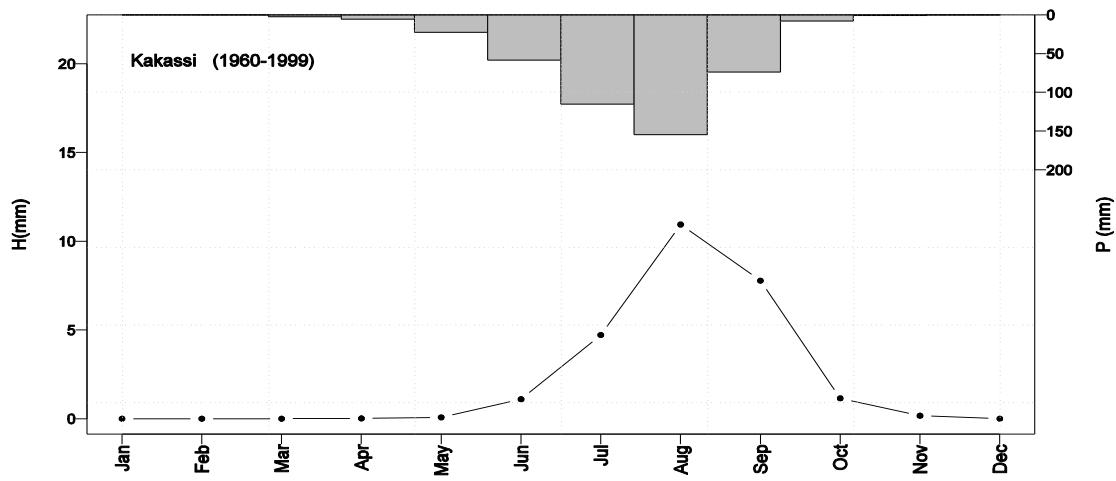


Figure 1. Location of the 11 West African catchments used for this study; the isohyets were created from climatic research unit (CRU) spatial rainfall data from 1960 to 1990.

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Figure 2. Mean monthly hydrograph for Kakassi (Sahelian catchment) and Fadougou (Sudanian catchment), 1960–1999.

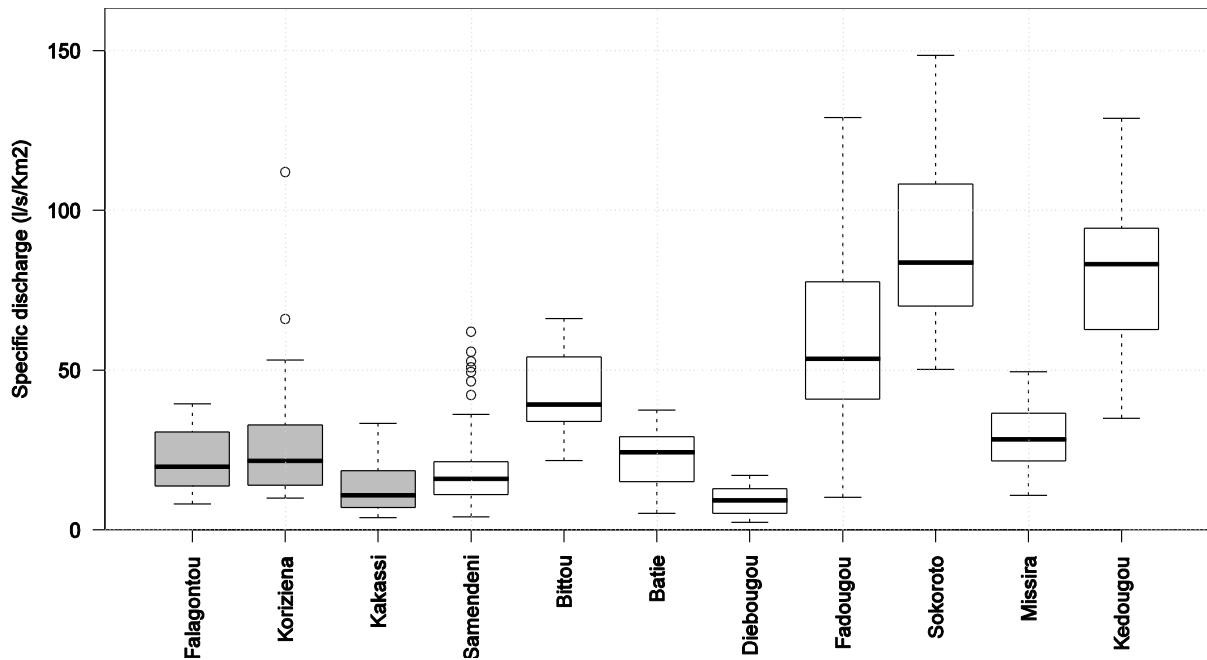


Figure 3. Boxplots of specific Q_{max} of each catchment within the period 1970–2010. The boxplot represents the median on the middle hinge, 25th (75th) percentile on the lower (upper) hinge. The lower (upper) whisker is the border beyond which outliers are considered it is equal to $1.5 \times$ Interquartile range -25th (+75th). Empty circles represent outliers greater than the upper whisker or beyond the lower whisker. The three first boxes represent the time series for Sahelian catchments.

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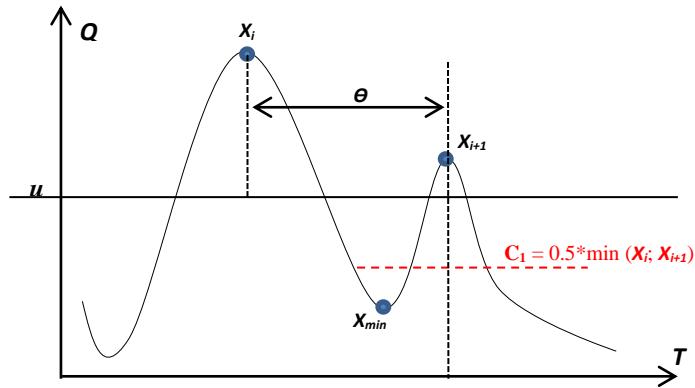
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4 **Figure 4.** Extraction process of nPOT values. u is the threshold above wish all peak are
 5 selected; Θ is the time interval between two consecutive nPOT; X_{min} refers to the minimum
 6 daily discharge between two consecutive nPOT X_i and X_{i+1} ; c_1 is the minimum threshold
 7 between two consecutive nPOT .

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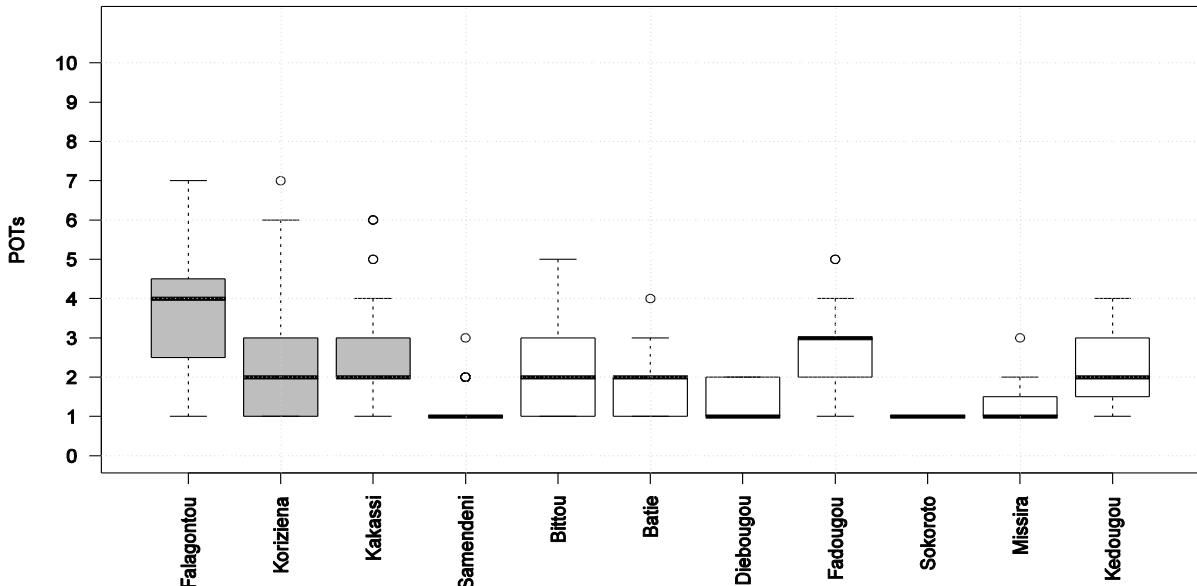
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4 **Figure 5.** Boxplots for nPOT time series within the period 1970–2010 summarizing the
 5 characteristics of the nPOT series used. The boxplot represents the median on the middle
 6 hinge, 25th (75th) percentile on the lower (upper) hinge. The lower (upper) whisker is the
 7 border beyond which outliers are considered it is equal to $1.5 \times$ Interquartile range -25th
 8 (+75th). Empty circles represent outliers greater than the upper whisker or beyond the lower
 9 whisker. The three first boxes represent the time series for Sahelian catchments.
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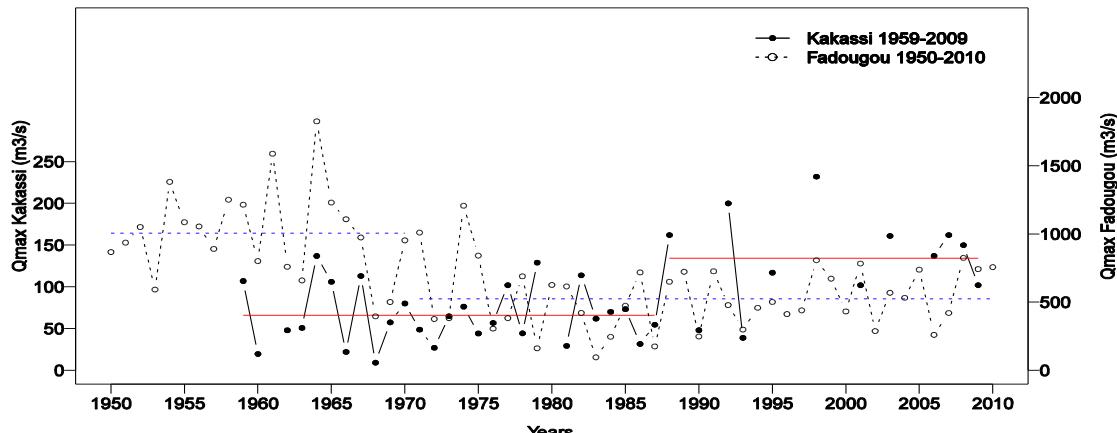
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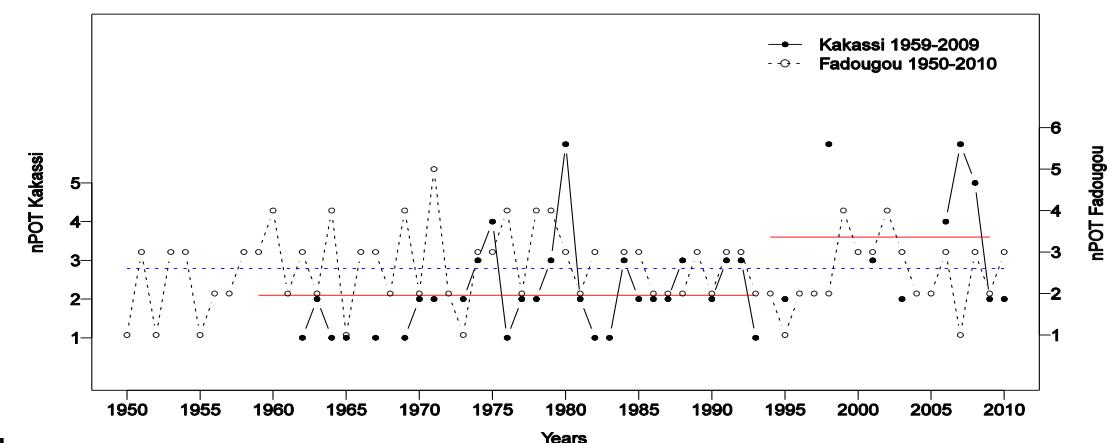
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5 **Figure 6.** Qmax and nPOT of long-term time series and segmentation according to the Pettitt
6 break test. The dashed blue lines (solid red lines) represent the mean value of the flood index
7 for each subperiod at Fadougou (Kakassi).

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