Modelling field scale water partitioning using on-site observations in sub-Saharan rainfed agriculture

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Abstract

Smallholder rainfed farming systems generally realise sub-optimal crop yields which are largely attributed to dry spell occurrences during crop growth stages. However, with improved farming practices, it seems possible to significantly increase yield levels even with little and highly variable rainfall. The presented results follow research conducted in the Makanya catchment in northern Tanzania where gross rainfall amounts to less than 400 mm/season which is insufficient to support staple food crops (e.g. maize). Alternative cultivation techniques such as runoff harvesting and in-field micro-storage structures are compared. These techniques aim to reduce soil and nutrient loss from the field but, more importantly, promote in-field infiltration and water retention. Water balance components have been observed in order to study water partitioning processes under different cultivation techniques. Based on rainfall, soil evaporation, transpiration, runoff and soil moisture measurements, a water balance model has been developed to simulate soil moisture variations over the growing season. It appears that about 50% of the diverted water leaves the root zone through deep percolation. Modelling shows that during the field trials the average productive transpiration flow ranged between 1.1–1.4 mm d\(^{-1}\) in the trial plots compared to 0.7–1.0 mm d\(^{-1}\) under traditional tillage practice. Productive transpiration processes accounted for 23–29% while losses to deep percolation accounted for 33–48% of the available water. Conclusions from the research are that the innovations tested are effective in enhancing soil moisture retention at field scale and that diversions allow crop growth moisture conditions to be attained with early rains. It is also concluded that there is more scope for efficient utilisation of the diverted runoff water if storage structures could be installed to regulate water flow to the root zone when required.
1 Introduction

The impact of climate variability and climate change is felt differently at different scales. In relation to food security, the effect of dry spell occurrences during critical cropping seasons as a result of erratic rainfall patterns results in severe yield reductions in farming systems. In semi-arid environments, water is a major constraint to agricultural production (e.g. Ngigi, 2003). This implies that farmers need to improve on current yield levels by adopting innovative rainwater harvesting and soil water conservation techniques (Rockström, 2003; Rockström et al., 2004). The big challenge now is that current levels are generally too low for existing food demands and average less than 1 t ha\(^{-1}\) for maize in some semi-arid environments (Bhatt et al., 2006). Irrigation is perceived to be the solution to the challenge of dry spells. Interestingly, irrigation is often viewed in the light of large scale organised systems with less attention paid to simple and cheap supplemental facilities for smallholder farming operations.

Rainwater harvesting systems with storage facilities are not common in Eastern and Southern Africa with the few micro-dams and ponds identified in arid and semi-arid areas being poorly located for effective irrigation or, alternatively, being used for multiple purposes (Rockström, 2000). On the other hand, large scale and seemingly organised irrigation schemes require substantial investment costs and tend to benefit only few participating members. This means that the majority of the population, who, incidentally, rely mostly on rainfed subsistence agriculture, need to resort to simple and innovative agricultural techniques for soil moisture retention in order to bridge the dry spells. In the past, when more unoccupied land was available, communities practiced shifting agriculture by relocating to less stressed and more fertile land resources (e.g. Fischer, 2008). Nowadays, local solutions which aim to optimize available resources are required. Such solutions exist (Temesgen et al., 2007; Ngigi, 2007; Rockström et al., 2001) and include conservation tillage, rainwater harvesting, water retention at small scales (e.g. fanya juus) the development of more community-driven irrigation schemes, use of drought resistant and the seed varieties, adoption of more appropriate crops for...
different environments. However, the adoption of these solutions is not often guaranteed as social and traditional preferences tend to influence community behaviour.

Rainfall variability in sub-Saharan Africa is very high with a difference of as much as 60 mm d$^{-1}$ in rainfall recorded within a spatial distance of 10 km (Mul et al., 2008). With the increasing climate variability which has seen an increasing trend in dry spells in some parts of semi-arid Sub-Saharan Africa (Enfors and Gordon, 2007; Fischer, 2008) it is becoming a bigger challenge to improve or, at least, maintain the current crop yield levels. Instead, smallholder farmers, who constitute the greater proportion of the population, will increasingly rely on food imports as a result of these seasonal low yields. Given these challenges, it is important to assist smallholder farmers to break the poverty cycle by relying less on food imports and encouraging them to adopt more efficient farming techniques which result in improved crop productivity under harsh climatic challenges. A number of improved agricultural techniques have been tested throughout the region with promises of success but the uptake by farmers has not been encouraging (Ngigi et al., 2005). One possible explanation for the poor adoption by farmers could be that scientists themselves do not have full understanding of the water partitioning processes at field scale to explain how the tried techniques work.

However, it seems very well possible to improve crop productivity even under these challenging conditions. Many different techniques can be applied to achieve improved results and these largely depend on site conditions. An example of more efficient improved techniques is the *fanya juu* cultivation method which, if used in combination with runoff harvesting, results in improved soil moisture availability. The *fanya juus* have been researched before as soil conservation structures (Tenge et al., 2005; Mwangi et al., 2001; Gichuki, 2000) but the hydrological impacts on cropping systems have not been investigated in detail.

The objectives of the research presented in this paper are to (i) use observed on-site data to model soil moisture dynamics for the tested “improved” farming techniques, and hence (ii) quantify water partitioning at field scale to assess the applied techniques with regards their hydrological functioning.
2 Materials and methods

2.1 Research site

The research has been conducted in the Makanya catchment in northern Tanzania (Fig. 1) at two sites. These sites, Site 1 (Iddi farm) and Site 2 (Walter farm) are located in the Mwembe village within the Makanya catchment. The Makanya catchment is part of the Pangani Basin and covers a catchment area of about 300 km$^2$ (Mul et al., 2007). The rainfall variability is high and ranges between 500–800 mm a$^{-1}$ and is heavily influenced by altitude. Two rainfall seasons are experienced in a year with the long rainfall season (Masika) occurring between March and May while the short rainfall season (Vuli) occurs anytime between October and December. The annual rainfall received is thus split over two agricultural seasons which implies that, on average, seasonal rainfall alone cannot support the common crops grown in the area such as maize, beans and coffee (Mutiro et al., 2006). An analysis of the rainfall patterns at a nearby station suggests a steady mean in the total amount of rainfall received but an increasing trend in dry spell occurrences especially in the Masika season (Enfors and Gordon, 2007).

The local communities in the catchment rely on subsistence agriculture for food production. The terrain changes dramatically from mountainous in the upper part of the catchment to midlands and, finally, flatter lowlands at the downstream end of the catchment. The population settled in higher altitudes enjoy a wetter climate hence have more food security. The midland area is drier with farming activities relying on stream flow diversions either directly into cultivated plots or, as is often the case, into micro dams for temporary storage and into micro dams for supplemental irrigation. More than 100 diversion canals and 70 micro-dams have been identified in the Makanya catchment. However, where supplemental irrigation facilities exist, especially at community scales, demand for the little harvested water is so high that the impact of the irrigation facility becomes negligible when incremental benefits are analysed (Makurira et al., 2007). The agreement between upstream communities and downstream farmers has seen
an organised water sharing scheme from a common river (Komakech et al., 2008). The lowlands hardly receive any rains. Inconsistent and low river flows and the absence of a water allocation arrangement with upstream users imply that the lowlands rely mainly on extreme flood events which cannot be absorbed upstream. Such flood events, though few in a season, usually last a few days and bring with them nutrient rich water which the lowland farmers share as spate irrigation only during the events.

A variety of soil and water conservation practices is observed within the study area and these include hand-hoeing, terracing, inter-cropping, rainwater harvesting (particularly flow diversions) and irrigation from micro-dams. Groundwater exploitation is low with substantial amounts of surface water infiltrating into the ground and, possibly, draining out of the catchment as sub-surface flow (Mul et al., 2007).

2.2 Experimental setup

The research compares current farming practices of hand-hoe cultivation against newly introduced conservation tillage practices in combination with water harvesting, in particular the *fanya juu* and flood water diversion. The *fanya juus* are basically trenches constructed across the cultivated fields. The soil from the excavation is thrown upslope of the trench to form a bund upstream of the trench. The trenches therefore act as temporary storage structures after rainfall events while the bunds create a ponding environment. Infiltration potential is enhanced in the trenches and at the ponding zones as a result of increased residence time of water. In addition, the reduction in runoff velocity within the cultivated field implies increased potential for deposition of nutrient rich finer material within the cultivated plots.

The research took a participatory approach with selected resident smallholder farmers. This approach is more sustainable from an uptake point of view as well as sharing science and indigenous knowledge systems with locals (Mirghani and Savenije, 1995). Water balance components necessary for the simulation have been measured on site or determined from empirical relationships.
2.3 Soil moisture measurements

To evaluate the effect of different treatment techniques, soil moisture has been measured by use of time domain reflectometry (TDR). Four access tubes have been inserted into the ground using a hand auger. Tube A was placed in the control plot i.e. the section within the same file but upstream of the diversions which is supplied by rainfall only. The other three tubes have been placed within one cultivated strip but located such that Tube B is closest to the trench, Tube C in the middle of the cultivated strip and Tube C at the lower end of the strip and closest to the bunds. From Fig. 2 it can be seen that Tube B monitors the impact to the root zone of water stored in the trench while Tube D monitors the effect of ponded water as a result of the bund. Tube C monitors if there is uniformity in the moisture distribution across the cultivated strip. Soil moisture observations were made twice a week during the growing and once in two weeks during the dry seasons.

2.4 Water balance modelling

Soil moisture storage has been modelled using a spreadsheet based water balance model (based on Savenije, 1997). The model is defined by the following equation:

\[
\frac{dS_u}{dt} + \frac{dS_s}{dt} = P - E_T - E_I - E_s - R_g - Q_s
\]

where (all in mm d\(^{-1}\)),
- \(P\) is the precipitation received on the system,
- \(E_T\) is the transpiration,
- \(E_I\) is the evaporation from interception i.e. from canopy cover and soil surface,
- \(E_s\) is the evaporation from the soil,
- \(Q_s\) is the net surface flow runoff,
- \(R_g\) is the recharge into the groundwater,
- \(\frac{dS_u}{dt}\) is the rate of change of water storage in the root zone, and
is the rate of change of surface water storage. The components in the above equation can be determined from direct observations or, alternatively, from empirical relationships.

During the field trials, $P$ and $Q_s$ were measured on site. At the daily time scale used, \( \frac{dS_u}{dt} \) is considered to be negligible compared to the other fluxes. The transpiration and soil evaporation ($E_T$ and $E_s$) have been modelled as a function of the soil moisture $S_u$. The interception, $E_I$, has been determined on the basis of the daily rainfall following the method by De Groen and Savenije (2006). These methods are described below. As a result, the soil moisture storage in the unsaturated zone, $S_u$, remains the only unknown in the equation. The calculated soil moisture storage is subsequently compared with the observed soil moisture variations.

2.4.1 Estimation of model inputs

The modelling approach taken is based on the FAO-56 dual crop coefficient method which separates evaporation and transpiration processes. Figure 3 shows a flow chart illustrating the adapted method.

Input parameters have been estimated according to the processes explained below (Temesgen et al., 2007; Allen et al., 2005; Allen et al., 1998; Savenije, 1997).

Precipitation ($P$) has been measured using a tipping bucket rain gauge on site and the data was aggregated to daily time intervals.

Estimation of runoff contribution ($Q_s$)

Runoff has been directed onto the experimental plots through one point and has been allowed out through one exit point. Tipping bucket loggers have been installed to measure surface flow into and out of the study plot. The difference between inflow and outflow is the net surface flow contribution, $Q_s$.

Estimation of interception ($E_I$)

Interception has been calculated as

\[
E_I = \min(P, D)
\]  \hspace{1cm} (2)
where (all in mm d$^{-1}$)

$E_i$ evaporation from interception,

$P$ rainfall

$D$ interception threshold determined by calibration (ranges between 2–5 mm d$^{-1}$)

Open water evaporation

Daily open water evaporation, $E_o$, was measured using a Class A pan located at a nearby meteorological station. The evaporation was determined from the measured volume required to top up the water level at a set time every day.

Reference evaporation

The reference evaporation, $E_{ref}$, was estimated from the FAO recommended methods of estimating transpiration (Allen et al., 1998) i.e.

$$E_{ref} = E_o k_p$$  

(3)

where

$E_{ref}$ reference evaporation (mm d$^{-1}$)

$E_o$ open water evaporation (mm d$^{-1}$)

$k_p$ pan coefficient (–), ranges between 0.6–0.8 for the conditions in study the area (according to FAO-56)

Transpiration

Potential transpiration, $T_p$

A growing crop under optimum conditions transpires at the potential transpiration rate, $T_p$. This potential transpiration is related to the reference transpiration by a crop transpiration factor, $k_c$, which is a function of the crop type and its development stage.

The potential transpiration for any crop is therefore calculated as

$$T_p = (E_{ref} k_c)$$  

(4)

where

$T_p$ potential transpiration (mm d$^{-1}$)
crop factor (−) and ranges between 0.15–1.15 for maize crop (according to FAO-56).

Equation 4 applies to a crop growing under ideal conditions. The natural environment necessitates a further adjustment of \( k_c \) to suit local conditions (Allen, 2000). When there is no moisture stress transpiration is assumed to be related to the leaf area index, \( I_{LA} \), (Temesgen et al., 2007). The modified potential transpiration is hence calculated as

\[
T_p = \max ((T_{\text{ref}} k_c - E_I), 0) \min (1, I_{LA})
\]  

(5)

where

- \( T_p \) potential transpiration (mm d\(^{-1}\))
- \( I_{LA} \) leaf area index (m\(^2\) m\(^{-2}\))

Actual transpiration from a crop, \( E_T \)

\( T_p \) described in Eq. (5) assumes unlimited water availability within the root zone. In practice, however, soil moisture varies within the available water content (AWC) range described as the difference between the field capacity and the permanent wilting point. Potential transpiration occurs between saturated moisture conditions until the moisture content drops to a fraction \( p \) (taken as 0.6) of AWC when stress conditions start to occur. Transpiration stops when the soil moisture level drops to the permanent wilting point. Within the soil moisture range \( (1-p)(S_{fc}-S_{wp}) \) transpiration is reduced according to proportions defined by the gradient \( k \) defined as

\[
k = \frac{1}{(1-p)(S_{fc}-S_{wp})}
\]  

(6)

where

- \( k \) moisture stress gradient (mm\(^{-1}\))
- \( S_{fc} \) soil moisture at field capacity (mm)
- \( S_{wp} \) soil moisture at wilting point (mm)
The moisture stress factor limiting transpiration can therefore be expressed as

\[ f_{mt} = k \min \left( \left( S_u - S_{wp} \right), 1 \right) \]  

(7)

where

- \( f_{mt} \) moisture stress factor (-)
- \( S_u \) soil moisture within the root zone (mm)

The actual transpiration, \( E_T (\text{mm d}^{-1}) \), is given by the relationship

\[ E_T = T_p f_{mt} \]  

(8)

Soil evaporation, \( E_s \)

The energy available at the soil surface is shared between transpiration and direct soil evaporation (Allen, 2000). Where water is in abundance climatic influences play a less significant role towards transpiration rates (Novák et al., 2005). As canopy cover increases more energy is used for transpiration at the expense of direct soil evaporation. Similar to transpiration, soil evaporation only occurs at the potential rate under ideal conditions including sufficient soil moisture. However, unlike transpiration processes where transpiration occurs at reduced rates up to the wilting point, the cut-off level for soil evaporation occurs before the wilting point due to capillary forces of the soil matrix.

The soil moisture stress factor can be described by an exponential function involving \( S_u \) and the maximum water available within the root zone (\( S_{\text{max}} \)) with a reduction scale \( b \) (mm):

\[ f_{ms} = \min \left( \exp \left( \frac{S_u - S_{\text{max}}}{b} \right), 1 \right) \]

where

- \( f_{ms} \) moisture stress reduction factor (-)
- \( S_{\text{max}} \) maximum soil moisture in the root zone (mm)
The evaporation from the soil is given by

\[ E_s = \max(1 - I_{LA}, 0) \max(k_s E_{ref} - E_I, 0) f_{ms} \]

where

- \( E_s \) soil evaporation (mm d\(^{-1}\))
- \( k_s \) soil evaporation factor (equivalent to crop factor in cropped areas) (–)

In Eq. (9), interception is subtracted from reference evaporation because both are evaporation processes with evaporation from interception occurring immediately after a rainfall event as canopy interception or evaporation from the top soil.

Estimation of infiltration, \( F \)

At daily time steps, where \( \frac{dS_s}{dt} \) is considered negligible, the infiltration into the soil \( F \) (mm d\(^{-1}\)) is calculated as

\[ F = P + Q_s - E_I \]

The soil moisture balance at any given time-step \( t \) is hence calculated as

\[ S_t = S_{t-1} + (F - E_T - E_s - R) \Delta t \]

where deep percolation, \( R \) (mm d\(^{-1}\)), is calculated as

\[ R = \max \left[ \frac{S_t - S_{fc}}{k_R}, 0 \right] \]

and \( k_R \) (d) is the maximum number of days during which field capacity can be exceeded after high infiltration events.
3 Results

3.1 Soil moisture observations

Soil moisture measurements over four seasons are shown in Fig. 3 and have been used as a measure of the performance of the water balance model. The observed soil moisture shows a clear trend where the tube located on the most downstream part and next to the bund (Tube D) records the highest moisture levels. The control tube (Tube A) records the least moisture levels which are also comparable to the middle tube (Tube C). Tube B records values in between. This trend is more distinct at Site 1 where the terrain is gentler and the soils are deeper. Site 2 has steeper slopes and shallower soil depths. The moisture distribution at Site 2 exhibits a similar trend described above although the control tube and Tube B appear to respond more quickly to rainfall and diversion events. The middle tube, Tube C, also records better response to events at Site 2 than at Site 1.

3.2 Soil moisture modelling

The spreadsheet based water balance model has been developed as described above. The model simulates soil moisture on a daily time step. Each simulation calculates soil moisture for the control and the experimental site with the difference being that the experimental site allows extra water from diversions while the control is strictly rainfed. The output is plotted in Fig. 4 where the solid lines indicate the simulated soil moisture. The results show a good relationship with the observed soil moisture for both the control and the site benefitting from diverted water. The modelled results for diverted flow correspond well with the downstream tube (Tube D), while the simulation with no diversion corresponds well with the control (Tube A). The difference between the control and experimental lines indicates the impact of the diversion technique. The biggest difference occurs at the beginning of the season and is lowest when enough rainfall is realised and field capacity is attained. At both sites the simulated flow with diversions
shows that the soil reaches field capacity much earlier than the control section at the onset of the rainy season. This is important since it allows the growing season to start earlier.

### 3.2.1 Improving model performance

In Figure 3, Site 1 shows better agreement between observed and simulated soil moisture levels. Site 2 (a) however shows some disagreement especially at the onset of the experiment where simulated values are much higher than the observed. This is explained by the fact that the experimental plot may not have been prepared well enough hence less infiltration actually occurred. The model was improved by lowering the field capacity during the first few days of experimentation thereby restricting infiltration after rainfall events. Thereafter, and for the rest of the season, the control plot recorded higher moisture levels as it benefitted from lateral flows from upstream. Similarly, during the dry season prior to November 2007, a few rainfall events were observed which were translated into infiltration in the simulation. Consequently, simulated results were much higher than the observed. However, since the previous rainfall season had recorded extended dry spells towards the end, the soils were in fact much drier hence, again, the rainfall could not practically be translated into infiltration. The model was corrected by draining this infiltrated water during the dry season.

The improved model is shown as Site 2 (b) in Fig. 4 and shows a much better correspondence between modelled and observed values.

### 3.2.2 Test of model efficiency

Figure 5 shows a comparison of the modelled and observed results with the graph forced to pass through the origin. In all cases, the simulation for Tube D shows a better fit than the control. This is also confirmed by the Root Mean Square Error (RMSE) calculation of 3.3 and 1.9 at Site 1 for Tube A and Tube D, respectively. At Site 2 the RMSE decreased from 4.2 to 2.6 for Tube A after the model improvement while it
increased from 2.3 to 2.4 for Tube D. This also shows that the model improvement was most efficient in the control plot.

3.3 Water balances

The total amount of water received at field scale is due to the rainfall ($P$) and runoff diversion ($Q_s$). This water is partitioned into transpiration ($E_T$), interception ($E_I$), soil evaporation ($E_s$), deep percolation ($R$) and soil moisture storage variation ($dS_u/dt$). Table 1 shows the average daily water partitioning for the combined cropping seasons. At Site 1, the volume of water diverted surpasses the rainfall received while at Site 2, the runoff contribution is about 30% of rainfall received. Deep percolation accounts for the largest proportion of the partitioned water.

For the combined seasons, at Site 1, transpiration increased from an average of 1 mm d$^{-1}$ to 1.4 mm d$^{-1}$ (range 0.86–1.9 mm d$^{-1}$) as a result of the improved agricultural techniques. At Site 2, the average transpiration increased from 0.7 mm d$^{-1}$ to 1.1 mm d$^{-1}$ (range 0.37–1.26 mm d$^{-1}$). Despite an increase in transpiration values, deep percolation accounts for almost 50% of the diverted water at both sites.

4 Analysis and discussion of results

The findings prove that the introduced techniques result in increased moisture availability in the study plots and, hence, successfully serve their purpose of demonstrating that there is, indeed, scope for improved productivity if dry spell management is improved. More moisture in the root zone leads to increased potential for transpiration and, hence, biomass production. The lower moisture levels in the control section can be explained by the fact that the control section, because it is entirely rainfed, has less water available to infiltrate. The traditional cultivation technique of using the hand hoe does not promote infiltration and, on the contrary, creates a hard pan on the soil in the long run and opens the soil for evaporation (Rockström et al., 2001). On the other
hand, the trenches created improve the potential for infiltration. In the section with the “improved” techniques, the moisture distribution varies over the plot. The highest soil moisture levels are observed near the soil bunds (around Tube D) where more residence time for water allows more infiltration to occur. This ponding effect also increases the chances of deposition of nutrient-rich fine sediment which also benefits the crop, and protects downstream systems from too high nutrient inputs. The difference in moisture levels at Tubes B, C and D can further be attributed to the effect of slope. At Site 1, where the slope is flatter and the soils are deeper, more infiltration and vertical drainage occurred resulting in the tube next to the trench (Tube B) not responding as much to the diversion as at Site 2. At Site 2 the middle tube, Tube C, recorded soil moisture values which are similar to the control tube suggesting that the moisture that infiltrates in the fanya juus does not reach the centre of the plot, but is evacuated laterally by sub-surface drainage. This suggests that the spacing between trenches should be further reduced in steeper slopes to values much less than those recommended for soil conservation.

The model results show the positive effects of the tested diversions. The diversions and temporary in-field storage structures shorten the time it takes to attain sufficient moisture levels for germination, thus effectively lengthening the growing season. This means that crops grown under the adjusted farming conditions have a longer growing season, less chance of suffering from moisture stress during dry spells and, hence, stand a better change of obtaining higher yields compared to traditional practice. Grain yield increases of more than threefold have been recorded under these improved farming systems (Makurira et al., 2009). At Site 1, the difference in moisture availability between control conditions and tested techniques is much higher than at the other site due to the fact that the diversion potential is much higher at Site 1 and, also, the gentler slope at Site 1 promotes more water retention than at Site 2.

The moisture gap between the control and the diversion site in the dry season suggests that residual moisture is higher under the new technique, thus allowing for the cultivation of alternative crops in the dry season, particularly in the trench. These dry
season crops have proved a success and provide additional food in the dry season.

The water balance analysis shows that the effect of the diversion is also a function of slope and soil depth. Steeper slopes result in more runoff from the system through lateral flow. Diversions result in more water available for productive purposes but the proportion of water attributed to deep percolation also demonstrates the fact that in these situations, where rainfall and runoff events are of short duration, the generated flow cannot all be absorbed in the root zone within such short periods. In this case, the trenches obviously do not offer sufficient storage to regulate the release of water into the root zone when required. Rockström et al. (1998) also showed that non-productive purposes (evaporation and deep percolation) can easily account for more than 50% of the available water.

5 Conclusions

With rainfall of less than 400 mm/season it is very clear that rainfall alone is not sufficient to support common food crops (e.g. maize) in the study area. The existing cultivation techniques are not efficient enough to cope with the frequent dry spells hence the need for more efficient approaches is obvious. The tested techniques of *fanya juu* in combination with storm water diversion have demonstrated the potential to significantly improve the soil moisture availability within cultivated plots.

The tested techniques have been modelled successfully and it has been shown that the advantage of the tested technique is that it allows for the growing season to start early and contributes towards dry spell mitigation by raising soil moisture levels. It has also been demonstrated that the biggest impact of the *fanya juu* terracing in combination with diversions is through ponded water around the soil bunds. Where soils are not deep and with steeper slopes, the findings show that the water in the trenches is transferred to sub-surface lateral flow which is not available to the crop. Since the trenches and bunds were constructed according to soil conservation guidelines, this then may suggest that, in steeper slopes and for the *fanya juu* structures to be more effective, the
spacing between the bunds and the trenches should be less than that recommended for soil conservation purposes.

The tested techniques allow for higher moisture levels even in the dry season which is an advantage especially for the longer season alternative crops (such as bananas, pawpaws, fodder and cassava) which are grown in the trench and at the bunds. However, the general decline in soil moisture levels throughout the dry season as a result of soil evaporation implies that valuable soil moisture is lost through soil evaporation during the dry season. If conserved, the moisture level at the close of the growing season could provide a better starting point at the beginning of the following season. A way of minimising dry season evaporation can significantly benefit the performance of the subsequent season. Future research should focus on investigating different land management techniques (e.g. different ploughing techniques, or reducing bare soil evaporation by introducing a canopy, Wallace, 1999) to reduce moisture losses through soil evaporation during the dry seasons.

The high proportion of water entering the deep percolation zone suggests that there is still inefficient utilisation of harvested water at the investigated field plots. In hydrological terms this is not a loss as this water would most likely be used further downstream. However, at local scales, this demonstrates that the in-field temporary storages created cannot cope with the generated volumes of water and do not allow for regulated release of water into the root zone when required. This suggests the tested techniques can perform even better when used in combination with storage systems for more effective dry spell management. Future investigation should focus on the tested techniques in combination with micro dams and/or storage tanks from rainwater harvesting.

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References


**Table 1.** Water partitioning for “with” and “without” diversion (mm d$^{-1}$).

<table>
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<tr>
<th></th>
<th>$P$</th>
<th>$Q_s$</th>
<th>Total inflows</th>
<th>$E_T$</th>
<th>$E_I$</th>
<th>$E_s$</th>
<th>$R_g$</th>
<th>$\frac{dS_w}{dt}$</th>
<th>Total outflows</th>
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<td>2.8</td>
<td>0.7</td>
<td>0.7</td>
<td>0.2</td>
<td>1.0</td>
<td>0.2</td>
<td>2.8</td>
</tr>
</tbody>
</table>
Fig. 1. Location of the study areas in the Mwembe sub-catchment of the Makanya catchment in northern Tanzania.
2.3 Soil moisture measurements

To evaluate the effect of different treatment techniques, soil moisture has been measured by use of time domain reflectometry (TDR). Four access tubes have been inserted into the ground using a hand auger. Tube A was placed in the control plot i.e. the section within the same file but upstream of the diversions which is supplied by rainfall only. The other three tubes have been placed within one cultivated strip but located such that Tube B is closest to the trench, Tube C in the middle of the cultivated strip and Tube C at the lower end of the strip and closest to the bunds. From Figure 2 it can be seen that Tube B monitors the impact to the root zone of water stored in the trench while Tube D monitors the effect of ponded water as a result of the bund. Tube C monitors if there is uniformity in the moisture distribution across the cultivated strip. Soil moisture observations were made twice a week during the growing and once in two weeks during the dry seasons.

Fig. 2. Functioning of fanya juu terracing with TDR soil moisture monitoring tubes.
The components in the above equation can be determined from direct observations or, alternatively, from empirical relationships.

During the field trials, $P$ and $Q_s$ were measured on site. At the daily time scale used, $t_{S_d}$ is considered to be negligible compared to the other fluxes. The transpiration and soil evaporation ($E_T$ and $E_s$) have been modelled as a function of the soil moisture $S_s$. The interception, $E_I$, has been determined on the basis of the daily rainfall following the method by De Groen and Savenije (2006). These methods are described below. As a result, the soil moisture storage in the unsaturated zone, $S_u$, remains the only unknown in the equation. The calculated soil moisture storage is subsequently compared with the observed soil moisture variations.

### 2.4.1 Estimation of model inputs

The modelling approach taken is based on the FAO-56 dual crop coefficient method which separates evaporation and transpiration processes. Figure 3 shows a flow chart illustrating the adapted method.

**Fig. 3.** Flow chart for determining evaporation and transpiration.
3.2.1 Improving model performance

In Figure 3, Site 1 shows better agreement between observed and simulated soil moisture levels. Site 2 (a) however shows some disagreement especially at the onset of the experiment where simulated values are much higher than the observed. This is explained by the fact that the experimental plot may not have been prepared well enough hence less infiltration actually occurred. The model was improved by lowering the field capacity during the first few days of experimentation thereby restricting infiltration after rainfall events. Thereafter, and for the rest of the season, the control plot recorded higher moisture levels as it benefitted from lateral flows from upstream. Similarly, during the dry season prior to November 2007, a few rainfall events were observed which were translated into infiltration in the simulation. Consequently, simulated results were much higher than the observed. However, since the previous rainfall season had recorded extended dry spells towards the end, the soils were in fact much drier hence, again, the rainfall could not practically be simulated.

Fig. 4. Model results at different sites compared with observed soil moisture values.
translated into infiltration. The model was corrected by draining this infiltrated water during the dry season.

The improved model is shown as Site 2 (b) in Figure 4 and shows a much better correspondence between modelled and observed values.

### 3.2.2 Test of model efficiency

Figure 5 shows a comparison of the modelled and observed results with the graph forced to pass through the origin. In all cases, the simulation for Tube D shows a better fit than the control. This is also confirmed by the Root Mean Square Error (RMSE) calculation of 3.3 and 1.9 at Site 1 for Tube A and Tube D, respectively. At Site 2 the RMSE decreased from 4.2 to 2.6 for Tube A after the model improvement while it increased from 2.3 to 2.4 for Tube D. This also shows that the model improvement was most efficient in the control plot.

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y = 1.012x \\
R^2 = 0.052
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y = 1.047x \\
R^2 = 0.687
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y = 0.970x \\
R^2 = 0.754
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y = 0.991x \\
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y = 1.020x \\
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y = 1.020x \\
R^2 = 0.495
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y = 1.059x \\
R^2 = 0.584
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**Fig. 5.** Comparison of observed and modelled moisture in Tube A (control) and Tube D.