Expected changes in future temperature extremes and their elevation dependency over the Yellow River source region

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Abstract

Using the Statistical DownScaling Model (SDSM) and the outputs from two global climate models we investigate possible changes in mean and extreme temperature indices and their elevation dependency over the Yellow River source region for the period 2081–2100 under the IPCC SRES A2, A1B and B1 emission scenarios. Changes in interannual variability of mean and extreme temperature indices are also analyzed. The validation results show that SDSM performs better in reproducing the maximum temperature-related indices than the minimum temperature-related indices. The projections show that by the end of the 21st century all parts of the study region may experience increases in both mean and extreme temperature in all seasons, along with an increase in the frequency of hot days and warm nights and with a decrease in frost days. Interannual variability increases in all seasons for the frequency of hot days and warm nights and in spring for frost days while it decreases for frost days in summer. Autumn demonstrates pronounced elevation-dependent changes in which six out of eight indices show significant increasing changes with elevation.

1 Introduction

The Yellow River source region is situated in the northeast Tibetan Plateau, which has been identified as a “climate change hot-spot” and one of the most sensitive areas to greenhouse gas (GHG)-induced global warming (Giorgi et al., 2006). This region is geographically unique, possesses highly variable climate and topography, and plays a critical role for downstream water supply. A growing number of evidences suggest that this region and its surroundings are experiencing warming and accelerated glacier retreat (Liu and Chen, 2000; You et al., 2008; Liu et al., 2009; Qin et al., 2009; Rangwala et al., 2009; Hu et al., 2011, 2012a; Immerzeel et al., 2010; Maskey et al., 2011; Shrestha and Aryal, 2011). In line with global climate projection, this warming is expected to continue into the future under enhanced greenhouse gas forcing (IPCC,
A primary concern in estimating impacts from climate changes are the potential changes in variability and hence extreme events that could accompany global climate change (Marengo et al., 2010). Recent model studies (based on both global and regional climate models) suggest that the twenty first century is very likely to be characterised by more frequent and intense temperature extremes, which are not only due to the mean warming, but also due to changes in temperature variability (IPCC 2007; Tebaldi et al., 2006; Kjellström et al., 2007; Fischer and Schär, 2009). Regional temperature extremes have recently received increasing attention given the vulnerability of our societies to such events. This is particularly true for mountain regions where the observed or projected warmings are generally greater than at low elevation regions (Diaz and Bradley, 1997; Beniston et al., 1997; Rangwala et al., 2009; Liu et al., 2009; Qin et al., 2009; Rangwala and Miller, 2012; Viviroli et al., 2011). Moreover, some mountain regions have demonstrated an elevation dependency in surface warming (i.e. greater warming rates at higher altitude) in the latter half of the 20th century and/or during the 21st century (Beniston and Rebetez, 1996; Diaz and Bradley, 1997; Giorgi et al., 1997; Liu and Chen, 2000; Chen et al., 2003; Diaz and Eischeid, 2007; Rangwala et al., 2009; Liu et al., 2009; Rangwala et al., 2010). Within the Tibetan Plateau, previous studies found indications for enhanced warming at higher elevation (Liu and Chen, 2000; Chen et al., 2003; Liu et al., 2009; Qin et al., 2009; Rangwala et al., 2009, 2010), while others reported no enhanced or even weakening warming at higher elevations (You et al., 2008; Lu et al., 2010). Although a number of climate change studies over the Yellow River source region have been reported in the literature, possible changes in future temperature extremes and their relationship with elevation are yet to be fully explored. Earlier studies Xu et al. (2009) and Wang et al. (2012) reported increases in the mean ($T_{\text{mean}}$), maximum ($T_{\text{max}}$), and minimum ($T_{\text{min}}$) temperature over this region for the 21st century. This study complements the previous studies by including estimated changes in future temperature extremes using a number of indices and their elevation dependency. Changes in interannual temperature variability are also examined in the present study. Among different downscaling approaches, statistical downscaling is
the most widely used one to construct climate change information at a station or local scales because of its relative simplicity and less intensive computation. In the present study the Statistical DownScaling Model (Wilby et al., 2002) is applied to downscale the outputs of the two driving GCMs under the IPCC SRES A2, A1B and B1 emission scenarios.

2 Study area and data

2.1 Study area

The study region is located in the northeast Qinghai-Tibetan Plateau, spanning between 95°50′45″ E–103°28′11″ E and 32°12′11″ N–35°48′7″ N (Fig. 1). It covers an area of 121 972 km² (15 % of the whole Yellow River basin), characterized by highly variable topographic structure ranging from 6282 m a.s.l. in the Anyemqen Mountains in the west to 2546 m a.s.l. in the village of Tangnag in the east, which strongly influences the local climate variables and their spatial variability. The study area has a cold, semi-humid climate, characterized by the typical Qinghai-Tibetan Plateau climate system. The climate in this region is strongly governed by the Asian monsoon, which brings moist, warm air in summer and dry, cool air during winter. Annual average daily temperature varies between −4 °C and 2 °C from the southeast to the northeast. July is the warmest month, with a mean daily temperature of 8 °C. From October to April the temperature remains well below 0 °C.

2.2 Data set

2.2.1 Observed station data

Daily maximum and minimum temperature from 13 stations sparsely distributed throughout the study region, for the period 1961–1990 were used in this study. Figure 1 depicts the geographical location of the stations in the study region and Table 1
shows their latitude, longitude and altitude. Slightly less than 0.0017% of the data from two stations were missing, which were infilled using the records from neighbouring stations. The double mass curve method was applied to test the homogeneity of the data set by plotting the monthly value from the station against the mean values (monthly) of all other stations (Hu et al., 2012a). According to the results of the test, all the data were found homogeneous.

2.2.2 Reanalysis data

In addition to the observed data, large-scale atmospheric predictors derived from the National Center for Environmental Prediction/National Centre for Atmospheric Research (NCEP/NCAR) reanalysis data set (Kalnay et al., 1996) on a 2.5° × 2.5° grid over the same time period as the observation data were employed for calibration and validation of the statistical downscaling models. These variables include specific humidity, air temperature, zonal and meridional wind speeds at various pressure levels and mean sea level pressure.

2.2.3 GCM data

In order to project future scenarios, outputs from two GCMs under the Intergovernmental Panel on Climate Change Special Report on Emissions Scenarios (IPCC-SRES) A2 (high-range emission), A1B (mid-range emission) and B1 (low-range emission) were used: (1) the Canadian Center for Climate Modelling and Analysis (CCCma) 3rd Generation (CGCM3.1 (T47)), and (2) the ECHAM5/MPI-OM GCM from the Max-Planck-Institute for Meteorology, Germany (hereafter ECHAM5). Both models are coupled atmosphere-ocean models. CGCM3 has a horizontal resolution of T47 (approximately 3.75° latitude × 3.75° longitude) and 32 vertical levels. ECHAM5 has a horizontal resolution of T63 (approximately 1.875° latitude × 1.875° longitude) and 31 vertical levels. These GCM data are obtained from the Program for Climate Model Diagnosis and Intercomparison (PCMDI) website (http://www-pcmdi.llnl.gov). The A2, A1B and B1
scenarios span almost the entire IPCC scenario range, with the B1 being close to the low end of the range, the A2 to the high end of the range and A1B to the middle of the range. The GCM simulations corresponding to the present (1961–1990) and future climate (2081–2100) were considered in the analysis. Prior to use in this study, both GCMs grids were linearly interpolated to the same 2.5° × 2.5° grids fitting the NCEP reanalysis data.

3 Methodology

3.1 Temperature indices

To represent extreme temperature conditions (both the frequency and intensity of temperature extremes), eight temperature indices including two indices for mean minimum and maximum temperature are selected. The indices included in this study are:

1. Mean $T_{\text{max}}$ (Txav) – mean daily maximum temperature [°C].
2. Mean $T_{\text{min}}$ (Tnav) – mean daily minimum temperature [°C].
3. Diurnal temperature range (DTR) – Difference between daily maximum and minimum temperature [°C].
4. Hot day (Txq90) – 90th percentile value of daily maximum temperature [°C].
5. Cold day (Tnq10) – 10th percentile value of daily minimum temperature [°C].
6. Frequency of hot days (Tx90p) – The percentage of time in a year when daily maximum temperature is above the 90th percentile of the 1961–1990 daily maximum temperature distribution [%].
7. Frequency of warm nights (Tn90p) – The percentage of time in a year when daily minimum temperature is above the 90th percentile of the 1961–1990 daily minimum temperature distribution [%].
8. Frost days (Tnfd) – the number of days with daily minimum temperature < 0°C [days].

Each of the indices has been calculated for 1961–1990 (present period) and 2081–2100 (future period), and for three scenarios A2, A1B and B1. Except for the frost days, all of the indices have been analyzed for four seasons, which are defined as winter (December–February, DJF), spring (March–May, MAM), summer (June–August, JJA) and autumn (September–November, SON). Frost days are not analyzed for winter since it has little meaning for the study region in winter where daily minimum temperature is around 20°C below zero.

3.2 Choice of predictors

In this study the predictors were first selected taking into consideration the monsoon climate formulation mechanism. Monsoon circulation in the study region is caused by the temperature difference between land and sea, which brings cold, dry air from the northwest in winter and warm, moist air from the Bay of Bengal and the western Pacific Ocean in summer (Lan et al., 2010). Based on this, a number of atmospheric variables were taken as the potential predictors including air temperature, specific humidity, zonal and meridional wind at various pressure levels and mean sea level pressure. These potential predictors were then screened through a correlation analysis with daily maximum (minimum) temperature at each of the 13 stations. Furthermore, experiences and recommendations from similar studies in China and neighboring regions were also taken into account (Anandhi et al., 2009; Chu et al., 2010; Wang et al. 2012). Similar methods were used by Hu et al. (2012b) to select predictors for downscaling daily precipitation over this region. The final set of predictors for downscaling Tmax and Tmin was selected as follows: specific humidity at 700, 850 and 1000 hPa level and air temperature at 500, 700, 850 and 1000 hPa level. The explanatory power of a given predictor will vary both spatially and temporally for a given predictand. The use of predictors directly overlying the target grid box is likely to fail to capture the
strongest correlation (between predictor and predictand), as this domain may be geographically smaller in extent than the circulation domains of the predictors (Wilby and Wigley, 2000). Selecting the spatial domain of the predictors is subjective to the predictor, predictand, season and geographical location (Anandhi et al., 2009). On the basis of these recommendations and monsoon climate generation mechanism, the predictor domain in this study extends from 30° N to 40° N and from 92.5° E to 107.5° E covering the entire study region (Fig. 1).

The predictors were first standardized at each grid-point by subtracting the mean and dividing by the standard deviation. A principal component analysis (PCA) was then performed to reduce the dimensionality of the predictors. The first eight principal components (PCs), which account for more than 90% of the total variance, were then used as input to the downscaling model. The principal components were selected on the basis of the percentage of variance of original data explained by individual principal component. This criterion was also used by Ghosh (2010) and Hu et al. (2012b). Note that there are also other methods exist for selecting the principal components, e.g. the elbow method used by Wetterhall et al. (2006).

3.3 Statistical DownScaling Model (SDSM)

The SDSM is a decision support tool developed by Wilby et al. (2002) for assessing local climate change impacts. The SDSM algorithm is best described as a conditional weather generator in which large-scale predictors are used to linearly condition local-scale weather generator parameters (e.g. precipitation occurrence or maximum temperature) at individual stations (Wilby et al., 2003; Wilby and Dawson, 2012). For temperature the downscaled process is unconditional, i.e. there is a direct linear relationship between the predictand (i.e. temperature) and the chosen predictors. SDSM employs bias correction and variance inflation to adjust the mean and variance of downscaled variables to match the observed ones. For a detailed model description, see Wilby et al. (2003) and Wilby and Dawson (2012). SDSM has been applied to produce high resolution climate change scenarios in a range of geographical contexts.
4 Results and discussion

4.1 Validation of the statistical downscaling model (validation period 1981–1990)

The standard split-sampling technique of model calibration and validation was implemented in this work. The model calibration was performed for the period 1961–1980, while the period 1981–1990 was used for validation. As the SDSM is a stochastic model, 100 realizations of daily maximum (minimum) temperature are generated, and the indices are calculated as the average of the indices calculated from each realization. We also tested the sensitivity of using more number of realizations (e.g. 200, 300, 400 and 500) but found no significant changes in the results. The skill of the downscaling model to reproduce the mean and extreme temperature is evaluated and compared in terms of the Spearman-rank correlation coefficient and the bias between the simulated and observed indices. Model evaluation was performed on a seasonal basis.

Figure 2 shows the correlation coefficients and the difference between the simulated and observed indices (mean maximum and minimum temperature, 90th percentile of the maximum temperature, and 10th percentile of minimum temperature) for each season. The whisker-box plots show spatial variability of the correlations and the bias across all the stations. The horizontal solid line in Fig. 2a shows the value of the correlation coefficient above which they are statistically significant (95 % confidence level). As seen in the figure, the model simulates the mean and the 90th percentile of daily maximum temperature (Txav, Txq90) very well with the majority of the stations showing statistically significant correlations and relatively lower biases in all seasons. However, the model shows poor performance in reproducing the mean minimum temperature (Tnav) in seasons other than summer. The model shows worst performance for the
10th percentile of daily minimum temperature (Tnq10) where most stations show non-significant correlations and larger bias in all seasons. Generally, the model shows more skill for the maximum temperature-related indices (Txav, Txq90) than for the minimum temperature-related indices (Tnav, Tnq10). A comparison between the four seasons reveals that in general the temperature indices were better downscaled for summer than for other seasons. Such a seasonal dependence of downscaling skill was also found in other parts of the world (e.g. Haylock et al., 2006 in England; Wetterhall et al., 2007 in Sweden; Hundecha and Báróssy, 2008 in German). This may relate to the fact that the local climate of the study region in summer is largely determined by large-scale circulation (e.g. summer monsoon) while it is mainly determined by local convective processes in other seasons.

4.2 Downscaling for the current climate (1961–1990)

The downscaling model calibrated and validated using the NCEP predictors was forced by the two GCMs outputs for the present climate (1961–1990) to evaluate whether the downscaled temperature indices from the two GCMs can reproduce the variability of the observed ones. Figure 3 depicts the difference between the downscaled and observed temperature indices (Txav, Tnav, Txq90, Tnq10) for each station and each season. Overall, the downscaled results from both GCMs are able to reproduce the observed temperature indices reasonably well with the bias generally varying between −2 and 2°C across different stations in all seasons with a few exceptions in winter and autumn. The biases in the downscaled temperature indices are of similar magnitude for the two GCMs, and no systematic and notable differences are found.

4.3 Future projections (2081–2100)

The statistical downscaling model (calibrated) is used to downscale daily maximum and minimum temperature from two GCMs for three emission scenarios. Estimated changes in the mean of selected temperature indices for a future period (2081–2100)
are investigated against the control period (1961–1990). The changes in the mean correspond to the difference between mean values of each index in the future period and those in the control period. A two-tailed Student’s t-test for the 5% confidence level is performed to check if the mean values from the present and future periods are significantly different. Also, we have analyzed elevation dependency of the projected changes for each index. Figures 4–5 illustrate the projected climate change of each index with station altitude. A one tailed Student’s t-test for the 5% confidence level is performed to check if the linear trends of the projected changes with increasing altitude are statistically significant.

4.3.1 Projected changes in the mean state of the temperature indices

All the temperature indices with an exception of the DTR show statistically significant warming at all stations in all seasons with both GCMs and three emission scenarios (Figs. 4–5). By the end of the 21st century, all parts of the study region are expected to experience statistically significant increases in the intensity of both mean and extreme temperature, together with significant increases in the occurrence of hot days and warm nights and with decreases in frost days. While there is strong agreement in the direction of projected changes, the magnitude of the changes varies between different GCMs and emission scenarios. The effect of the driving GCM on the magnitude of estimated changes is evident in Figs. 4–5, with the ECHAM5-driven projections showing larger changes in the temperature indices than the CGCM3-driven ones. Also, we note that projected changes tend to scale with the emission scenario, i.e. the larger the greenhouse gas forcing, the stronger the response (generally most intense in the A2, followed by the A1B and B1 scenarios). In addition, we see a pronounced seasonality of projected changes. For the intensity-related indices, the mean maximum temperature and hot day (Txav, Txq90; Fig. 4a–b) show the largest warming in winter and the least one in summer, while the mean minimum temperature and cold night (Tnav, Tnq10; Fig. 4c–d) show the largest warming in autumn and the least one in spring, which is partly consistent with recent observations over the study region and the
Tibetan Plateau where winter was reported to have the largest warming rate, followed by autumn (Hu et al., 2012a; Liu and Chen, 2000; Liu et al., 2006; Rangwala et al., 2009). However, note that there are some discrepancies in the seasonality of projected warming as reported in different studies, which is probably due to choice of different GCMs. For example, using the same downscaling model (SDSM) but with a different GCM (HadCM3), Wang et al. (2012) found that Txav and Tnav is expected to undergo the largest warming in autumn and summer, respectively, during the period 2070–2099 under the A2 and B2 scenarios. Using several GCMs (CGCM2, CCSR, CSIRO and HadCM3), Xu et al. (2009) reported that Txav (Tnav) would experience greater warming in spring and autumn (summer and autumn) under the scenario B2. Compared to other temperature indices, projected changes in diurnal temperature range (DTR) are less strong and less consistent. DTR is expected to experience a significant decrease in summer and autumn, indicating a greater warming in minimum temperature than in maximum temperature, consistent with recent observational studies over this region and its vicinity (Hu et al., 2012a; Liu et al., 2006; You et al., 2008). However, changes in DTR are ambiguous in winter and spring with the CGCM3-driven projections showing non-significant decreases and the ECHAM5-driven ones significant increases. As for the frequency-related indices (Fig. 5a–c), the occurrences of hot days and warm nights show the largest increases in summer and the least ones in spring while frost days show the largest decrease in summer and autumn. Under the same emission scenarios, Yang et al. (2012) reported similar findings for the frost days and the frequency of warm nights over the entire Tibetan Plateau for the 21st century based on multi-model ensemble projections.

### 4.3.2 Elevation dependency of the projected changes in the temperature indices

As displayed in Fig. 4a, the projected warming in Txav shows a statistically significant increasing trend with altitude in autumn, with a varying rate of 0.48–1.1 °C per km across different projections. Similar tendency is found in spring, but only the trends
based on the CGCM3 projections are statistically significant. As in the case of Txav, there is also a pronounced elevation dependency in projected warming in Txq90 during autumn but with a less strong rate (0.23–0.73 °C per km) (Fig. 4b). However, no such dependency is noted for Txq90 in other seasons. For Tnav in summer (Fig. 4c), a significant decreasing warming with altitude is noted with a rate ranging from 0.28 to 0.69 °C per km. In contrast, winter and spring demonstrate increasing warming with altitude, but only the trends based on the ECHAM5 projections reach the significance level. The results reported here are in overall agreement with the findings obtained by Xu et al. (2009) over the Tibetan Plateau, suggesting elevation-dependent warming in Tnav in all seasons other than summer for the end of 21st century under the A1B scenario. The projected warming in summer Tnq10 also suggests a significant decreasing trend with elevation at a similar rate as Tnav (Fig. 4d). This is in clear contrast to other seasons, in particular to autumn where a strong elevation-dependent warming demonstrates with a much larger rate of 0.72–2.6 °C per km. Concerning DTR (Fig. 4e), it is unexpected to see that reductions in this index during autumn shows a significant weakening tendency with altitude. Similar results are projected for summer, but non-significant in most cases. Similarly, projected increases in this index by the ECHAM5 during winter and spring show a significant weakening tendency with elevation. For the frequency of hot days and warm nights (Fig. 5a–b), increases in Tx90p and Tn90p shows a significant increasing trend with elevation in autumn and spring, respectively. A similar trend is also projected for Tx90p in winter with the ECHAM5-driven projections and in spring with the CGCM3-driven projections. Regarding reductions in frost days (Fig. 5c), note that summer shows a strong enhanced decrease with elevation at a rate of 10–23 days per km while spring and autumn shows an opposite trend with a less strong rate.

In general, the indices related to the minimum temperature demonstrate more pronounced elevation-dependent changes than the indices related to the maximum temperature. In comparison to other seasons, autumn shows pronounced elevation-dependent changes in which six out of eight indices show significant increasing
changes with elevation. By investigating trends on the observed data from the latter half of the 20th century over the same region, Hu et al. (2012a) also showed more pronounced elevation-dependent changes in the indices related to the minimum temperature. However, in their study winter season indices showed more pronounced elevation-dependent changes than other seasons.

4.3.3 Projected changes in interannual variability of the temperature indices

The analysis of changes in interannual variability of each index has been done by applying an F-test on the variance of estimated Probability Density Functions (PDFs) of the future and control periods at the 5% level. For the intensity-related indices (not shown), overall, we find insignificant changes in the shape of the future PDFs in all seasons in comparison to a substantial shift of the mean. However, the PDFs of the frequency-related indices show a large shift of the mean as well as significant changes in the shape under a future climate (Fig. 6). The future PDFs become wider and flatter in all seasons for the occurrence of hot days and warm nights and for frost days in spring while they become narrower and sharper for frost days in summer. This suggests that interannual variability of the occurrence of hot days and warm nights might increase in future seasons while that of frost days might decrease in future summers and increase in future springs.

5 Conclusions

This study presents projections of possible changes in mean and extreme temperature indices and their elevation dependency over the Yellow River source region for the period 2081–2100 (relative to 1961–1990) under the SRES A2, A1B and B1 emissions scenarios. The projections are performed using the Statistical DownScaling Models (SDSM) to downscale the outputs of two GCMs (CGCM3 and ECHAM5). Validation results using the NCEP/NCAR reanalysis show that SDSM performs better in
reproducing the maximum temperature-related indices than the minimum temperature-related ones. When driven by the GCMs outputs corresponding to the control period 1961–1990, the downscaled temperature indices are able to reproduce the observed ones reasonably well with the two GCMs showing similar bias.

For the end of the 21st century all parts of the study region are expected to undergo significant increases in the intensity of mean and extreme temperature in all seasons, along with significant increases in the frequency of hot days and warm nights and with decreases in frost days. Compared to other indices, changes in diurnal temperature range are less significant and less consistent in winter and spring. Diurnal temperature range is expected to experience a significant decrease in summer and autumn, indicating a greater warming in minimum temperature than in maximum temperature. Many of the indices demonstrate elevation-dependent changes, which varies from index to index and from season to season. All the intensity-related indices show a significant increasing warming with elevation in autumn with the exception of Tnav. In contrast, projected warming in Tnav and Tnq10 in summer displays a significant decreasing trend with elevation. Projected increases in hot days and warm nights show a significant increasing trend with elevation in autumn and spring, respectively. A similar trend is also found for reductions in frost days in summer. However, reductions in frost days tend to decrease with elevation in spring and autumn with the majority of the projections reaching the significance level. Along with a large shift of the mean, significant changes in the shape of the future PDFs are also observed for the frequency-related indices, indicating significant changes in interannual variability. The frequency of hot days and warm nights is likely to experience significant increasing interannual variability in all seasons under the considered future scenarios. Frost days are expected to experience significant decreasing interannual variability in future summers and increasing one in future springs.

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Table 1. Geographical characteristics of the climatic stations used in this study.

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Fig. 1. Map of the study area showing the locations (filled circle) of climatic stations. The smaller map in the upper right corner presents the location the Yellow River source region in China (black shaded area).
Fig. 2. Correlation (a) and differences (b) between the simulated and the observed extreme temperature indices for the four seasons during the validation period 1981-1990. The whisker-box plots depict the range of the correlation across 14 stations. The boxes denote the median and interquartile range (IQR). Whiskers extend 1.5 IQR from box ends, with outliers denoted as “white circles”. The horizontal solid line denotes significant correlation at the 5% confidence level.
Fig. 3. Biases of the extreme temperature indices downscaled from the CGCM3 (a) and the ECHAM5 predictors (b) for the four seasons during the control period 1961–1990. The whisker-box plots depict the range of the bias across 14 stations. The boxes denote the median and interquartile range (IQR)). Whiskers extend 1.5 IQR from box ends, with outliers denoted as “white circles”.
Fig. 4. Projected anomalies of the intensity-related indices (between 2081–2100 and 1961–1990) with station altitude for four seasons based on statistical downscaling outputs of two GCMs (CGCM3 and ECHAM5) under three emission scenarios (A2, A1B and B1).
Fig. 5. As in Fig. 4, but for the frequency-related indices.
Fig. 6. Fitted normal probability density functions (PDFs) of the frequency-related indices averaged across stations for 2081–2100 and 1961–1990 for four seasons based on statistical downscaling outputs of two GCMs (CGCM3 and ECHAM5) under three emission scenarios (A2, A1B, B1).