



1 **A ‘Mental Models’ approach to the communication of subsurface**
2 **hydrology and hazards**

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9
10 Abstract

11
12 Communicating information about geological and hydrological hazards relies on
13 appropriately worded communications targeted at the needs of the audience. But
14 what are these needs and how does the geoscientist discern them? This paper
15 adopts a psychological ‘mental models’ approach to assess the public perception of
16 the geological subsurface and surveys three communities in the south-west of
17 England about their attitudes and representations of the geological subsurface. The
18 findings reveal important preconceptions and misconceptions regarding the impact of
19 hydrological systems and hazards on the geological subsurface, notably in terms of
20 the persistent conceptualisation of underground rivers and the inferred relations
21 between flooding and human activity. The study demonstrates how such mental
22 models can provide geoscientists with empirical, detailed and generalised data of
23 perceptions surrounding an issue, as well reveal unexpected outliers in perception
24 that they may not have considered relevant, but which nevertheless may locally
25 influence communication. Using this approach, researchers and communicators can
26 develop information messages that more directly engage local concerns and create
27 open engagement pathways based on dialogue, which in turn allow both groups to
28 come together and understand each other more effectively.

29
30 1 Introduction

31
32 Communicating geological information about geological and hydrological hazards
33 relies on appropriately worded communications (Liverman, 2010) targeted at the



34 needs of the audience (Nisbet, 2009). Those needs are often deemed to be what
35 geoscience professionals feel the public ‘need to know’, leading many hazard
36 messages to fall into the largely now rejected ‘deficit model’ of communication
37 (Sturgis and Allum, 2004). According to this model people need to be educated about
38 those areas of knowledge in which they are seen to be deficient rather than taking
39 into account their existing knowledge structures and wider concerns or values.
40 Moreover, the responsibility for tailoring the communication to the target audience is
41 often placed on the public, requiring them to ‘ask the right questions’ (Rosenbaum
42 and Culshaw, 2003). This emphasis on the public’s need to ask the right questions
43 misses a bigger issue in communicating geological hazards, which is the influence of
44 heuristics and bias in how people may interpret information, especially unfamiliar
45 scientific and technical data (Kunreuther and Slovic, 1996).

46

47 The subjective nature of risk communication and understanding in both experts and
48 non-experts is now well established (Slovic et al., 2004), but it is easy for risk
49 communicators to focus on improving access to information from the scientists’
50 perspective, and overlook the impact of experience- and emotion-based
51 preconceptions from the non-expert perspective (Leiserowitz, 2006). Commonplace
52 preconceptions will strongly influence the way that a non-specialist will access and
53 interpret the geoscience risk information provided to them (Liverman, 2010), and so
54 it is vital that public perceptions of geological and hydrological hazards are taken into
55 consideration by communicators.

56

57 The value in examining perceptions specifically is increasingly being recognised by
58 many in the risk communication community, including in disaster risk reduction and
59 commercial geology fields. Barclay et al (2008), for example, called for a more
60 interdisciplinary ‘disaster reduction’ approach to volcanic risk communication, which
61 includes stakeholders in policymaking, and uses social and physical science to work
62 together to produce more appropriate and effective communications based on the
63 needs of the community. Meeting the particular needs of at-risk communities through
64 collaboration between the physical and social sciences is now emerging as a fairly
65 central component of modern risk science (Donovan et al., 2012;Frewer, 2004;Lave
66 and Lave, 1991;Mabon et al., 2014).

67



68 It has long been known that when the public receives information, they can interpret
69 it - and therefore organise their reactions - in a variety of ways depending on their
70 perception of both the science and the scientist (Fischhoff 1995). Various inherent
71 cultural and social assumptions control the way that this information is interpreted
72 (Donovan, 2010; Mabon et al., 2014; Slovic et al., 2007). Thus, without examining a
73 population through social or psychological scientific inquiry, it is impossible to predict
74 how they will respond to a particular science communication message (Wynne,
75 1991).

76

77 A key challenge of communicating such messages, therefore, is that in addition to
78 the wider social or cultural impact on perception of scientific information, individuals
79 apply their own pre-existing ideas and concepts to any scientific data that they are
80 presented with (Mileti et al., 2004). In this context, psychology-based methods are
81 vital, and one such method is the 'mental models' approach (Morgan et al., 2002).
82 This paper outlines the mental models methodology and uses it to explore broadly
83 held perceptions of the geological subsurface, and from that examine how those
84 perceptions relate to geological and hydrological hazards. Empirical evidence is
85 presented showing that such a method can provide valuable contextual data for
86 geological and hydrological hazard communicators.

87

88 2 Communicating Risk via Mental Models

89

90 Traditional views of risk communication have conventionally been based on how
91 best to align the knowledge of the recipient with that of the expert (or communicator).
92 Early work by Slovic (1987) demonstrated how several key factors underlie the
93 perception of risk in non-experts, such as 'familiarity' and 'dread' . A graphical
94 representation (Fig 1) shows the relative perceptions of different threats, as
95 organised by their varying degrees of familiarity and dread. The diagram shows that
96 certain threats, which may statistically be considered more risky – such as riding a
97 bicycle – are perceived to be far less risky than a statistically safer activity – such as
98 flying in a commercial aeroplane (Slovic, 1987). Later work coined the term 'affect
99 heuristic' to describe the important role of feelings in non-experts' risk assessments
100 (Slovic, 2010; Slovic et al., 2004).

101



102

103 *Figure 1. The perception of risk within a two factor space, representing public*
104 *perceptions of how risky an activity was based on its familiarity and how fatal the*
105 *consequences may be (Slovic, 1987 p98).*

106

107 The affect heuristic describes the way that an individual's perception can colour their
108 response to a piece of information about a subject, by ascribing greater or lesser
109 importance to the risk than an expert would, based on a logical assessment. The
110 affect heuristic can be described as a form of emotion, defined as positive or
111 negative feelings that are used to evaluate an external stimulus (Slovic et al., 2007).

112 The influence of heuristics and biases, such as the affect heuristic, is so central to
113 designing effective risk communication that more integrated methods of assessing
114 the public's perception of geological and hydrological issues need to be utilised
115 (Mabon et al., 2014).

116

117 By taking into account the impact of a non-experts' perception of risk, the field of risk
118 communication shifts from a one-way form of communication towards more of a
119 dialogue. However, even within this more inclusive mode of communication, an
120 outdated emphasis on the information and value judgements of the expert is still
121 apparent (Sturgis and Allum, 2004). By this account the 'top-down' transfer of
122 information provided by the expert must be translated by the emotional state of the
123 non-expert (Slovic et al., 2004) and integrated into their own 'lay knowledge' (Callon,
124 1999). Lay knowledge is generally dismissed as inappropriate by experts, who
125 expect decisions to be made on the basis of relevant technical information (Johnson,
126 2008), but there is growing acknowledgment of the role and value of individual and
127 community knowledge, not just in collecting and compiling scientific data (Lane et al.,
128 2011) but also in improving communications by countering the expert-imposed
129 concept of risk (Lave and Lave, 1991). One psychological approach that has been
130 employed effectively in communicating across a range of risky and controversial
131 geological and hydrological issues is 'mental models' (Lave and Lave, 1991;Maceda,
132 2009;Skarlatidou et al., 2012;Wagner, 2007;Thomas et al., 2015).

133

134 The mental models approach to communicating risk (Morgan et al., 2002) is based
135 upon the broader mental models theory, developed by Johnston Laird (1980) as a



136 conceptual paradigm that encompassed new ideas about language and perception
137 in the burgeoning field of cognitive science. The theory of mental models as
138 interpretation of theoretical reasoning has fallen from favour in psychology (Evans,
139 2002;Over, 2009), but it is still used in the applied sense, particularly by researchers
140 examining decision making associated with risk, communication and education
141 (Goel, 2007;Larson et al., 2012;Panagiotaki et al., 2009;Skarlatidou et al., 2012).

142

143 The mental models approach to risk communication is a form of deductive
144 reasoning, connected to decision making. The approach assumes that, in order to
145 make a decision about an issue, an individual will construct an artificial (mental)
146 reality in order to test a series of simulated scenarios using data previously collected
147 and valued by that individual (Morgan et al., 2002). The decision about what action
148 to take will be based upon a logical interpretation of the results of these tests, and
149 decisions are most easily made when the tests are simple (Johnson-Laird, 2013).

150

151 This method can be demonstrated by considering the decision of ‘travelling down
152 stairs’. Whilst it may seem an exceedingly simple issue, by considering all the
153 different factors that might cause you to trip on the stairs and therefore what you may
154 have to do to control those factors, a researcher can build a model of what a person
155 considers when they are thinking of walking up or down stairs (Morgan et al., 2002).

156 This simple example, represented in Fig. 2, demonstrates the particular
157 effectiveness of mental models. In the diagram, some factors such as the floor
158 covering, lighting or the height and width of the stairs may be anticipated by experts,
159 and statistically assessed as being valuable factors to communicate hazards about.
160 The node that mentions ‘sleeping habits of the cat’ however may not have been
161 considered, and yet might be a key issue for a non-expert in this circumstance.

162

163 The use of mental models, therefore, allows the researcher to gain a better
164 understanding of the importance of many issues from both the expert and non-expert
165 perspective, and also allows for the inclusion of not just analytical reasoning, but
166 experiential as well (Leiserowitz, 2006).

167

168



169 *Figure 2. Illustration of the construction of an influence diagram for the risk of tripping*
170 *and falling on the stairs: a) shows just those two elements; b) adds factors that could*
171 *cause a person to trip; c) adds factors that might prevent a fall after a person trips;*
172 *and d) introduces decisions that a person could make that would influence the*
173 *probabilities of tripping and falling (Morgan et al., 2002 p37).*

174

175 In the context of geological hazards and risks, it was found that in cases where the
176 risks are unfamiliar to the individual, mental models theory allowed the participant to
177 explore the decision-making process more fully (Goel, 2007). When applied to
178 specific contexts, most notably to radioactive waste management and carbon
179 capture and storage (Skarlatidou et al., 2012;Vari, 2004;Wallquist et al., 2010), it was
180 found that in cases where the perceived risk of new technology was greater than the
181 actual risk (or the risk designated by the expert), mental models provided a useful
182 holistic approach to decision making, that placed equal value on the attitudes of both
183 expert and non-expert (Vari, 2004).

184

185 An important aspect of the mental models approach is in the value placed on the
186 data coming from the non-expert. In placing the non-expert in a position of equal
187 authority with the expert, any information provided is also represented as equally
188 important (Morgan et al., 2002). This draws the communicator away from the one-
189 directional deficit model of communications (Bucchi, 2008) and towards a more
190 dialogic model, where the perceptions of the non-expert are not simply
191 misconceptions to be adjusted, but instead become concerns to be addressed
192 through discussion and interaction. The approach allows researchers to assess not
193 only what participants (both expert and non-expert) involved with an issue think, but
194 also why they think it (Kiker et al., 2005). This is valuable to both expert and non-
195 expert, as it allows both parties to fully express their perceptions of an issue and
196 come to a greater understanding of the other party's perspective. The approach
197 therefore allows the refinement of communication to focus on messages that are
198 salient to both communicator and recipient, which will increase the efficacy and
199 significance of these communications (Frewer, 2004).

200

201 3 Applying the Mental Models Method

202



203 The mental models approach to risk (Morgan et al., 2002) is a mixed method
204 procedure which integrates aspects of Johnson-Laird's Mental Models theory (1983)
205 with risk communication practice (Morgan et al., 2002). It assumes that the heuristics
206 and biases used by non-experts to interpret controversial, critical or unfamiliar issues
207 do not form an entire model that directly reflects the world as the participant
208 experiences it, but rather constitute a series of interconnecting ideas that may colour
209 the perception of an issue (Morgan et al., 2002). This qualitative and quantitative
210 process consists of three main stages:

211

- 212 1. Qualitative semi-structured interviews are conducted with a broad sample of
213 the target population, as well as with technical experts in the field under
214 question. These semi-structured interviews provide the participant with an
215 opportunity to speak freely about the issue, but also discuss related or
216 perhaps peripheral topics that the participant feels is relevant (Mabon et al.,
217 2014). Once this stage is completed, a series of models are constructed
218 which reflect the key perceptions held by each group and considers how
219 these perceptions compare across groups of different 'expertise'.
- 220 2. Quantitative questionnaires are constructed from the models produced after
221 the interview stage. These questionnaires test the dominant perceptions that
222 are highlighted by the model as representing the area of greatest concern or
223 interest for the participants and researcher. The statements or questions are
224 constructed using the language of the participants so as to minimise bias. The
225 results of the questionnaire are then compared to the original models to test
226 their validity in a larger sample.
- 227 3. If the model provides a good reflection of the dominant perceptions of the
228 target population, then a communication is designed that dovetails with the
229 model content, in order to stimulate useful dialogue or provide information.
230 This communication is tested for its ability to improve knowledge and
231 understanding in the target population.

232

233 In this study, Morgan et al's (2002) approach was combined with a three dimensional
234 (3D) participatory model during the semi-structured interview stage. The use of a 3D
235 participatory component, whereby participants either use or create a 3D model in the
236 elicitation process, reflects the recognition that often participants in an interview may



237 have difficulty expressing their thoughts verbally in an interview (Cooke and
238 McDonald, 1986; Ongena and Dijkstra, 2007). Because geology is a very descriptive
239 and visual science (Frodeman, 1995), this can lead to misinterpretation of ideas from
240 both the expert and the non-expert. To address this issue, previous studies of
241 geological risk have employed 3D participatory modelling to provide an alternate
242 method of elicitation during focus groups or interviews (Cadag and Gaillard, 2012).
243 The inclusion of the 3D model provided participants with a means to test their
244 verbally expressed concepts in an alternative format.

245

246 4 Details of present research and research questions

247

248 This study presented in this paper represents a part of broader research into what
249 perceptions people hold about the geological subsurface. This broader study
250 covered all aspects of a society's interactions with geology including: industry,
251 heritage, and recreation. The present analysis focused on a subset of issues
252 particularly relevant to hydrological interactions with the subsurface environment and
253 the hazards that this might influence. This research examined common ideas and
254 attitudes to the subsurface with reference to how experts and non-experts
255 conceptualise the geological subsurface. In particular, questions were addressed
256 that included: conceptualisation of the structure of the subsurface environment, the
257 impact of human activity, and the influence of natural forces or phenomena.

258

259 A combination of participatory, qualitative and quantitative methods was used. The
260 3D model comprised a 1m x 1m x 1 m sized whiteboard cube, on the top surface of
261 which was a topographically-moulded aerial photo of each study location. The aim
262 was to enable participants to visually represent those concepts that related to the
263 subsurface environment in their area.

264 Interviews were conducted by the primary researcher (H.G.) - a geologist with
265 practical experience working as a formal and non-formal science communicator in a
266 museum and national park. Care was taken by the researcher to limit bias during the
267 interviews and a conversational protocol (a relaxed back-and-forth conversational
268 style) was employed during the interviews (Ongena and Dijkstra, 2007).

269



270 Three locations were selected for the purposes of the survey: one village in Cornwall
271 and two villages in Devon. These villages had similar demographics - as assessed
272 using the 2011 census data (Office of National Statistics, 2011) - but different
273 exposures to geology. The first village, Carharrack in Cornwall (population 1324),
274 has a strong cultural and historical association with geology, but little current
275 geoscience activity in the immediate proximity. The second village, Sparkwell
276 (including Hemerdon) in Devon (population 1246), has a moderate cultural and
277 historical association with geology, but has a prominent current geological industry
278 active in the immediate vicinity. The third village, Chulmleigh in Devon (population
279 1308), has neither a strong cultural and historical association, nor a current
280 geological presence; indeed the local geology is not particularly visible in the
281 landscape.

282

283 The study incorporated both expert and non-expert interviews. Six interviews with
284 experts (individuals with considerable experience either in the academic or industrial
285 side of geology local to the area under survey) were conducted as well as a literature
286 review of data relevant to a non-expert's understanding of the subsurface. Non-
287 expert participants were selected using a 'snowball' method (Forrester, 2010) after
288 initial contact with parish councils was made to establish local awareness of the
289 study and paper adverts were placed in prominent locations around each village.

290

291 A total of 29 interviews were conducted across the three sites. Interviews continued
292 until a broad sample was achieved and repetition of concepts between participants
293 occurred (Morgan et al., 2002). In line with the ethical approval granted by the
294 University of Plymouth Science and Technology Ethical Committee, the names of all
295 participants have been anonymised and replaced with fictitious names as is
296 demonstrated in the results section. The interviews were conducted between
297 January and September 2014. The questionnaire was distributed by post to all
298 households (5214) in the target areas during September 2015, with a total response
299 rate of 228 (4.37%) both online and through the mail. During the time of the initial
300 interviews the UK was experiencing unusually severe winter storms that resulted in
301 damage to key infrastructure across the southwest (e.g. disruption of main Devon-
302 Cornwall rail line at Dawlish), extensive flooding and some loss of life. This high-



303 profile flooding may have influenced the content of the interviews, especially those
304 conducted between January and March 2014.

305

306 5 Results: Perceptions of the subsurface, water and geological hazards from 3D
307 drawings

308

309 Participant responses to the semi-structured interviews were diverse and
310 represented a wide range of opinions and perceptions. Although detailed mental
311 modelling of the full set of responses is ongoing, an analysis of a subsection of the
312 results allows some provisional observations to be made.

313

314 The main attention of the study was focussed on the geological subsurface, so first
315 this paper will provide context with some generalised results about the subsurface
316 using the data collected with the 3D participatory models. These models provided an
317 insight into how people visualise the subsurface environment in their area, and in
318 combination with the verbal results, provide an interesting idea of the perceptions of
319 the subsurface the people in these three villages hold.

320

321 As experts and non-experts participated in interviews with the same structure and
322 substance, their results can be directly contrasted to highlight similarities and
323 differences. The images in Fig. 3 demonstrate some of the key concepts
324 demonstrated by participants.

325

326

327 *Figure 3: Images of 3D participatory models completed by expert and non-expert*
328 *participants. a) Eric – an expert participant, represents the expert model, with a*
329 *logical diagram utilizing more than one side of the model (including the surface), with*
330 *detail provided by numerical and factual annotation. b) Edward – an expert*
331 *participant, also demonstrates an expert model, with a representation of a fault*
332 *structure displayed at the surface and symbols used to identify different rock types.*
333 *c) Kimberley – a non-expert participant from Carharrack, conceives the subsurface in*
334 *a couple of interesting ways. Firstly, the red shading is used to depict the Earth's*
335 *core, initially as a semi-circular shape and then later modified to match the linear*
336 *appearance of the rest of the diagram. In addition, the diagram shows some*



369 models with a great deal of gestural explanation (Kastens et al., 2008), even to the
370 extent of using the pens provided for annotation to demonstrate a fault structure
371 present in the area (visible in Fig. 3b). This 3D spatial reasoning was not, however,
372 present to the same degree in the non-expert participants. Some spatial reasoning
373 was used, but it was most often utilised in a purely geographic two dimensional way.
374 Moreover, all of the non-experts limited their elicitation to a single side of the model
375 cube.

376

377 I'm surprised really that that [the quarry] is in a quite high part
378 compared with others. As you move down here, I know from my own
379 experience, as you come south from here, the fall of the land is down
380 here and the rocks are actually a bit softer from my experience.

381 Henry, Hemerdon and Sparkwell

382

383 The models also demonstrated another consistent difference between the experts
384 and the non-experts, and that was an anthropocentric, or human focussed view of
385 the subsurface (Slovic, 2010). Whereas, for the expert participants, geological
386 activity was considered a product of the local geology, for many non-expert
387 participants, human interaction with the subsurface was the only important factor.
388 This anthropocentric perspective of the subsurface is demonstrated in Fig. 3d, which
389 also indicates how some participants who held a strongly anthropocentric model had
390 a great deal of difficulty in adding any other detail to their expressed perception of the
391 subsurface.

392

393 Q: So, if you were to, like, dig straight down now, what would you
394 come across?

395 A: I don't know. I don't want to know.....There could be things
396 underneath the ground like that kind of thing.... Other houses, I don't
397 know.

398 Katie, Carharrack

399

400 Perceptions shaped around human concerns contrast with the more expected
401 conventional geological depiction of subsurface relations (e.g. Fig. 3c). These types
402 of diagram (called 'scientific' from here on) varied in the level of detail provided, with



403 some (Fig. 3e) being very detailed, and exhibiting a large amount of additional
404 annotation relating to dates and eras, both historical and geological. These non-
405 expert scientific models focus attention on a range of themes. Some participants, for
406 example as shown in Fig. 3c and Fig. 3f, focus very strongly on the centre of the
407 Earth. In Fig. 3f the focus was more specifically related to the types of layers one
408 might encounter if penetrating the subsurface, but also included a visual link to the
409 Earth's core, which was identified early in the construction of the diagram. The role
410 and importance of underground water was also indicated in the way that participants
411 depicted the subsurface, such as with rounded pebbles.

412

413 A key point emerging from the semi-structured interviews was a strong
414 disassociation between the subsurface and the surface environment in non-experts.
415 This is most evident in Fig. 3c, where despite the surface of the cube being a
416 representation of the topographic surface, and the respondent being asked to
417 present what she thought was 'directly beneath her', an artificial ground surface was
418 added. This disconnection was demonstrated in multiple model depictions and,
419 alongside the limited use of 3D spatial reasoning, is a strong discriminator between
420 the non-experts and the experts.

421

422 When a connection between the surface and subsurface was presented by non-
423 experts it was frequently vague and portrayed in a general sense that was more
424 related to the nature of the rock in the area, as is evident in the following quote:

425

426 But granite, I would have thought, just about everywhere, really. I
427 don't know what depth that would be. It's probably near the surface
428 but I would have thought there would be granite around.

429

Katrina, Carharrack

430

431 In this example, the existence of a particular rock type was not consciously linked with
432 any visible landscape feature. In contrast, the remarks below highlight an expert
433 connecting a mapped unit of geology below with a specific landscape feature above,
434 and using the observable outcrops as cues to discern the underlying differences in
435 local geology.

436



437 Well perhaps it's not the same sandstone for a start, you can make a
438 measurement of one sandstone in one hill there and then you know
439 it's dipping towards the hill, ...er ...towards us, and because that
440 sandstone is all the same, it could be a completely different
441 sandstone.

442 Edgar, geoscience expert

443

444 **5.2 Combined mental model**

445 By integrating the findings of experts and non-experts from the three study areas, a
446 final combined mental model has been obtained (Fig. 4). This model represents a
447 collective view of the public perception of the geological subsurface, especially
448 focusing on the interaction between surface and subsurface elements in this
449 conception. The central feature is the connection between the surface and the
450 subsurface. Most participants alluded to some degree of linkage, but it was the expert
451 participants who consistently used this connection in constructing their subsurface
452 model. This difference between the experts and the non-experts was also present in
453 other shared nodes, such as 'layers' and the 'soil-rock boundary', but of particular
454 interest to this study is the emphasis from the non-experts on the nodes of 'water' and
455 'flooding'.

456

457

458 *Figure 4. A mental model of expert and non-expert perceptions of the subsurface in*
459 *the southwest of England. Rectangular nodes are those shared between experts and*
460 *non-experts, oval nodes are those expressed by non-experts alone. The three*
461 *frames '3D thinking', 'scale' and 'technical and local terms' have been placed*
462 *externally as they provide context for all of the other nodes.*

463

464 6 Detailed analysis of themes relevant to Hydrology and Hazard

465

466 To explore the usefulness of this model for applied geoscience in general and
467 geohazards in particular, this section examines in more detail the two non-expert
468 nodes, 'water' and 'flooding'. These nodes potentially offer an interesting insight into
469 the general perceptions of the non-experts into the geological subsurface. Both



470 relevant data from the 3D participatory approach and the larger survey will be
471 reported here.

472

473

474 **6.1 Underground rivers.**

475 Firstly, although water was mentioned by the expert participants, it was very much a
476 peripheral concept, more closely related to mining activities and industry.

477

478 We'll have to satisfy the Mines Inspectorate that what we are doing is
479 safe and won't result in potential mine flooding. So ...er...I don't know,
480 I suspect that the ...er... presence of those mine workings would be a
481 nuisance if we drilled into them so we have to avoid them from that
482 point of view, but potentially represent quite a good...er...water
483 source for us.

484 Eric, commercial geology expert

485

486 For the non-experts, however, the presence and movement of water was frequently
487 mentioned, most prominently in the recurring notion of underground rivers.

488

489 I think you'd find a lot of water and I imagine there would be lots of
490 channels. Cos I think the water would have to seep into the ground
491 and it has to run down cos we are so high that I think there would be
492 an underground network of holes or natural sewers.

493 Just because of the pure volume of water that we have and we don't
494 flood as much so there might be some kind of water table that bits of
495 land, kind of, not floating on top but almost like resting on top.

496 Christian, Chulmleigh

497

498 I think water, if you go down, there's... you know... water would
499 come off of different bits, different directions and little bits, a bit like
500 underground streams really, but then finally I think you'd get these
501 solid stones where there's nothing there really.

502 Charlotte, Chulmleigh

503



504 Well, I think water, you know, the amount of rain that we've had you
505 know, over the last couple of years especially, it's not better for this
506 area... [Laughter] ...because it gets into these tunnels sometimes I
507 think and then it...just got nowhere to go.

508 Kim, Carharrack

509
510 So I imagine that the top, the top sort of surface, would be 15 feet,
511 and then you would get into a granite and that would be, I don't know
512 how far down then. That would go on down and I imagine that in that
513 there are waterways and underground streams and that sort of thing.
514 Going through the granite.

515 Howard, Hemerdon and Sparkwell

516
517 The existence of underground rivers as the principal pathway for water to move in
518 the geological subsurface was so common that one of the questions in the
519 subsequent questionnaire was dedicated to it. Questionnaire recipients were asked
520 how much they agree with the statement: 'Water naturally forms channels
521 underground in order to flow through rock'. The majority of respondents (78.9%)
522 chose to either agree or strongly agree (Fig. 5.), showing how prevalent this
523 perception was amongst the questionnaire sample population.

524

525

526 *Figure 5. Attitudes of questionnaire respondents (n=223) to the statement 'Water*
527 *naturally forms channels underground in order to flow through rock'.*

528

529 This misconception of subsurface water routeways also appeared to relate to the
530 permeability of water through different rock types. Some types of rock seemed to be
531 perceived as allowing water to pass through them more easily, but other types of
532 material such as clay were more of a barrier.

533

534 But, a lot of it must be broken killas underneath because it - water -
535 literally drains, disappears. You don't get waterlogged ground
536 generally in this area, you know.

537 Kenneth, Carharrack



538

539 So there is water under us here which I suppose has been formed or
540 collected in certain layers - or runs through certain geological layers,
541 but right under this house - or under Chulmleigh, I couldn't tell
542 whether we were built on rock or what sort of strata, to be honest.
543 There's a lot of stone, I wouldn't have thought it's granite but it could
544 be.

545

Christopher, Chulmleigh

546

547 **6.2 Water moving through rocks.**

548 Some participants also attempted to explain how water does move through rocks,
549 with particularly descriptive techniques.

550

551 I think it filters through the rock. Yeah, I think it does. It comes down
552 like rain through rock, doesn't it? And as long as they're pumping,
553 then they've got a dry place to work, but it will come up as it did until
554 the mine floods. And I think it will flood almost to surface, as far as I
555 remember.

556

Kara, Carharrack

557

558 When this notion of the permeability of rocks was posed in the questionnaire as
559 'Water cannot flow through solid rock' (Fig. 6), the majority of respondents answered
560 the question correctly, agreeing that water could pass through solid rock (although
561 many added an additional note to the question specifying different types). Just over a
562 fifth of respondents, however, selected the 'don't know' option (as well as eight
563 participants who left the answer blank), which suggests a significant level of
564 uncertainty exists in public perception of subsurface hydrology.

565

566

567 *Figure 6 Attitudes of questionnaire respondents (n=220) to the statement 'Water*
568 *cannot flow through solid rock'.*

569

570 **6.3 Water and instability.**



571 Another common concern expressed by participants was that presence of water in
572 the subsurface would result in instability and possibly cause ground failure or
573 collapse. This notion was expressed differently in the different locations. In
574 Carharrack, for example, the sense of instability was strongly connected to the
575 historical mining heritage present in the area.

576

577 It's a different kettle of fish mind you those sinkholes, but I'm
578 wondering if a lot of rain is seeping into old mine workings and might
579 make them sink.

580

Kevin, Carharrack

581

582 In Hemerdon and Sparkwell, in contrast, concern was expressed for the impact of
583 new mining activity on existing hydrological environments.

584

585 You can't keep digging up what's underneath you. It alters things. It
586 alters the landscape. It alters what comes out of the ground. It alters
587 the water table.

588

Hannah, Hemerdon and Sparkwell

589

590 Finally, in Chulmleigh instability was expressed in relation to erosion – particularly of
591 arable land - which was often also connected to flooding.

592

593 We were on the point where the river comes right through and we
594 noticed that the river was taking away part of our land so I called in
595 somebody to explain that rivers do that, they change course and
596 lose some and you gain some.... But we didn't get flooded; it wasn't
597 a question of that, just watching my land being washed away and
598 deposited on somebody else's land.

599

Chester, Chulmleigh

600

601 For the experts, this connection between geology and flooding had been a fairly
602 logical one, but in general non-expert participants did not consider this issue a
603 geological link. Instead, most believed that the flooding had a definite cause and it
604 was connected to human activity on the floodplains.



605

606 Q: Can you think of anything you've seen to do with geology in the
607 news recently?

608 A: No, except...um... and this is a bit broad, the flooding in the
609 Somerset Levels and that's not...really... to do with that [geology].

610 Christie, Chulmleigh

611

612 So much of things I think of relate to geography I suppose, whether
613 it's flooding in Bangladesh or India or China you know so it's more
614 geography related rather than geology. I'm not sure it contributes.

615 Heather, Hemerdon and Sparkwell

616

617 I know you have to progress [with new mining development]. To
618 what end, though? Because you can keep progressing and now look
619 at us. We're getting all this flooding.

620 Hannah, Hemerdon and Sparkwell

621

622 Although attitudes to flooding and ground instability caused by the presence of water
623 were not investigated directly, the evidence from the qualitative interviews provides
624 interesting inferences. The non-expert misconception of underground rivers was not
625 anticipated at the outset of the research, although it could possibly be expected from
626 anecdotal experience (Meyer, 1987). Common misconceptions like the prevalence of
627 underground rivers expose deeper issues, such as the public's understanding of how
628 water moves through subsurface environment and how water in the subsurface can
629 impact ground stability (Thomas et al., 2015).

630

631 **6.4 Additional/other themes.**

632 This type of study also provides useful context for communicators. For one thing, the
633 qualitative interviews themselves show the value that the public place on gaining
634 new and more detailed information that will allow them to continue to make effective
635 decisions about our changing environment.

636

637 And actually, I have to say the Somerset levels recently have
638 made me think a lot more about the geology and how they flood



639 and how we build on floodplains. We're taking no notice of what's
640 underneath and whether anything can drain away. So, I think it
641 would be much more important to all of us soon.

642 Kimberley, Carharrack

643

644 As well as 'making public' misconceived ideas about how the natural world works,
645 mental models can expose non-expert perceptions that are so outlandish that the
646 expert might never have considered them. In the following statement, a non-expert
647 links news stories he has heard about earthquakes and fracking with resource
648 extraction.

649

650 It does concern me a bit sometimes the number of major
651 earthquakes we seem to be getting around the Pacific. I'm
652 wondering why. Is it something we're doing to the world that's
653 causing this? I don't think its fracking because they aren't fracking
654 there. Maybe because they're taking oil out of the ground and its
655 releasing pressure so that the world plates can move about a bit
656 more. I don't know.

657 Hugh, Hemerdon and Sparkwell

658

659

660 7 Conclusion

661

662 Beyond the occasional ability to expose fairly perverse misconceptions about the
663 Earth systems, the mental models approach provides valuable context for
664 geoscience communicators. Its main benefit lies in bringing to light alternative
665 scenarios that are central to the way some participants' analyse the processes that
666 operate beneath their feet. In this regard, the heightened 'anthropocentric view' is an
667 important perspective, and one that has been recognised previously. Lave and Lave
668 (1991), for example, found in a similar study that some participants would orientate
669 their whole perception of past and future flood events on the fact that they were
670 'human-made'. Not appreciating the geological aspects of flooding may mean that
671 people conceive an inaccurate view of local flooding threat (e.g. from rising
672 groundwater levels).



673

674 Ordinary people's anthropocentric depiction of the subsurface is likely to have been
675 overlooked by communicators because it is not present in the expert interviews in
676 any noticeable way. It is revealed because the mental models method establishes
677 direct comparisons of expert and non-expert perceptions on the same issue. Such
678 inter-comparisons highlight fundamental mismatches of thinking, such as the use of
679 3D spatial reasoning and the logical connection between the surface and the
680 subsurface. They also shed light on the reasoning behind misconceptions, such as
681 the ubiquitous popular references to underground rivers, and offer up additional
682 nuanced detail to communicators attempting to grasp the public viewpoint.

683

684 Through mental models, geoscientists can be armed with empirical, detailed and
685 generalised data of perceptions surrounding an issue, as well as being aware of
686 unexpected outliers in perception that they may not have considered relevant but
687 which nevertheless may locally influence communication. Using this approach,
688 researchers and communicators can develop information messages that more
689 directly engage local concerns and create open engagement pathways based on
690 dialogue, which in turn allow both groups to come together and understand each
691 other more effectively. Given the ongoing wider challenges in geoscience
692 communication, especially in contested subsurface interventions associated with
693 shale gas extraction, carbon capture and storage and radioactive waste disposal, the
694 ability for geo-communicators to be carefully attuned to how individuals and
695 communities think will become ever more severely tested.

696

697 Author Contributions

698 H. Gibson, I.Stewart and S.Pahl designed the survey protocols and interview
699 questions. H.Gibson conducted all interviews and completed primary analysis and
700 construction of the mental model. A.Stokes and S.Pahl assisted with secondary
701 analysis of data and construction of mental model. I.Stewart assisted with
702 construction of the mental model. H.Gibson designed the questionnaire with
703 assistance from S.Pahl, I.Stewart and A.Stokes. H.Gibson prepared the manuscript
704 with assistance from all co-authors.

705

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712

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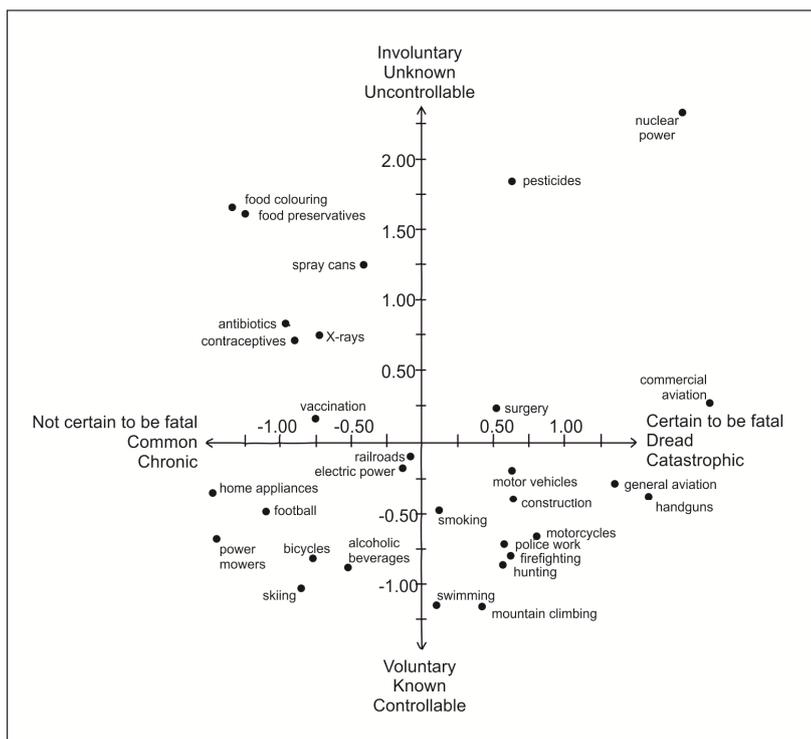
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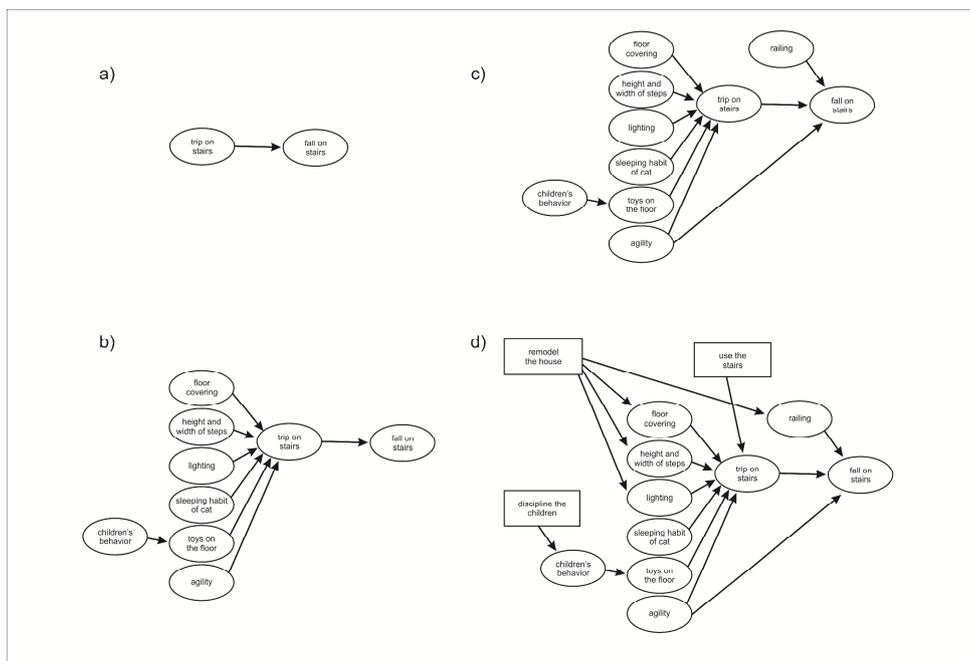
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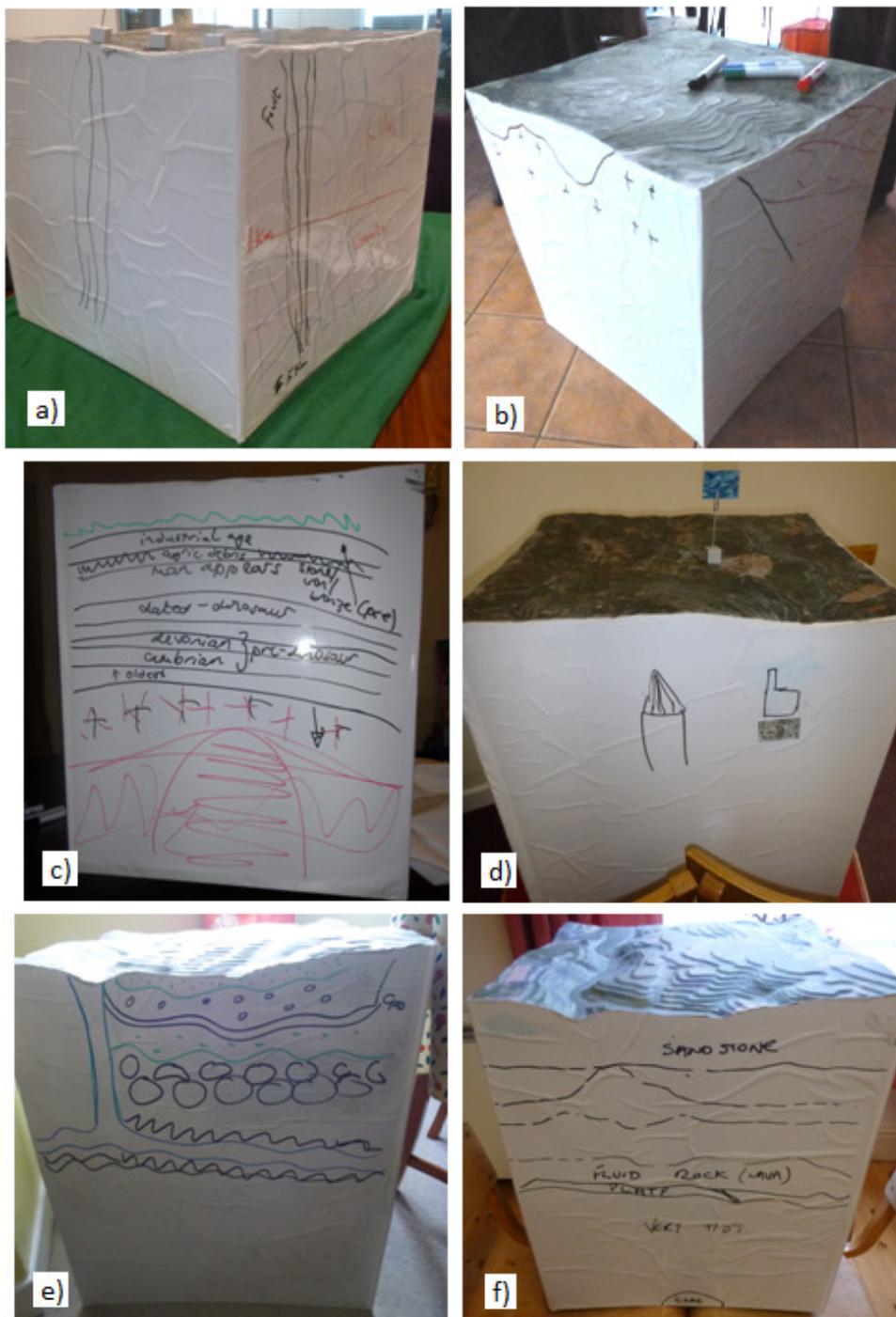
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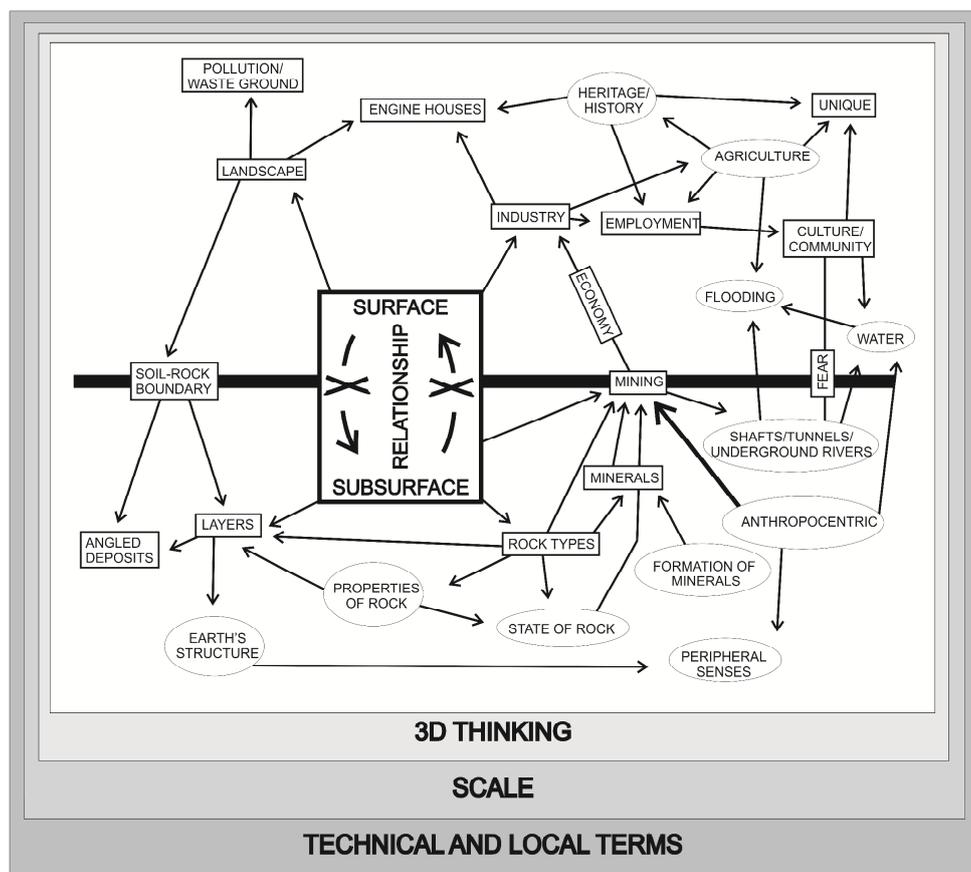
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815 Figure 2.

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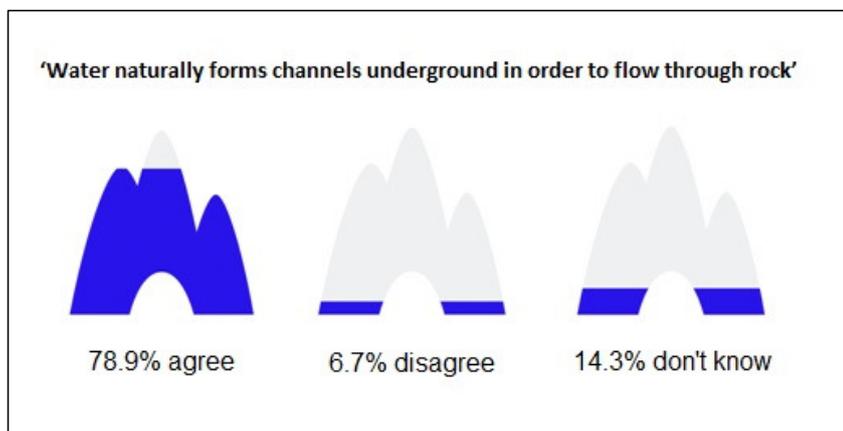
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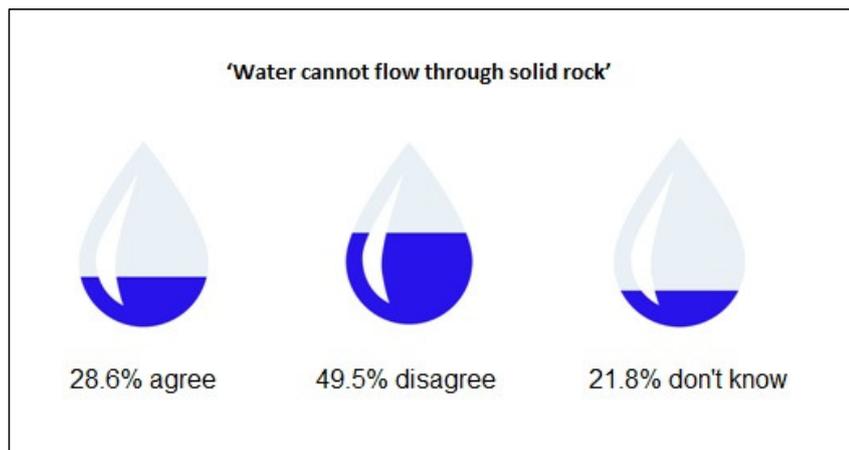


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823 Figure 5.



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826 Figure 6.