



# 1 **Simulated Hydrologic Response to Projected Changes in Precipitation and** 2 **Temperature in the Congo River Basin**

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## 8 **Abstract**

9 Assessing the impacts of climate change on water resources of the Congo River Basin (CRB) has  
10 attracted widespread interest; however, efforts are hindered by the lack of long-term data availability.  
11 Of particular interest to water resource planners and policy makers is the spatiotemporal variability of  
12 runoff due to the projected changes in climate. Here, with the aid of a spatially explicit hydrological  
13 model forced with precipitation and temperature projections from 25 global climate models (GCMs)  
14 under two greenhouse gas emission scenarios, we elucidate the variability in runoff in the near (2016-  
15 2035) and mid (2046-2065) 21<sup>st</sup> century compared to present. Over the equatorial, northern and  
16 southwestern CRB, models project an overall increase in precipitation and, subsequently runoff. A  
17 decrease in precipitation in the headwater regions of southeastern Congo, leads to a decline in runoff.  
18 Climate model selection plays an important role in precipitation projections, for both magnitude and  
19 direction of change. Model consensus on the magnitude and the sign (increase or decrease) of change is



20 strong in the equatorial and northern parts of the basin, but weak in the southern basin. The multi-model  
21 approach reveals that near-term projections are not impacted by the emission scenarios. However, the  
22 mid-term projections depend on the emission scenario. The projected increase in accessible runoff  
23 (excluding flood runoff) in most parts of CRB presents new opportunities for augmenting human  
24 appropriation of water resources; at the same time, the increase in quick runoff poses new challenges. In  
25 the southeast, with the projected decrease, the challenge will be on managing the increasing demands  
26 with limited water resources.



## 27 **1. Introduction**

28 Sustainable management of water resources (e.g. water for food production, reliable and safe  
29 drinking water and adequate sanitation) presents immense challenges in many countries in Central  
30 Africa where the Congo River Basin (CRB) is located [*IPCC*, 2014; *UNEP*, 2011; *World Food*  
31 *Program*, 2014]. The economies of the nine countries that share the waters of the CRB are agriculture-  
32 based [*World Bank Group*, 2014] and, therefore, are vulnerable to the impacts of climate change.  
33 Despite the abundant water and land resources and favorable climates, the basin countries are net  
34 importers of staple food grains, and are far behind in achieving Millennium Development Goals  
35 [*Bruinsma*, 2003; *Molden*, 2007; *UNEP*, 2011]. Appropriation of freshwater resources is expected to  
36 dominate in the future as the CRB countries develop and expand their economies. At the same time,  
37 climate change related risks associated with water resources will also increase significantly [*IPCC*,  
38 2014].

39 Historical, present and near-future greenhouse gas emissions in the CRB countries constitute a  
40 small fraction of global emissions; however, the impacts of climate change on water resources are  
41 expected to be severe due the region's heavy reliance on natural resources (e.g. agriculture and forestry)  
42 [*Collier et al.*, 2008; *DeFries and Rosenzweig*, 2010; *Niang et al.*, 2014]. The limited adaptation  
43 capacity in the CRB region is expected to cause severe water and food security challenges, which, in  
44 turn, can lead to ecosystem degradation and increased greenhouse gas emissions [*Gibbs et al.*, 2010;  
45 *IPCC*, 2014; *Malhi and Grace*, 2000].

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48           Competing pressures on water resources in the CRB, including revival of rural economies  
49 (largely agriculture based), achieving millennium development goals and environmental conservation,  
50 require detailed information on the spatial and temporal variability of water balance components under  
51 different climate projection pathways. The effect of climate change on water resources can be  
52 investigated by incorporating climate change projections (e.g. precipitation and temperature) in  
53 simulation models that reliably represent the spatial and temporal variability of CRB's hydrology. Such  
54 a predictive framework could be applied to forecast changes in storage and runoff, and hence freshwater  
55 availability, under different socioeconomic pathways that affect climate trajectories.

56           A predictive framework of CRB hydrology is hindered by insufficient data and too few  
57 evaluations of models against available data [Beighley *et al.*, 2011; Wohl *et al.*, 2012]. Basin scale water  
58 budgets estimated from land-based and satellite-derived precipitation datasets reveal significantly  
59 different results, and model-computed stream flows show only qualitative agreement with  
60 corresponding observations [Beighley *et al.*, 2011; Lee *et al.*, 2011; Schuol *et al.*, 2008]. Tshimanga and  
61 Hughes [2012; 2014] recently developed a semi-distributed hydrologic model capable of simulating  
62 surface-water runoff in CRB. This work crucially identified approaches suitable for approximating  
63 runoff generation at the basin scale, although the spatial resolution of the model predictions is rather  
64 coarse for supporting regional water management and regional-planning efforts. These regional  
65 planning efforts must take into account variability and uncertainties stemming from climate-model  
66 selection and projected greenhouse gas emissions, but with respect to hydrological modeling of the  
67 CRB these issues have been incompletely addressed.



68           The goals of this study are to i) develop a spatially explicit hydrology model that uses  
69           downscaled output from general circulation models (GCMs) and is suitable for simulating the  
70           spatiotemporal variability of surface-water runoff throughout the CRB; ii) test the ability of the  
71           hydrological model to reproduce historical data on CRB river discharges using both observed and  
72           GCM-simulated climate fields; (iii) quantify the sensitivity of hydrologic-model runoff predictions to  
73           GCM selection; (iv) use the hydrologic model with individual GCMs and multi-GCM ensembles to  
74           forecast near-term (2016-2035) and mid-term (2046-2065) changes in surface-water flows for two  
75           greenhouse-gas emission scenarios. We focus on the runoff projections of the hydrologic model because  
76           streams and rivers will serve as the primary sources of freshwater targeted for human appropriation  
77           [Burney *et al.*, 2013; Molden, 2007].

78           We show that the hydrologic model that is forced with bias-corrected and downscaled outputs  
79           from an ensemble of 25 GCMs and two emission scenarios reveal a range of projected changes in  
80           precipitation and runoff, and that runoff yields and dynamics are highly sensitive to GCM-forcing. The  
81           multi-model mean (MM, unweighted average of all GCMs) and the select-model mean (SM, selected  
82           GCMs based on performance in the historical period and realistic representation of certain attributes in  
83           the climate system) reveal 1-3% and 4-9% increase in precipitation and runoff, respectively in the CRB  
84           in the near-term (2016-2035) relative to reference period (1985-2005). In the mid-term (2036-2065), on  
85           the other hand, projections are GCM and emission-scenario dependent, with the high emission RCP85  
86           scenario showing the highest increases in precipitation (2-5%) and runoff (7-14%). However, both MM  
87           and SM show decreasing precipitation and runoff patterns in the southeastern headwater regions of  
88           Congo.



## 89 2. Materials and Methods

### 90 2.1 The Congo River Basin

91 The Congo River Basin, with a drainage area of 3.7 million km<sup>2</sup>, is the second largest in the  
92 world by area and discharge (Figure 1, average discharge of ~41,000km<sup>3</sup>/s) [Runge, 2007]. The basin  
93 extends from 9°N in the northern hemisphere to 14°S in the southern hemisphere. The longitudinal  
94 extent is 11°E to 35°E. Nine countries share the water resources of the basin. Nearly a third of the basin  
95 area lies north of the equator. Due to its equatorial location, the basin experiences a range of climate  
96 regimes. The northern and southern parts have a strong dry and wet seasons, while the equatorial region  
97 has a bimodal rainy season [Bultot and Griffiths, 1972]. Much of the rain in the northern and southern  
98 CRB is received in Jun-Jul-Aug (JJA) and Dec-Jan-Feb (DJF), respectively. The primary and secondary  
99 rainy seasons in the equatorial region are Sep-Oct-Nov (SON) and Mar-Apr-May (MAM, see [Bultot  
100 and Griffiths, 1972] and Supplemental Information (SI) Figure S1). The mean annual precipitation is  
101 about 1,500 mm. Rainforests occupy nearly 45% of the basin and are minimally disturbed compared to  
102 the Amazon and Southeast Asian forests. Grassland and savannah ecosystems, characterized by the  
103 presence of tall grasses, closed-canopy woodlands, low-trees and shrubs, occupy another 45% [Adams  
104 et al., 1996; Bartholomé and Belward, 2005; Hansen et al., 2008; Laporte et al., 1998]. Water bodies  
105 (lakes and wetlands) occupy nearly 2% of the area, but they are concentrated mostly in the southeastern  
106 and western equatorial parts of CRB (Figure 1).

107 In order to compare regional patterns in precipitation and runoff, we divided the basin into four  
108 regions: i) Northern Congo (NC), ii) Equatorial Congo (EQ), iii) Southwestern Congo (SW), and iv)



109 Southeastern Congo (SE). The EQ region covers most of the rainforest. The SE region consists of many  
110 mostly interconnected lakes and wetlands. Most of the CRB's population is concentrated in the NC, SE  
111 and SW regions [*Center for International Earth Science Information Network (CIESIN) Columbia*  
112 *University et al., 2005*].

## 113 ***2.2 Hydrologic model for the Congo River Basin***

114 We used the Soil Water Assessment Tool (SWAT) [*Arnold et al., 1998; Neitsch et al., 2011*] to  
115 simulate the hydrology of the CRB for historical climate (1950-2008) and for two scenarios of future  
116 climate change. SWAT is a physically based, semi-distributed watershed-scale model that operates at a  
117 daily time step. The hydrological processes simulated include evapotranspiration (ET), infiltration,  
118 surface and subsurface flows, streamflow routing and groundwater recharge. The model has been  
119 successfully employed to simulate river basin hydrology under wide variety of conditions and to  
120 investigate climate change effects on water resources [*Faramarzi et al., 2013; Krysanova and White,*  
121 *2015; Schuol et al., 2008; Trambauer et al., 2013; van Griensven et al., 2012*].

122 We delineated 1,575 watersheds within the CRB based on topography [*Lehner et al., 2008*].  
123 Each watershed consists of one stream section, where near-surface groundwater flow and overland flow  
124 accumulate before being transmitted through the stream channel to the watershed outlet. Watersheds  
125 are further divided into Hydrologic Response Units (HRUs) based on land cover (16 classes)  
126 [*Bartholomé and Belward, 2005*], soils (150 types) [*FAO/IIASA, 2009*] and topography. The runoff  
127 generated within each watershed is routed through the stream network using the variable storage routing  
128 method. The average watershed size and the number of HRUs within each watershed are 2,300 km<sup>2</sup> and



129 5, respectively. We also included wetlands and lakes as natural storage structures that regulate the  
130 hydrological fluxes at different locations within CRB (Figure 1). Detailed information is not available  
131 for the all the lakes; therefore, we incorporated the largest 16 lakes (SI Table S1).

132 Runoff, estimated for each HRU and aggregated at the watershed level, is generated via three  
133 pathways: overland flow, lateral subsurface flow through the soil zone and release from shallow  
134 groundwater storage. The Curve Number and a kinematic storage routing methods are used to predict  
135 the first two, and a nonlinear storage-discharge relationship is used to predict groundwater contribution  
136 (see *Arnold et al.* [1998]; *Neitsch et al.* [2011] and SI). A power law relationship is employed to  
137 simulate the lake area-volume-discharge. The potential evapotranspiration is estimated using the  
138 temperature-based Hargreaves method [*Neitsch et al.*, 2011]. The actual evapotranspiration is estimated  
139 based on available soil moisture and the evaporative demand (i.e. potential evapotranspiration) for the  
140 day. Additional details on model development are provided in the Supplementary Information.

### 141 ***2.3 Model simulation of historical hydrology with observed climate forcings***

142 We ran the hydrology model for the period 1950-2008. Estimates of observed daily  
143 precipitation, and minimum and maximum temperatures needed to calculate potential  
144 evapotranspiration were obtained from the Land Surface Hydrology Group at Princeton University  
145 [*Sheffield et al.*, 2006]. In addition, measured monthly streamflows were obtained at 30 gage locations  
146 (Figure 1) that had at least 10 years of records [*Global Runoff Data Center.*, 2011; *Lempicka*, 1971;  
147 *Vorosmarty et al.*, 1998].



148           The model was calibrated using observed streamflows for the period 1950-1957 at 20 locations.  
149   The number of model parameters estimated by calibration varied from 10 to 13, depending on the  
150   location of flow gages (e.g. gages with lakes within their catchment area have more parameters). The  
151   calibration involved minimizing an objective function defined as the sum-of-squared errors between  
152   observed and simulated monthly average total discharge, baseflows (estimated by applying a baseflow  
153   separation method [*Nathan and McMahon, 1990*]) and water yield. A Gauss-Marquardt-Levenberg  
154   algorithm as implemented in a model independent parameter estimation tool [*Doherty, 2004*] was used  
155   to adjust the fitted parameters and minimize the objective function. Parameter estimation was done at  
156   two stages. First, parameters for the watersheds in the upstream gages were estimated. Then the  
157   parameters for the downstream gages were estimated. To test the calibrated model, simulated stream  
158   flows were compared to stream flows measured at the same 20 locations, but during a period outside of  
159   calibration (i.e., 1958-2008), as well as at 10 additional locations that were not used in the calibration.

#### 160   ***2.4 Hydrologic Simulations with Simulated Climate Forcing***

161           Historical climate simulations for the period 1950-2005 and climate projections to 2099 for two  
162   emission scenarios, medium mitigation (RCP45) and high emission (RCP85), were used as a basis to  
163   drive the hydrologic model. The RCP45 scenario employs a range of technologies and policies that  
164   stabilize radiative forcing at  $4.5 \text{ Wm}^{-2}$  by 2100, whereas the RCP85 is a business-as-usual scenario,  
165   where  $\text{CO}_2$  emissions continue to increase and radiative forcing rises above  $8.5 \text{ Wm}^{-2}$  [*Moss et al.,*  
166   2010; *Taylor et al., 2012*]. We used monthly precipitation and temperature outputs provided by 25  
167   GCMs (SI Table S2) for the Fifth Assessment (CMIP5) of the Intergovernmental Panel on Climate  
168   Change (IPCC).



169 GCM outputs may exhibit biases in simulating regional climate. These biases, which are  
170 attributable to inadequate representation of physical processes by the models, prevent the direct use of  
171 GCM output in climate change studies [Randall *et al.*, 2007; Salathé Jr *et al.*, 2007; Wood *et al.*, 2004].  
172 Hydrological assessments that use GCM computations as input inherit the biases [Salathé Jr *et al.*,  
173 2007; Teutschbein and Seibert, 2012]. To mitigate this problem, we implemented a statistical method  
174 [Li *et al.*, 2010] to correct the biases in the monthly historical precipitation and temperature fields. In  
175 brief, the method employs a quantile-based mapping of cumulative probability density functions for  
176 monthly GCM outputs onto those of gridded observations in the historical period. The bias correction is  
177 extended to future projections as well.

178 In order to be used in the CRB's hydrologic model, the simulated monthly precipitation and  
179 temperature values must be temporally downscaled to daily values. We used the three-hourly and  
180 monthly observed historical data developed for the Global Land Data Assimilation System [Rodell *et*  
181 *al.*, 2004; Sheffield *et al.*, 2006] and the bias-corrected monthly simulations to generate three-hourly  
182 precipitation and temperature fields, which were subsequently aggregated to obtain daily values (see SI  
183 Methods). The hydrological model was forced with the bias-corrected and downscaled daily climate  
184 fields for the period 1950-2099. A total of 50 projections (25 RCP45 and 25 RCP85 projections) were  
185 compiled and analyzed. Results of individual and multi-model means (un-weighted average of all (MM)  
186 and selected (SM) GCM simulations) for the near-term (2016-2035) and mid-term (2046-2065)  
187 projections are presented.



## 188 **3. Results and Discussion**

### 189 ***3.1 Historical simulations***

190 The GCM-simulated mean annual precipitation (1950-2008) of 1,450 mm/year in the CRB is in  
191 good agreement with observations. We compared the GCM simulated annual precipitation with  
192 observations within the catchment areas of 30 streamflow gage locations in the historical period (Figure  
193 2). The modeled inter-annual variability among the climate models (vertical bars in Figure 2) lies within  
194 the range of the observed variability (horizontal bars in Figure 2). The linear-regression slope of 1.16 ( $p$   
195  $< 0.01$ , Figure 2) between the annual observed and MM show that bias-corrected precipitation is slightly  
196 over-estimated, but not significantly so. Similar conclusions are drawn for the seasonal precipitation (SI  
197 Figure S2) and within the four regions identified in Figure 1 (SI Table S3).

198 We compared the simulated streamflows at 30 locations with observations. The colored points  
199 (Figure 3A) compare observed mean annual runoff at the 30 gages with historical simulations (forced  
200 with observed climate), while the vertical bars show the modeled inter-annual variability. The shades of  
201 colors (from light-green to yellow and red) reveal the model's skill in simulating the monthly flows in  
202 the historical period. The Nash-Sutcliff coefficient of efficiency (NSE), a measure of relative magnitude  
203 of residual variance compared to the monthly observed streamflow variance [Legates and McCabe,  
204 1999; Nash and Sutcliffe, 1970], varies between 0.01 and 0.86 (see color scale in Figure 3A). Seventeen  
205 of the 30 gages show NSE greater than or equal to 0.5, a subjective but commonly considered  
206 acceptable value for good model performance. Higher NSE values at locations on both sides of the  
207 equator, particularly at major tributaries (NSE  $\sim 0.60$ , gages 1 to 8 in Figure 1 and SI Figure S3) suggest



208 that the model reliably predicts streamflows under different climatic conditions. High NSE values also  
209 indicate that the seasonal and annual runoff simulations, including the inter-annual variability in the  
210 historical period, are in good agreement with observations. The catchment areas of the 30 gages vary  
211 between 5,000 km<sup>2</sup> and 900,000 km<sup>2</sup> (excluding the last two downstream gages), indicating the  
212 hydrology model's skill in simulating runoff satisfactorily over a wide range in watershed areas.

213 Comparison of modeled runoff forced with GCM-simulated and observed climate (Figure 3B)  
214 reveals generally acceptable runoff simulations in the CRB. The black dots and red (blue) vertical bars  
215 in Figure 3B show multi-model mean and maximum (minimum) range of inter-annual variability in the  
216 25 historical GCM simulations. The results suggest that model-data agreement in precipitation translates  
217 to similarly acceptable runoff simulations. The mean and the inter-annual variability of runoff within  
218 individual models generally lie within the variability of observed runoff.

219 The asymmetric seasonality and magnitude in the rainfall regimes (see SI Figure S1) exhibit  
220 strong linkages with runoff. For example, the observed peak runoff at gages 2 and 6 (Figure 1) located  
221 north and south of the equator occur near the end of the rainy seasons – during Sep-Oct and Mar-Apr,  
222 respectively (Figure 4). Augmented by flows from northern and southern tributaries (e.g. gages 1, 2, 4  
223 and 6) and by high precipitation in the tropical equatorial watersheds during the two wet seasons (MAM  
224 and SON), the main river flows (~ downstream of gage 3 in Figure 1) show low variability (Figure 4).  
225 For example, the coefficient of variation in observed (simulated) monthly flows at the basin outlet (gage  
226 8), northern tributary (gage 2) and southern tributary (gage 4) are 0.23 (0.24), 0.77 (0.80) and 0.40  
227 (0.48), respectively.



228 Regionally, runoff in the northern (NC) and southern (SW and SE) watersheds is strongly  
229 seasonal with long dry seasons, but this is not the case in the equatorial region (Figure 5). Average  
230 watershed runoff varies between 20-70 mm during dry seasons to 100-140 mm during wet seasons in  
231 the NC, SW and SE. In the equatorial region, seasonal runoff varies between 100-150mm with the  
232 highest in SON. Overall, the precipitation-runoff ratio is about 0.30 in the CRB. The accessible runoff  
233 (excluding runoff associated with flood events), which can be appropriated for human use, is about 70%  
234 of the total runoff.

### 235 ***3.2 Future projections in precipitation and runoff***

236 The near-term (2016-2035) multi-model mean (MM) change in annual precipitation in the CRB  
237 is 1% relative to the reference period 1986-2005, irrespective of the emission scenario. The mid-term  
238 (2046-2065) MM projections of annual precipitation change are 1.7% and 2.1% for RCP45 and RCP85,  
239 respectively. The inter quartile range (IQR) between model and emission scenarios vary between 1.7-  
240 2.6% in the near-term and 2.6-5.8% in the mid-term, indicating considerable variability in rainfall  
241 predictions across GCMs. The inter-model variability is larger in the mid-term, and even more so for  
242 RCP85 (SI Table S4). Figure 6A-D shows the changes in precipitation in the near- and mid-term by the  
243 MM, with indications of spatial patterns under the two emission scenarios. Although overall change in  
244 the CRB is positive, the MM shows the decreasing patterns in the southern, and parts of northern CRB.

245 In general, the MM predicts decreasing precipitation in the driest parts of the southern CRB  
246 (mostly in SE, but portions of SW as well). Under the RCP85 scenario, the northeastern CRB also  
247 experiences reduction in precipitation in the near-term. The areas of decreased precipitation shrink in



248 the SE and SW in the mid-term; however, drying expands in parts of northern CRB under the two  
249 emission scenarios (Figure 6C-D). Most GCMs (>15) predict an increase in the NC, EQ and most of  
250 SW, whereas majority of them predict a decrease in the SE.

251 We also examined the seasonal changes in the four regions (see SI Table S4). Except in the  
252 boreal summer (JJA), precipitation in the SE region is predicted to decrease under RCP45; the change is  
253 modest under RCP85. The actual increases in the north (south) during DJF (JJA) are modest (~1mm) as  
254 these are the dry seasons. The inter-model variability (SI Table S4) also exceeds the MM in all the  
255 seasonal predictions. Notably, the variability is larger in the dry seasons (e.g. DJF predictions in the NC  
256 and JJA predictions in the SE and SW). The temporal variation is further examined using monthly  
257 climatology in the reference and near- and mid-term projection periods in Figure 7A-D, which also  
258 shows the seasonal variations in the major climate regions (e.g. the bimodal rainy season in the EQ and  
259 unimodal, but asymmetric wet-dry seasons in the NC, and SW and SE). The inter-model variability is  
260 larger in the rainy seasons under RCP85, compared to RCP45. Larger variability under RCP85 highlight  
261 that GCMs may have limited skills in simulating precipitation under high greenhouse gas emissions.

262 The spatial pattern of runoff change in the near- and mid-terms indicated by the MM is similar  
263 to the precipitation changes, except in the northeastern CRB (3N-9N and 24E-30E) under RCP45  
264 (Figure 6E-H). The MM runoff projections show an increase of 5% (IQR 5-7%) and 7% (IQR 7-11%)  
265 in the near- and mid-terms under both RCPs. A reduction in runoff occurs in the SE and parts of the SE  
266 under both RCPs. The area of decreasing runoff expands in the NC under both emission scenarios in the  
267 mid-term. Although northern and equatorial CRB show an overall increase in precipitation, the decrease  
268 in runoff in certain parts in the NC and EQ is caused by reduction in seasonal precipitation (i.e. limited



269 moisture supply) rather than an increase in ET; changes in temperature associated with the two emission  
270 scenarios are relatively uniform within the GCMs (see *Aloysius et al.* [2016], and *IPCC* [2014]).  
271 Larger reduction – up to 15% – in the SE covering most of northern Zambia is due to an overall  
272 decrease in precipitation simulated by more the half of the GCMs. The inter-model variability of runoff  
273 at monthly time scales in the four regions (Figure 7E-H) is similar to precipitation, but with a time lag.  
274 The variability is larger NC and SE compared to EQ and SW during the rainy seasons.

275 Runoff in the EQ region, which receives the highest precipitation (~1,600mm/year) is projected  
276 to increase between 4-7%; the increases are prominent in the secondary rainy season (MAM) than the  
277 primary (SON, SI Table S5). However, runoff that can be appropriated for human use is generated  
278 mostly in the NC, SE and SW, which at present varies from 130mm/year in SE to 250-400mm/year in  
279 the NC and SW (SI Table S3). Runoff in the SW is projected to increase by 6% and 10% in the near-  
280 and mid-terms. In the NC region, runoff is projected to increase by 2-4% in the near-term and decrease  
281 in the mid-term, due to seasonal decreases (JJA and SON) in parts of NC (see Figure 6E-F and SI  
282 Tables S5 and S6). Runoff generated in populated areas in the CRB, excluding most parts of EQ, has  
283 the potential to support human needs including water supply, sanitation, food production and  
284 hydropower; however, only a portion of the total runoff can be sustainably harnessed.

### 285 ***3.3 Role of multi-model ensembles***

286 Extensive coordination provided by CMIP5 enabled all climate modeling groups to use a  
287 standard set of inputs, produce compatible historical and future model runs and provide their best  
288 outputs to the IPCC data archives; thus, the multi-model ensemble approach in climate change



289 assessment presents an opportunity to examine outputs from a range of model structure biases, initial  
290 conditions, parameter uncertainties in climate model design, which vary within GCMs [Stocker, 2013;  
291 Taylor *et al.*, 2012]. Skill in simulating historical precipitation and temperature increases when outputs  
292 from different GCMs are added (Pierce *et al.* [2009] and Pincus *et al.* [2008]). Along the same line, we  
293 argue that the MM approach reduces future projection uncertainties; however, we should be able to do  
294 better with a subset of models. How different are the projections if we use randomly selected subset of  
295 models or a subset that realistically simulates certain aspects in the region of interest? First, we examine  
296 the effect of MM projections based on outputs from randomly selected models out of the 25 simulations  
297 for each RCP (SI Figure S4). Projections under this random model selection method converge to MM  
298 projections as more models are added to the pool (compare values in SI Tables S4 and S5). However,  
299 with fewer models, projections vary widely and are highly dependent on the choice of GCMs.

300 GCMs generally have large uncertainties in simulating precipitation in the CRB region [Aloysius  
301 *et al.*, 2016; Washington *et al.*, 2013]. We examined a subset of models (SM – M6, M7, M18, M23 and  
302 M24, see refs. Giorgetta *et al.* [2013]; [Good *et al.*, 2012; Jungclaus *et al.*, 2013]; Meehl *et al.* [2013];  
303 Siam *et al.* [2013]; Voltaire *et al.* [2012]; Yukimoto *et al.* [2006] and Aloysius *et al.* [2016] for further  
304 comparison of GCM performance) that reliably simulate regional climate as well as large-scale  
305 mechanisms that modulate regional climate. Based on diagnostic analyses to identify processes related  
306 to biases in atmospheric moisture and soil water balance in the CRB region, Siam *et al.* [2013] identifies  
307 few models in SM as good candidates for climate change assessment.

308 Focusing on the NC, SE and SW regions, where human appropriation of runoff is expected to  
309 increase, we find that the magnitude of annual projections (both precipitation and runoff) in SM are



310 twice that of MM in the northern region; and the extent of drying in the south is concentrated in the  
311 southern upstream watersheds. From the viewpoint of water resources for human appropriation, the  
312 changes by seasons are also important. In Figure 8, we highlight the projections in precipitation and  
313 runoff for these regions for annual and four seasons in the form of box-and-whicker plots. Both MM  
314 and SM means reveal that the projections under RCP45 are slightly higher than RCP85 in NC region,  
315 and not so in other regions. Projection uncertainties are the largest in the dry seasons (DJF in the NC  
316 and JJA in SW and SE). Figure 8 also shows moderate increase in the SW to decrease or no-change in  
317 the SE during the rainy season (DJF). Our estimates also reveal that the upstream watersheds in the SE  
318 and parts of SW are projected to get drier with decreasing runoff (SI Table S6).

319 Only part of the runoff may be appropriated for human use. In the CRB, the accessible runoff,  
320 excluding runoff associated with flood events, is nearly 70%. Overall, the MM reveals a slightly higher  
321 increase in accessible runoff (5% and 7% for near- and mid-terms for both RCPs), compared to  
322 quick/flood runoff (3% in the near-term and 5-7% in the mid-term); the increase in the SM are nearly  
323 twice that of MM. However, increase in flood runoff is nearly twice that of accessible runoff in the NC  
324 region. On the other hand, both SM and MM consistently project drying in the southeastern and  
325 northeastern headwater regions (see SI Table S6).

326 Rural population relies on runoff from the nearby streams for water supply. The impacts on rural  
327 livelihoods due the changes in runoff are multifaceted. On the one hand, the increases in accessible  
328 runoff enhance access to water resources; on the other hand, the increases in quick/flood runoff present  
329 additional adaptation challenges. With reduced access to water resources, the impacts on rural  
330 livelihoods and the environment in the SE and parts of NC will be severe. Further, we emphasize that



331 GCM-related variability in regional climate change predictions can be constrained by a subset of models  
332 based on attributes that modulate large-scale circulations which, in turn affect regional climate (see  
333 *Knutti and Sedlacek* [2013] and *Masson and Knutti* [2011]). This approach is particularly useful, since  
334 regions like the CRB lack observational data; however, the mechanisms that moderate the climate  
335 system, particularly precipitation are fairly well understood [*Hastenrath*, 1984; *Nicholson and Grist*,  
336 2003; *Washington et al.*, 2013].

### 337 ***3.4 Variability in accessible flows***

338 Accessible flows (AF), which exclude flows associated with flood events (see SI Methods), are  
339 largely under-utilized in the CRB, but their appropriation is expected to increase in the future, mostly in  
340 the NC, SW and SE. We attempt to elucidate the uncertainty associated with climate model and scenario  
341 selection by quantifying seasonal and inter-model variability in AF. The seasonal variation of AF at  
342 eight major tributaries (identified in Figure 1) reveals substantial inter-model spread in the near-term  
343 (Figure 9); the model spread widens in the mid-term (SI Figure S5). The inter-model spread is large  
344 during the rainy seasons, in some cases the increase/decrease is over 50% compared to the reference  
345 period. The inter-model consensus is strong in most of the northern and southwestern tributaries (e.g.  
346 gages 1 and 6) where majority of the GCMs predict increasing precipitation. In contrast, the consensus  
347 is weak in the southeastern tributaries (e.g. gage 4). The AF in the main river (gages 3 and 8) is  
348 projected to increase in the two rainy seasons and as well as in the dry season (JJA). A close look at  
349 tributaries in the NC and SW reveal a weaker agreement on increased AF in the wet season, but a  
350 stronger agreement in the dry season (compare gages 1, 2, 6 and 7 in Figure 8). Our results also show



351 that the decrease in precipitation and AF in SE will have marginal effect on downstream flows in the  
352 main river.

353 The spatial and temporal variations in the projected AF will have consequences in water  
354 resources development and management. For example, uncertainty in predicting the AF near the  
355 proposed Grand Inga Hydropower project (near gage 8, *Showers* [2009]) is low compared the  
356 predictions near the proposed trans boundary water diversion in the southeast (near gage 5, *Lund et al.*  
357 [2007]). Reductions in high and low flows in streams in the SE region will have implications on aquatic  
358 life, channel maintenance and lake and wetland flooding.

#### 359 **4. Conclusions**

360 From the point of view of climate change adaptation related to water resources, agriculture, land  
361 and ecosystem management, the challenge faced by CRB countries is recognizing the value of making  
362 timely decisions in the absence of complete knowledge. To be of use to planners, the spatial and  
363 temporal variability of hydro-climatic change in the CRB is presented with sufficient details. Our  
364 analyses highlight that precipitation and runoff changes under business-as-usual and avoided  
365 greenhouse gas emission scenarios (RCP85 vs. RCP45) are rather similar in the near-term, but deviate  
366 in the mid-term, which underscores the need for rapid action on climate change adaptation.  
367 Development and implementation of adaptation strategies are often connected with large investments.  
368 Precipitation projections by GCMs, and subsequently runoff projections reveal considerable differences,  
369 which necessitate the need for multi-model evaluations of climate change impacts. With the focus on  
370 runoff – often the primary and easily accessible source of water, we show that accessible water  
371 resources increases in most parts of the CRB, with the exception in the southeast and parts of northeast.



372 Comparing the MM and SM projections, the increase in runoff in the mid-term are higher under  
373 RCP85 (7-14%) than RCP45 (6-10%), however, both accessible and flood runoffs are increasing. The  
374 projected increases in accessible runoff present new opportunities to meet the increasing demands (e.g.  
375 drinking water, food production and sanitation), while the enhanced flood runoff poses new challenges.  
376 On the other hand, water managers will face different challenges in the southeast where precipitation  
377 and runoff are projected to decrease. The analyses presented in our work increase the degree of  
378 confidence in using the results for policy and management.

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382 Working Group on Coupled Modeling, which is responsible  
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390



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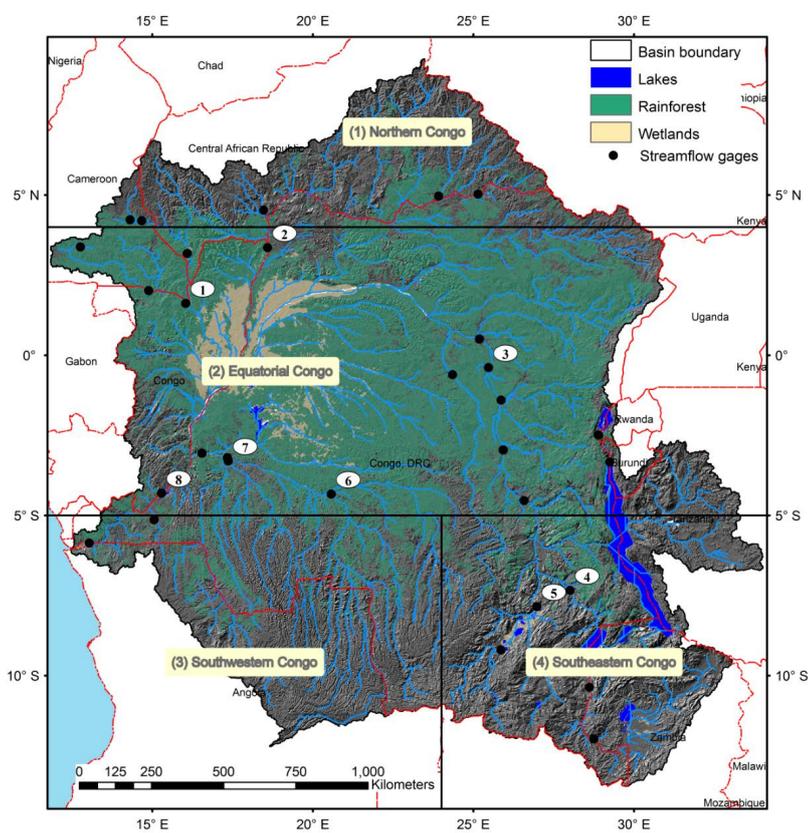
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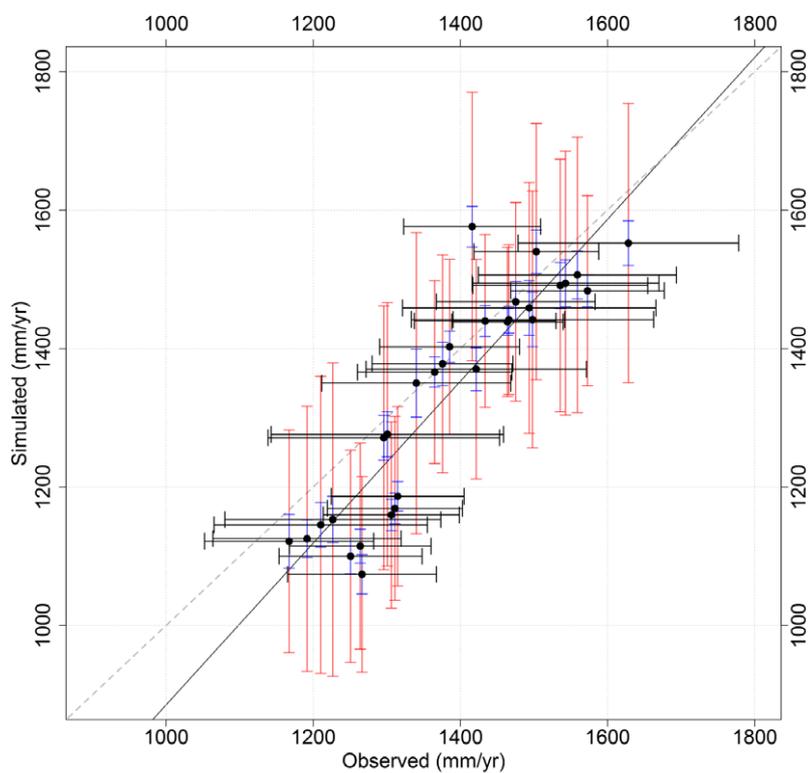


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572 Figure 1 Congo River Basin: the river basin boundary, the extent of the rainforest, locations of lakes and wetlands, and the locations of  
573 streamflow gages are shown.

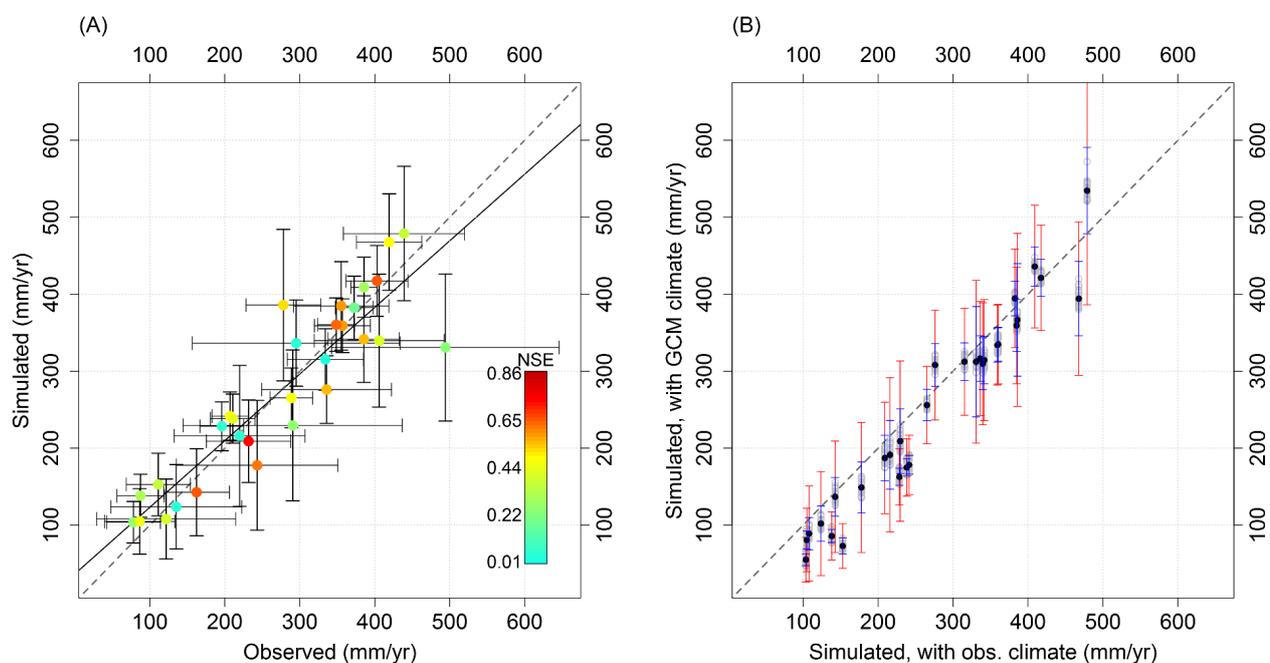


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575 Figure 2 Comparison of observed and GCM-simulated average annual catchment precipitation at 30 gage locations (shown in Figure 1) in  
576 the historical period (1950-2005). Black dots compare multi-model means with observed precipitation, black horizontal bars show observed



577 inter-annual variability, and red (blue) vertical bars show maximum (minimum) range of modeled inter-annual variability within the 25  
578 climate model outputs.  
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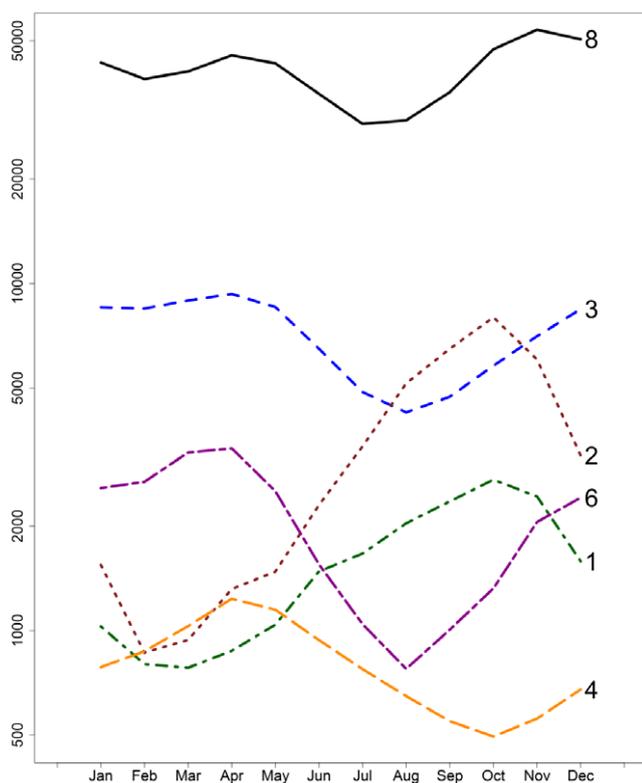


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582 Figure 3 Comparison of observed and simulated annual water yield at the 30 streamflow gage locations (shown in Figure 1). (A) Historical  
583 simulations with observed climate: color dots compare the observed and simulated historical runoff, colored shades of the dots (see legend)  
584 shows the Nash-Sutcliffe coefficient of efficiency (NSE) of observed vs. simulated monthly streamflows, black horizontal and vertical bars  
585 show observed and modeled inter-annual variability. The black line is linear regression fit between annual simulated and observed runoff  
586 ( $y = 0.865 \pm 0.158x + 36.63, R^2 = 0.82, p < 0.001$ ), parameter bounds are the 95% confidence interval. (B) Simulations in the historical



587 period with GCM-simulated climate: black dots show the multi-model mean and red (blue) vertical bars show modeled (forced with GCM-  
588 simulated historical climate) maximum (minimum) inter-annual variability within the 25 simulations, gray circles show multi-year mean of  
589 individual GCM simulations. The gray dotted lines in A and B are 1:1 fit.

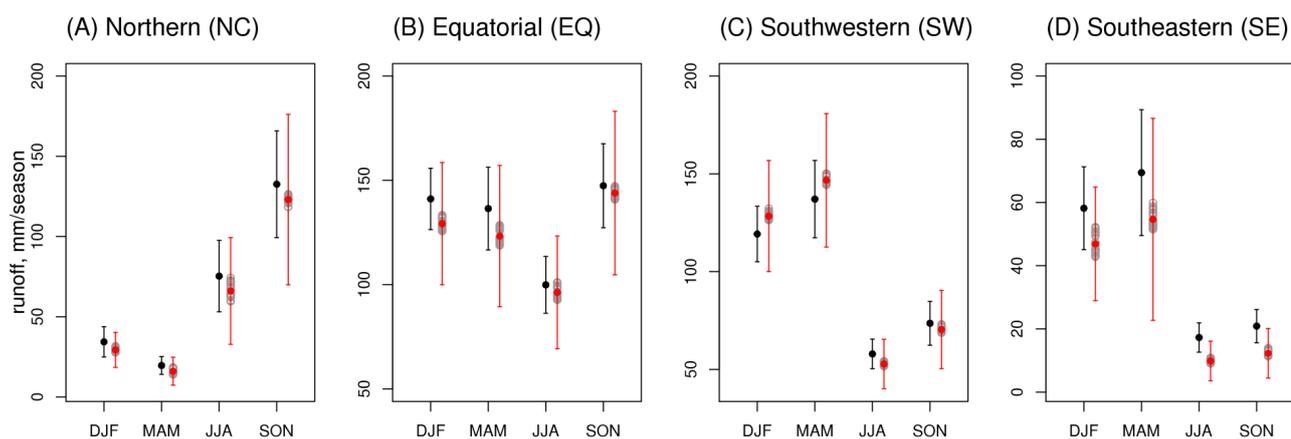


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591 Figure 4 Mean monthly flows at selected tributaries in the CRB. Flows are in  $\text{m}^3/\text{s}$  and gage numbers are identified in Figure 1. Monthly  
592 values are based on simulated flows (forced with observed precipitation) for the period 1950-2005.

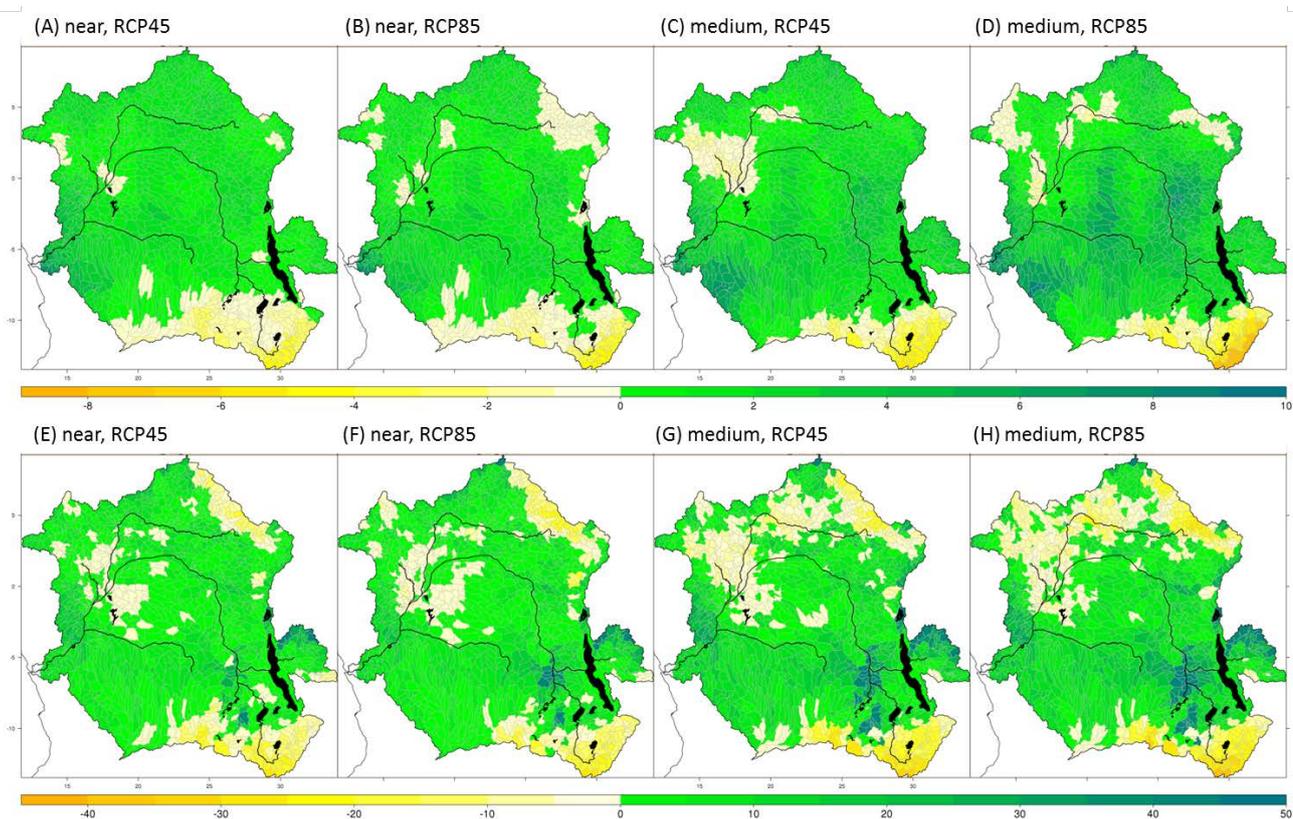


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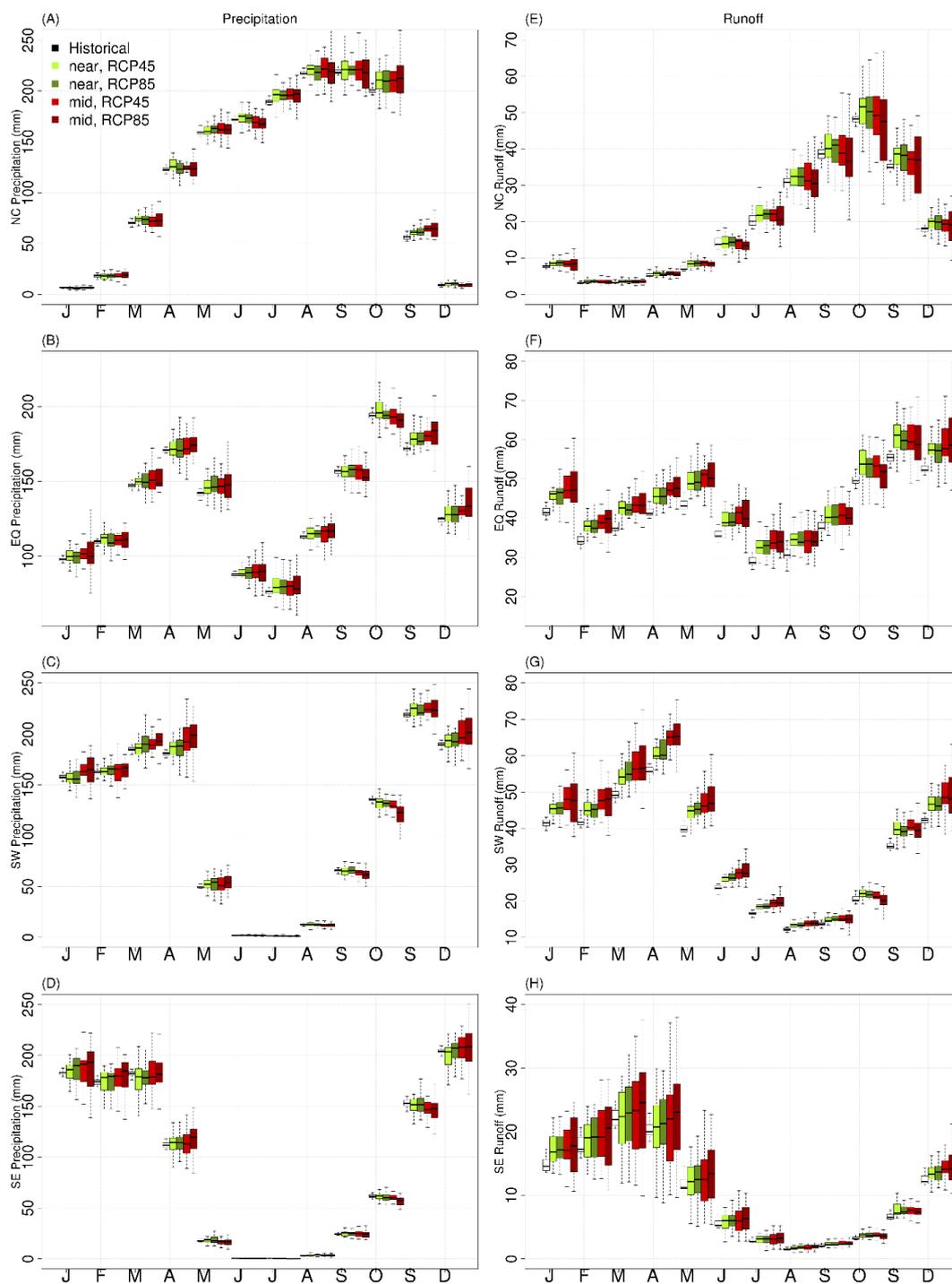
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595 Figure 5 Seasonal variation in runoff in (A) Northern, (B) Equatorial, (C) Southwestern and (D) Southeastern Congo River Basin. Black  
596 dots and vertical bars show the modeled inter-annual variability forced with observed climate, red dots show the multi-model mean forced  
597 with GCM-simulated climate, red vertical bars show the maximum range of inter-annual variability within the 25 models and the grey open  
598 circles show the mean of individual models in the historical period, 1950-2005. Y-axis scale is different for each plot.





600 Figure 6 Multi-model mean changes in annual precipitation (A-D) and runoff (E-H), as percentage, for near-term (2016-2035) and mid-term  
601 (2046-2065) relative to the reference period (1986-2005) under the Representative Concentration Pathways, RCP45 and RCP85. The  
602 number of GCMs to calculate the multi-model mean is 25. Main rivers and lakes are shown as black lines and polygons.  
603



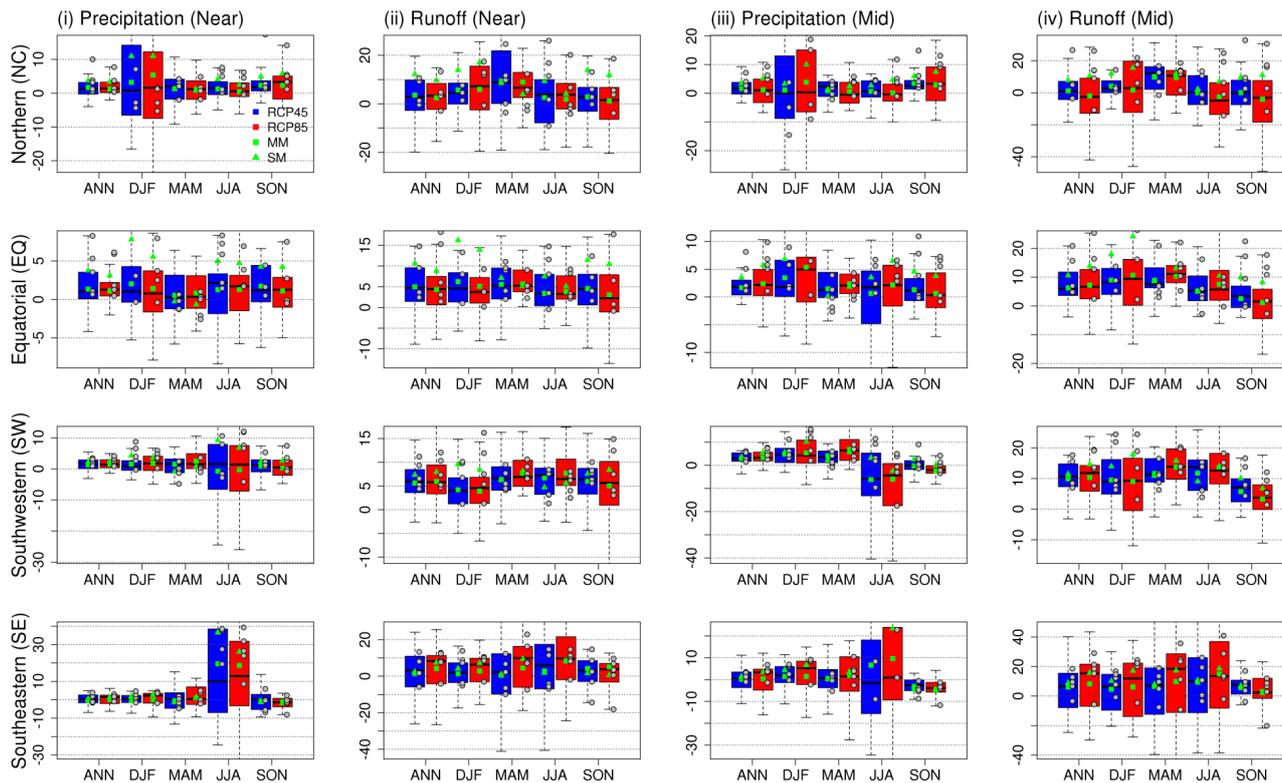


605 Figure 7 Monthly variation of precipitation (A-D) and runoff (E-H) in the four regions shown in  
606 Figure 1A. Box-and-whiskers for each month shows the inter-model variability for the historical  
607 period (black), near-term RCP45 (light green), near-term RCP85 (dark green), mid-term RCP45  
608 (red) and mid-term RCP85 (brown). The upper and lower end of the boxes show the 75<sup>th</sup> and 25<sup>th</sup>  
609 quartiles, the mid bar in each box shows the median, and the outer lines cover approximately  
610 90% of the values. All values are in mm/month.

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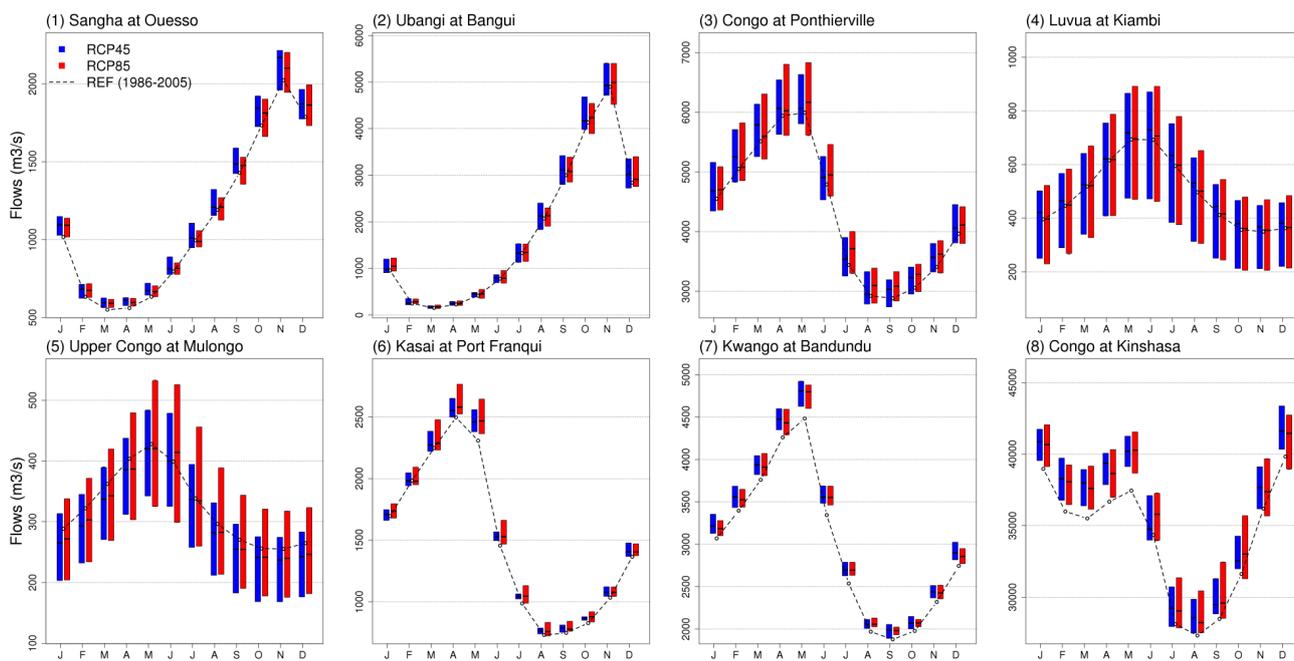
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614 Figure 8 Annual and seasonal precipitation and runoff projections (as percent relative to the reference period 1986-2005) for the  
615 northern (NC, first row), equatorial (EQ, second row), southwestern (SW, third row) and southeastern (SE, fourth row) regions.  
616 Columns (i) and (iii) are near- and mid-term precipitation projections, and columns (ii) and (iv) are runoff projections. Boxes show the  
617 25<sup>th</sup> and 75<sup>th</sup> percentiles, the horizontal line within the boxes show median value and the whiskers mark the 5<sup>th</sup> and 95<sup>th</sup> percentiles.  
618 Green squares (triangles) indicate the MM (SM) means and the grey dots indicate individual models in the SM. All values are  
619 computed relative to the reference period 1986-2005 and reported as percentages. The y-axis range is limited to show the smaller  
620 boxes. Y-axis values are in percent.  
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623

624 Figure 9 Accessible streamflow hydrographs in the near-term at selected locations shown in Figure 1A. Blue (red) bars show the inter-  
625 model variability. Dotted black line shows the hydrograph in the reference period (1986-2005). Figure numbers 1-8 coincide with the  
626 gage numbers in Figure 1.