Worldwide soil moisture changes driven by future hydro-climatic change scenarios

Lucile Verrot¹, Georgia Destouni¹

¹Department of Physical Geography & Bolin Centre for Climate Research, Stockholm University, SE-106 91 Stockholm, Sweden

Correspondence to: Lucile Verrot (lucile.verrot@natgeo.su.se)

Abstract. Soil moisture is a key variable in hydrology, ecology, and climate change science. It is also of primary importance for the agricultural and water resource sectors of society. This paper investigates how hydro-climatic changes, projected by 14 CMIP5 models and for different radiative forcing (RCP) scenarios to occur from 2006-2025 to 2080-2099, may affect different soil moisture aspects in 81 large catchments worldwide. Overall, for investigated changes in dry/wet event occurrence and in average value and inter-annual variability of seasonal water content, different RCP scenarios imply opposite directions of change in around half or more of the study catchments. Regardless of RCP scenario, the greatest projected changes are found for the inter-annual variability of seasonal soil water content. Especially for the dry-season water content, large increases in inter-annual variability emerge for several large catchments over the world; the considered RCP scenario determines precisely which these catchments are.

1. Introduction

Soil moisture plays a major role in the hydrologic and climatic systems, by influencing the water and energy partitioning between the atmosphere and the subsurface (Corradini, 2014; Seneviratne et al., 2010). It also affects and is affected by the water fluxes into and from the groundwater system (Destouni and Verrot, 2014), and is of major importance for human societies (Oki and Kanae, 2006). Soil moisture is a dynamic variable defined as the volume of water in a given volume of soil. It is also spatially heterogeneous, and depends on both dynamic (e.g. vegetation, spatial distribution of hydro-climatic conditions) and static factors (soil type, topography) (Destouni and Cvetkovic, 1989; Russo, 1998; Mohanty et al., 2000)
Long-term and large-scale shifts in climate as well as in land-use and water-use conditions in the landscape (Destouni et al., 2013; Jaramillo and Destouni, 2014) are shown to impact the hydro-climate and the water resources in various regions of the world. Considerable hydro-climatic shifts have occurred in the past (Jaramillo and Destouni, 2015) and are expected to occur in the future (Briget et al., 2015) locally and globally. In particular for future projections, the hydro-climatic shifts are uncertain and depend on the path that our societies will take regarding greenhouse gas (GHG) emissions (Peters et al., 2013; IPCC, 2014) and on the societal paths for local land- and water-uses (Destouni et al., 2010; Jarsjö et al., 2012).

Hydro-climatic changes impact soil moisture conditions at different temporal and spatial scales (D’Odorico et al., 2000; Destouni and Verrot, 2014; Destouni and Verrot, 2015). Such impacts may, for instance, be derived from complex modeling of soil water hydraulics or directly from large-scale climate model outputs (Wu et al., 2015; Dirmeyer et al., 2013; Kumar et al., 2014). While the former approach does not readily allow for the complex calculations to be carried out for long time periods and on regional to global scales, the latter approach is limited in its process representation and soil depth coverage. Attempting to bridge such quantification gaps, Destouni and Verrot (2014) and Verrot and Destouni (2015) have developed a modelling framework that links large-scale hydro-climatic flux variables with soil hydraulic properties over whole catchments and distinguishes the dynamic interactions between the unsaturated zone and the groundwater zone down to any soil depth of interest.

The modeling framework of Destouni and Verrot (2014) and Verrot and Destouni (2015) has been applied to various parts of the world and its results have been tested against independent observation data. The latter include data from the GRACE satellites (CSR-RL05, from Swenson et al. (2012), Landerer and Swenson (2012), Swenson and Wahr (2006)) regarding large-scale water storage changes and data from local measurements of soil water content and groundwater level (Verrot and Destouni (2016)). This data-model testing has provided support for the model realism in reproducing long-term time series of soil moisture across various catchment scales and world regions along steep climate gradients. The present study will use this modeling framework to quantify possible future changes of soil moisture conditions in a worldwide set of large catchments, as implied by the phase 5 of the Coupled Model Intercomparison Project (CMIP5) (Taylor et al., 2012).

Using this framework, we investigate projected climate-driven change in soil water content over the unsaturated zone, specifically regarding changes in the occurrence frequency of particularly dry and wet events in 81 large hydrological catchments spread over the world (Fig. 1). Furthermore, we investigate the long-term
average conditions and the inter-annual variability around these for the soil water content in the dry and the wet season of these catchments.

To investigate the projected climate-driven changes in the addressed soil moisture measures for the study catchments, we use relevant hydro-climatic outputs from the CMIP5 model ensemble for the time period 2006-2099 and compare resulting soil water content conditions between the recent-to-near-future 20-year period 2006-2025 and the far-future 20-year period 2080-2099. These comparisons are further made for two different Representative Concentration Pathways (RCP) scenarios: RCP 2.6 (van Vuuren et al., 2007) and RCP 8.5 (Riahi et al., 2011).

2. Material and methods

2.1 Modeling approach

From the modeling framework proposed by Destouni and Verrot (2014), we focus here on the time-variable depth-averaged soil water content over the unsaturated zone $\theta_{uz}$, which is in that framework evaluated as:

$$\theta_{uz} = \left(\frac{q}{K_s}\right)^{\beta}(\theta_s - \theta_{ir}) + \theta_{ir} = \left(\frac{R_{ef}}{K_s}\right)^{\beta}(\theta_s - \theta_{ir}) + \theta_{ir}$$  

(1)

In Eq. (1), $q$ [LT$^{-1}$] is average vertical soil water flux through the unsaturated zone, $R_{ef}$ [LT$^{-1}$] is a catchment-scale approximation of $q$ in terms of effective subsurface runoff through a whole catchment (explained further below), $K_s$ [LT$^{-1}$] is saturated hydraulic conductivity, $\theta_{ir}$ [-] is residual irreducible soil water content, $\beta = \alpha/(3\alpha + 2)$ [-] and $\alpha$ [-] is a characteristic soil texture parameter linked to the pore size distribution of different soil types (Rawls et al., 1982; Saxton et al., 1986). Furthermore, $\theta_s$ is saturated soil water content, which can be equated to porosity (Kumar, 1999; Entekhabi et al., 2010). The $\theta_{ir}$ quantification in Eq. (1) is based on the Brooks and Corey (1964) model of unsaturated hydraulic conductivity $K$ [LT$^{-1}$]:

$$K(\theta_{uz}) = K_s \left(\frac{\theta_{uz} - \theta_{ir}}{\theta_s - \theta_{ir}}\right)^{1/\beta}$$  

(2)

Alternative expressions of $K$ as function of $\theta_{uz}$ are also available from van Genuchten (1980) and Morel-Seytoux et al. (1996) with soil parameters that are related to those of Brooks and Corey.
The first part of Eq. (1) is based on a first-order approximation and extension from the Brooks and Corey Eq. (2), considering unit hydraulic gradient and gravity as a dominant, even though not the only, driver of large-scale flow through the unsaturated zone. This approximation was introduced and used by Dagan and Bresler (1979) and Bresler and Dagan (1981), and in multiple studies thereafter (e.g., Destouni and Cvetkovic, 1989, 1991; Destouni, 1993; Destouni and Graham, 1995). The approximation implies that, the unsaturated hydraulic conductivity $K$ in Eq. (2) can be equated to the average vertical soil water flux through the unsaturated zone $q$, with further equation rearrangement leading to the first part of Eq. (1). The studies of Destouni and Verrot (2014), Verrot and Destouni (2015, 2016) further introduced the second part of Eq. (1) for data-based quantification of the temporal variability of the large-scale depth-average unsaturated water content $\theta_{w}$ around its long-term average value. This equation part expresses the main assumption that, on the scale of a whole catchment, both the long-term average value of $q$ and the temporal $q$ variability around it can be estimated from and constrained by available observation data for runoff $R$ through the catchment.

Specifically, the assumption is that $q$ can be approximated by an effective subsurface runoff component $R_{eff} = \gamma R$ [LT$^{-1}$] (with $0 \leq \gamma \leq 1$) that feeds water through the subsurface into the total runoff $R$ [LT$^{-1}$] of the catchment over some considered time period. This subsurface runoff component $R_{eff}$ complements the runoff component $(1-\gamma)R$ of overland and pure (not fed by subsurface water into the) surface water flow, which also adds to the total $R$ over the same time period. Published simulations have quantified and shown $\gamma=R_{eff}/R$ to be typically above 0.5 and in many cases close to 1 for a wide range of investigated temperate, through cold, to permafrost region conditions (Bosson et al., 2012). In the present study, $\gamma$ values and their variability in time do not need to be explicitly evaluated, because CMIP5 model output includes directly quantified $R_{eff}$ times series for future climate change scenarios, as explained in the subsequent section 2.2.

For a long climatic time period of twenty or more years, the long-term average $R_{eff}$ should relatively well approximate the long-term average $q$ because the subsurface water storage change can be expected to be close to zero when averaged over such long time periods (Jaramillo et al., 2013; Destouni et al., 2013; Jaramillo and Destouni, 2015). Over shorter time scales, such as a month or a day, however, $q$ and $R_{eff}$ may differ due to non-zero water storage change occurring over the same time, with $q$ through the soil being transiently partitioned between feeding water into $R_{eff}$ and increasing water storage in the soil, and conversely $R_{eff}$ being fed by both $q$ and a transient decrease in soil water storage. However, even under such conditions of non-zero water storage change, the relative variability of $R_{eff}$ around its long-term average value may still be relevant and sufficient for estimating
the corresponding relative variability of large-scale depth-average unsaturated water content $\theta_{uz}$ around its long-term average value, through the second part of Eq. (1). This assumption is tested by direct comparison of $\theta_{uz}$ results, as given by the second part of Eq. (1), against independent observation data provided from GRACE (Swenson, 2012; Landerer and Swenson, 2012; Swenson and Wahr, 2006) supports the main model assumption (Verrot and Destouni, 2016). The comparison support (i.e., does not falsify) the assumption by showing that the model results realistic capture the temporal variability in large-scale water storage change around its expected near-zero long-term average value, across various large catchments around the world (Fig. 2).

Furthermore, previous work by Jaramillo and Destouni (2013) has explicitly investigated the effects of accounting or not accounting for observed water storage changes on the variability of main water fluxes in a catchment-scale water balance. Specifically they quantified for different catchments the effect of estimating catchment-scale evapotranspiration $ET\ [LT^{-1}]$ as simply $ET=P-R$ or as $ET=P-R-\Delta S$, where $P$, $R$ and $\Delta S$ are observed precipitation, runoff and storage change, respectively (all with dimensions $[LT^{-1}]$). Their results show somewhat greater short-term fluctuations around essentially the same longer-term $ET$ variation if the observed non-zero $\Delta S$ is accounted for compared to if it is not. Similar effects, of relatively minor underestimation of relatively short-term fluctuations of $\theta_{uz}$ around its essentially captured seasonal and longer-term variation, are also expected here from neglecting the influence of $\Delta S$-driven differences between $q$ and $R_{eff}$ in the estimation of $\theta_{uz}$ by Eq. (1).

To even further quantify and clarify this influence for the present study, consider for example the cumulative water storage change $\Delta S$ as:

$$\Delta S = \frac{1}{n} \sum_{i=1}^{n} \left( P_i - ET_i - R_i \right)$$

(3)

with $P$, $ET$, $R$ and $\Delta S$ all being monthly water fluxes and $n$ being the total number of months with associated CMIP5 flux outputs ($n=1128$). The resulting cumulative $\Delta S$ over the whole study period accounts then for less than 1% of the mean monthly $P$, on average across all 81 study catchments (the average value across catchments is 0.75% and the standard deviation is 2.3%). This quantification provides further support for the assumption of insignificant long-term change in subsurface water storage that underlies the approximation of $q=R_{eff}$ in Eq. (1)

2.2 Use of CMIP5 model output data
The aim of the present work is to study climate-driven change patterns and statistics of $\theta_{uz}$, as quantified by the second part of Eq. (1), in the present 81 study catchments, spread over the world. The study uses relevant hydro-climatic outputs for estimating $R_{ef}$ from two of the CMIP5 scenarios for the 21st century, the RCP 2.6 and RCP 8.5 scenarios. Those scenarios represent a low (RCP 2.6) and a high (RCP 8.5) GHG-increase scenario, corresponding to reaching a radiative forcing of 2.6 W.m$^{-2}$ and 8.5 W.m$^{-2}$ by the end of the 21st century, respectively. The relevant CMIP5 model outputs were downloaded from the World Data Center for Climate (WDCC) available from the Deutsche Klimarechenzentrum GmbH website (http://cera-www.dkrz.de/WDCC).

The CMIP5 models’ outputs of relevance for estimating $R_{ef}$ are the mean monthly surface runoff (overland flow, denoted $mrros$) and the total runoff (denoted $mrro$) in the CMIP5 output files. The total runoff $mrro$ is the sum of the overland flow and the soil-groundwater flow (in short underground flow), both of which eventually feed into the streams of each catchment. With $mrros$ then being just the overland flow, $R_{ef}$ could be calculated directly from these CMIP5 model outputs (without need for separate evaluation of the factor $\gamma = R_{ef}/R$) as:

$$R_{ef} = mrro - mrros$$  \hspace{1cm} (4)$$

For evaluation of Eq. (4), the model output values for $mrro$ and $mrros$, given from the CMIP5 modeling in units kg.m$^{-2}$.s$^{-1}$, were transformed to relevant units for $R_{ef}$ [LT$^{-1}$] using the water density value of 1000 kg.m$^{-3}$. Furthermore, in errata files published for the CMIP5 models GISS-E2-H and GISS-E2-R (with the errata files available online only, at: http://data.giss.nasa.gov/modelE/ar5/), it is specified that for those two models the variable $mrro$ is the underground flux only, in contrast to the definition of $mrro$ in all other models (underground flux + surface runoff). For those two models, $R_{ef}$ is therefore directly equated to $mrro$.

In addition to the two $R_{ef}$ related variables, we also used from the CMIP5 model outputs the precipitation variable $pr$ (kg.m$^{-2}$.s$^{-1}$). This was used to determine the model-implied dry and wet season for each selected study catchment, which was also compared with a corresponding dry and wet season determination based on observation data for precipitation, as described further in section 2.4.

2.3 Selection of study catchments and CMIP5 models
The 81 study catchments were chosen in analogy with such selection basis in previous work (Bring et al., 2015), taking into account that the catchments should be large enough for sufficient coverage by the commonly coarse spatial resolution of global climate models. In the selection process, we first extracted relevant model output values for 608 catchments in the Global Runoff Data Center (GRDC, 2015) with an area equal or greater than 100’000 km². The model output values were then averaged to represent monthly conditions over each catchment.

For the catchment-scale use of model output, we further also identified 20 CMIP5 models that could provide all three variables of interest (\( \text{mero, mrros and pr} \)) for download over the whole study period (2006-2099). However, for some catchments and for some models, the time series provided for \( \text{mero} \) and/or \( \text{mrros} \) included just a constant number over time. Models and catchments with too many such constant time series were discarded (see SM section S1 for more details on this selection criterion).

A second selection basis for the study models and catchments was to discard the model-catchment combinations that yielded negative catchment-scale \( R_{ef} \) values. Knowing that a positive flux value in CMIP5 output implies a flux leaving the considered atmospheric grid cell, a negative flux value thus quantifies a flux of water that must enter the atmospheric cell from the soil. The implication of negative catchment-scale monthly \( R_{ef} \) is then that an upward flux of water from the soil to the atmosphere (independent from and in addition to the separately evaluated evapotranspiration flux in the same direction) is sustained on average over a whole catchment and a whole month. Such a flow situation may be neither realistic nor consistent with the separately evaluated evapotranspiration flux output from the same climate model, and is at least unusual compared to the more generally expected flow situation of an excess amount of water being on average generated from precipitation minus evapotranspiration on land and flowing as runoff toward the outlets of hydrological catchments. At any rate, modeling soil water content conditions and statistics for such a negative \( R_{ef} \) flow situation is outside the scope of the present soil moisture model and in contradiction with its basic flow approximations.

The two above-described catchment-model selection steps were repeated for each of the two considered RCP 2.6 and RCP 8.5 scenarios and finally also all small remaining catchments that were nested into larger ones were removed. This selection process yielded the final set of 81 study catchments (Fig. 1) and 14 CMIP5 models (listed in SM Table S1) used in the present study (SM Table S2 lists the models and number of catchments discarded in each selection step). The study catchments are spread around the globe, and clustered here for discussion convenience into 6 regions, as shown on Fig. 1, in analogy with regional divisions made by the World Meteorological Organization (WMO, 2014).
2.4 Use of soil and precipitation data for the study catchments

In addition to the runoff output data from the CMIP5 models, the calculation of unsaturated water content \( \theta_{uv} \) also requires catchment-characteristic values for the soil hydraulic properties included in Eq. (4). The dominant USDA soil texture (Baldwin et al., 1928) for each catchment was extracted from the Harmonized World Soil Database map (Nachtergaele et al., 2008; FAO, 2012). SM Fig. S1 shows the major soil textures within each catchment and Table S3 lists soil parameter values for different soil textures from Rawls et al. (1982). The parameter values from Table S3 that apply to the dominant soil texture within each catchment (Fig. S1) were used to evaluate \( \theta_{uv} \) for that catchment from Eq. (4).

Furthermore, we used the precipitation output \( pr \) from the CMIP5 models to determine the model-implied dry and wet season extents for each selected study catchment and considered climatic time period. The used definition of the dry season is the months during which 8% or less of the total annual precipitation falls (after Koutsouris et al., 2015): the exact value is set to maximize the agreement between the CMIP5 precipitation time series and that from the Global Precipitation Climatology Centre, GPCC, with the wet season then defined as the remaining months of the year. Results for this season determination were obtained for each of the 81 study catchments (Fig. 1) and for both the RCP 2.6 and the RCP 8.5 scenario from the ensemble mean precipitation output of the 14 CMIP5 models (SM Table S1). These model-based results were further tested against a corresponding data-implied dry-wet season determination obtained from the 1.0°x1.0° monthly precipitation dataset provided by the Global Precipitation Climatology Center (GPCC, see Schneider et al., 2011). This model-data comparison was made over the 9-year period (2006-2014) that is common between the GPCC dataset (extending over 1901/01 – 2014/12) and the studied CMIP5 output period (2006-2099).

The comparison between the model- and data-based results for wet and dry season extent shows that the results are largely consistent (SM Fig. S2). For both the RCP 2.6 and the RCP 8.5 scenario, 40% of the catchments display perfect agreement on the dry season months, and for more than 85% of the catchments at least half of the dry season months match between the data and the model results. From these comparative results, we concluded that it is reasonable to study dry and wet season changes in soil moisture between the climatic periods 2006-2025 and 2080-2099 based on the season determination implied by the CMIP5 ensemble mean.
2.5 Ensemble-mean model study of soil moisture variability and change

The use of a model ensemble mean instead of a model-by-model study of climate change is widely found in the literature as it has been shown to perform as well as good individual models (Sillman et al. 2013), and not least so for hydro-climatic changes in the landscape (Jarsjö et al., 2012; Törnqvist et al., 2014; Asokan et al., 2016). To use a model ensemble mean approach also in this soil moisture study of changes, it was useful to first assess resulting differences among the used CMIP5 models (Table S1) in the temporal variability patterns of soil moisture.

Figure S3 in SM illustrates the relative temporal variability (coefficient of variation CV, determined as the inter-annual standard deviation divided by the long-term average value) of monthly average soil water content \( \theta_{uz} \) as obtained from each CMIP5 model (Table S1) over the entire time period of study (2006-2099). The individual model results for each month of the year and each catchment show relatively small CV values (mostly below 0.25), which also do not differ much among the 14 CMIP5 models. Some exceptions are notable: the model IPSL-CM5A-MR displays a particularly high temporal variability in many catchments, and the two MPI models (MPI-ESM-LR and MPI-ESM-MR) display a higher temporal variability than other models for some catchments. However, for the other 11 models, the CV values for all months, both scenarios, and most catchments are commonly less than 0.25.

This comparison of individual model results supports a further use of the model ensemble mean in the following of this study by showing that inter-model differences are relatively small and obtained ensemble mean results are thereby representative for most models regarding relative temporal soil moisture variability and change around each model’s long-term average soil moisture result. While the latter, absolute level of long-term average soil moisture may vary greatly among models and thus exhibit large model uncertainty, the present study does not focus on that model uncertainty but rather on determining what models tend to agree on, so that projected changes of those soil moisture aspects of model agreement may be viewed as change projections with relatively low model uncertainty. Hereafter, unless stated otherwise, the discussed and presented results are from the model ensemble mean calculations.

For each of the two climatic periods 2006-2025 and 2080-2099 we have then derived the intra-annual variability in monthly average water content \( \theta_{aw} \) over the average annual cycle in each time period. Furthermore, we have assessed the change from 2006-2025 to 2080-2099 in the occurrence frequency of wet and dry events; these are defined as monthly average \( \theta_{aw} \) values that exceed the 95% upper percentile \( \theta_{aw} \) value (for wet events) or are
below the 5% percentile $\theta_{uz}$ value (for dry events) of the first period 2006-2025. Changes in wet and dry season conditions of $\theta_{uz}$ have further been quantified in terms of the average value and the inter-annual variability around it for seasonal $\theta_{uz}$ in 2006-2025 and in 2080-2099. The agreement of results obtained for any investigated variable in the two scenarios RCP 2.6 and RCP 8.5 has also been calculated in terms of a simple agreement indicator as detailed in SM section S3.

3. Results

Figure 3 shows results for the intra-annual variability in monthly average water content $\theta_{uz}$ in the two climatic periods 2006-2025 and 2080-2099 for 6 study catchment examples, one in each WMO region; SM Fig. S4 shows corresponding results for all study catchments. These figures also show in more detail than in the SM Fig. S3 the resulting inter-model variability (standard deviation) around the ensemble mean model result. Overall, projected changes in intra-annual variability of monthly average $\theta_{uz}$ are relatively small, and mostly smaller than the inter-model standard deviation around the ensemble mean result, representing a measure of model uncertainty, for each climatic period.

The greatest relative changes in the occurrence frequency of both dry and wet $\theta_{uz}$ events, as defined in section 2.5, are projected to occur under the scenario RCP 8.5 (Fig. 3). For this scenario, the catchment Nam9 in southern US exhibits the greatest increase, by up to 80%, in the dry-even event frequency in 2080-2099 relative to that in 2006-2025; this means that this catchment may reach a 9% frequency in 2080-2099 for the dry events with only 5% frequency in 2006-2025. Under scenario RCP 2.6, the same catchment Nam9 is instead projected to experience an increase in dry-event frequency by only up to 20%, i.e., reach a 6% frequency in 2080-2099 for the dry events with 5% frequency in 2006-2025.

Overall, there are multiple catchments with projected opposite change directions in their dry-event frequency under the two scenarios, including both decreases and increases under the RCP 2.6 scenario (Fig. 4a) that shift to opposite increases and decreases, respectively, under the RCP 8.5 scenario (Fig. 4b). A whole geographic pattern of dry-event frequencies mostly decreasing slightly in higher latitude regions (North America, Europe, Northern Asia) and increasing slightly in lower latitude regions (South America, Africa, South East Asia, Australia) under the RCP 2.6 scenario is shifted to a more heterogeneous pattern of spatial changes under the RCP
8.5 scenario. An analogous geographic pattern shift is also evident for the wet-event frequency; in this case a geographic pattern of wet-event frequencies mostly increasing slightly in higher latitude regions and decreasing slightly in lower latitude regions under the RCP 2.6 scenario (Fig. 4c) shifts to a more heterogeneous change pattern, including also relatively large changes, under the RCP 8.5 scenario (Fig. 4d).

The greatest increase in wet-event frequency, by up to 50%, is projected for the southern Brazil/Uruguay catchment Sam3, where a frequency of up to 7.5% may thus be reached for the wet events under the scenario RCP 8.5. In contrast, under the RCP 2.6 scenario, a slight increase in wet-event frequency may instead occur in this catchment. In general, the results in Fig. 4 show that the representative GHG concentration pathway to the future, as represented by each RCP scenario, plays a key role for projected changes in the future occurrence frequency of dry and wet soil moisture events around the world.

Shifts in geographic change patterns between the two RCP scenarios are also seen for relative change in average soil water content during the dry and the wet season (Fig. 5). For both seasons, the average water content $\theta_{uz}$ mostly increases slightly in the higher latitude regions and decreases slightly in the lower latitude regions under the RCP 2.6 scenario (Fig. 5a and 5c). This pattern is again shifted to a more heterogeneous change pattern, including also relatively large changes, under the RCP 8.5 scenario (Fig. 5b and 5d). Overall the projected changes in seasonal average $\theta_{uz}$ are relatively small, up to a 15% increase for the dry season in several Arctic region catchments and up to a 15% decrease in a few scattered catchments in North America, Europe and Africa for the dry and/or the wet season.

The overall greatest projected relative changes are found for the inter-annual variability of seasonal $\theta_{uz}$ (Fig. 6). Many catchment exhibit a +/- 40% increase/decrease in this inter-annual variability, for both seasons and under both RCP scenarios. The greatest change is an up to 180% increase in inter-annual $\theta_{uz}$ variability for the dry season in catchment Eur10 under the RCP 2.6 scenario. Several European, South East Asian and African catchments also exhibit an up to 120% change in inter-annual variability of $\theta_{uz}$ during the dry season under the RCP 8.5 scenario. The relatively large changes in inter-annual soil moisture variability, in particular during the dry season, indicate increased drought risk for several catchments, with the geographic change pattern being in this case heterogeneous and including scattered large-change catchments for both RCP scenarios.
The directions of change are opposite in many catchments between the two RCP 2.6 and RCP 8.5 scenarios for the event and the seasonal changes investigated in this study: in the frequency of dry and wet $\theta_{w}$ events (SM Fig. S5), in the average seasonal $\theta_{w}$ (SM Fig. S6) and in the inter-annual variability of seasonal $\theta_{w}$ (SM Fig. S7). Overall, the latter changes in inter-annual variability of seasonal $\theta_{w}$ exhibit the largest differences in change direction between the two RCP scenarios. These opposite change directions are exhibited for a majority of the catchments during the dry season (43 catchments), and for nearly as many catchments (40) during the wet season.

4. Discussion

For most of the study catchments, the pattern of changes in frequency of wet/dry events (Fig. 3) is consistent with that in average seasonal soil moisture (Fig. 5); the resulting Pearson correlation coefficient is -0.68 for RCP 8.5 and -0.75 for RCP 2.6 regarding the frequency of dry events and average soil moisture during the dry season, and -0.68 for RCP 8.5 and -0.74 for RCP 2.6 regarding the frequency of wet events and average soil moisture during the wet season. The consistency lies in that a catchment with increased average seasonal soil moisture (occurs mostly in the higher latitude regions for both the dry and the wet season, Fig. 5) is likely to also experience more frequent wet events or less frequent dry events (Fig. 4). However, there are individual catchment exceptions to this common change pattern, for example in catchments Afr3 and Eur3, where the RCP 8.5 scenario implies an increase in the frequency of wet events (Fig. 4d), while also implying a decrease in average seasonal soil moisture during the wet season (Fig. 5d). Such a change situation may, for example, be explained by highly increased short-term fluctuation magnitudes and thereby occurrence frequency for rare wet events during the wet season even though the average seasonal soil moisture has decreased. Further study of specific wet and dry fluctuation magnitudes and event frequency for each season instead of over the whole year as investigated here, can shed light on such more unusual change situations.

The scenario RCP 8.5 yields generally higher change values for all types of changes and for both dry and wet soil moisture events and seasons. This change pattern is most evident in the dry season results: in about 86% of the catchments, the RCP 8.5 scenario yields higher absolute change in both the average seasonal soil moisture and in its inter-annual variability during the dry season, while for the wet season the corresponding ratio is closer to around 50% of the catchments being more strongly affected under RCP 8.5 than under RCP 2.6. Similarly, changes in the frequency of wet and dry events are greater in 70% and 77% of the catchments, respectively, under RCP 8.5
than under RCP 2.6. Along with the finding that the two RCP scenarios yield different directions of change in 50% of the catchments for dry conditions, and in 40% of the catchments for wet conditions, these findings show that the representative GHG concentration pathway of forthcoming climate change is crucial for the directions and the magnitudes of future soil moisture changes over the world.

The present 81 study catchments represent 27% of the Earth’s land surface, which is a relatively high sampling coverage for statistical analysis, like the present one, of the world soil moisture changes. The commonly coarse resolution of global climate models does not allow for much more detailed, sub-regional, spatial analysis than the present one, but more fine-resolved regional climate model outputs could be used for addressing finer spatial detail and catchment resolution in follow-up work.

Although hydro-climatic changes greatly influence soil moisture changes, they are not the only predictors of the latter. By themselves, precipitation, evapotranspiration, and $R_{ae}$-related (Eq. 4) outputs taken directly from climate models correlate relatively poorly with the soil water content $\theta_{uw}$ (Eq. 3), with Pearson correlation coefficients of 0.39, 0.28, and 0.45, respectively. The relatively poor direct correlation of these climate model outputs to $\theta_{uw}$ is due mainly to the soil hydraulic parameter relation of $\theta_{uw}$ in Eq. (4), which non-linearly modulates the $\theta_{uw}$ response to the hydro-climatic forcing. This non-linearity emphasizes the importance of assessing soil moisture changes in relevant relation to soil constitutive equations rather than just directly from hydro-climatic outputs of climate models.

In follow-up studies, agriculturally important growing seasons for different parts of the world can be considered and accounted for similarly to the present analysis of wet and dry seasons. The growing season may in some cases even correspond to the present wet season definition, for example for some tropical catchments, while the present dry season definition may be more relevant for the growing season in Europe. Also groundwater level variability and change should be investigated in future studies, for instance by extending the present analysis approach to the full modeling framework of Destouni and Verrot (2014) and Verrot and Destouni (2015), in order to investigate soil moisture effects of a changing groundwater table (and associated variable depth of the unsaturated zone) within various soil depths of interest.

5. Conclusion

We have investigated how hydro-climatic changes, projected by 14 CMIP5 models to occur from 2006-2025 to 2080-2099 under the two radiative forcing scenarios RCP 2.6 and RCP 8.5, may affect different aspects of
soil water content over the unsaturated zone for 81 large catchments worldwide. The investigated soil moisture aspects include projected changes in average annual water content and in the intra-annual variability cycle around this average. We have found projected changes in these aspects to be relatively small, well within modeling uncertainty. Projected changes are greater for the occurrence frequency of dry and wet soil moisture events, and for the average value and particularly the inter-annual variability of seasonal water content in the dry and the wet seasons of the study catchments.

For changes in the dry/wet event occurrence (Fig. 4) and the average seasonal water content (Fig. 5), the geographic patterns of change and both the magnitudes and the directions of change in individual catchments depend heavily on the considered radiative forcing (RCP) scenario. The greatest changes in these event and average seasonal aspects of soil moisture emerge for the RCP 8.5 scenario, with clear and physically consistent large-scale geographic change patterns under the RCP 2.6 scenario shifting into spatially heterogeneous changes over the world under the RCP 8.5 scenario.

The greatest relative changes are found for the inter-annual variability in seasonal soil water content (Fig. 6). The results for these changes differ from the above-described result differences between RCP scenarios in that they are more or less equally large and spatially heterogeneous over the world for both RCP scenarios. However, also for the inter-annual variability in seasonal water content, around half of the individual study catchments exhibit opposite directions of change under the two RCP scenarios.

In general, the particularly large changes in inter-annual variability of seasonal soil moisture imply heterogeneously changed flood and drought risks across the world. Especially the largest increases in this inter-annual variability, which are found for the dry season under both RCP scenarios, indicate increased drought risks for several large catchments, which need to be investigated further in focused follow-up studies.

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GRDC, The Global Runoff Data Centre, D - 56002 Koblenz, Germany, Federal Institute of Hydrology (BfG), retrieved in October 2015.


WMO, Composition of the WMO, World Meteorological Organization, WMO-OMM-No5, CH-1211
Geneva 2, Switzerland, 2014.


Figure captions

Figure 1: Map of the location of the 81 study catchments. The used region acronyms in the catchment numbering cluster the catchments according to the WMO region classification (WMO, 2014): “Nam” stands for North-America, “Sam” for South-America, “Eur” for Europe, “Swp” for South-west Pacific, “Afr” for Africa and “Asi” for Asia.

Figure 2: (a) Map of a set of hydrological catchments in the tropics, for which Verrot and Destouni (2016) have compared the unsaturated water content model expressed by the second part of main Eq. (1) with GRACE satellites data for large-scale water storage change. The model-data comparison results are here exemplified for two of the catchments: (b) Afr4 and (c) Sam11. Results in panels (b,c) show the comparison of model results (pink thinner line) with the GRACE-derived data (purple thicker line) for large-scale water storage change. The model results are based on unsaturated water content quantification through the second part of Eq. (1), by compiling CSR-RL05 GRACE data from Swenson (2012), Landerer and Swenson (2012), and Swenson and Wahr (2006) for direct comparison with corresponding model output, and synthesizing as model inputs for each catchment available independent runoff data for the same time period from (GRDC, 2015) with calibrated $\gamma$ factor for effective runoff $R_{\text{eff}}$ along with independent soil-characteristic data from the Harmonized World Soil Database v1.1 (Nachtergaele et al., 2008; FAO, 2012), and in addition also evaluating a complementary water storage change component of groundwater level change using fundamental catchment-scale flux water balance based equation (2) in Verrot and Destouni (2015) and associated required additional inputs of independent data for precipitation and evapotranspiration from the Global Precipitation Climatology Center (GPCC, Schneider et al., 2011) and MODIS product (ORNL DAAC, 2011).
Figure 3: Mean monthly relative degree of water saturation over the unsaturated soil zone. Results are shown for 6 study catchment examples (1 from each WMO region, Fig. 1), for two radiative forcing (RCP) scenarios (red and pink lines for RCP 2.6, blue and green lines for RCP 8.5), and for the two study periods (red and blue lines for 2006-2025, pink and green lines for 2080-2099). The solid lines represent the ensemble mean model result and the dashed lines represent 1 standard deviation around the mean of the corresponding result derived from individual models. The relative degree of soil water saturation (with value 1 corresponding to full saturation) represents the unsaturated soil water content normalized by the saturated soil water content (soil porosity). The month numbering is: January as month 1 through to December as month 12.

Figure 4: Map of relative change from 2006-2025 to 2080-2099 in the frequency of relatively dry (two upper panels a and b) and wet (two lower panels c and g) soil moisture events. Results are shown for the radiative forcing scenarios RCP 2.6 (panels a and c) and RCP 8.5 (panels b and d) in terms of relative change (%) from the original frequency of 5% for both types of events (dry water content below the 5 percentile value and wet water content above the 95 percentile value) in 2006-2025 to the resulting frequency of these water content values in 2080-2099.

Figure 5: Map of relative change in mean seasonal water content over the unsaturated zone. Results are shown for the dry season (two upper panels a and b) and the wet season (two lower panels c and g), and the two radiative forcing scenarios RCP 2.6 (panels a and c) and RCP 8.5 (panels b and d) in terms of relative change (in %) from 2006-2025 to 2080-2099.

Figure 6: Map of relative change in the inter-annual variability of seasonal water content over the unsaturated zone. Results are shown for the dry season (upper panels a and b) and the wet season (lower panels c and g) and the two radiative forcing scenarios RCP 2.6 (panels a and c) and RCP 8.5 (panels b and d) in term of relative change (in %) from 2006-2025 to 2080-2099.
Figure 1
Figure 2
Figure 3

Soil water saturation (−)

Afr3, RCP 2.6 p1, RCP 2.6 p2, RCP 8.5 p1, RCP 8.5 p2

Asi4

Sam1, Nam6

Eur1

Swp2
Figure 4

(a) Relative change in the frequency of occurrence of dry events [%]

(b) Relative change in the frequency of occurrence of wet events [%]

(c) Scenario RCP 2.6

(d) Scenario RCP 8.5
Figure 5

(a) Relative change in the mean soil water content during the dry season [%]
-10 - 15
-5 - 0
0 - 5
5 - 10
10 - 15

(b) Relative change in the mean soil water content during the wet season [%]
-10 - 15
-5 - 0
0 - 5
5 - 10
10 - 15

(c) Scenario RCP 2.6

(d) Scenario RCP 8.5
Figure 6

(a) Relative change in the interannual variability of the mean soil water content during the dry season [%]

(b) Relative change in the interannual variability of the mean soil water content during the wet season [%]

Scenario RCP 2.6

Scenario RCP 8.5