

1 Characterizing the spatial variations and correlations of 2 large rainstorms for landslide study

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8 9 **Abstract**

10 Rainfall is the primary trigger of landslides in Hong Kong; hence rainstorm spatial distribution
11 is an important piece of information in landslide hazard analysis. The primary objective of this
12 paper is to quantify spatial correlation characteristics of three landslide-triggering large storms
13 in Hong Kong. The spatial maximum rolling rainfall is represented by a rotated ellipsoid trend
14 surface and a random field of residuals. The maximum rolling 4-h, 12-h, 24-h and 36-h rainfall
15 amounts of these storms are assessed via surface trend fitting, and the spatial correlation of the
16 detrended residuals is determined through studying the scales of fluctuation along eight
17 directions. The principal directions of the surface trend are between 19° and 43°, and the major
18 and minor axis lengths are 83-386 km and 55-79 km, respectively. The scales of fluctuation of
19 the residuals are found between 5 km and 30 km. The spatial distribution parameters for the
20 three large rainstorms are found to be similar to those for four ordinary rainfall events. The
21 proposed rainfall spatial distribution model and parameters help define the impact area, rainfall
22 intensity and local topographic effects for landslide hazard evaluation in the future.

23 **1 Introduction**

24 Severe rainstorms are one of the most dangerous meteorological phenomena which pose risks
25 to human lives and properties. A large rainstorm may cause serious damage to infrastructures
26 and public safety. For instance, a large storm hit Lantau Island, Hong Kong, on 5-7 June 2008
27 and caused about 2,400 natural terrain landslides and 622 flooding spots (CEDD, 2009).
28 Historical records show that the spatial rainstorm variation and the potential for triggering
29 landslides are closely correlated. The Geotechnical Engineering Office (GEO) maintains a
30 Natural Terrain Landslide Inventory (NTLI) (King, 1999; Maunsell-Fugro Joint Venture,
31 2007), which has records of 19,763 natural terrain landslides and debris flows up to 2013 and
32 89,571 relict natural terrain landslides. The data of natural terrain landslides that occurred on
33 5-7 June 2008 are extracted and the distributions of the landslide volume and the maximum
34 24-h rolling rainfall are plotted in Fig. 1. There is a close correspondence between the observed
35 landslide volume and the maximum 24-h rolling rainfall in space. Characterizing the spatial
36 characteristics of storms is therefore essential for assessing rain-induced landslide hazards.

37 Numerical analyses have also been conducted to establish the relation between rainfall
38 characteristics and landslides (e.g. Gao et al., 2015; Gao et al., 2016). Geotechnical and
39 environmental factors, such as slope gradient, rock/soil formations, groundwater conditions,
40 vegetation, and presence of civil infrastructure, are believed to ultimately affect the triggering
41 of landslides in addition to rainfall intensity. The main factors that affect triggering of natural
42 terrain landslides are summarised in Fig. 2.

43 In hazards mitigation and engineering design, certain ‘design storms’ must be considered
44 and the engineering system should be sufficiently safe under such design storms (Gao et al.,
45 2015). A design storm is often defined by a hyetograph (time distribution) and an isohyet
46 (spatial distribution). For a particular region where the spatial rainfall variation is significant, a
47 uniform representation of the spatial distribution is not reasonable since a storm has a centre

48 and influences a limited area (AECOM and Lin, 2015). Instead, relevant spatial variation
49 factors of rainfall must be characterized, such as the geometry of spatial form (agglomerate and
50 local gradient) and the spatial correlation.

51 A storm is difficult to model due to its intermittence (i.e. no rainfall at a particular position
52 during a particular short period) and strong spatial and temporal heterogeneity (e.g.,
53 Barancourt et al., 1992; Bacchi and Kottegoda, 1995; Mascaro, 2013). However, the rainfall
54 amount, which is in form of regionalized variables, is spatially correlated over a certain
55 distance (Panthou et al., 2014; de Luca, 2014). A regionalized variable is any variable
56 distributed in space. Random field theory is recognized as a suitable theory for describing
57 regionalized variables (Vanmarcke, 1977) and has been proven effective for the regionalized
58 variables (e.g., Dasaka and Zhang, 2012; Li et al., 2015). The random field theory has also been
59 used in spatial storm analysis (e.g., Rodríguez-Iturbe, 1984; Bouvier, 2003), and adopted to
60 describe storm spatial structures (e.g., Zawadzki, 1973; Lebel et al., 1987; Gyasi-Agyei and
61 Pegram, 2014).

62 Research on spatial rainfall distribution using statistical models has been performed in
63 Hong Kong for different engineering purposes (Leung and Law, 2002; Jiang and Tung, 2014;
64 AECOM and Lin, 2015). Leung and Law (2002) conducted kriging analysis on Hong Kong
65 hourly rainfall data in 1997 and 1998. Rainfall contours were interpolated to qualitatively
66 estimate possible flooding locations. Jiang and Tung (2014) derived rainfall
67 depth-duration-frequency relations at ungauged sites in Hong Kong using an ordinary kriging
68 approach based on annual maximum daily rainfall data. The extreme rainfall estimates are
69 sensitive to assumed statistical parameters and uncertainties of the interpolation method.

70 The storm characteristics such as distribution form and spatial correlation are not
71 sufficiently analysed when studying the hydrological response of a target system such as a
72 slope safety system. In particular, limited attention has been paid to event-based spatial

73 characteristics of large rainstorms in Hong Kong, whose patterns and structures are as useful as
74 the statistical trend based on historic rainfall records, especially when one needs to select large
75 rainstorms for landslide risk assessment. Sufficient information should be provided including
76 both spatial variation and correlation. However, several key questions have not been answered.
77 Can the spatial precipitation distribution of a large storm be represented using a particular
78 spatial form? How does the spatial correlation of rainfall change with the rainstorm magnitude?
79 What are the key factors that influence the spatial structure of rainfall distribution? Such
80 questions motivate the present study on the spatial characteristics of large rainstorms over hilly
81 terrains in Hong Kong.

82 The objective of this paper is to identify the spatial variations and correlation of large
83 rainstorms in Hong Kong. Three large storms that caused the most severe landslide hazards in
84 Hong Kong in the past 20 years are selected for study. These storms were often referred to in
85 Hong Kong as reference storms in preparing engineering measures for landslide hazard
86 mitigation. The results are therefore expected to provide valuable information for landslide
87 hazard analysis and risk management.

88

89 **2 Topography and general rainfall distribution in Hong Kong**

90 Hong Kong is located at the southeast coast of China. The subtropical climate in Hong Kong is
91 characterized by notable dry and wet seasons. About 85% of the annual rainfall is recorded
92 during the wet season from April to September. Storms with high intensity and short duration
93 in Hong Kong are typically associated with southwest monsoon or tropical cyclones. The
94 ground surface elevation on the GIS platform is shown in Fig. 3. The two highest mountain
95 peaks in Hong Kong are Tai Mo Shan (Near rain gauge N14) and Lantau Peak (Near rain gauge
96 N21), with peak elevations of 957 m and 934 m above the sea level, respectively. Both the
97 moisture movements and the topography determine the distribution characteristics (e.g.,

98 agglomerate and local gradient) of rainfall in the spatial domain.

99 AECOM and Lin (2015) studied the orographic factors of rainfall spatial distribution
100 based on historical records. A spatial distribution of orographic intensification factors has been
101 developed based on historical hourly data. The 24-h orographic intensification factors at a
102 resolution of 5 km×5 km are shown in Fig. 4. The factors for the land area are in general larger
103 than those for the sea area. The higher the elevation is, the larger the orographic intensification
104 factor. Two of the highest intensity regions are located at Tai Mo Shan in New Territories and
105 Lantau Peak on Lantau Island. The trend of the factors coincides with the mountain range
106 alignment, i.e., around N45°E.

107 The magnitude of storms can be assessed corresponding to a depth-area relation, and
108 characterized by the probable maximum precipitation (PMP). PMP is frequently used to
109 quantify extreme storm events (WMO, 2009). The scenarios of 4-hour and 24-hour PMP for
110 Hong Kong have been assessed by Hong Kong Observatory and AECOM (Chang and Hui,
111 2001; AECOM and Lin, 2015). AECOM and Lin (2015) updated the 24-h PMP for Hong Kong
112 considering the local orographic intensification. The trend surface is an expected-value
113 surface. The trend surfaces of 24-h PMP with different storm centres have been updated by
114 AECOM and Lin (2015), and the typical trends are shown in Fig. 5. The trend surfaces are
115 derived based on the historical hourly rainfall. According to the 24-h PMP updating study, an
116 elliptical isohyet is recommended as a generalized convergence pattern. For storms centered at
117 Tai Mo Shan, the orientation of 22.5° (N 67.5° E) is found to be the most critical.

118

119 **3 Progression and precipitation data of three large storms**

120 The most traditional way to describe the rainstorm severity is by return period, which is
121 recognized as a combination of intensity and duration. Another measure of the severity of a
122 storm is the consequence of the storm, such as rain-induced landslides or flooding. An index

123 measuring the potential to trigger landslides, named “Landslide Potential Index (LPI)”, is used
124 in Hong Kong (CEDD, 2009). The LPI is based on the historic records of landslide events since
125 1984. For instance, a storm in late July 1994 caused 5 fatalities and its LPI was 10. The value of
126 LPI can be greater than 10 if a storm is more damaging than the July 1994 storm. According to
127 the LPI, the top three largest storms in the past 20 years were the 5-7 June 2008 storm, the
128 17-21 August 2005 storm and the 23 July 1994 storm. Each of these three storms had an LPI
129 around 10 and led to fatalities. Thus, the three storm events are selected as indicative large
130 storms to conduct spatial correlation analysis in this paper.

131 The rainfall data in this study is provided by Geotechnical Engineering Office (GEO) and
132 Hong Kong Observatory (HKO) in Hong Kong. The GEO and HKO rain gauge networks
133 comprise 88 and 46 stations, respectively (Fig. 3). The rain gauges are more concentrated in the
134 northern Hong Kong Island and Kowloon where the population density is high. The raw digital
135 data at 5-minute interval from the high quality network ensures the reliability of this study. The
136 data covers the period from 00:00 on 5 June to 24:00 on 7 June 2008, from 00:00 on 17 August
137 to 24:00 on 21 August 2005, and from 00:00 on 22 July to 24:00 on 24 July 1994. Some of the
138 rain gauges had not been installed in July 1994. The numbers of effective rain gauges for the
139 three events are 105, 112, and 56, respectively. The three storm hyetographs corresponding to
140 the maximum local precipitation depth are shown in Fig. 6. The 17-21 August 2005 storm is
141 more moderate in short durations compared with the 5-7 August 2008 storm and the 22-24 July
142 1994 storm.

143

144 **3.1 The 5-7 June 2008 storm**

145 According to Hong Kong Observatory (HKO), the weather was influenced by an active low
146 pressure trough over the south China coastal area during the first 10 days of June 2008, and was
147 heavily rainy and thundery. Fig. 7 (a) shows contours of the total rainfall amount of the 5-7

148 June 2008 storm. The maximum total rainfall amount was 670 mm. The storm centre was on
149 the southeast of Lantau Island. The magnitudes of the storm characterized by 4-h PMP and
150 24-h PMP (AECOM and Lin, 2015) are shown in Fig. 8. From the depth-area relationships,
151 when the area is in the range of 50 - 1100 km², the maximum rolling 4-h rainfall of the 5-7 June
152 2008 storm has a return period of 1,100 years, corresponding to 60%-67% of the 4-h PMP,
153 while the return period for the 24-h rainfall is 200 years, corresponding to 33%-41% of the
154 24-h PMP. The 4-h maximum rolling rainfall value is calculated as the maximum values of
155 rainfall in 4 consecutive hours on a hyetograph. The storm caused 2,400 natural terrain
156 landslides (Li et al., 2009), including many debris flows that affected developed regions,
157 leading to 2 fatalities (CEDD, 2008). The LPI value was recognized as 12.

158 The maximum rolling rainfall values at different locations may not be in the same period
159 though most of them tend to be in the same period. Hazard consequences are more related to
160 the maximum rolling rainfall values other than instantaneous one (Dai and Lee, 2001). In
161 formulations for a hydrological model, the effect of the time scale of aggregation of the rainfall
162 data and the hydrological response of catchments of different sizes should be investigated in
163 order to identify the critical scale at which the resulting discharge will be the largest and could
164 potentially generate flash floods.

165 The most concentrating periods of precipitation are selected. Figure 9 shows the
166 instantaneous rainfall process from 6: 55 to 7: 35 on 7 June 2008. During this period, the
167 vapour concentrated on the southwest of Lantau Island, and transported northeast across the
168 mountains on Lantau Island. A large amount of precipitation was retained on the island.

169

170 **3.2 The 17-22 August 2005 storm**

171 August 2005 was much wetter than normal. A very active southwest monsoon during 17-22
172 August brought in plenty of moisture. Figure 7(b) shows contours of the total amount of

173 rainfall. The maximum total rainfall amount was 890 mm. The storm centre was at the middle
174 of the territory, Shatin. From Fig. 8, both the maximum rolling 4-h rainfall and 24-h rainfall of
175 the 17-22 August 2005 storm are least critical among the three storms investigated in this
176 paper. The storm caused 229 reported landslides, resulting in one fatality. The LPI value is 10
177 (Kong and Ng, 2006).

178 Figure 10 shows the instantaneous rainfall process from 10:35 to 11:15 on 20 August,
179 2005, which is recognized as the heaviest rainfall period in this storm event. The prevailing
180 moisture inflow mainly came southerly during this period. The rainfall centre concentrated on
181 the south of Tai Mo Shan.

182

183 **3.3 The 21-24 July 1994 storm**

184 The total precipitation amount in the storm event from 21 to 24 July 1994 was recorded as the
185 highest for any consecutive days in July. The weather was related to a trough of low pressure
186 (Tam et al., 1995). Figure 7(c) shows contours of the total amount of rainfall of this storm
187 cantering at the middle of New Territories, Tai Mo Shan. The maximum total rainfall amount
188 was 1450 mm. In Fig. 8, the maximum rolling 24-h rainfall is the most critical, especially for a
189 smaller area. The storm caused 820 natural terrain landslides and 451 man-made slope failures,
190 resulting in 5 fatalities and 4 injuries. The LPI value is 10 (Chan, 1995).

191 Figure 11 shows the instantaneous rainfall process from 15:00 to 15:40 on 23 July 1994,
192 which records the heaviest rainfall process in this storm event. During this period, the moisture
193 air came from on the northwest of Tai Mo Shan. Most of precipitation concentrated on Tai Mo
194 Shan, and the spatial distribution of rainfall was quite uneven. As the moisture flux rose across
195 Tai Mo Shan, a large amount of moisture began to fall as rain. The orographic intensification
196 effect was very significant in this rainstorm event

197

198 **3.4 Summary of the three large storms**

199 All the aforementioned three storms are related to monsoons other than typhoons. The
200 meteorological factors for these storms are beyond the scope of this paper. This research
201 focuses on the areal distribution of precipitation which is believed to be more relevant to the
202 evaluation of the performance of the slope safety system. Thus the maximum rolling rainfall
203 values are estimated in different durations. According to the records from the automatic rain
204 gauges, the maximum rolling rainfall among all the rain-gauge stations in each of the three
205 events can be calculated. The corresponding peak values and stations are summarized in Table
206 1. The 22-24 July 1994 storm is the largest among the three storms with regard to the amounts
207 of the maximum rolling 1-h and 24-h rainfall. However, in terms of the maximum rolling 4-h
208 rainfall, the 5-7 June 2008 storm is the most critical.

209 The contours of the total rainfall for the three storms, interpolated using a triangular
210 method, are shown in Fig. 7. The total precipitation amount of the 5-7 June 2008 storm is the
211 smallest among the three events, while that of the 21-24 July 1994 storm is the largest due to its
212 longer duration. However, the LPI value for the 5-7 June 2008 storm is 12, larger than those of
213 the other two storms; that is, the 5-7 June 2008 storm is the largest one in terms of damage. One
214 of the reasons is that the variability of spatial and temporal distributions of the storm affects
215 both the infiltration dynamics of the surface soil and the water levels above and below the
216 ground surface. The entire hydrological system is governed by the spatial and temporal
217 distribution of rainfall.

218

219 **4 Methodology of spatial analysis**

220 The varying space-time distribution of rainfall in Hong Kong is a result of the interaction
221 between governing meteorological covariates and local hilly terrain. Instead of attempting the
222 use of a physical model to capture the spatial characteristics, our analysis presents a two-step

223 approach in which a surface trend is firstly established to assess the spatial distribution of the
224 rainfall amount in a fixed duration, followed by a further analysis of the spatial correlation of
225 the detrended residuals.

226

227 **4.1 Determination of the expected precipitation trend surface**

228 A storm is a phenomenon with gradual geographical changes in space; the rainfall amount can
229 be simulated as a spatially correlated random field superimposed on a trend surface (Grimes
230 and Pardo-Igúzquiza, 2010). Such an artificial rainfall trend surface can be used to represent
231 design storms. One could comprehend that the rainfall is correlated with the local terrain and
232 the design storm centres are likely to be around the mountain peaks. Hong Kong has a
233 relatively small area, and an individual storm is usually designed to have one or two centres for
234 engineering design purposes (AECOM and Lin, 2015). Distinguishing two peaks is not
235 necessary as the distance between any two peaks will be small with regard to the scale of a
236 typical rainstorm.

237 Based on random field theory (Vanmarcke, 1977), the trend surface is the expected value
238 of the precipitation distributed over the rainfall domain, while the residuals are stationary and
239 not affected by any shift in the coordinate system. Thus the first step is to divide the spatial
240 distribution into a trend surface and residuals by finding a trend surface fitting function.
241 Though most natural processes like a storm exhibit spatial variability with complex trends, this
242 paper uses a polynomial function for simplicity. Denote observations of a storm as $z_i(x_i, y_i)$
243 ($i=1, 2, \dots, n$). The fitted values are $\hat{z}_i = (x_i, y_i)$:

$$244 \quad z_i(x_i, y_i) = \hat{z}_i(x_i, y_i) + \varepsilon_i \quad (1)$$

245 where x and y define the location; and ε_i are residuals. The second-order polynomial trend
246 surface is:

$$247 \quad \hat{z}_i = a_0 + a_1 x_i + a_2 y_i + a_3 x_i^2 + a_4 x_i y_i + a_5 y_i^2 \quad (2)$$

248 The coefficients, a_0, a_1, \dots, a_5 , are determined by minimizing the sum of the squares of the error
 249 term using the ordinary least squares (OLS) analysis (Journal and Huijbergts, 1978):

$$250 \quad Q = \min \sum_{i=1}^n \varepsilon_i^2 = \min \sum_{i=1}^n [z_i(x_i, y_i) - \hat{z}_i(x_i, y_i)]^2 \quad (3)$$

251 The computed trend surfaces for the total rainfall amounts of the three storms and the
 252 detrended residuals are shown in Fig. 12. The residuals of the rainfall amounts in different
 253 durations are often assumed to be stationary. Taking the maximum 4-h rolling rainfall as an
 254 example, the trend surface is

$$255 \quad \hat{z} = -45984 - 0.0337 x + 0.1527 y + (-1.5297 x^2 + 3.4783 xy - 2.7125 y^2) \times 10^{-7} \quad (4)$$

256 The peak point on the surface is (77429, 77793); the maximum 4-h rainfall on the trend
 257 surface is 425 mm. The maximum points (extreme values) on the trend surfaces of the three
 258 storms are summarized in Table 2. The major and minor axes can be calculated as those of the
 259 ellipse with rainfall value approaching zero. The directions and lengths of the trend surfaces are
 260 summarized in Table 3. The major and minor axes of the trend surfaces are determined by least
 261 squares fitting of the original rainfall data. For an individual storm event, the maximum points
 262 of the trend surfaces are inside a relatively small range of 40 km. The storm centre of each
 263 event on the trend surface agrees with the reality. The storm centres of the 7 June 2008 storm,
 264 the 17-21 August 2005 storm and the 23 July 1994 storm are at west Lantau Island, Shatin and
 265 Tai Mo Shan, respectively. The major directions of the spatial forms are between 19° and 43°
 266 in the anticlockwise direction.

267

268 **4.2 Determination of the scale of fluctuation of precipitation residuals**

269 A classical way to characterizing the spatial correlation is through an autocorrelation function
 270 (ACF), $\rho(h)$ (Fenton and Griffiths, 2008; Foresti and Seed, 2014). The autocorrelation
 271 describes the correlation between values of a same series. The autocorrelation $r(k)$ for lags $k=0$,

272 1, ..., m, where m is the maximum number of lags, is evaluated by the following equation:

$$273 \quad r_k = \frac{\frac{1}{(N - k - 1)} \sum_{i=1}^{N-k} (z_i - \bar{z})(z_{i+k} - \bar{z})}{\frac{1}{(N - 1)} \sum_{i=1}^{N-k} (z_i - \bar{z})^2} \quad (5)$$

274 where z_i and z_{i+k} are the detrended storm depths at locations i and $i+k$, respectively; N is the
275 total number of the residuals; and \bar{z} is the mean value of the residuals.

276 In order to assess the autocorrelation structure of the detrended storm amounts, it is
277 necessary to perform regression analysis to fit the ACF. Among many correlation structures,
278 the single exponential structure is the most common:

$$279 \quad \rho(h) = \exp(-2h / \theta) \quad (6)$$

280 where h is the separation distance or lag; θ is the scale of fluctuation (SoF). The correlation ρ
281 (h) decays exponentially with separation distance h . The negative autocorrelation coefficient
282 will not be evaluated. The values of θ can be obtained accordingly. Within the scale of
283 fluctuation, the rainfall property is strongly correlated. A smaller scale of fluctuation indicates
284 more rapid fluctuations of the mean.

285 The scale of fluctuation is evaluated in the directions of N 0° E, N 45°E, N 90° E, and N
286 135° E for each storm. The values of SoF are fitted by an ellipse using least squares fitting. The
287 values of SoF and the fitting curves are shown in Figs. 13-15. Greater SoF values indicate
288 smaller variability. The major direction can be recognized as the direction of maximum
289 continuity.

290 The direction and major and minor scales of fluctuation are summarized in Table 4. The
291 SoF values of the rainfall residuals are between 6 to 37 km. Regardless of the variations of the
292 principal axis direction, the minor-axis lengths of the SoF values remain around 7 km (Table
293 4).

294

295 **5 Spatial description of rainstorms**

296 **5.1 Geometric spatial form and correlation structure**

297 Though rainfall varies over space, the rainfall amount of a particular storm in terms of
298 maximum rolling rainfall can be fitted by a polynomial function. The spatial form of the
299 rainfall amount can be represented by a rotated ellipsoid with only one centre. Such an artificial
300 spatial form may exhibit geometrical regularity. For each storm, the trend surfaces in different
301 durations show good consistency in the shape parameters in terms of the peak point, long-axis
302 direction and axis length. The peak points on the trend surfaces of the three storms are located
303 in a relatively small range. The long-axis directions of the spatial forms of each event in
304 different durations almost remain unchanged between 19° and 43° . The lengths of the major
305 and minor axes for an individual storm show consistency. The 5-7 June 2008 storm has the
306 largest impact area, as indicated by larger axis lengths among the three rainstorms according to
307 the results in Table 3.

308 With respect to the instantaneous rainfall processes shown in Figs. 9-11, the rainfall
309 distributions in terms of maximum rolling rainfall are quite consistent to the heaviest rainfall
310 process in each storm event. The rainfall distributions are strongly affected by the storm
311 humidity transportation, and are so uneven that the entire area should not be described as a
312 single site. The locations of the storm centres determine the general trend of the areal rainfall
313 distribution. The polynomial trend surfaces are effective for representing large rainstorm
314 distributions in terms of maximum rolling rainfall.

315 The spatial connectivity can be assessed by the SoF values. A smaller scale of fluctuation
316 indicates more rapid fluctuations of the mean. According to Figs. 13-15, all of the SoF values
317 are within 30 km, though the semi-lengths of the major axes of fitting curves are larger. Hence
318 a reasonable upper threshold for the spatial connectivity is estimated to be 30 km. On the other
319 hand, the lengths of the minor axis of the SoF values are between 5 to 8 km. The lower limit of

320 the SoF values of the rainfall data is considered to be 5 km. Therefore, the rainfall amount in
321 Hong Kong is observed to be strongly spatially correlated within 5 km, whose spatial
322 continuity is smaller than 30 km.

323

324 **5.2 Comparison with the spatial structures of ordinary rainfall events**

325 Besides the three large rainstorm events in this paper, ordinary rainstorm events in Hong Kong
326 have also been studied (Liu, 2013; AECOM and Lin, 2015). Liu (2013) proposed a framework
327 for analysing dynamic time-space evolution of rain-field in her thesis. Four rain events were
328 chosen to illustrate the spatial structure of rainfall in Hong Kong: the 18 May 2007, 19 May
329 2007, 19 April 2008 and 15 September 2009 rain events in Hong Kong. The 2008-04-19
330 rainstorm event was under a combined effect of Typhoon Neoguri and a northeast monsoon,
331 while the other three rainstorms were results of tropical depressions. The total rainfall amounts
332 during the four rainfall events on 18 May 2007, 19 May 2007, 19 April 2008 and 15 September
333 2009 were 67.0, 99.6, 157.9 and 130.3 mm, respectively. The spatial structures of the four rain
334 events indicated by variogram ranges corresponding to the peak rainfall intensity (six minutes
335 resolution) are plotted in Fig. 16. According to the results from ellipse fitting, the major
336 principal directions of all the tropical depression storms (i.e. on 18 May 2007, 19 May 2007,
337 and 15 September 2009) are around 45° . The lengths of the principal axis of the tropical
338 depression storms are within 30 km; while that of the 19 April 2008 storm is 40.8 km. The
339 correlation structures of the instantaneous rain processes are consistent with those of the three
340 large storms as illustrated in Section 5.1.

341 The spatial structure of annual maximum daily rainfall using the variogram model
342 provides additional information for generating design storms from another point of view.
343 According to the study conducted by Jiang and Tung (2014), the spatial variability represented
344 by a variogram is used to establish the rainfall depth-duration-frequency relationships. By

345 normalising the indicator semivariogram by the variance of the indicator data, the normalised
346 semivariances of the mean annual maximum daily rainfall and the maximum rolling 24 hour
347 rainfall of the three storms are shown in Fig. 17. Based on the samples and the fitted
348 exponential variogram model, the range of the mean of annual maximum daily rainfall is 7.1
349 km, which is close to the omnidirectional range values of the maximum rolling 24-hour rainfall
350 for the storms, particularly those for the 2008 storm and the 2005 storm. Thus, given a large
351 storm whose spatial distribution is relatively smooth, the range value will be close to that of the
352 annual maximum daily rainfall. The spatial structures of the three severe storms and the four
353 ordinary rainfall events do not differ significantly.

354 With aspect to the local terrain impacts, the major directions of both the three large
355 rainstorms and the ordinary rainfall events are all consistent with the mountain range alignment
356 in Hong Kong (Fig. 3). However the severe storms are highly uncertain and it is difficult to
357 ascertain and predict the future precipitation and extreme rainfall. Lu et al. (2013), Lu and Lall
358 (2016) and Najibi et al. (2017) suggest a potential direction to further study the associated
359 atmospheric circulation with moisture transport that has improved the predictability of extreme
360 rainfall and flood in various regions including western Europe, Midwest and Northeast of the
361 United States. The spatial structure found in this study also indicates that there might be a link
362 between the distribution and the convergence of the moist air into the Hong Kong region.

363

364 **6 Conclusions**

365 A random rain field model has been proposed to study the spatial characteristics of three large
366 landslide-triggering rainstorms in Hong Kong. The cumulative rainfall depths in terms of
367 maximum rolling rainfall in different durations are of particular importance for landslide
368 studies, and are taken as random variables in this study. Based on the study, the following
369 conclusions can be drawn:

- 370 (1) The amounts of maximum rolling rainfall in different durations share a dominating spatial
371 structure that can be represented by a rotated ellipsoid surface established using the
372 ordinary least squares method. The shapes change slightly in different durations for a
373 particular storm.
- 374 (2) The major principal directions of the surface trends of the three rain storms are between
375 19° (N 71° E) and 43° (N 47° E), and the principal major and minor axis lengths are
376 83-386 km and 55-79 km, respectively.
- 377 (3) The spatial connectivity of large storms in Hong Kong is estimated to be between 5 km
378 and 30 km. The rainfall amounts in the three large storms are observed to be strongly
379 correlated within 5 km and likely to be connected within 30 km.
- 380 (4) To verify the rationality and reliability of the spatial structures of large rainstorms, the
381 spatial characteristics of four ordinary rainfall events are also studied. The spatial
382 structures of the three large rainstorms are similar with those of the ordinary rainfall
383 events and consistent with the mountain range alignment in Hong Kong.

384

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482 **Captions of tables and figures**

483

484 **Table 1.** Values of maximum rolling rainfall of three landslide-triggering storms in Hong
485 Kong.

486 **Table 2.** Locations of maximum rainfall on the trend surfaces (km).

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489

490 **Figure 1.** Spatial distributions of the maximum 24-h rolling rainfall and the landslides
491 triggered in Hong Kong on 5-7 June 2008.

492 **Figure 2.** Geotechnical and environmental factors that affect the triggering of natural terrain
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497 **Figure 5.** Trend surfaces of 24-h PMP with (a) NE-SW orientation 45° ; (b) ENE-WSW
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501 **Figure 6.** Hyetographs of three storms: (a) 5-7 June 2008 storm, Station N19; (b) 17-21 August
502 2005 storm, Station N01; (c) 22-24 July 1994 storm, Station N14.

503 **Figure 7.** Spatial distribution of the total rainfall amount: (a) the 5-7 June 2008 storm; (b) the
504 17-21 August 2005 storm; (c) the 22-24 July 1994 storm.

505 **Figure 8.** Magnitudes of the three storms characterized by (a) 4-h PMP, and (b) 24-h PMP
506 (modified from AECOM and Lin, 2015).

507 **Figure 9.** Instantaneous rainfall process from 6:55 to 7:35 on 7 June 2008.

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511 2008 storm; (c) and (d) the 17-21 August 2005 storm; (e) and (f) the 22-24 July 1994 storm.

512 **Figure 13.** Scale of fluctuation values and ellipse-fitting curves for the 5-7 June 2008 storm: (a)
513 maximum rolling 4-h rainfall, (b) maximum rolling 12-h rainfall; (c) maximum rolling
514 24-h rainfall; (d) maximum rolling 36-h rainfall.

515 **Figure 14.** Scale of fluctuation values and ellipse-fitting curves for the 17-21 August 2005
516 storm: (a) maximum rolling 4-h rainfall; (b) maximum rolling 12-h rainfall; (c) maximum
517 rolling 24-h rainfall; (d) maximum rolling 36-h rainfall.

518 **Figure 15.** Scale of fluctuation values and ellipse-fitting curves for the 22-24 July 1994 storm:
519 (a) maximum rolling 4-h rainfall; (b) maximum rolling 12-h rainfall; (c) maximum rolling
520 24-h rainfall; (d) maximum rolling 36-h rainfall.

521 **Figure 16.** Range values for (a) the 18 May 2007 storm (16:30 pm); (b) the 19 May 2007 storm
522 (16:00 pm); (c) the 19 April 2008 storm (20:00 pm); (d) the 15 September 2009 storm
523 (15:00 pm) (modified from Liu, 2013).

524 **Figure 17.** Normalised semivariances of the maximum rolling 24-hour rainfall of the three
525 storms and the mean annual maximum daily rainfall in Hong Kong.

Table 1. Values of maximum rolling rainfall of three landslide-triggering storms in Hong Kong.

Duration	5-7 June 2008 storm		17-21 August 2005 storm		22-24 July 1994 storm	
	Amount (mm)	Station	Amount (mm)	Station	Amount (mm)	Station
1-hour	154	N21	82	N25	212	N14
4-hour	384	N19	174	N18	365	N14
24-hour	623	N19	570	N01	956	N14
2-day	672	N19	768	N01	1216	N14
4-day	768	N19	890	N01	1450	N14

Table 2. Locations of maximum rainfall on the trend surfaces (km).

Duration	5-7 June 2008 storm	17-21 August 2005 storm	22-24 July 1994 storm
4-hour	(774, 778)	(822, 816)	(822, 836)
12-hour	(764, 788)	(825, 822)	(822, 835)
24-hour	(781, 752)	(829, 819)	(823, 833)
36-hour	(769, 747)	(830, 820)	(825, 826)

Table 3. Directions and lengths of the axes of trend surfaces.

Duration	5-7 June 2008 storm			17-21 August 2005 storm			22-24 July 1994 storm		
	Major axis direction (°)	Major axis length (km)	Minor axis length (km)	Major axis direction (°)	Major axis length (km)	Minor axis length (km)	Major axis direction (°)	Major axis length (km)	Minor axis length (km)
4-hour	36°	229	61	42°	107	56	19°	100	72
12-hour	29°	253	65	40°	97	58	40°	87	62
24-hour	25°	269	71	38°	85	55	39°	92	77
36-hour	27°	386	65	35°	86	55	43°	83	79

Table 4. Directions and semi-lengths of the axes of scale of fluctuation (SoF).

Duration	5-7 June 2008 storm			17-21 August 2005 storm			22-24 July 1994 storm		
	Major axis direction (°)	Semi-lengths of the major axes (km)	Semi-lengths of the minor axes (km)	Major axis direction (°)	Semi-lengths of the major axes (km)	Semi-lengths of the minor axes (km)	Major axis direction (°)	Semi-lengths of the major axes (km)	Semi-lengths of the minor axes (km)
4-hour	-18°	31	9	-3°	14	5	8°	10	7
12-hour	-7°	17	7	38°	37	7	21°	9	6
24-hour	-36°	12	8	33°	23	7	4°	9	6
36-hour	-79°	18	6	36°	24	7	9°	7	6

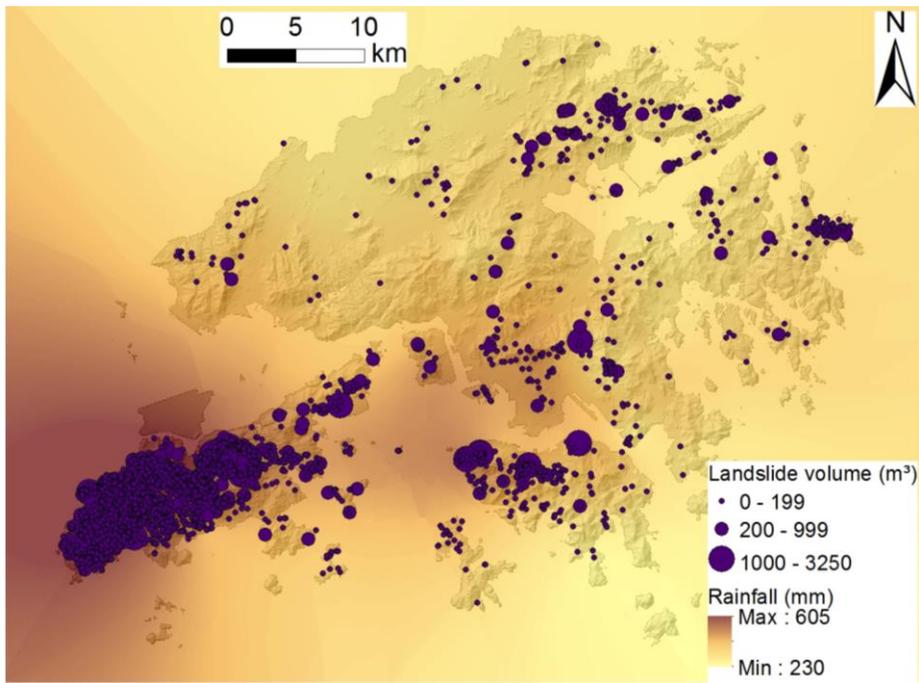


Figure 1. Spatial distributions of the maximum 24-h rolling rainfall and the landslides triggered in Hong Kong on 5-7 June 2008.

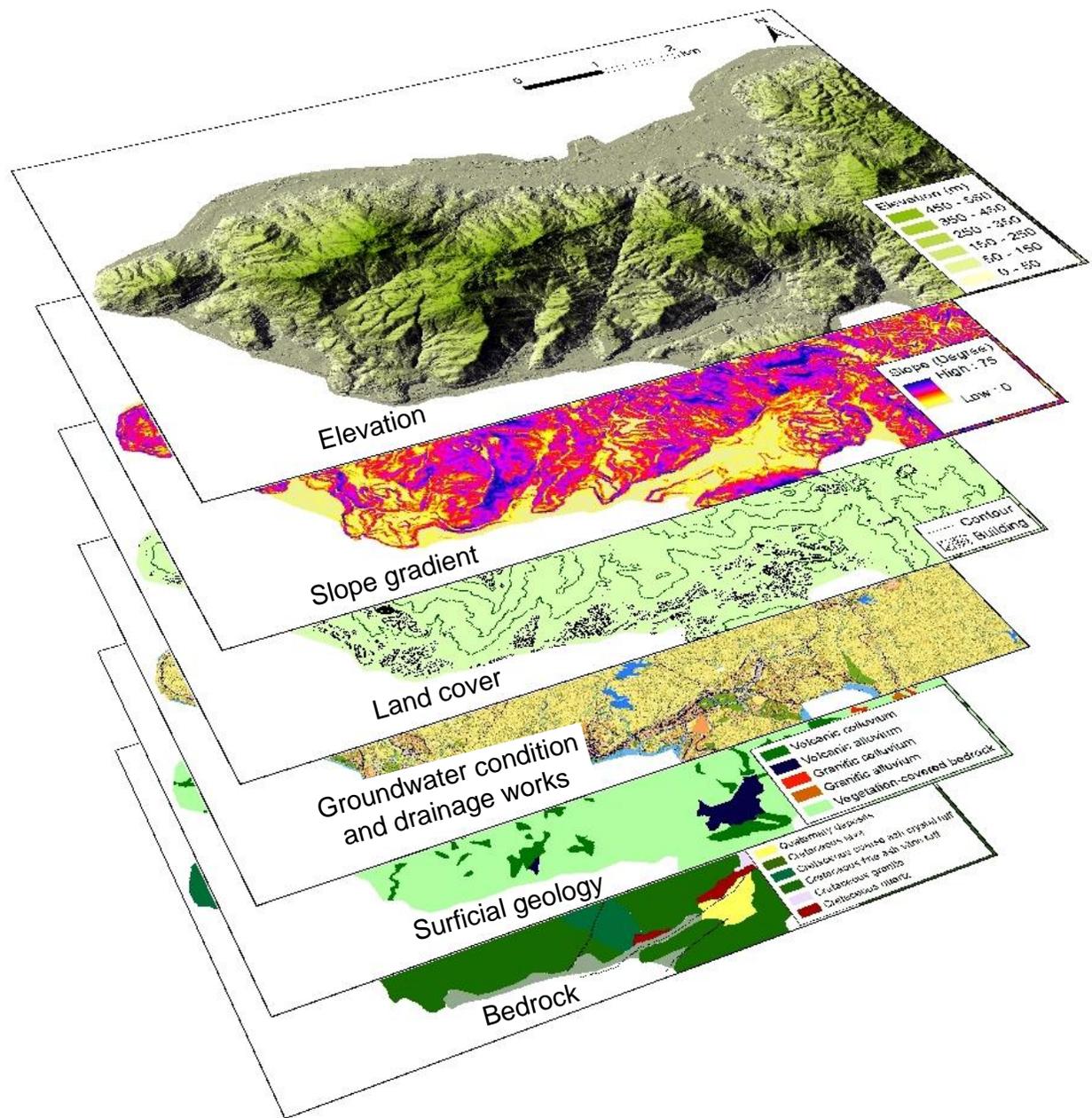


Figure 2. Geotechnical and environmental factors that affect the triggering of natural terrain landslides.

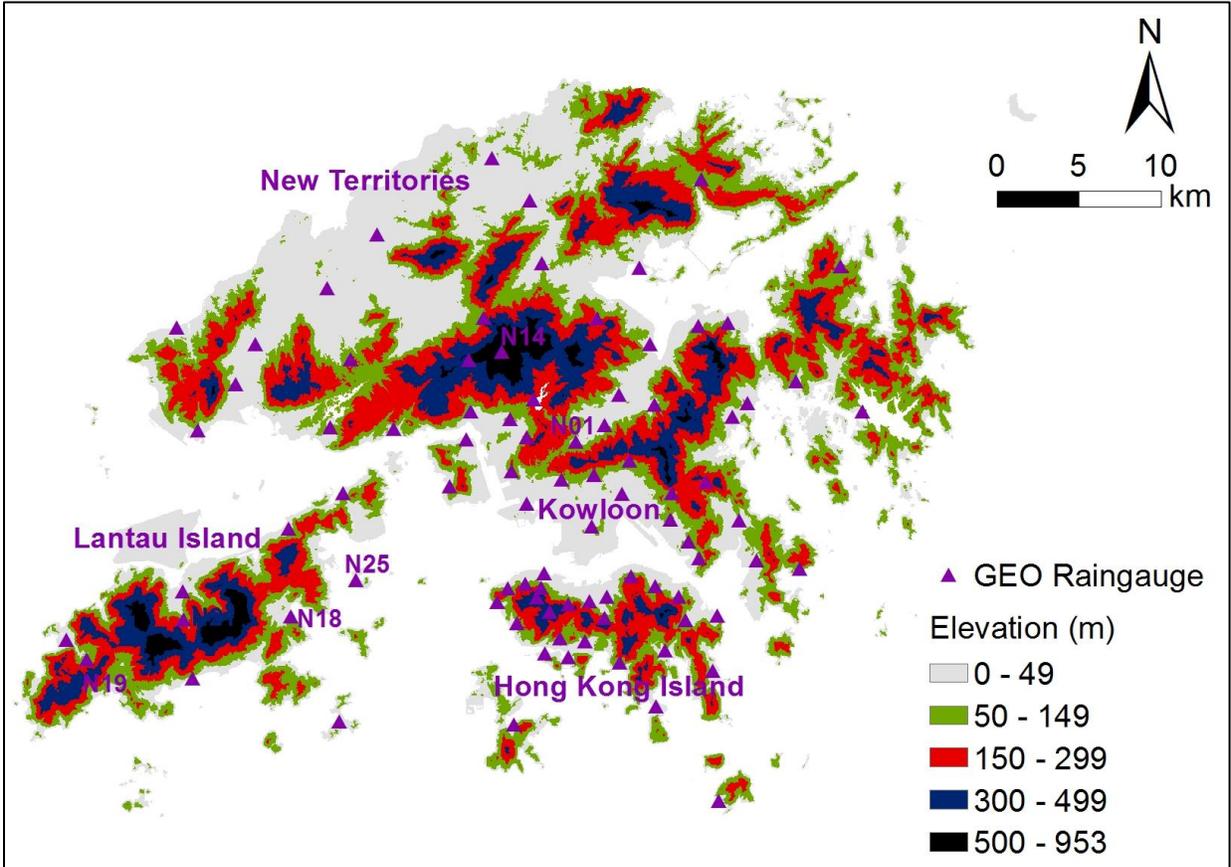


Figure 3. The GEO rain-gauge network in Hong Kong.

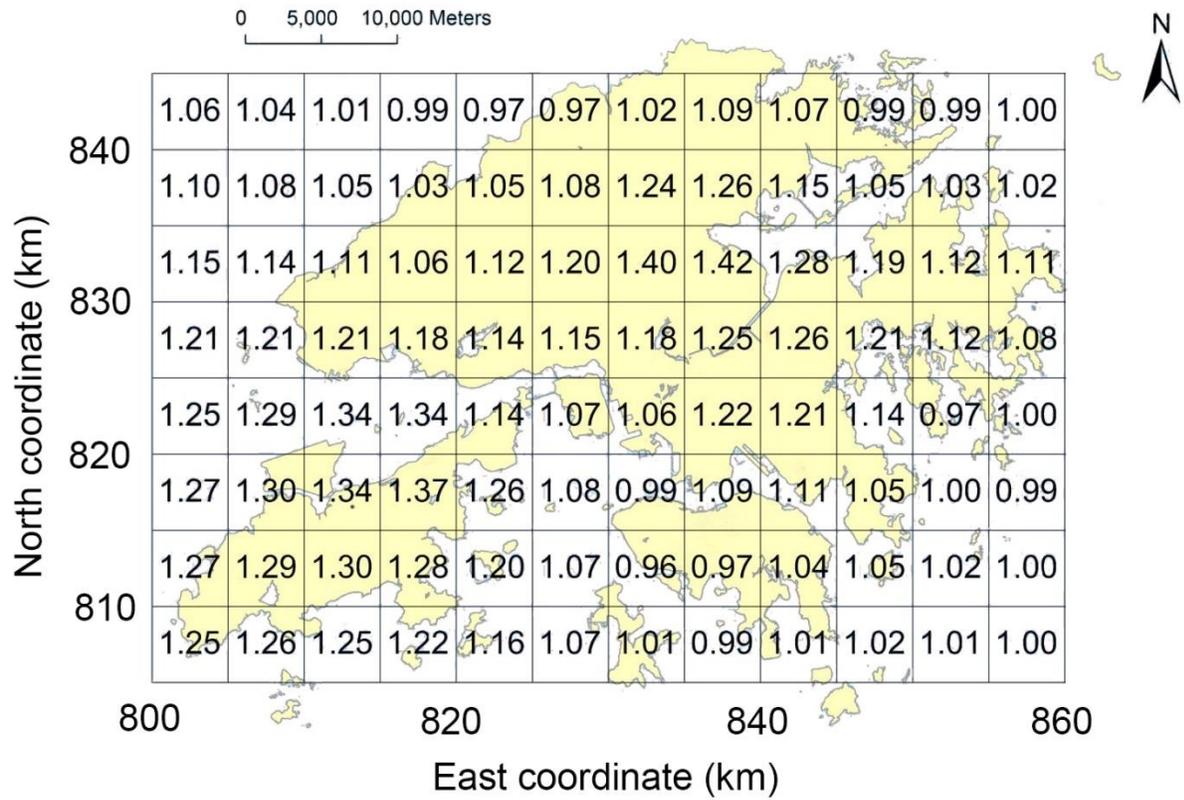


Figure 4. 24-hour orographic intensification factors in Hong Kong (modified from AECOM and Lin, 2015).

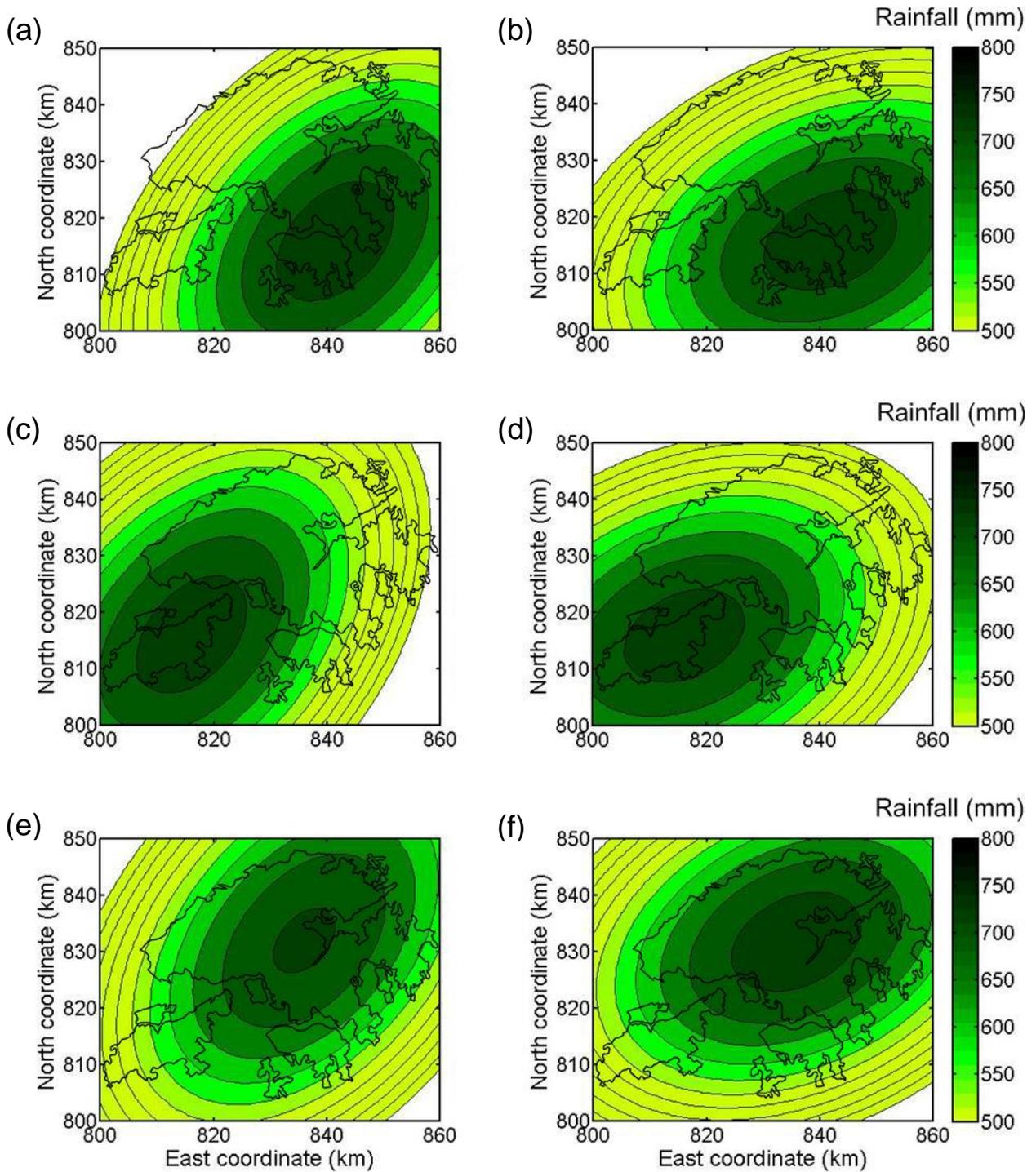


Figure 5. Trend surfaces of 24-h PMP with (a) NE-SW orientation 45° ; (b) ENE-WSW orientation 22.5° centred at Hong Kong Island; (c) NE-SW orientation 45° ; (d) ENE-WSW orientation 22.5° centred at Lantau Island; (e) NE-SW orientation 45° ; (f) ENE-WSW orientation 22.5° centred at Tai Mo Shan (modified from AECOM and Lin, 2015).

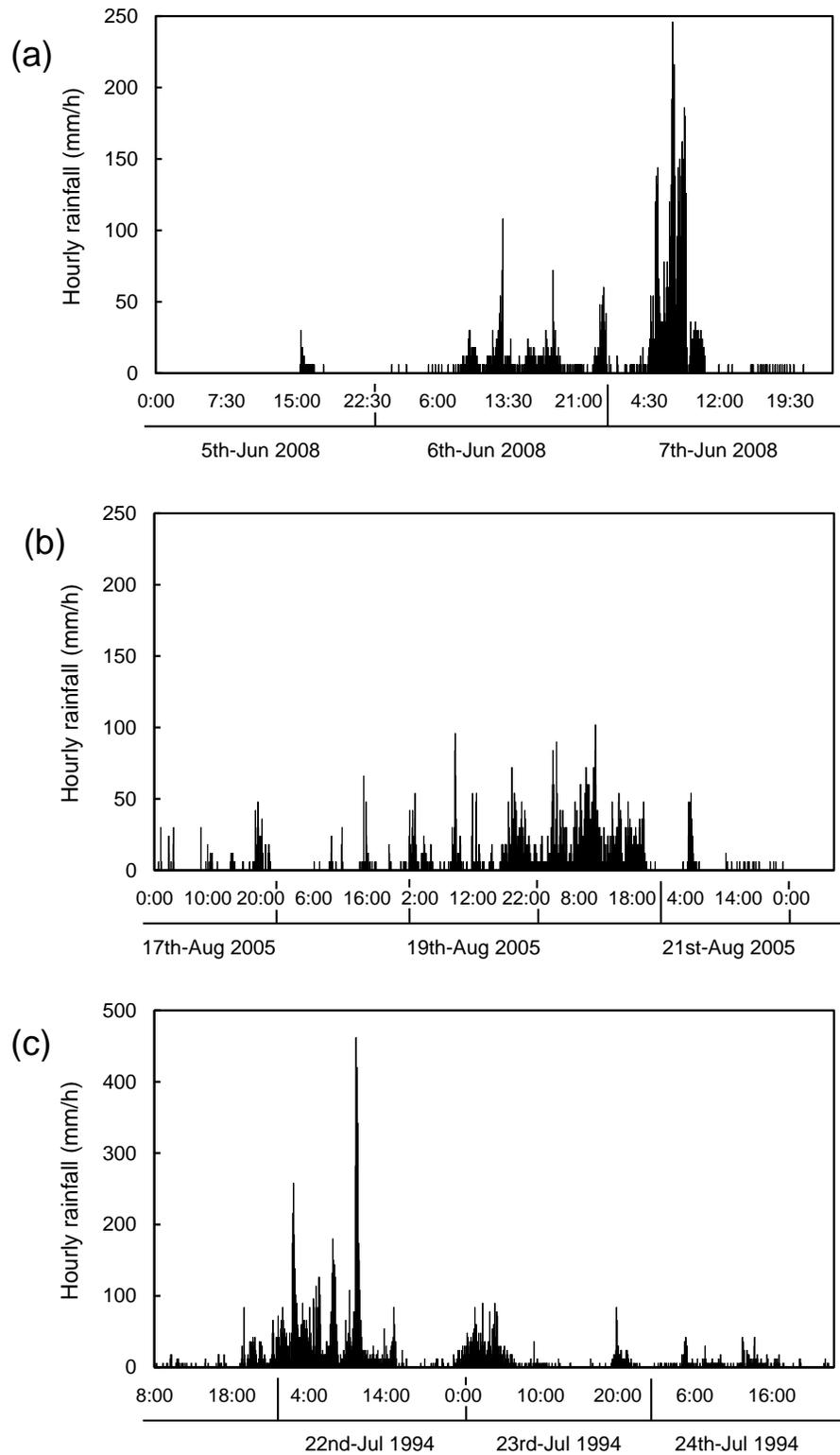


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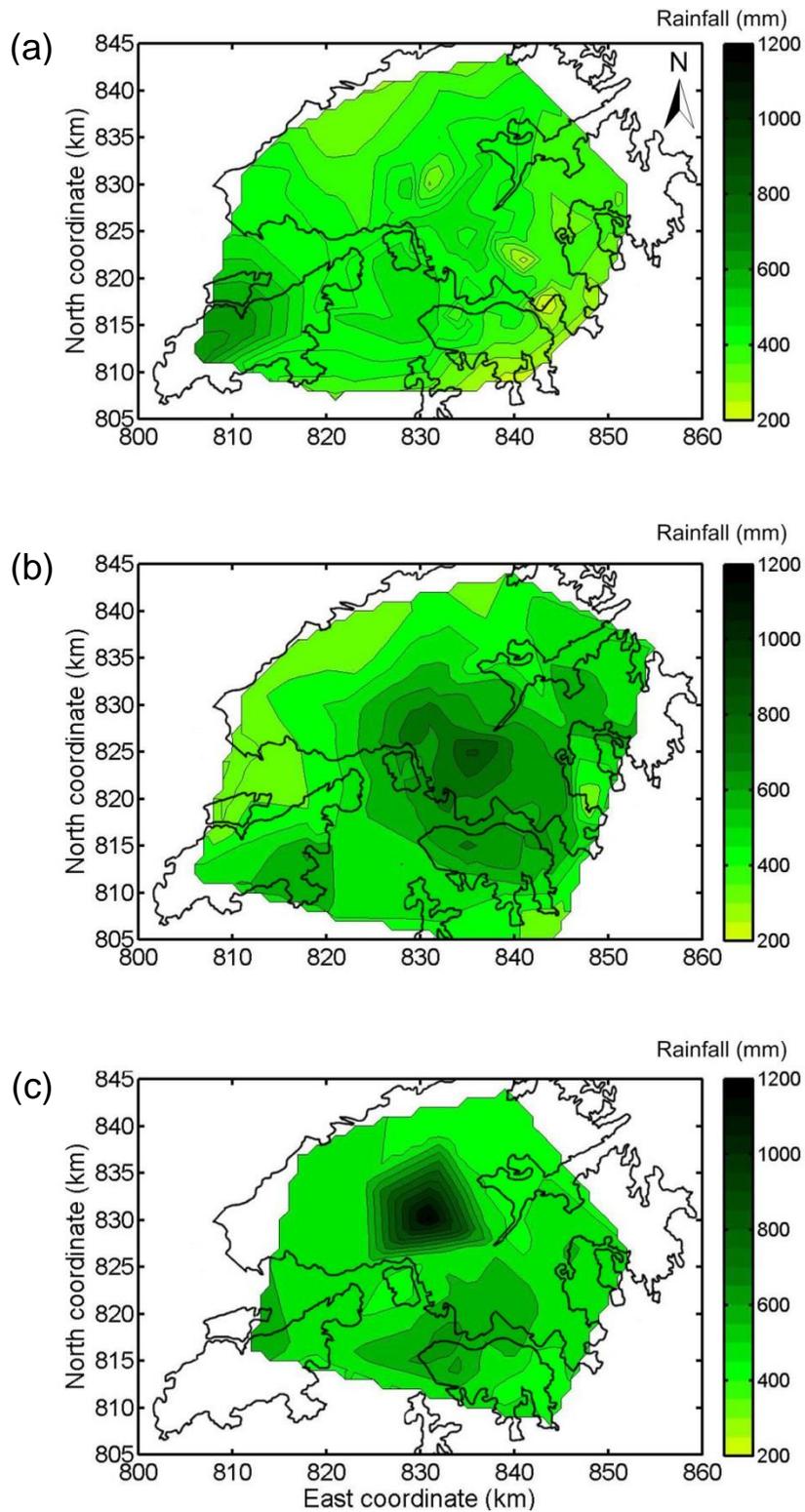


Figure 7. Spatial distribution of the total rainfall amount: (a) the 5-7 June 2008 storm; (b) the 17-21 August 2005 storm; (c) the 22-24 July 1994 storm.

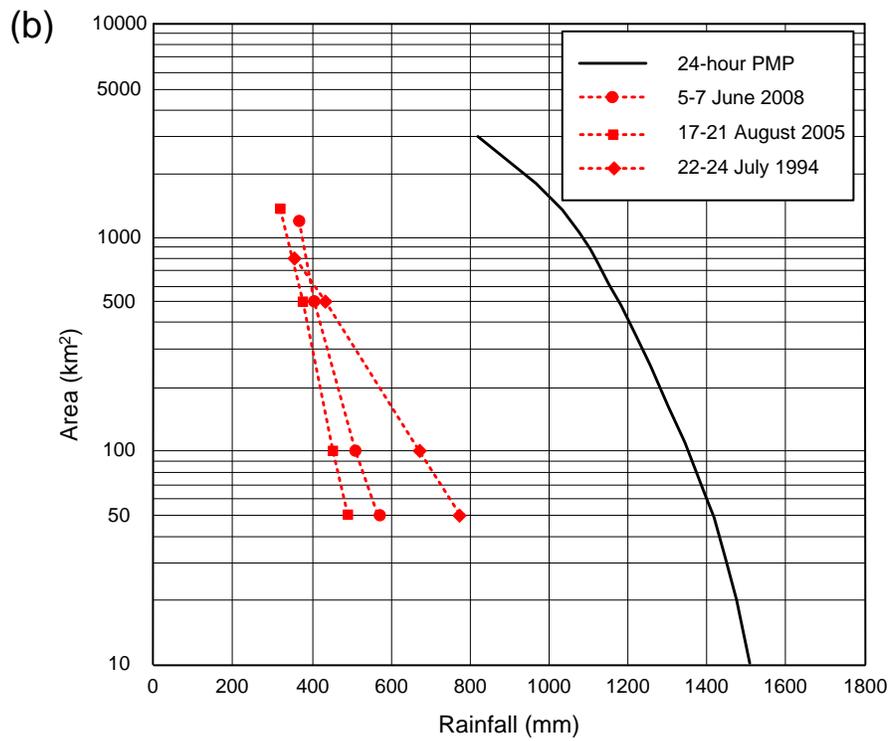
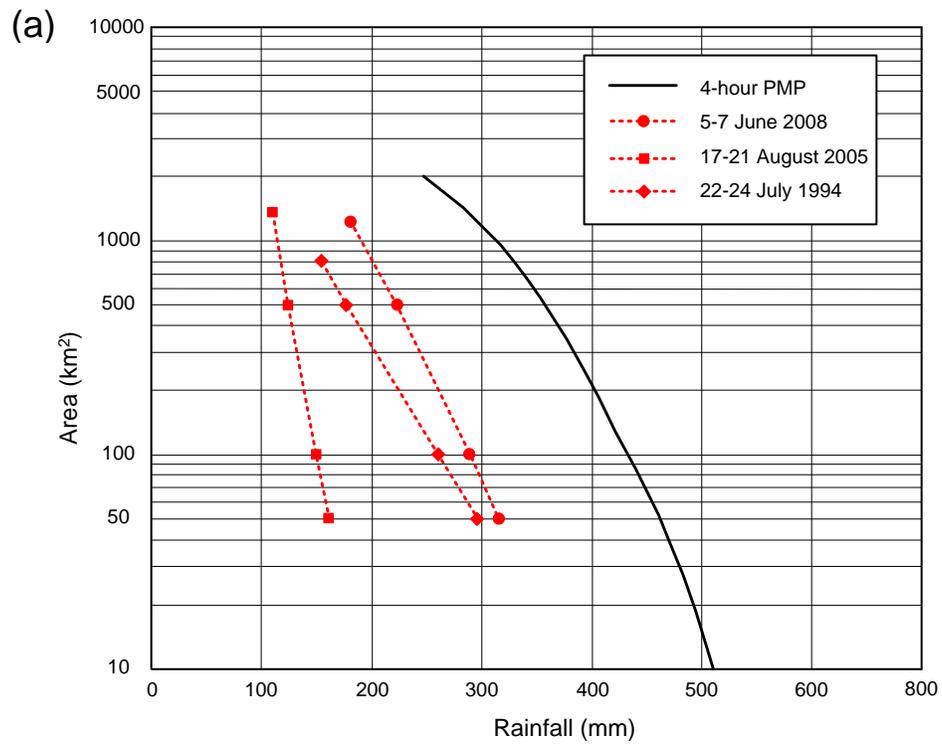


Figure 8. Magnitudes of the three storms characterized by (a) 4-h PMP, and (b) 24-h PMP (modified from AECOM and Lin, 2015).

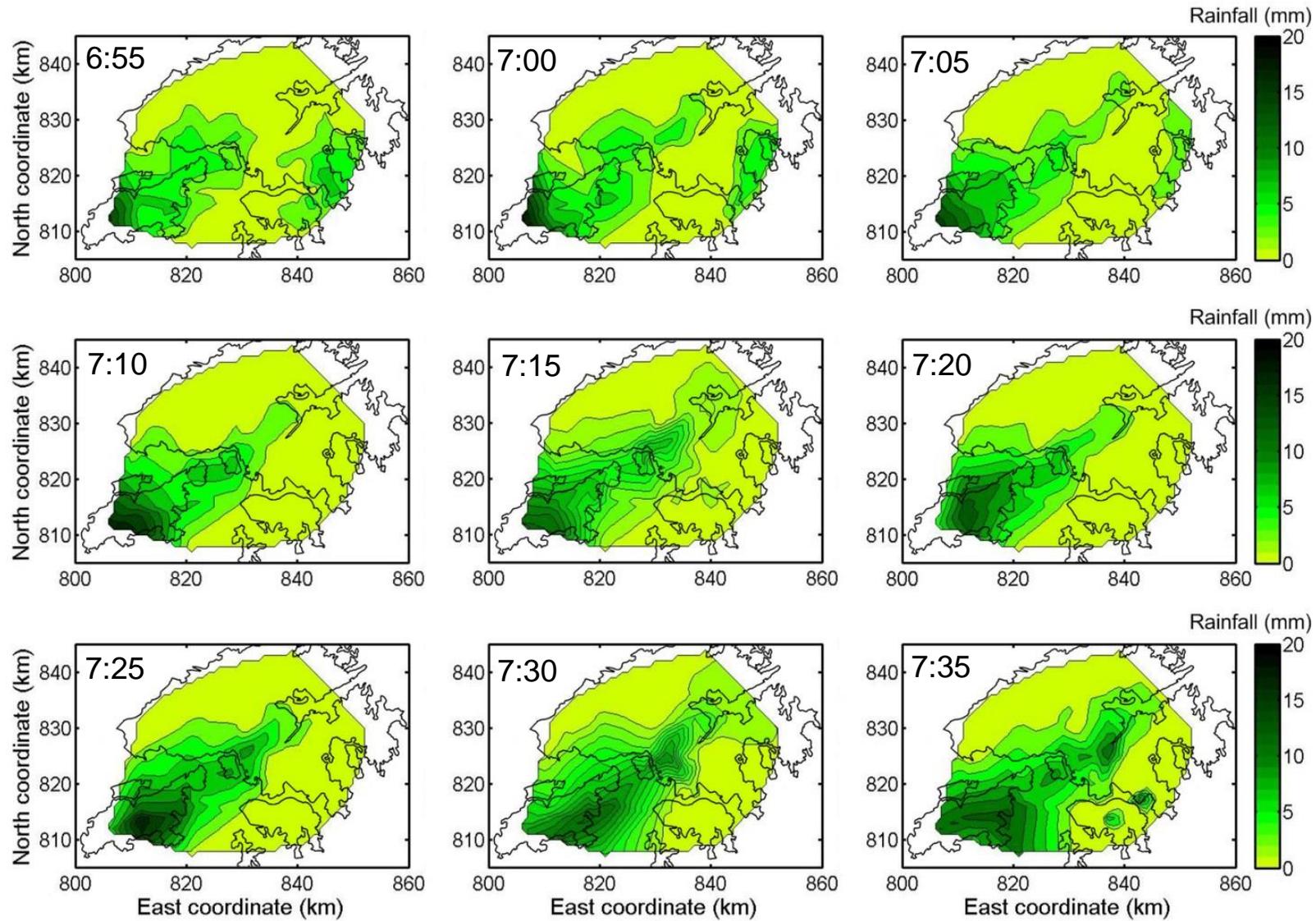


Figure 9. Instantaneous rainfall process from 6:55 to 7:35 on 7 June 2008.

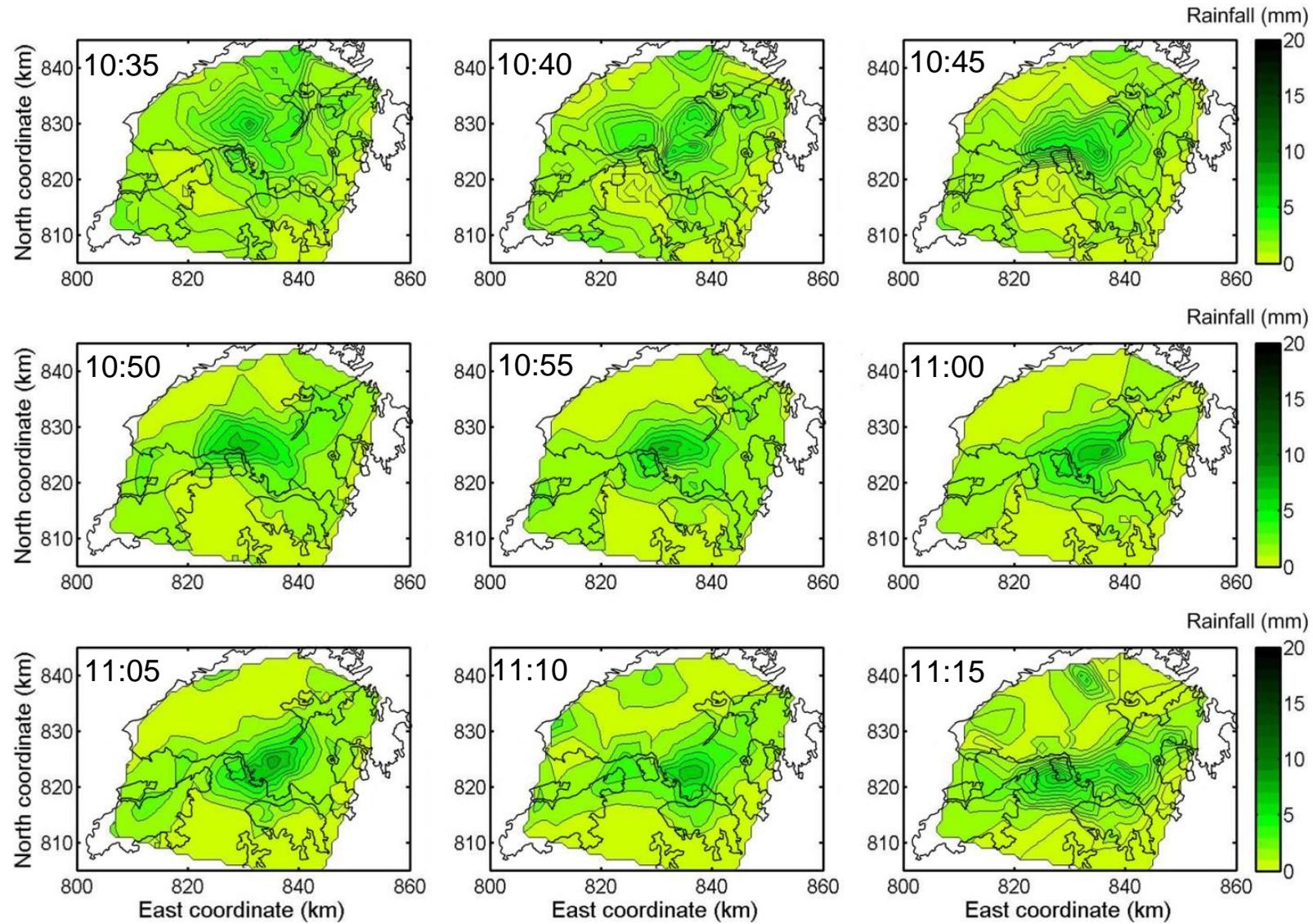


Figure 10. Instantaneous rainfall process from 10:35 to 11:15 on 20 August 2005.

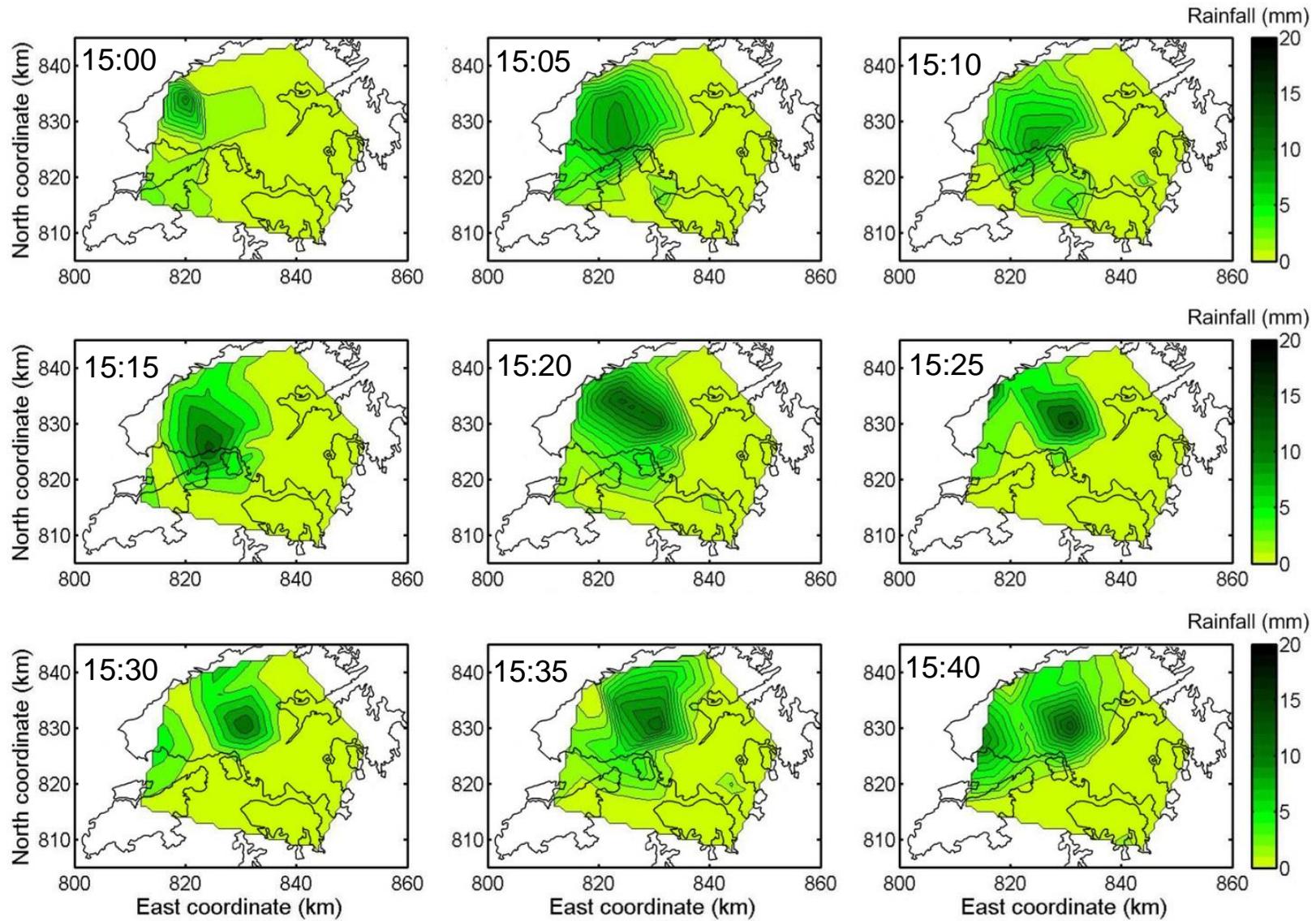


Figure 11. Instantaneous rainfall process from 15:00 to 15:40 on 23 July 1994.

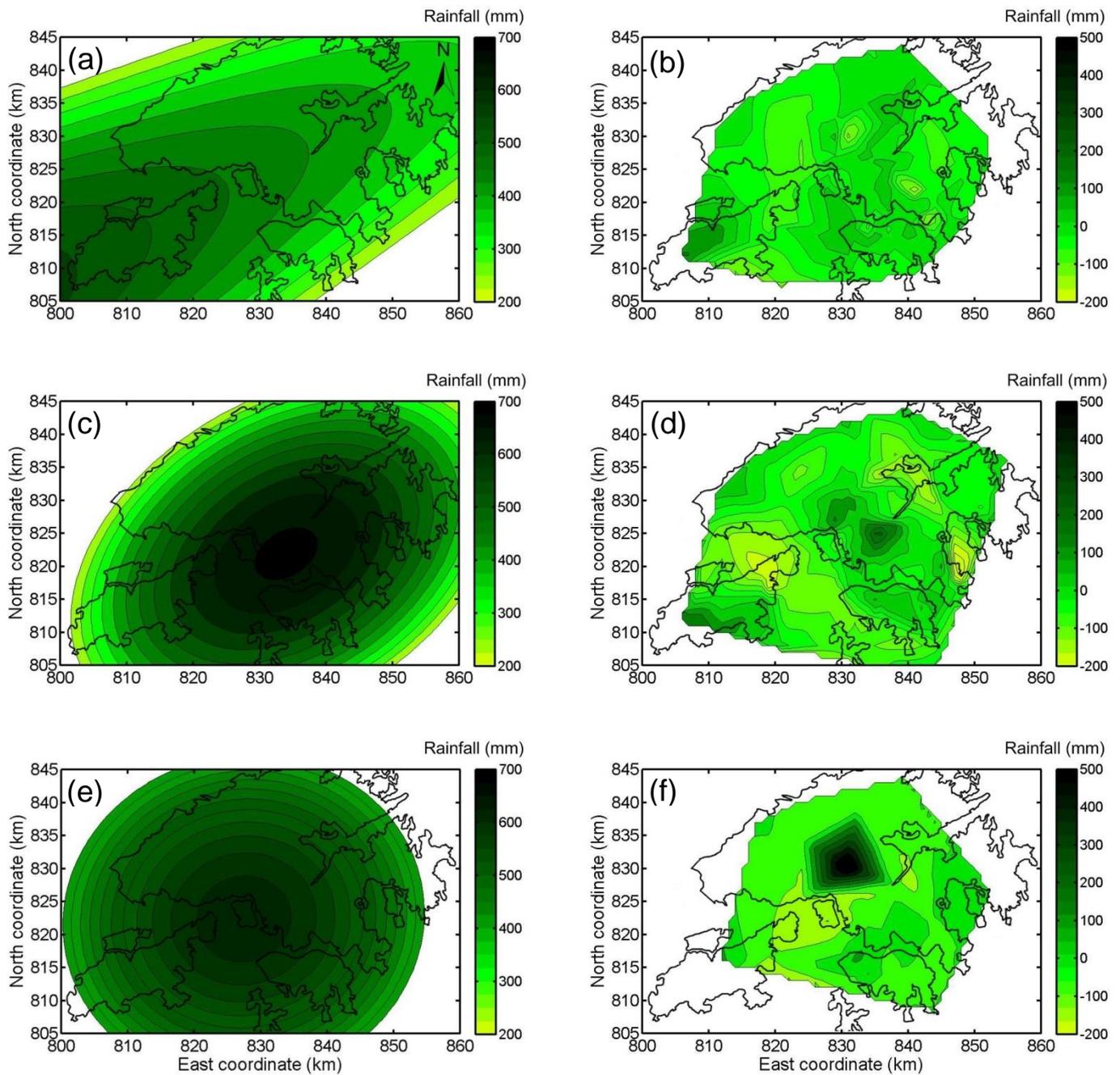


Figure 12. Trend surfaces and residuals of the total rainfall amounts: (a) and (b) the 5-7 June 2008 storm; (c) and (d) the 17-21 August 2005 storm; (e) and (f) the 22-24 July 1994 storm.

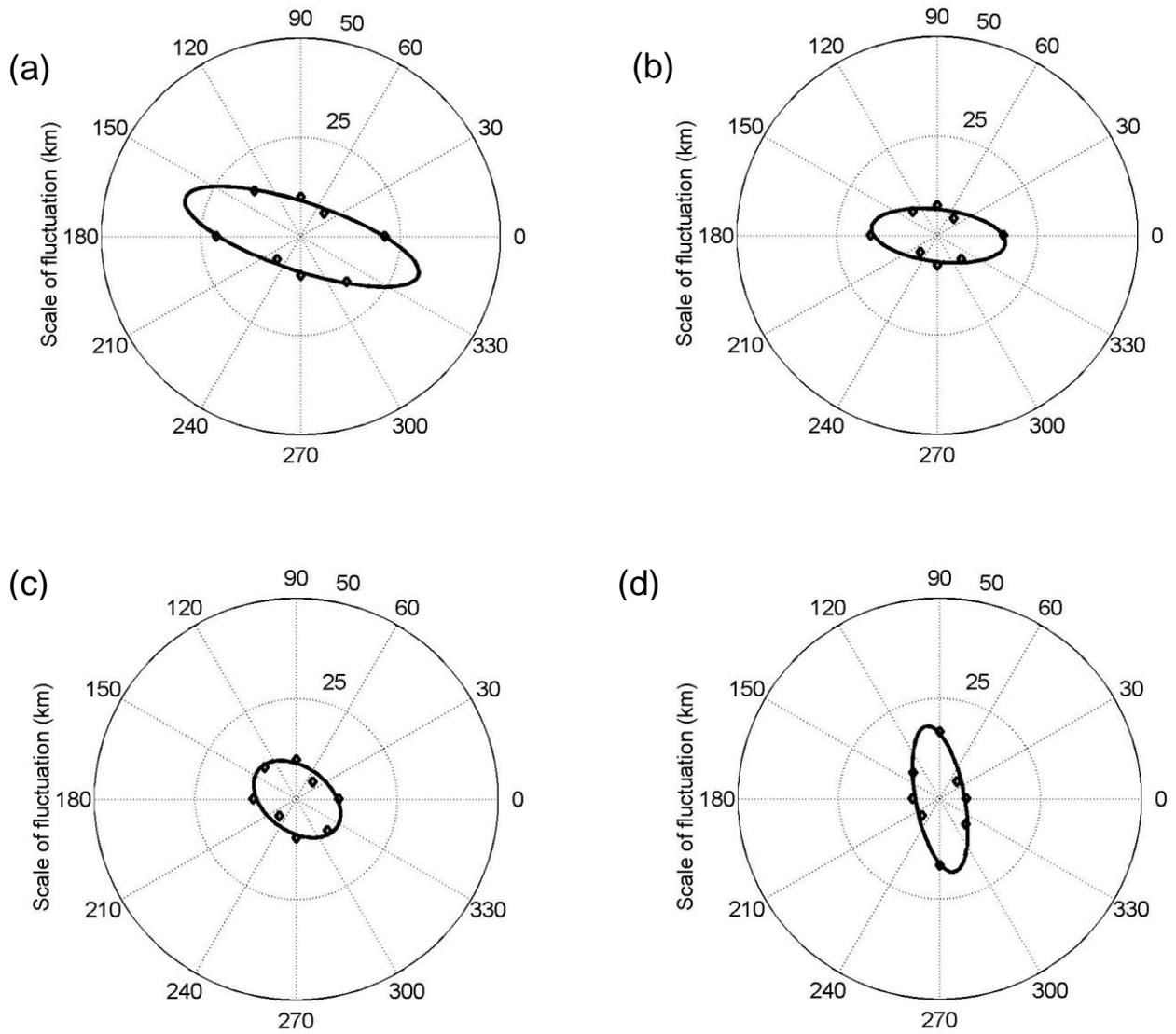


Figure 13. Scale of fluctuation values and ellipse-fitting curves for the 5-7 June 2008 storm: (a) maximum rolling 4-h rainfall, (b) maximum rolling 12-h rainfall; (c) maximum rolling 24-h rainfall; (d) maximum rolling 36-h rainfall.

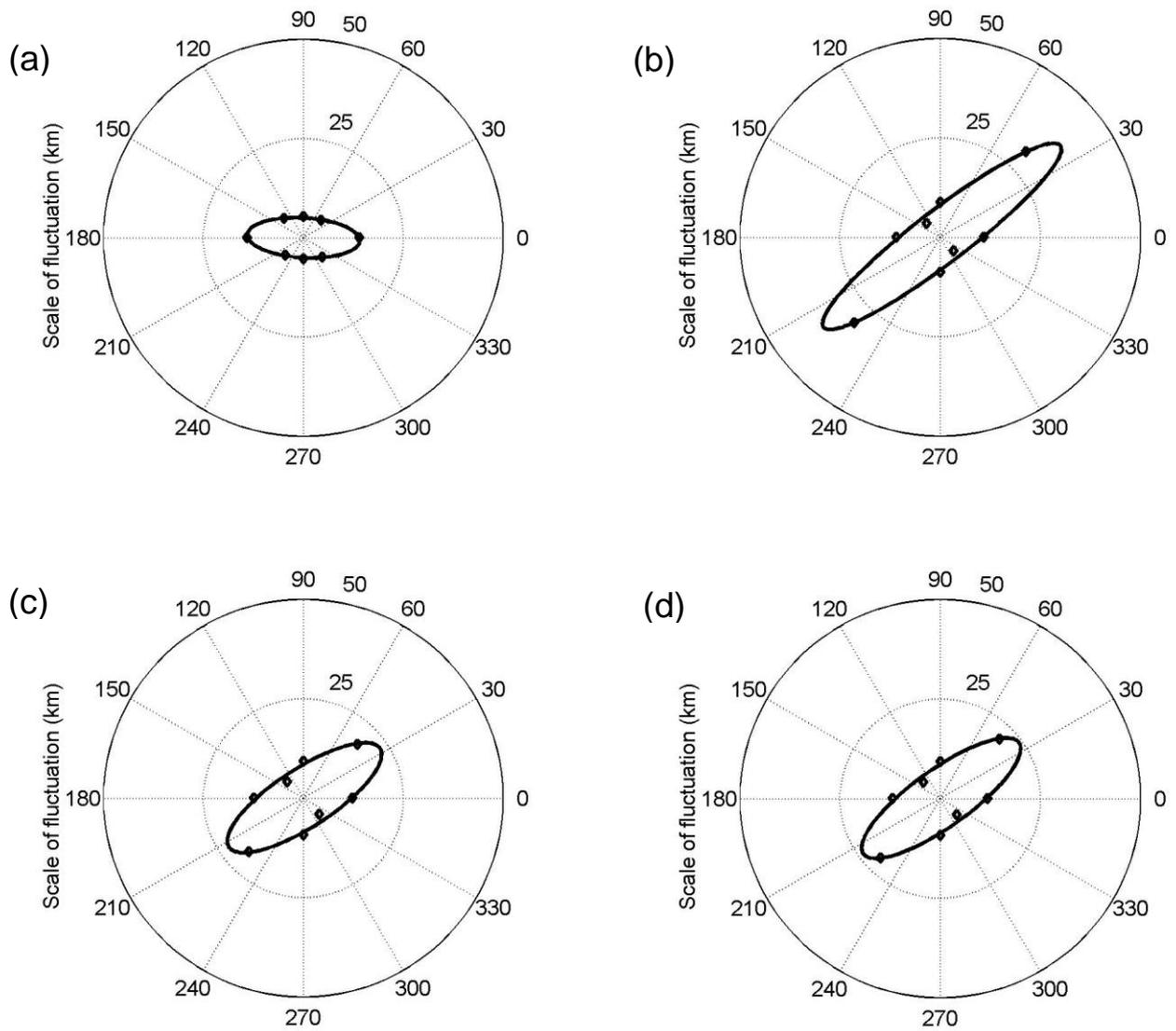


Figure 14. Scale of fluctuation values and ellipse-fitting curves for the 17-21 August 2005 storm: (a) maximum rolling 4-h rainfall; (b) maximum rolling 12-h rainfall; (c) maximum rolling 24-h rainfall; (d) maximum rolling 36-h rainfall.

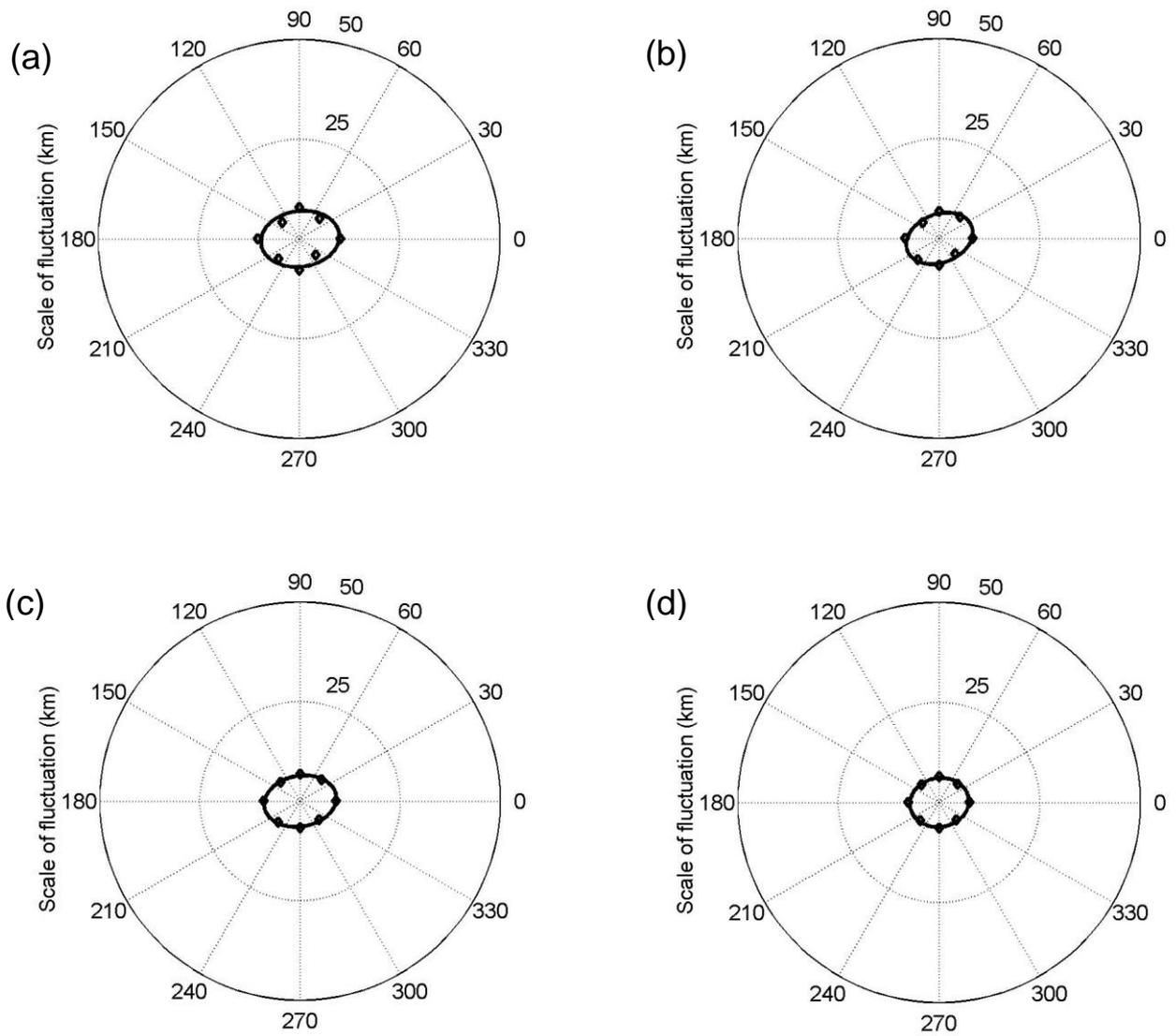


Figure 15. Scale of fluctuation values and ellipse-fitting curves for the 22-24 July 1994 storm: (a) maximum rolling 4-h rainfall; (b) maximum rolling 12-h rainfall; (c) maximum rolling 24-h rainfall; (d) maximum rolling 36-h rainfall.

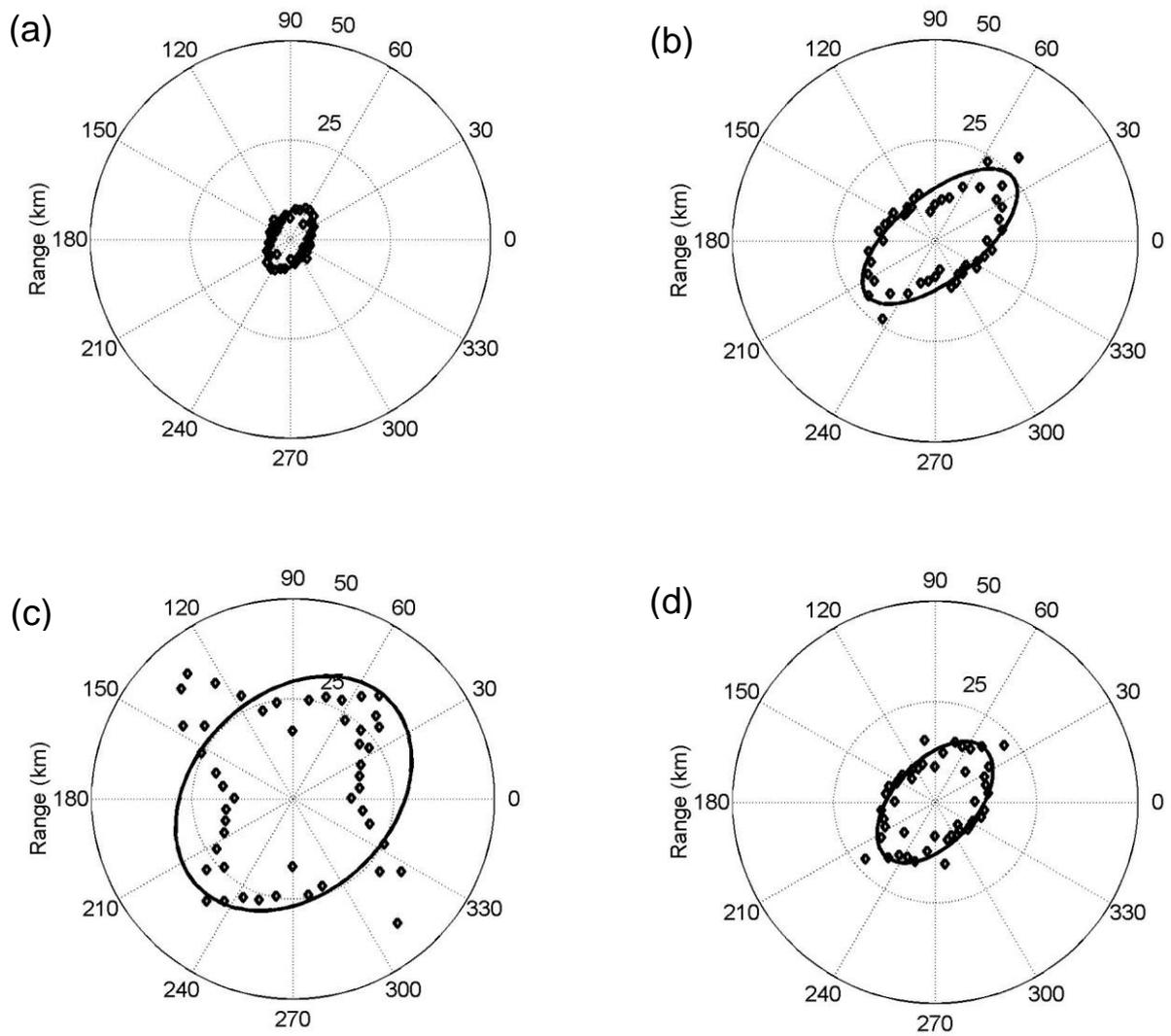


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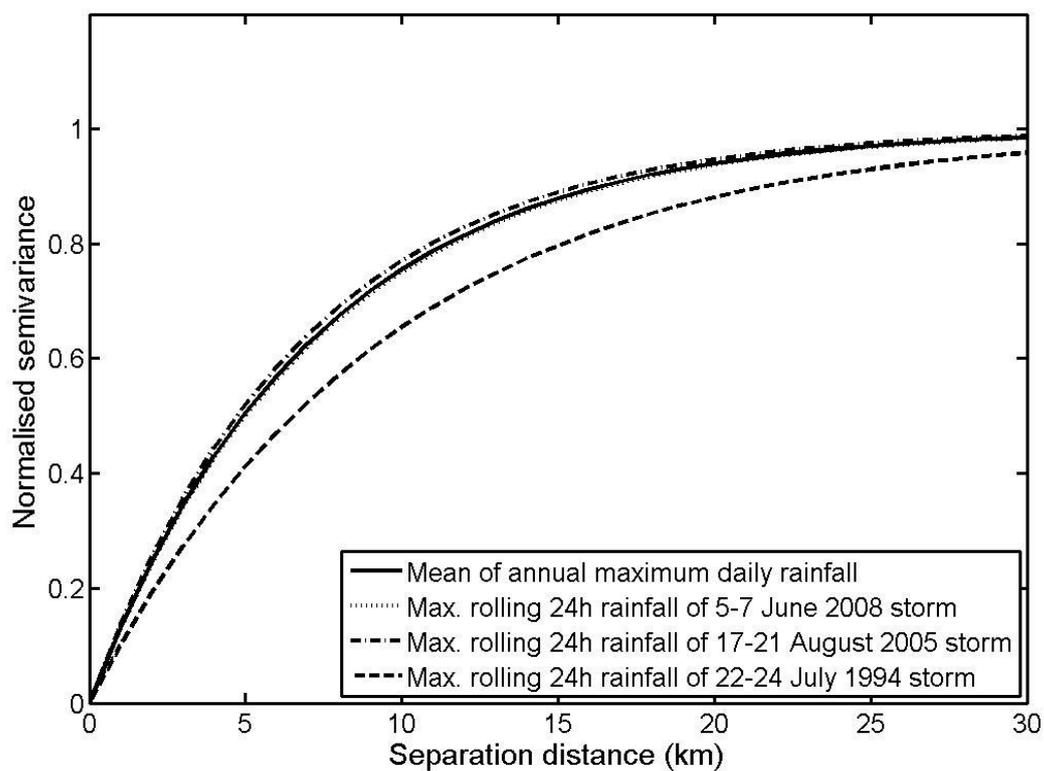


Figure 17. Normalised semivariances of the maximum rolling 24-hour rainfall of the three storms and the mean annual maximum daily rainfall in Hong Kong.