

Comments to Editor comments – second round:

Comments to the Author:

Dear Authors,

I have read the reviewer comments (plus my own) and the author responses in detail. I think we are nearly there and I appreciate everyone's time and effort on this manuscript. Both reviewers were positive about the manuscripts publication (as am I) but we need to make sure the paper has the right context and discussion and is technically sound. Here are some final comments (I will want to check these but the paper will not go out for review again) that I think should be better defined to the reader for clarity. Please do think of these of how interested I am in the paper than just sending the authors more to do!:

Thank you and Yes, this did give us a lot more to do (large parts are now rewritten), but No worries – I am sure this will end up in a better paper. 😊

We have now strengthened the text describing the context, which is mainly to show the communities of (1) global hydrological modellers how methods from catchment modelling can help in river flow predictions globally, and (2) catchment modellers how to apply the methods at the global scale.

Lessons learnt are thus more on the application side and on large scale patterns in model performance across the globe, which we analyse in the text and explain potential causes to failures in the modelling concept (model structure, data and set-up).

1) In the abstract, you end with: "Setting up a global catchment model has to be a long-term commitment of continuous model refinements to achieve more useful results for water management". - I'm not sure refinement is the right word at this stage, the model has really been more crudely applied to the GRDC data with limited discussion or evaluation of reasons for model failures or refinement or the quality of the GRDC data themselves. So I think this sentence needs to be improved. I'm also not sure there is any proof that these results are 'more useful' even to water managers, who would normally not be interested in a global model but one that they understand and is tailored for their local area or have resources to go with it.

This is a misunderstanding: In here we refer to future refinements and not what we did so far – this sentence has now been changed and the end of the Abstract reads:

"Setting up a global catchment model has to be a long-term commitment as it demands many iterations; this paper shows a first version, which will be subjected to continuous model refinements in the future. The WWH is currently shared with regional/local modellers to appreciate local knowledge."

Perhaps, eventually, such global models may have utility to those users who have limited or no local resources but that is not this model or close to it. Other models, such as WaterWorld are far more focused (including a network of training resources and funded workshops) to help such limited resource managers, see <https://iwaponline.com/hr/article/44/5/748/1145/WaterWorld-a-self-parameterising-physically-based>. If the authors wish to pursue this idea of being relevant to water managers then I think they should cite and discuss such other initiatives that have been around a long time.

Many modelling communities have outreach activities linked to their concept – we know that this doesn't make us unique. It could still be interesting for the reader to know that we are currently training modellers from different parts of the world and that we have a user community, without going into details (that would demand a separate paper). To better explain the current status, we have added a section on this in the last paragraph of the Discussion chapter, where we also give some examples of publications that has resulted from these efforts. NOTE: most collaboration is more practical and do not result in science.

Thanks for pointing out the WaterWorld concept, however, we find it very different from what we are doing so we chose to just add the reference in the Introduction chapter where we list some global water balance models. (Note that the WaterWorld model does not have the ambition to predict the absolute magnitudes of river flow and has only been compared to observations for 17 catchments in Costa Rica. The paper has been cited 35 times since 2013 according to Web of Science and it is not mentioned in any of the review papers on global hydrological models).

2) I probably agree with one reviewer that certainly the intro is not ideal, in particular the first paragraph is very general and lacks references to prove such statements as “they have high credibility among practitioners and water managers”. The start could be much better developed to discuss where the community is with large sample hydrology, which ultimately this paper sits under (again simply the model is basically calibrated to a global sub-sample of river flow gauges). There are also more recent papers that have made simulations at continental scales and/or involved in large sample hydrology which should be improved.

The introduction is now re-structured with a new first paragraph and some shuffling of the text for the remaining parts. Hopefully it is now more targeted and also got shorter. Some new references are also added. However, the point we try to make here is that methods from catchment modelling can contribute to global hydrological modelling. Large-sample hydrology is one part but more fundamental is the whole calibration procedure for regionalization of parameter values (i.e. predictions in ungauged basins), which is completely lacking in traditional global hydrological modelling, where they don't even compare the results to river flow (not in publications at least).

3) When addressing the novelty of the current paper the authors should state what they mean by “relatively high resolution” in terms of the first global application on line 125. They should also note here for other ‘lower resolutions’ what has therefore been achieved and thus where this study fits in terms of a resolution development that is novel. I am certainly not clear on what the authors are specifically trying to claim here....

The resolution aspect has been removed from novelty. The novelty is now phrased as:

“To our knowledge, this is the first time a catchment model was applied world-wide and evaluated against river flow across the globe.”

We base this statement on recent reviews and compilations of global hydrological models, which were all running on grids (Bierkens et al., 2015; Sood and Smakhtin, 2015). It is not clear if the WaterWorld runs on grid or catchment but anyway it was certainly not evaluated for river flow across the globe.

Regarding resolution, we produced a new global database of catchment delineation and routing also including hydrological features and waterbodies, which are normally not present in global model set-up. The latter is the novelty and not the resolution as such.

4) I think the authors miss-understood my comment about preserving ‘mass balance’, I just want to clarify this. My understanding is that HYPE allows for a flux in the GW stores that (from the WIKI manual) allows “Regional groundwater flow to outside model system”. What I take this to be effectively a ‘loss function’ meaning that this water is never seen again in the model domain. It's important to understand for such models that such ‘mass balance

losses' can occur as not every model works on that principle (and really it should be understood for different environments how much water is needing to be lost to gain 'good' predictions). Of course I expect the model to maintain 'mass balance' in terms of the numeric's being correct in each store or function, else the model would have coding errors.

You are right on the HYPE function, but this loss function from GW was only applied in endorheic catchments (where 100% of the outflow is lost). The outflows from these catchments are thus part of our boundary conditions, which is now specified in chapter 2 paragraph 2.

5) Please better explain the reasons for the choices of catchments that were involved in calibration and those for independent model evaluation (even if purely random) in lines 242-244,

No, it was not random – we selected calibration gauges based on their representativeness for specific processes during the stepwise calibration. When this is first mentioned in Section 3.3 we now also refer to section 4.2, where we explain this better. A more clarifying sentence is now ending this paragraph, reading:

“In addition, 1181 stations not fulfilling the criteria were added to increase the number of representative gauges to capture spatial variability when estimating parameter values. In total, 6519 gauging stations were used for model calibration and validation.”

The numbers are also coherent now (see upcoming comment No 8).

6) The section on model setup needs to better explain how many parameters are being estimated, or it can be included in the parameter estimation section. It seems that globally if I have this correct there are separate parameter estimations for 36 different classes (plus some others for lakes), but for each of those the text does not state the number of parameters being tuned in each.

Sorry, we missed to refer to Table A1 in the Appendix in a correct way, where we list all parameters that were calibrated in each step. We have now improved this and also added a text explaining the numbers better:

“We estimated parameters for 11 hydrological processes separately, where each process description includes between 2 and 20 parameters (Table A1 in the Appendix). Some processes were calibrated for specific categories, for instance different soil types, land use and elevation zones.”

I would also note that nowhere is it clear that although a 50km grid is being used for the forcing data I don't believe the paper explains how that is applied to each HRU or catchment size. So is this then 'averaged' over the HRU if the HRU is larger and is the forcing data fractionally weighted to properly reflect the catchment boundary delineation compared to the actual gridded data. Lines 277-278 sort of point to an explanation but it isn't 100% clear.

We have included a sentence explaining this in the Model setup section 4: “Gridded forcing data were linked to catchments using the grid point nearest to the catchment centroid.”

7) In catchment setup please give more statistics beyond the mean as to what catchment sizes are being run (as in say the 5th and 95th catchment size that each HRU is being run, and so to understand better what is meant by a certain resolution and that variation). Lines 320-321 do not resolve the statistics of HRU sizes at all well!

We have included some statistics of catchment size in the text under section 4.1:

“(5th percentile: 64 km²; 50th percentile: 770 km²; 95th percentile: 2185 km²)”

8) With regards to the approach to model calibration and evaluation there is an inconsistency with the stated number of catchments used in the model calibration and evaluation earlier in the paper and then those reported in lines 421-423. At least this is confusing as more catchments are brought into the calibration pool. This needs to be better explained please and this inconsistency removed.

Thanks! The inconsistency has been removed and the procedure better explained. The calibration procedure is step-wise and towards different representative gauges each time. For some steps shorter time-series and smaller catchments than 1000 km² were allowed to represent the spatial variability. See comment No 5.

9) To me an important part of the paper is to understand why we might treat different 'physiographic' areas differently (it basically is core to the whole results) and if this is justified in any hydrologically meaningful way (and of course there will always be multiple ways to do this, none perfect). However the authors only state they made 36 classes using ESA CCI 1.6 data, but no explanation is given as to why/how. I think the reader needs to understand 'why 36 classes'. For example I appreciate this is a paper which I am a co-author on (sorry) but the authors might be interested in some of the implications of what has recently been shown in Knoben, W.J.M., Woods, R.A. and Freer, J.E. (2018) A Quantitative Hydrological Climate Classification Evaluated With Independent Streamflow Data. *Water Resources Research* 54(7), 5088-5109 and why Köppen-Geiger may not be the best separator hydrologically - for example.

The discussion on catchment model structure and clusters of similar catchments for calibration is now briefly discussed in the new section of the Discussion (see below).

To improve the understanding of our procedures, the heading of Table 4 is now better described. Note: The HYPE concept is built on the assumption that land cover and soil type affects the hydrological processes. The 36 classes were given by the original land cover product from ESA. We only aggregated for parameter estimation due to lack of observed river flow from all of the ESA land cover types. We then assumed that the hydrological response from similar land cover would be about the same and could be described using the same parameter values. This is already described line 374-376 (section 4.2). The Table caused some confusion but this should be clear now with the new header.

NOTE: Köppen regions were not used in the HRU classification. We have used them broadly for assigning which PET algorithm to apply, for fine tuning calibration of ET (Table 6) and when analyzing physiographical reasons to model performance of flow signatures (Fig 7). We have now included this and a comment in section 5.2 that reads:

"It is rather common to use Köppen when evaluating ET (e.g. Liu et al, 2016) but it may not be the best separator hydrologically (Knoben et al., 2018) so model performance should preferably be evaluated and calibrated in clusters based on other characteristics in the future."

Thanks for highlighting this new reference - we might look into your classification when trying different ways to cluster catchment for more optimal calibration (work in progress).

10) Referring to point 5) above the reader has no way of knowing if the model evaluation was truly 'independent', in that if sub basins were involved in the calibration then they would not be independent, hence why a clarification of the choice of catchments and how this was separated is needed.

The catchments were independent in the sense that they were not used in calibration, which is mentioned in the text. However, some were part of the same river basin. We know explicitly mention how many that was truly independent. We also mention the metrics of model performance for them specifically in the Result section.

The choice of gauges for calibration is linked to your Comments No 5 and 8 and has been better clarified the Data section and the Step-wise calibration section (see above).

11) It's great to have flow signatures, please explain why this whole table 5 is being reported and better explain the authors comment that "help the modeler to examine whether the process description and model structure are valid across the landscape or if the regionalization of parameter values must be reconsidered for some parts of a large domain". This is critical to inform the reader how this is approached.

Table 5 is behind the analysis of Figure 7, which is analysed in section 5.3. The analysis guides the reader and modeler to identify where the model is better and worse. This is now clarified in section 4.3 by inserting two sentences before the statement you refer to:

"In large-sample hydrology it is not possible to examine each hydrograph individually using inspection. As the flow signatures aggregate information about the hydrograph, the model capability to simulate signatures will tell the modeler which part of the hydrograph is better or worse."

We hope this reads better.

12) I am not clear on what evidence presented the authors can so categorically state "WWH version 1.3 describes major hydrological features globally and important spatial variability in factors controlling the runoff mechanisms". That seems a little bold for me on the rest of the evidence submitted and the call from one reviewer to be 'more modest'. In my previous editor comments I stated: I also feel that there needs to be a better evaluation as to why certain regions behave well or not in terms of increasing a scientific interpretation in the paper to be novel for publication... But really the authors have not changed their approach to add any form of evaluation (only a small change to the discussion) but yet still they have this statement in the results. They either need to make some form of analyses that really reflects this concept that they maintain that the flow signatures effectively help diagnostics to understand if the model has the right processes or they change the way that they comment on what the results can (and do) say.

This is probably a matter of interpretation as the sentence should be read as an introduction to the analysis below, and it was an effort to be modest. Anyhow, we have now changed this sentence to read:

"To some extent WWH version 1.3 describes hydrological features globally and spatial variability in factors controlling the runoff mechanisms, although there is still substantial room for improvements over the coming decade(s)."

Please, also note that most of the text analyzing the results talks about model weaknesses and how to improve the model. We are honest with the result and do not pretend to have a perfect model. Yet it is neither absolutely useless. Some further amendments to be clearer on the novelty the paper contributes:

- Section 5.1 is now further elaborated to include more analysis of the results and why certain regions behave better or worse.
- Three paragraphs have been added to the Discussion chapter, to link with the new introduction and the overall discussion on how the results from applying catchment modelling methods (topographic catchment delineation, stepwise calibration, evaluation of several metrics and flow signatures against many observations) can help advancing global hydrological modelling in general. Here we try to answer the question posed in the Introduction "whether it is now possible and timely to apply catchment modelling techniques to advance global hydrological modelling". This is the focus and novelty of the paper bringing added scientific knowledge to the community. This is now also clarified in the first sentence of the Conclusion chapter.

We all know the GRDC gauges used have major impacts beyond those that are natural and this is bound to cause significant problems in interpreting any results. The authors make very

little mention of this throughout the results and I do think there needs to be improvements to self reflection as to where the model fails (or reasons why it might fail).

Reasons for failures and success are now better interpreted in section 5.1.

Note: We have not only used GRDC and the quality of the database was recently evaluated in another paper by Crochemore et al. (2019). Some reflections on data availability and quality are mentioned in the new Discussion section. However, HYPE is not only simulating natural conditions but also include some reservoir and some irrigation etc. Of course, not at all to the extent necessary, but the (high) ambition is to actually simulate the real river flow.

Figure 7, for example, is not usefully discussed in any way that helps the reader interpret any form of ‘process’ reasons why the model is failing. This does not require some analyses to earth observation, there are more immediate and tangible things that could be explored in the results just to see reasons for main model failures purely on stream flow that would provide some useful insights to the reader! (else this is a numerical fitting exercise only, but that is not what the authors are trying to state).

Section 5.1 is now further elaborated to include more analysis of the results and some more metrics from decomposing the KGE. However, the overall goal is to test if catchment modelling techniques can be applied globally and to examine the model performance. The goal is not yet to fully describe flow process in detail across the globe (but we might get there in a decade or so).

13) Figure 6 shows some lack of understanding of what the KGE metric scales to and needs to be adjusted so that it is technically correct. The current figure title suggests that they believe KGE at zero scales in the same way as Nash-Sutcliffe (all values above zero are better than the long term observed mean). But that is not the case. I’m sorry again to highlight another of our recent papers but it is very pertinent to this comment. See <https://www.hydrol-earth-syst-sci-discuss.net/hess-2019-327/> where Knoben et al. show that it is actually -0.41 that is equivalent to the long term observed mean and other matters with interpreting KGE scores.

We have now changed the color scale of Figure 6 and included an explanation in the text with the reference given above. Thank you for pointing this out.

14) I am not sure the authors can state that “the model provides a first platform for catchment modelling to be further refined and experimented with at the global, regional and local scales”. Again I have noted the work of Mark Mulligan and this may well not be the only such platform. Please ensure the correct context is given here....

See previous comments – we don’t claim to be exclusive here - but we now end the paper with some more evidence on our current state:

“Only when using the same methods or data, there is full transparency in the research process so that scientific progress and failures can be clearly understood, shared and learnt from. The WWH could be one stepping stone in such a collaborative process between catchment modellers across the globe. Therefore, SMHI annually offers a free training course since 2011, accompanied with travel grants for participants from developing countries since 2013. Every year about 30 new persons are trained in HYPE and get access to a piece of the modelled world, resulting in model refinements and various regional assessments around the globe e.g. climate-change impact on Hudson Bay (MacDonald et al., 2018), flow forecasts in Niger River (Andersson et al., 2017), hydromorphological evolution of Mackenzie delta (Vesakoski et al., 2017), and water quality in South Africa (Namugize et al., 2017) or England (Hankin et al., 2019).”

Thanks very much to all, Jim

Thank you, Jim – from all of us!

Global catchment modelling using World-Wide HYPE (WWH), open data and stepwise parameter estimation

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Abstract

Recent advancements in catchment hydrology (such as understanding [catchment similarity hydrological processes](#), accessing new data sources, and refining methods for parameter constraints) make it possible to apply catchment models for ungauged basins over large domains. Here we present a cutting-edge case study applying catchment-modelling techniques [with evaluation against river flow](#) at the global scale for the first time. The modelling procedure was challenging but doable and even the first model version show better performance than traditional gridded global models of river flow. We used the open-source code of the HYPE model and applied it for >130 000 catchments (with an average resolution of 1000 km²), delineated to cover the Earth's landmass (except Antarctica). The catchments were characterized using 20 open databases on physiographical variables, to account for spatial and temporal variability of the global freshwater resources, based on exchange with the atmosphere (e.g. precipitation and evapotranspiration) and related budgets in all compartments of the land (e.g. soil, rivers, lakes, glaciers, and floodplains), including water stocks, residence times, [interfacial fluxes](#), and the pathways between various compartments. Global parameter values were estimated using a [step-wisestepwise](#) approach for groups of parameters regulating specific processes and catchment characteristics in representative gauged catchments. Daily [and monthly](#) time-series (> 10 years) from 5338 gauges of river flow across the globe were used for model evaluation (half for calibration and half for independent validation), resulting in a median monthly KGE of 0.4. However, the ~~world-wide~~World-Wide HYPE (WWH) model shows large variation in model performance, both between geographical domains and between various flow signatures. The model performs best ([KGE > 0.6](#)) in Eastern USA, Europe, South-East Asia, and Japan, as well as in parts of Russia, Canada, and South America. The model shows overall good potential to capture flow signatures of monthly high flows, spatial variability of high flows, duration of low flows and constancy of daily flow. Nevertheless, there remains large potential for model improvements and we suggest both redoing the [calibration parameter estimation](#) and reconsidering parts of the model structure for the next WWH version. ~~The calibration cycle should be repeated a couple of times to find robust values under new fixed parameter conditions. For the next iteration, special focus will be given to precipitation, evapotranspiration, soil storage, and dynamics from hydrological features, such as lakes, reservoirs, glaciers, and floodplains.~~This first model version clearly indicates challenges in

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41 large-scale modelling, usefulness of open data and current gaps in processes understanding.
42 However, we also found that catchment modelling techniques can contribute to advance global
43 hydrological predictions. Parts of the WWH can be shared with other modellers working at the
44 regional scale to appreciate local knowledge, establish a critical mass of experts and improve the
45 model in a collaborative manner. Setting up a global catchment model has to be a long-term
46 commitment as it demands many iterations; this paper shows a first version, which will be subjected
47 to continuous model refinements in the future to achieve more useful results for water
48 management. The WWH is currently shared with regional/local modellers to appreciate local
49 knowledge.

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51 1. Introduction

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53 Global hydrological models with various properties and structures are provided by several modelling
54 communities (see reviews by e.g. Bierkens et al., 2015 and Sood and Smakhtin, 2015), although it is
55 well recognized that uncertainties associated with existing models are high when simulating the
56 water cycle at the global scale (e.g. Wood et al., 2011). To overcome this, some communities suggest
57 hyper-resolution (Bierkens et al., 2015) while others propose better coupling with earth observations
58 (Sood and Smakhtin, 2015). In this paper, we argue to improve global hydrological-model
59 performance by applying methods from the catchment modelling community.

60 In catchment modelling the water balance and fluxes are calculated within water divides. The
61 geographic unit for process descriptions is thus a polygon defined by topography instead of a grid cell
62 defined by size, instead of without physical boundaries. Recently, new topographic data with high
63 resolution (Yamazaki et al., 2017) enables definition of catchments globally. Having catchments as a
64 calculation unit makes it possible to apply an ecosystem approach and account for co-evolution of
65 processes at the landscape scale (e.g. Bloeschl et al., 2013). Model parameters can thus be linked to
66 catchment state from interacting entities and not only to aggregation of separated building blocks
67 (grids) of the catchment. The structure of the catchment model is usually a function of the
68 modellers' hydrological understanding and it is admitted that model parameters cannot be
69 measured directly in many cases, but have to be estimated (Wagener, 2003).

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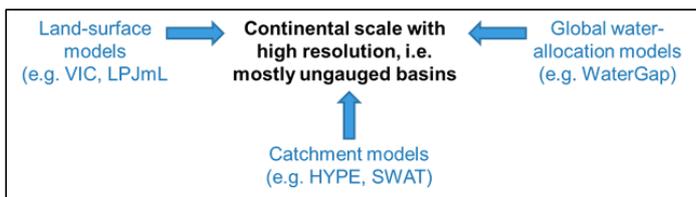
70 Catchment modellers' have a long tradition of evaluating model performance against
71 observations of river flow (e.g. Bergström and Forsman, 1973; Beven and Kirkby, 1979; Lindström et
72 al., 1997) as this is the integrated result of hydrological processes at the catchment scale and
73 moreover, is relatively easy to monitor. In the early 1970's, model parameters were calibrated using
74 rather simple curve fitting towards observed time-series of river flow in a specific catchment outlet
75 (e.g. Bergström and Forsman, 1973). Since then the methods for parameter estimation have become
76 more sophisticated with focus on uncertainties in parameter values. The catchment models
77 themselves are normally quick to run even on a personal computer, which has allowed the methods
78 for evaluating and calibrating catchment models to become computationally heavy, such as GLUE
79 (Beven and Binley, 1992), DREAM (Laloy and Vrugt, 2012), or methods in the SAFE toolbox (Pianosi et

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80 al., 2015). Nevertheless, with increasing computational capacity, these methods should be possible
81 to apply also across large domains with numerous river gauges.

82 The catchment community advocates the potential to advance science by addressing a larger domain
83 with multiple gauged catchments than just exploring one single catchment at a time (Falkenmark and
84 Chapman, 1989; Bloeschl et al., 2013; Hrachowitz et al., 2013; Gupta et al., 2014). One current trend
85 among catchment modellers' is thus to test their methods also at the continental scale (e.g.
86 Pechlivanidis and Arheimer, 2015; Abbaspour et al., 2015; Donnelly et al., 2016), where traditionally
87 other types of hydrological models were applied, using other modelling procedures and showing
88 other advantages than the methods used by the catchment modelling community (see e.g. Archfield
89 et al., 2015). Traditional global hydrological models are for instance water-balance and -allocation
90 models (e.g. Arnell, 1999; Vörösmarty et al., 2000; Döll et al., 2003; Mulligan, 2013) or
91 meteorological land-surface models (e.g. Liang et al., 1994; Woods et al., 1998; Pitman, 2003;
92 Lawrence et al., 2011) sometimes with more advanced routing schemes (e.g. Alferi et al., 2013). With
93 current evolution of catchment models, their performance can now be compared to more traditional
94 global and continental modelling approaches in the large-scale applications (Fig. 1).

95



96

97 Figure 1. Different modelling communities who can now start comparing their results.

98

99 Bierkens et al., (2015) pose the question "how, if at all, it is possible to calibrate models at the global
100 scale". In fact, the catchment modelling community have developed several approaches to
101 regionalize parameter values for large domains, for instance by using: (i) the same parameters based
102 on geographic proximity (e.g. Merz and Blöschl, 2004; Oudin et al., 2008); (ii) regression models
103 between parameter values and catchment characteristics (Hundecha and Bárdossy, 2004; Samaniego
104 et al., 2010; Hundecha et al., 2016); (iii) simultaneous calibration in multiple representative
105 catchments with similar climatic and/or physiographic characteristics (e.g. Arheimer and Brandt,
106 1998; Fernandez et al., 2000; Parajka et al., 2007). Theoretically, these methods should be possible to
107 apply also on the global scale.

108 In this paper we test a variety of the latter method, using a stepwise approach (e.g. Strömquist et al.,
109 2012; Pechlivanidis and Arheimer, 2015; Donnelly et al, 2016; Andersson et al., 2017) trying to isolate
110 hydrological processes and calibrate them separately against observed river flow in selected
111 representative basins across the entire globe, (although, some hydrological features such as large
112 lakes and floodplains were calibrated individually). This is an example of how to use the catchment
113 ecosystem approach assuming that hydrological processes are similar across the globe wherever the
114 catchments have evolved under similar conditions and have similar physiographic conditions.

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116 Hydrological models are useful tools to better understand processes behind observation, to
117 reconstruct past events and to predict future events, as well as to explore the impact of various
118 scenarios of change in flow-controlling factors, such as climate or human activities. Catchment
119 models were traditionally often applied in small well-monitored rivers under pristine conditions, to
120 understand mechanisms in flow generation (e.g. Bergström and Forsman, 1973; Beven and Kirby,
121 1979; Lindström et al., 1997) or to support flow forecasts at warning services (e.g. Arheimer et al.,
122 2011). However, a combination of societal requests and scientific initiatives has changed this context
123 for catchment modelling recently. As catchment models are mimicking observation through
124 calibration procedures, they have high credibility among practitioners and water managers. Hence,
125 they are used operationally in many societal sectors, to provide for instance design values for
126 infrastructure, water allocation schemes, navigation routes, flood warnings, environmental status
127 indices or optimal industrial water use. Currently, all these users of catchment model outputs also
128 face climate change and seek data and information to best implement climate adaptation for their
129 specific business. Hence, catchment models are also used to estimate climate change impact.

130 The catchment research community has embraced this applied focus and, at the same time,
131 expanded the geographical domain to multi-catchments. The applied focus is illustrated by the new
132 decade of the International Association of Hydrological Sciences (IAHS) called “Panta Rhei”, which
133 addresses change in hydrology and society (Montanari et al., 2013) and focuses on the human impact
134 on the water cycle instead of traditional pristine conditions. The spatial expansion, on the other
135 hand, is driven by accelerating advances in hydrological research as described by Archfield et al.
136 (2015). For instance, comparative hydrology (Falkenmark and Chapman, 1989) or large sample
137 hydrology (Gupta et al., 2014) show the potential to advance science by addressing a larger domain
138 with multiple catchments than just exploring one single catchment at a time. Similarly, the previous
139 scientific decade of IAHS “Predictions in Un-gauged Basins”, PUB (Hrachowitz et al., 2013; Bloeschl et
140 al., 2013), resulted in methods to maintain the procedures typical for catchment modelling when
141 parameters are transferred to areas without observed time-series of river flow, such as
142 regionalization, parameter constraints, and Monte Carlo approaches for empirical quality control, to
143 ensure that the process description is realistic and account for uncertainties. This opened up for
144 catchment models to be tested and applied also at the continental scale (e.g. Pechlivanidis and
145 Arheimer, 2015; Abbaspour et al., 2015; Donnelly et al., 2016), where normally other types of
146 hydrological models were applied, using other modelling procedures and showing other advantages
147 than the methods used by the catchment modelling community (see e.g. Archfield et al., 2015). Such
148 large-scale models are for instance water allocation models (e.g. Arnell, 1999; Vörösmarty et al.,
149 2000; Döll et al., 2003) or meteorological land-surface models (e.g. Liang et al., 1994; Woods et al.,
150 1998; Pitman, 2003; Lawrence et al., 2011) sometimes with more advanced routing schemes (e.g.
151 Alferi et al., 2013). These more traditional global and continental modelling approaches can now be
152 compared to hydrological catchment models in large-scale applications (Fig. 1).

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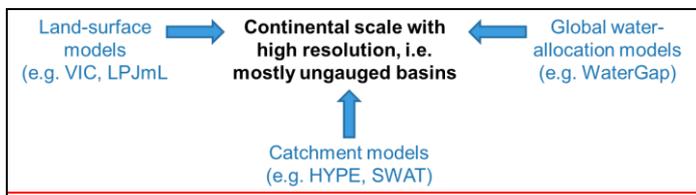


Figure 1. Different modelling communities who can now start comparing their methods.

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157 Other important factors, which nowadays allow catchment modelling at the global scale, are
 158 computational capacity and open global data sources. The methods for applying and evaluating
 159 catchment models are computationally heavy. The advances in application routines and evaluation
 160 frameworks, such as GLUE (Beven and Binley, 1992), DREAM (Laloy and Vrugt, 2012), or methods in
 161 the SAFE toolbox (Pianosi et al., 2015) have become possible due to the fact that the catchment
 162 models themselves are normally quick to run even on a personal computer. With increasing
 163 computational capacity, these methods are now possible to apply also in a multi-catchment
 164 approach for a large domain (i.e. nested catchment units instead of grids, and entire landmass
 165 coverage instead of isolated catchments). Most important for catchment modelling, however, is the
 166 recent explosion of open and readily available data sources globally, which makes it possible to
 167 delineate the catchment borders, find input data at relevant scale to set up the catchment models,
 168 and to assign time-series of observed flow at some catchment outlets. This enables the use of
 169 recognised methods in catchment modelling for parameter estimation and model evaluation, as
 170 described in the following paragraphs. Using catchments instead of grids as a calculation unit also
 171 makes it possible to apply an ecosystem approach and account for spatial co-evolution of processes
 172 at the landscape-scale (e.g. Blöschl et al., 2013). Model parameters can thus be linked to catchment
 173 state from interacting entities and not only to aggregation of separated building blocks of the
 174 catchment.

175 In the early 1970's, model parameters were calibrated using a rather simple curve fitting towards
 176 observed time-series of river flow in a specific catchment outlet (e.g. Bergström and Forsman, 1973).
 177 Since then the methods for parameter estimation have become more sophisticated, especially when
 178 the objective is regionalisation across many catchments at large scale (e.g. Beck et al., 2016). Some
 179 common approaches use: (i) the same parameters based on geographic proximity (e.g. Merz and
 180 Blöschl, 2004; Oudin et al., 2008); (ii) regression models between parameter values and catchment
 181 characteristics (Hundecha and Bárdossy, 2004; Samaniego et al., 2010; Hundecha et al., 2016); (iii)
 182 simultaneous calibration in multiple representative catchments with similar climatic and/or
 183 physiographic characteristics (e.g. Arheimer and Brandt, 1998; Fernandez et al., 2000; Parajka et al.,
 184 2007). In this study, we apply a variety of the latter, using a stepwise approach (e.g. Strömqvist et al.,
 185 2012; Pechlivanidis and Arheimer, 2015; Donnelly et al., 2016; Andersson et al., 2017) trying to isolate
 186 hydrological processes and calibrate them separately against observed river flow in selected
 187 representative basins across the entire globe, although, some hydrological features as large lakes and
 188 floodplains were calibrated individually.

189 The hypothesis tested in the present study states that, it is now possible and timely to apply
 190 catchment modelling techniques at the global scale, for which only gridded approaches have been
 191 reported so far (Bierkens et al., 2015; Sood and Smakhtin, 2015). We address this hypothesis by
 192 applying a catchment model world-wide and then evaluating the results, using statistical metrics for

193 [streamflow](#) time-series and [flow](#) signatures. To our knowledge, this is the first time a catchment
194 model was applied world-wide [and evaluated against river flow covering the entire across the globe,](#)
195 [with relatively high resolution, providing an average subbasin](#) [The catchments were delineated and](#)
196 [routed based on high-resolution topography \(90 m\) resulting in an average](#) size of ~1000 km² (WWH
197 version 1.3). Our specific objective is to provide a harmonized way to predict hydrological variables
198 (especially river flow and the water balance) globally, [which and then the model set-up can also](#) be
199 shared for further [regional](#) refinement to assist in [regional and local](#) water management wherever
200 hydrological models are currently lacking. To address this objective, we (i) compile open global data
201 from >30 sources, including for instance topography and river routing, meteorological forcing,
202 physiographic land characteristics and in total some 20 000 time-series of river flow world-wide, (ii)
203 apply the open-source code of the Hydrological Predictions for the Environment, HYPE model
204 (Lindström et al., 2010), (iii) estimate model parameter values using a new stepwise calibration
205 technique addressing the major hydrological processes and features world-wide, and (iv) compute
206 metrics and flow signatures, and compare model performance with physiographic variables to judge
207 model usefulness. We then pose the scientific question: How far can we reach in predicting river flow
208 globally, using integrated catchment modelling, open global data and readily available time-series for
209 calibration?

210

211 2. The HYPE model

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213 The development of the HYPE model was initiated in 2002, primary to support the implementation of
214 the EU Water Framework Directive in Sweden (Arheimer and Lindström, 2013). It was originally
215 designed to estimate water quality status, but is now also used operationally at the Swedish
216 hydrological warning service at SMHI for flood and drought forecasting (e.g. Pechlivanidis et al.,
217 2014). The water and nutrient model is applied nationally for Sweden (Strömqvist et al., 2012), the
218 Baltic Sea basin (Arheimer et al., 2012) and Europe (Donnelly et al., 2013). It also provides
219 operational hydrological forecasts for Europe at short-term and seasonal scale and it has been
220 subjected to several large-scale applications across the world, e.g. the Indian subcontinent
221 (Pechlivanidis and Arheimer, 2015) and the Niger River (Andersson et al., 2017). One of the main
222 drivers for HYPE applications has been climate-change impact assessments, for which its results have
223 been compared to other models in selected catchments across the globe (Geflan et al., 2017; Gosling
224 et al., 2017; Donnelly et al., 2017).

225 The HYPE model code (Lindström et al., 2010) represents a rather traditional integrated catchment
226 model, describing major water pathways and fluxes in a catchment ensuring that the mass of water is
227 conserved at each time step. Parameters [values are often linked to physiographic properties and the](#)
228 [values](#) regulate the fluxes between water storages in the landscape and interaction with boundary
229 condition of the atmosphere, [the oceans, and outlets of endorheic catchments, so called sinks \(see](#)
230 [section 4.1](#) and [deep ground water aquifers \(see](#) detailed model documentation at
231 [hypeweb.smhi.se](#)). It is forced by precipitation and temperature at daily or hourly time-step, and
232 start by calculating the water balance of Hydrological Response Units (HRUs), which is the finest
233 calculation unit in each catchment. In the WWH set-up, the HRUs were defined by land-cover,

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234 elevation and climate, without specific consideration to further definition of soil properties. This was
235 guided by recent studies indicating that soil water storage and fluxes well related to vegetation type
236 and climate conditions rather than soil properties (e.g. Troch et al., 2009; Gao et al., 2014). HYPE has
237 maximum three layers of soil and these were all applied in the WWH, with a different hydrological
238 response from each one for each HRU. The first layer corresponds to some 25 cm, the second to
239 some 1-2 meters and the third can be deep also accounting for ground water. A specific routine can
240 account for deep aquifers, but this was not applied in the WWH due to lack of local or regional
241 information of aquifer ~~behavior~~behaviour. HYPE has a snow routine to account for snow storage and
242 melt, while a glacier routine accounts for ice storage and melt. Mass balances of glaciers were based
243 on the observations provided in the Randolph Glacier Inventory (Arendt et al., 2015) and fixed
244 separately in the model set-up.

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245 There are a number of algorithms available to calculate potential evapotranspiration (PET) in HYPE.
246 For the WWH we used the algorithms that had been judged most appropriate in previous HYPE
247 applications, giving Jensen-Haise (Jensen and Haise, 1963) in temperate areas, modified Hargreaves
248 (Hargreaves and Samani, 1982) in arid and equatorial areas, and Priestly Taylor (Priestly and Taylor,
249 1972) in polar and snow /ice dominated areas. River flow is routed from upstream catchments to
250 downstream along the river network, where lakes and reservoirs may dampen the flow according to
251 a rating curve. A specific routine is used for floodplains to allow the formation of temporary lakes,
252 which may be crucial especially in inland deltas (Andersson et al., 2017). Evaporation takes place
253 from all water surfaces, including snow and canopy. The HYPE source code, documentation and user
254 guidance are freely available at <http://hypecode.smhi.se/>.

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255 3. Data

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3.1 Physiographic data

258 For catchment delineation and routing, topographical data is needed, but none of the hydrologically
259 refined databases cover the entire land surface of Earth and therefore we had to merge several
260 sources of information (Table 1). Most of the globe (from 60S to 80N) is covered by GWD-LR (Global
261 Width Database of Large Rivers) 3 arc sec (Yamazaki et al. 2014 and 2017), apart from the very
262 northern part close to the Arctic Sea, for which HYDRO1K 30 arc sec (USGS) is used. For Greenland,
263 we used GIMP-DEM (Greenland Ice Mapping Project) 3 arc sec (Howat et al. 2014) and for Iceland the
264 National data from the meteorological office. For the latter we merged the catchments to better fit
265 the overall resolution, going from 27 000 catchments to 253. Each of the above datasets was used
266 independently in the delineation.

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267 Additional data was gathered to help with defining catchments as the delineation of catchments can
268 be difficult in some environments. In flat areas we consulted previous mapping and hydrographical
269 information of floodplains, prairies and deserts (Table 1). Karstic areas are unpredictable due to lack
270 of subsurface information of underground channels crossing surface topography and thus needed to
271 be defined and evaluated separately. Finally, flood risk areas (UNEP/GRID-Europe ; Table 1) were
272 recognized as potentially important, enabling the use of model results in combination with hydraulic

Lakes	Global Lake and Wetland Database 1.1 (GLWD) https://www.worldwildlife.org/publications/global-lakes-and-wetlands-database-large-lake-polygons-level-1	Lehner and Döll, 2004
Lake depths	Global Lake Database v2(GLDB) http://www.flake.igb-berlin.de/ep-data.shtml	Kourzeneva, 2010, Choulga, 2014
Reservoirs and dams	Global Reservoir and Dam database v 1.1 (GRanD) http://www.gwsp.org/products/grand-database.html	Lehner et al., 2011
Irrigation	GMIA v5.0 http://www.fao.org/nr/water/aquastat/irrigationmap/index10.stm MIRCA v1.1 http://www.uni-frankfurt.de/45218031/data_download	Siebert et al., 2013 Portmann et al., 2010
Climate classification	Köppen-Geiger Climate classification, 1976-2000, v June 2006 http://koeppe-geiger.vu-wien.ac.at/	Kottke et al., 2006

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285

3.2 Meteorological data

286 The WWH model uses time-series of daily precipitation and temperature to make calculations on a
 287 daily time-step. All catchment models require initializations of the current state of the snow, soil and
 288 lake (and sometimes river) storages. At the global scale, a seamless dataset for several decades is
 289 necessary for consistent model forcing, to also cover hydrological features with large storage
 290 volumes. For WWH version 1.3 precipitation and temperature were achieved from the Hydrological
 291 Global Forcing Data (HydroGFD; Berg et al., 2018), which is an in-house product of SMHI that
 292 combines different climatological data products across the globe. This global dataset spans a long
 293 climatological period up to near-real-time and forecasts (from 1961 to 6 months ahead). The period
 294 used in this study, is primarily based on the global (50 km grid) re-analysis product ERA-interim (Dee
 295 et al., 2011) from ECMWF, which is further bias adjusted versus other products using observations,
 296 e.g. versions of CRU (Harris and Jones, 2014) and GPCC (Schneider et al, 2014). The HydroGFD
 297 dataset is produced using a method for bias adjustment, which is similar to the method by Weedon
 298 et al. (2014) but additionally uses updated climatological observations, and, for the near-real-time,
 299 interim products that apply similar methods. This means that it can run operationally in near-real-
 300 time. The dataset is continuously upgraded and in the present study, we used the HydroGFD version
 301 2.0.

302

303

3.3 Observed river flow

304 Catchment models need time-series of hydrological variables for parameter estimation and model
 305 evaluation. Metadata and daily and monthly time-series from gauging stations were collected from
 306 readily available open data sources globally (Table 3). In total, information from 21 704 gauging
 307 stations could be assigned to a catchment outlet. Of these, time-series could be downloaded for 11
 308 369 while 10 336 could only assist with metadata, such as upstream area, river name, elevation or
 309 natural of regulated flow. The time-series were screened for missing values, inconsistency, skewness,
 310 trends, inhomogeneity, and outliers (Crochemore et al., 2019). Only stations representing the
 311 resolution of the model ($\geq 1000 \text{ km}^2$) and with records of at least 10 consecutive years between 1981
 312 and 2012 were considered for model evaluation. With these criteria, 5338 time-series were finally

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“	4a8d-b96c-bf623dd6b13b Estacoes Fluviometrica	Brazil	ANA (Agencia Nacional de Aguas)	Formaterat: Svenska (Sverige)
“	http://www.dga.cl/Paginas/default.aspx Red Hidrometrica	Chile	DGA (Direccion General de Aguas)	Formaterat: Engelska (Storbritannien)
“	http://www.ideam.gov.co/geoportal Catalogo Nacional de Estaciones de Monitoreo Ambiental	Colombia	IDEAM (Instituto de Hidrologia, Meteorologia y Estudios Ambientales)	Formaterat: Engelska (Storbritannien)
“	http://www.serviciometeorologico.gob.ec/geoinformacion-hidrometeorologica/ Estaciones_Hidrologicas	Ecuador	INAMHI (Instituto Nacional de Meteorología e Hidrología)	Ändrad fältkod Formaterat: Engelska (Storbritannien)
“	http://www.senamhi.gob.pe/?p=0300 National data	Peru	SENAMHI (Servicio Nacional de Meteorología e Hidrología del Peru)	Formaterat: Engelska (Storbritannien)
“	http://www.inameh.gob.ve/web/ National data	Venezuela	IGVSB (Instituto Geográfico de Venezuela Simon Bolivar)	Formaterat: Svenska (Sverige)
“	http://www.conabio.gob.mx/informacion/metadatos/gis/esthidgw.xml? httpcache=yes& xsl=/db/metadatos/xsl/fgdc_html.xsl& indent=no Conabio 2008	Mexico	Instituto Mexicano de Tecnología del Agua/CONABIO	Formaterat: Engelska (Storbritannien)
“	http://nigerhycos.abn.ne/user-anon/htm/ Niger HYCOS	Niger river	World Hydrological Service System (WHYCOS)	Formaterat: Svenska (Sverige)
“	https://www.dwa.gov.za/Hydrology/ National data	South Africa	Department Water & Sanitation, Republic of South Africa	Formaterat: Engelska (Storbritannien)
“	http://publicutilities.govmu.org/English/Pages/Hydrology-Data-Book-2006---2010.aspx National data	Mauritius	Mauritius Ministry of Energy and Public Utilities	Formaterat: Svenska (Sverige)

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322 4. Model setup

323

324 The WWH is developed incrementally, and the current version 1.3 was based on previous versions,
 325 where version 1.0 only included the most basic functions to run a HYPE model and was forced by
 326 MSWEP (Beck et al., 2017) and CRU (Harris and Jones, 2014). Version 1.2 included distributed
 327 geophysical and hydrographical features, and finally, version 1.3 (described below) included
 328 estimated parameter values and was forced by the meteorological dataset Hydro-GFD, which also
 329 provides operational forecasts at a 50 km grid (Berg et al., 2017). **Gridded forcing data were linked to**
 330 **catchments using the grid point nearest to the catchment centroid.** Dynamic catchment models need
 331 to be initialised to account for adequate storage volumes, which may, for instance, dampen or supply
 332 the river flow based on catchment memory (e.g. Iliopoulou et al., 2019). The WWH was initialized by
 333 running for a 15-year warm-up period 1965-1980, which was judged to be enough for more than 90%
 334 of the catchments by checking the time it takes for runs initialized 20 years apart to converge. Long
 335 initialization periods are needed for large lakes with small catchments, large glaciers, and sinks or
 336 rarely-contributing areas.

337 The current model runs at a Linux cluster (using nodes of 8 processors and 16 threads) with
 338 calculations in approximately 1 800 000 **hydrological response units (HRUs)** and 130 000 catchments

339 covering the worlds land surface, except for Antarctica. The model runs in parallel in 32
340 hydrologically-independent geographical domains with a run time of about 3 hours for 30-year daily
341 simulations. The methods applied for modelling and evaluation mostly follow common procedures
342 used by the catchment modelling community, as described below.

343

344 4.1 Catchment delineation and characteristics

345 Catchment borders were delineated using the World Hydrological Input Set-up Tool (WHIST;
346 <https://hypeweb.smhi.se/model-water/hype-tools/http://hype.sourceforge.net/WHIST/>), software
347 developed at SMHI that is linked to the Geographic Information System (GIS) Arc-GIS from ESRI. By
348 defining force-points for catchment outlets in the resulting topographic database (c.f. Table 1) and
349 criteria for minimum and maximum ranges in catchment size, the tool delineates catchments and the
350 link (routing) between them. By adding information from other types of databases, WHIST also
351 aggregates data or uses the nearest grid for assigning characteristics to each catchment. WHIST
352 handles both gridded data and polygons, and was used to link all data described in Section 2, such as
353 land-cover, river width, precipitation, temperature, and elevation, to each delineated catchment.
354 WHIST then compiles the input data files to a format that can be read by the HYPE source code. The
355 software runs automatically, but also has a visual interface for manual corrections and adjustments.
356 It may also adjust the position of the gauging stations to match the river network of a specific
357 topographic database.

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358 When setting up WWH, force-points for catchment delineation were defined according to:

- 359 • *Locations of gauging stations in the river network:* in total, catchments were defined for all
360 21 704 gauging stations which had an upstream area greater than 1000 km² (except for data
361 sparse regions (500 – 1000 km²). Their coordinates were corrected to fit with the river
362 network of the topographic data, using WHIST and manually. Quality checks of catchment
363 delineation were done towards station metadata and 88% of the estimated catchment areas
364 were within +/-10% discrepancy towards metadata. These catchments were used in further
365 analysis for parameter estimation or model evaluation; however, not all of these sites
366 provided open access to time-series (see Section 2.3).
- 367 • *Outlets of large lakes/reservoirs:* New lake delineation was done to solve the spatial
368 mismatch between data of the water bodies from various sources (c.f. Table 2). The centroid
369 of the lakes included in GLWD and GRanD was used as initialization points for a Flood Fill
370 algorithm, applied over the ESA CCI Water Bodies, followed by manual quality checks. The
371 outlet location was defined using the maximum upstream area for each lake. In total, around
372 13 000 lakes and 2500 reservoirs > 10 km² were identified globally. The new dataset was
373 tested against detailed lake information for Sweden, which represents one of the most lake-
374 dense regions globally. Merging data from the two databases and adjusting to the
375 topographic data used was judged more realistic for the global hydrological modelling than
376 only using one dataset.

378

- *Large cities and cities with high flood risk:* The UNEP/GRID-Europe database (Table 1) was used to define flood-prone areas for which the model may be useful in the future. The criteria for assigning a force point was city areas of > 100 km² (regardless of the risks on the UNEP scale) or city areas of 10-100 km² with risk 3-5 and an upstream area > 1000 km². This was only considered if there was no gauging station within 10 km from the city. This gave another 2 439 forcing points to the global model.
- *Catchment size:* the goal was to reach an average size of some 1000 km², for practical (computational) and scientific reasons, reflecting uncertainty in input data. Criteria in WHIST were set to reach maximum catchment size of 3000 km² in general and 500 km² in coastal areas with < 1000 m elevation (to avoid crossing from one side to another of a narrow and high island or peninsula). Post-processing was then done for the largest lakes, deserts, and floodplains, following specific information on their character (see data sources in Table 2).

Using this approach, the land surface of the Earth (i.e. 135 million km² when excluding Antarctica) was divided into 131 296 catchments with an averagea mean size of 1020 km². (5th percentile: 64 km²; 50th percentile: 770 km²; 95th percentile: 2185 km²). Flat land areas of deserts and floodplains ended up with somewhat larger catchments, about 4500 km² and 3500 km², respectively. Around 23.8% of the land surface did not drain to the sea but to sinks (Fig. 2), the largest single one being the Caspian Sea. This water was evaporated from water surfaces but also percolated to groundwater reservoirs. Moreover, several areas across the globe are of Karstic geology with wide underground channels, which does not follow the land-surface topography. Sinks within Karst areas according to the World Map of Carbonate Rock outcrops (Table 1) were linked to “best neighbour” and inserted to the river network. The Canadian prairie also encompasses a large number of sinks due to climate and topography, and there existed a national dataset from Canada with well-defined noncontributingnon-contributing areas to adjust the routing in this area.

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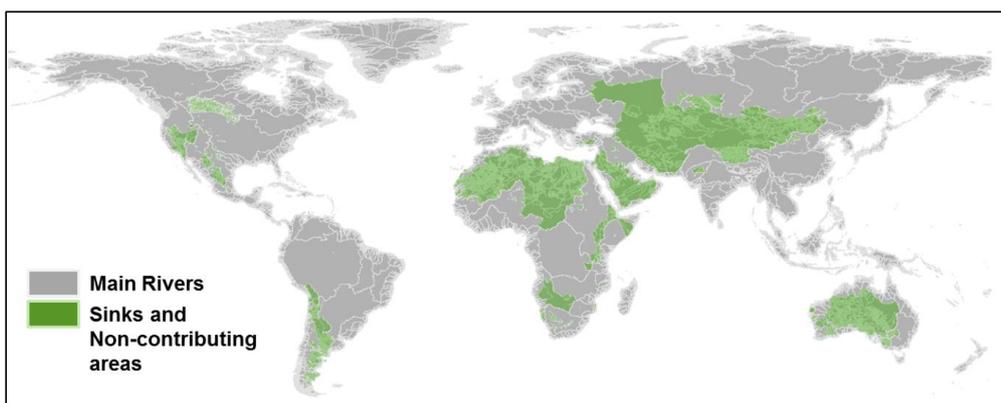


Figure 2. Major river basins and areas not contributing to river flow from land to the sea.

The land-cover data from ESA CCI LC v1.6 (Table 2) was used as the base-line for HRUs. It has 36 classes and subclasses and three of these were adjusted using additional data to improve the quality;

410 (i~~1~~) by using ~~g~~ glacier delineated by the RGI v5 and comparing spatially the outlines of both sources,
411 we avoided overestimation of the glacier area; (ii~~2~~) by using GMIA and MIRCA in a data fusion
412 algorithm to create a more robust new irrigation database, we added irrigation information were is
413 was missing and underestimated; (iii~~3~~) by combining several sources of water bodies (see Table 2)
414 and spatial analyses (e.g. a flood fill algorithm and geospatial tools) we differentiated one general
415 class of waterbodies into four: large lakes, small lakes, rivers, and coastal sea, which makes more
416 sense in catchment modelling. Five elevation zones were derived to differentiate land-cover classes
417 with altitude (0-500 m, 500-1000 m, 1000-2000 m, 2000-4000 m and 4000–8900 m) as the
418 hydrological response may be very different at different altitude due to vegetation growth and soil
419 properties. The land-cover at these elevations was thus treated as a specific HRU globally. In total,
420 this resulted in 169 HRUs.

421 All catchments were characterized according to Köppen-Geiger (Table 2) to assign a PET algorithm
422 (see section 3.2) but the characteristics did not include soil properties, which is common in
423 catchment hydrology. The approach when setting up HYPE was to use the possibility to assign
424 hydrologically active soil depth for the HRUs instead (see Section 2 on HYPE model), based on the
425 variability in vegetation, climate and elevation they represent as suggested by Troch et al. (2009) and
426 Gao et al. (2014). However, a few distinct soil properties were unavoidable beside the general soil to
427 describe the hydrological processes; these were impermeable conditions of urban and rock
428 environments, and infiltration under water and rice fields.

429

430 4.2 ~~Step-wise~~Stepwise parameter estimation

431 The method to assign parameter values for the global model domain aimed at finding (i) robust
432 values also valid for ungauged basins, as well as (ii) reliable process description of dominating flow
433 generation processes and water storage along the flow paths. The first aim was addressed by
434 simultaneous calibration in multiple representative catchments world-wide. Spatial heterogeneity
435 was accounted for by separate calibration of catchments representing different climate, elevation,
436 and land-cover globally. The second aim was addressed by applying a ~~step-wise~~stepwise approach
437 following the HYPE process description along the flow paths, only calibrating a few parameters
438 governing a specific process at a time (Arheimer and Lindström, 2013). The estimated parameter
439 values were then applied wherever relevant in the whole geographical domain, i.e. world-wide. We
440 estimated parameters for 11 hydrological processes separately, where each process description
441 includes between 2 and 20 parameters (Table A1 in the Appendix). Some processes were calibrated
442 for specific categories, for instance different soil types, land use and elevation zones.

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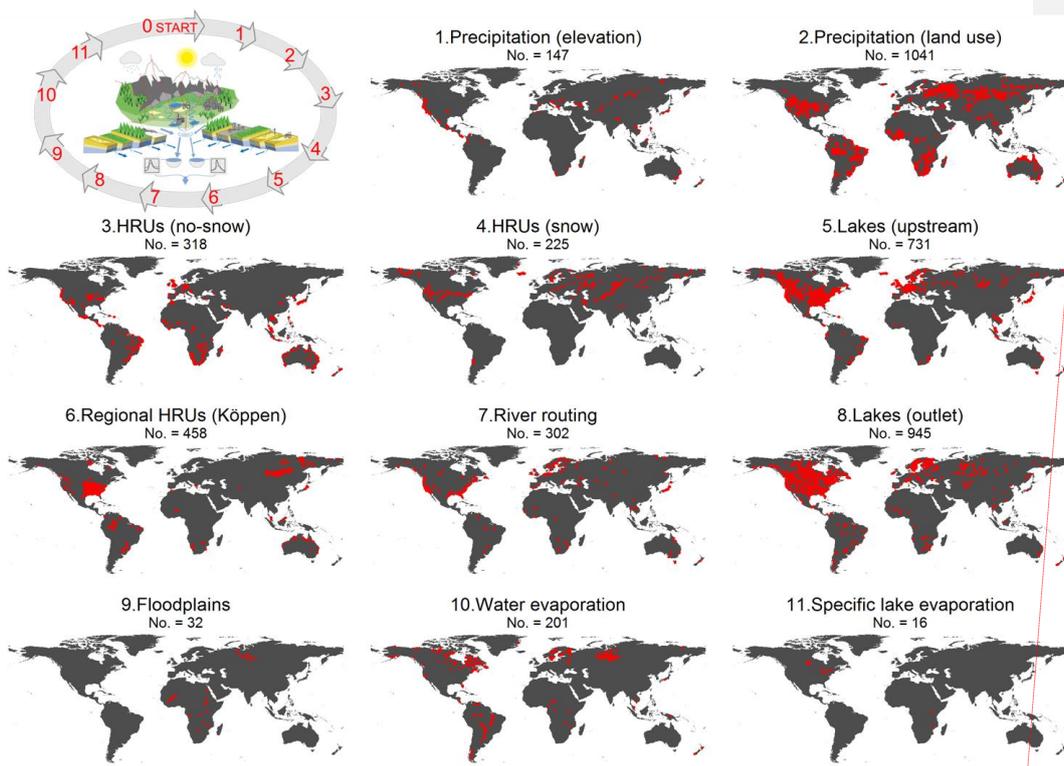
443 Different catchments were selected globally to best represent each process calibrated (Fig. 3).
444 Processes were assumed to be linked to different physiographic characteristics (Kuentz et al., 2017)
445 and catchments with gauging stations where these characteristics were most prominent in the
446 upstream area were selected (i.e. the representative gauged basin method). For HRUs, separate
447 calibration was done for the snow-dominated areas (>10% of precipitation falling as snow), as the
448 snow processes give such strong character to the runoff response and simultaneous calibration with
449 catchments lacking snow may thus underestimate other flow-controlling processes. The HRUs based
450 on the ESA CCI 1.6 data was aggregated from 36 classes into 10 (Table 4) for more efficient

451 calibration and to ensure that some 50% of the gauged catchments selected were representing the
 452 appointed land-cover. Some local hydrological features such as large lakes and floodplains were
 453 calibrated individually. When evaluating the effect of this, we discovered some major bias for the
 454 Great Lakes in North America and Malawi and Victoria lakes in Africa. Finally, we introduced the 11th
 455 step to calibrate the evaporation of these separately (Fig 3).

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458 **Figure 3.** Number of gauging stations and their location that was used in each step of the stepwise parameter
 459 estimation procedure and evaluation against in-situ observations world-wide.

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461 In total, 6519 river gauges were used ~~in the calibration process for evaluating model performance~~.
 462 Among these, 3656 were used in the calibration but normally each gauge only affecting affected a
 463 few model parameters in the stepwise procedure. 1181 of these gauges did not meet the ambition to
 464 represent the average catchment resolution and 10 consecutive years between 1981 and 2012, but
 465 was still included in some step due to lack of data. Automatic calibration was applied for each subset
 466 of parameters and representative catchments in each step, using the Differential Evolution Markov
 467 Chain (DEMC) approach (Ter Braak, 2016) to obtain the optimum parameter value in each case. The
 468 advantage of DEMC versus plain DE is both the possibility to get a probability-based uncertainty
 469 estimate of the global optimum and a better convergence towards it. The DEMC requires several
 470 parameters to be fixed and the choice of these parameters was based on a compromise between
 471 convergence speed and the accuracy of the resulting parameter set. Global PET parameter values

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472 were fixed first, before starting the **step-wisestepwise** procedure, using the MODIS global
 473 evapotranspiration product (MOD16) by Mu et al., (2011) for parameter constraints. The parameter
 474 ranges were defined as the median and the 3rd quartile of the 10% best agreements between HYPE
 475 and MODIS in terms of RE. The first selection was done with 400 runs and then repeated for a second
 476 round. In addition, a priori parameters (Table 5A1 in the Appendix) were set for glaciers and soils
 477 without calibration, taken from previous applications (e.g. Donnelly et al., 2016; MacDonald et al.,
 478 2018). The bare deserts soil was manually calibrated only using 4 stations in the Sahara desert. The
 479 area and volume of glaciers were evaluated in 296 glaciers and soil parameters in some 30
 480 catchments. The root zone storage of soils was further calibrated in the parameter setting of each
 481 HRU (in step No 4 and 5).

482
 483 While the calibration period was 1981-2012, it was always preceded by 15 years of initialization.
 484 Different metrics were chosen as calibration criteria, depending on the character of the parameter
 485 and how it influences the model. For instance, Relative Error (RE) was used as a metric in the
 486 calibration of precipitation and PET parameters, since the aim was to correctly represent water
 487 volumes. On the contrary, Correlation Coefficient (CC) was used when the timing was the main goal
 488 (i.e. for river routing or dampening in lakes). If both water volume and timing were required, Kling-
 489 Gupta Efficiency (KGE; Gupta et al., 2009) was used (i.e. for soil discharge from HRUs). Wherever
 490 possible, calibration was made using a daily time-step, while overall model evaluation on the global
 491 scale was made on a monthly time-step.

492
 493 **Table 4.** Aggregated land covers used for **calibrating** HRUs, their representation in the upstream catchment and
 494 the number of gauges available for each land cover when estimating parameter values of WWH v1.3.

Aggregated Land Cover HRU calibration	Aggregated-Original Land cover from ESA CCI 1.6 (model HRUs)	Land cover	No. gauges (snow area)	No. gauges (no snow)
Bare	Bare areas	35%	7	32
	Consolidated bare areas			
	Unconsolidated bare areas			
Crop	Cropland, rain fed	50%	52	30
	Herbaceous cover			
	Tree or shrub cover			
	Cropland, irrigated or post-flooding irrigated Rice			
Grass	Grass	50%	-	1
Mosaic	Mosaic cropland (>50%) / natural vegetation (tree, shrub, herbaceous cover) (<50%)	50%	39	29
	Mosaic natural vegetation (tree, shrub, herbaceous cover) (>50%) / cropland (<50%)			
	Mosaic tree and shrub (>50%) / herbaceous cover (<50%)			
	Mosaic herbaceous cover (>50%) / tree and shrub (<50%)			
Shrub	Shrubland	50%	54	17
	Shrubland evergreen			
	Shrubland deciduous			
	Shrub or herbaceous cover, flooded,			

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fresh/saline/brackish water

▲ Sparse	Lichens and mosses Sparse vegetation (tree, shrub, herbaceous cover) (<15%) Sparse shrub (<15%) Sparse herbaceous cover (<15%)	35%	40	11
▲ TreeBrDecMix	Tree cover, broadleaved, deciduous, closed to open (>15%) Tree cover, broadleaved, deciduous, closed (>40%) Tree cover, broadleaved, deciduous, open (15-40%) Tree cover, mixed leaf type (broadleaved and needle-leaved)	50%	26	28
▲ TreeBrEvFlood	Tree cover, broadleaved, evergreen, closed to open (>15%) Tree cover, flooded, fresh or brackish/brackish water Tree cover, flooded, saline water	50%	37	30
▲ TreeNeDec	Tree cover, needle-leaved, deciduous, closed to open (>15%) Tree cover, needle-leaved, deciduous, closed (>40%) Tree cover, needle-leaved, deciduous, open (15-40%)	50%	46	-
▲ TreeNeEv	Tree cover, needle-leaved, evergreen, closed to open (>15%) Tree cover, needle-leaved, evergreen, closed (>40%) Tree cover, needle-leaved, evergreen, open (15-40%)	50%	-	10
▲ Urban	Urban	50%	21	30

495

496

4.3 Model evaluation

497 ▲ The model was evaluated against independent observed river flow by using remaining remaining
498 gauges, which were not chosen for the calibration procedure. The agreement between modelled and
499 observed time-series was evaluated using the statistical metric KGE and its components r , β and α ,
500 which are directly linked with CC (Pearson Correlation Coefficient), RE (Relative Error) and RESD
501 (Relative Error of Standard Deviation), respectively (Gupta et al., 2009). KGE is defined as:

502

$$KGE = 1 - \sqrt{(r - 1)^2 + (\alpha - 1)^2 + (\beta - 1)^2} \quad (\text{Eq. 1})$$

503

where:

$$r = CC = \frac{cov(x_o, x_s)}{\sigma_s \sigma_o} \quad (\text{Eq. 2})$$

$$\beta = \frac{\mu_s}{\mu_o}; RE = (\beta - 1) \cdot 100 \quad (\text{Eq. 3})$$

$$\alpha = \frac{\sigma_s}{\sigma_o}; RESD = (\alpha - 1) \cdot 100 \quad (\text{Eq. 4})$$

504

505 x represents the discharge time series, μ the mean value of the discharge time series, and σ the
506 standard deviation of the discharge time series. The sub-indexes o and s represent observed and
507 simulated discharge time series, respectively. Thus CC represents how well the model dynamics

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508 agree between observations and simulations, i.e. the timing of events but not the magnitude; RE
 509 represents the agreement in volume over time; RESD represents how well the model captures the
 510 amplitude of the hydrograph. KGE was chosen as performance metric to analysis all these aspects
 511 and because it has been found good in capturing both mean and extremes during calibration
 512 (Mizukami et al., 2019). We used the original version so that our results can easily be compared to
 513 other studies reported in the literature, even though non-standard variants may be more efficient
 514 (e.g. Mathevet et al., 2006; Mizukami et al., 2019).

516 In addition, a number of flow signatures (Table 5) was calculated to explore which part of the
 517 hydrograph is well captured by the model. Flow signatures are used by the catchment modelling
 518 community to condense the hydrological information from time-series (Sivapalan, 2005) and the
 519 choice of flow signatures was guided by previous studies by Olden and Poff (2003) and Kuentz et al.
 520 (2017). In this study, flow signatures were calculated at 5338 gauging stations globally, based on
 521 catchment size and at least 10 years of continuous time-series (see section 2.3).

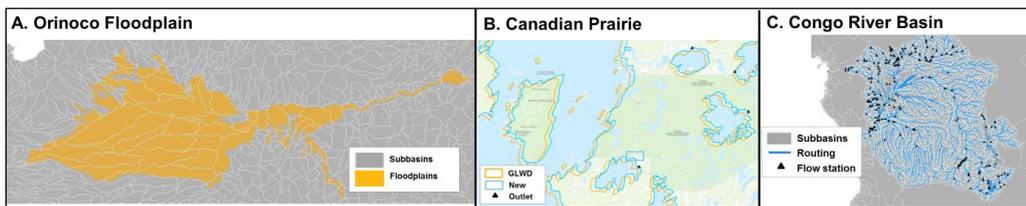
522 The model capability in capturing observed flow signatures was then related to upstream
 523 physiographical and climatological factors, such as area, mean elevation, drainage density, land-
 524 cover, climatic region or aridity index. Catchment modellers tend to study differences and similarities
 525 in flow signatures as well as in catchment characteristics to improve understanding of hydrological
 526 processes (e.g. Sawicz et al., 2014; Berghuijs et al., 2014; Pechlivanidis and Arheimer, 2015; Rice et
 527 al., 2015). In large-sample hydrology it is not possible to examine each hydrograph individually using
 528 inspection. As the flow signatures aggregate information about the hydrograph, the model capability
 529 to simulate signatures will tell the modeller which part of the hydrograph is better or worse. Linking
 530 catchment descriptors and model to the performance in hydrological response flow signatures help
 531 the modeller to examine whether the process description and model structure are valid across the
 532 landscape or if the regionalization of parameter values must be reconsidered for some parts of a
 533 large domain. In addition, this exercise will guide the users to judge under which conditions the
 534 model is reliable and thus of any use for decision making. In the present study, the physiographic
 535 characteristics of catchments were all extracted from the input data files of the WWH version 1.3.
 536 For each gauging station with calculated flow signatures, the catchment characteristics were
 537 accumulated for all upstream catchments to account for any potential physiographical influence on
 538 the flow signal at the observation site (Table 3). Gauging stations were grouped according to the
 539 distribution of each physiographic characteristic and model performances in flow signature
 540 representation were computed for each of these groups.

541
 542 **Table 5.** Flow signatures (FS) from observed time-series and physiographic descriptors (T: topography; LC: Land
 543 cover; C: climate) from databases in Section 2.1.

Variable name	Description	Range
skew (FS)	Skewness = mean/median of daily flows	[0.63 - 70000]
MeanQ (FS)	Mean specific flow in mm	[0 - 1024.41]
CVQ (FS)	Coef. of variation = standard deviation/mean of daily flows	[0.01 - 46.4]
BFI (FS)	Base Flow Index: 7-day minimum flow divided by mean annual daily flow averaged across years	[0 - 0.84]
Q5 (FS)	5 th percentile of daily specific flow in mm	[0 - 218.04]
HFD (FS)	High Flow Discharge: 10 th percentile of daily flow divided by median daily flow	[0 - 1]

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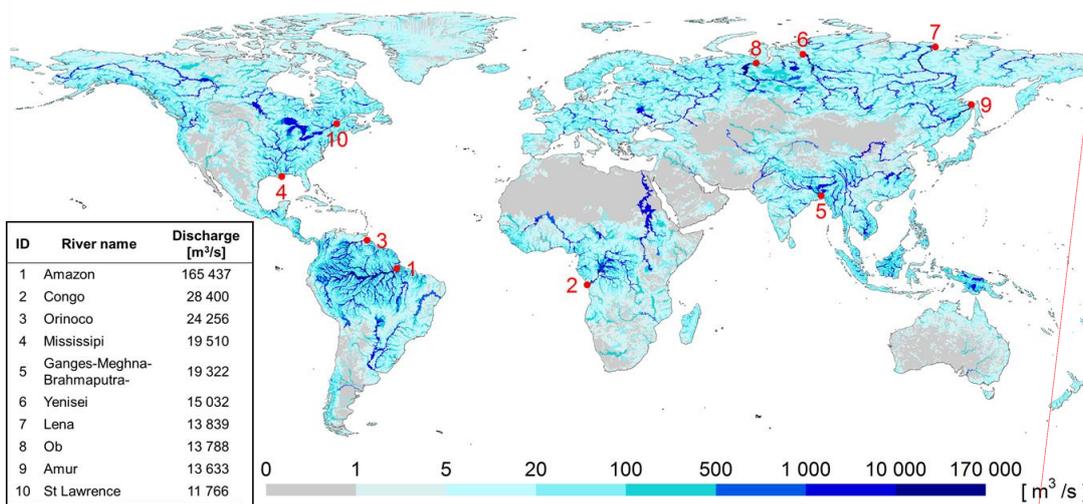
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558
559 **Figure 4.** Some examples of WWH version 1.3 details in describing hydrography at local and regional scale from
560 supporting GIS layers: A) subbasins of the Orinoco river defined as a connected floodplain; B) adjustment of
561 lake areas (New) from merging several data sources (see Section 2.1 and 3.1) and the original GLWD in the
562 Canadian Prairie; C) river routing and access to flow gauges in the Congo river basin.

563
564 The WWH version 1.3 resulted in a realistic spatial pattern of river flow world-wide, clearly
565 identifying desert areas and the largest rivers (Fig. 5). Compared to other global estimates of average
566 water flow in major rivers, HYPE gives results in the same order of magnitude, but of course,
567 comparisons should be based on the same time period to account for natural variability due to
568 climate oscillations. The Amazon, Congo and Orinoco rivers came out as the three largest ones,
569 where the river flow of the Amazon river is almost 6 times larger than any other river. Compared to
570 recent estimates by Milliman and Farnsworth (2011), HYPE estimated a higher annual average of
571 river flow in Mississippi, St Lawrence, Amur, and Ob, but less in the rest of the top-ten largest rivers
572 of the world, especially relatively lower values were noted for Ganges-Bahamaputra. For World-Wide
573 HYPE, Yangtze river came out as No 11 and Mekong as No 12, and it should be noted that the river
574 flow to Río de la Plata was separated into Paraná River and Uruguay river (the former ranked as No
575 13 of the largest rivers).

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576
577 **Figure 5.** Annual mean of river discharge across the globe for the period 1981-2015 estimated with the
578 catchment model WWH version 1.3 (on average 1020 km² resolution).

580 On average, for the whole globe and 5338 gauging stations with validated catchment areas and at
581 least ten years of data, the model performance was estimated to a median monthly KGE of 0.40 (Fig.
582 6). When decomposing the KGE, we found a median correlation coefficient of 0.76 and a median
583 relative error of -15%. This means that the model captures the temporal dynamics of the
584 hydrographs reasonable well in many sites while it generally underestimates the river flow. This
585 underestimation could be resulting from using MODIS when setting calibration ranges. The bluer in
586 Figure 6, the better is the model performance; hence, the model performs best in central Europe,
587 North-East America, Upper Amazon, North Russia (KGE > 0.6). These regions are mostly lowlands and
588 one explanation to good model performance could be that the precipitation from the global
589 meteorological dataset is more correct at lower altitudes with smooth orography. It could also be
590 that the seasonality is more regular and easier to capture.

591 Model performance was surprisingly similar for the gauges used in parameter estimation and
592 independent ones, with median KGE of 0.41 (2475 stations) and 0.39 (2863 stations), respectively.
593 Among the validation stations, 498 were completely independent without any influence from
594 calibration in any branch of the upstream river network. Also here the model showed similar
595 performance (median KGE = 0.45; median CC = 0.79; median RE = -17). This indicates that the model
596 results are robust and ~~the same~~ similar model performance can be assumed also in ungauged basins.

597 If KGE is below -0.41 the model does not contribute with more information than the long-term
598 average of observations (Knoben et al., 2019), however to judge whether the model performance is
599 good or bad, the model purpose and use of results must be considered. Most C~~atchment~~ modellers
600 who come from engineering would normally probably judge these the KGE of 0.40 results as poor,
601 but given that global open input data was used for model setup and rough assumptions were made
602 when generalizing hydrological processes across the globe, the overall model performance meets the
603 expectations of a first version.

604 Global hydrological modellers rarely compare their results to gauged river flow (e.g. Zhao et al.,
605 2017) but S~~imilar~~ results were recently achieved-reported when Beck et al. (2016) was testing a
606 scheme for global parameter regionalization world-wide; in an ensemble of ten global water
607 allocation or land surface models, the median performance of monthly KGE was found to be 0.22
608 using 1113 river gauges for mesoscale catchments globally (median size 500 km²). The best median
609 monthly KGE was then 0.32 for catchment scale calibration of regionalized parameters, using a
610 gridded HBV model with a daily time-step globally (Beck, 2016). -It is difficult to compare results
611 when not using the same validation sites or time-period and more concerted actions for model inter-
612 comparison are needed at this scale. Nevertheless, the catchment modelling approach of the present
613 study seems to have better performance than other gridded global modelling concepts of river flow
614 (see results from more models in Beck et al., 2016).

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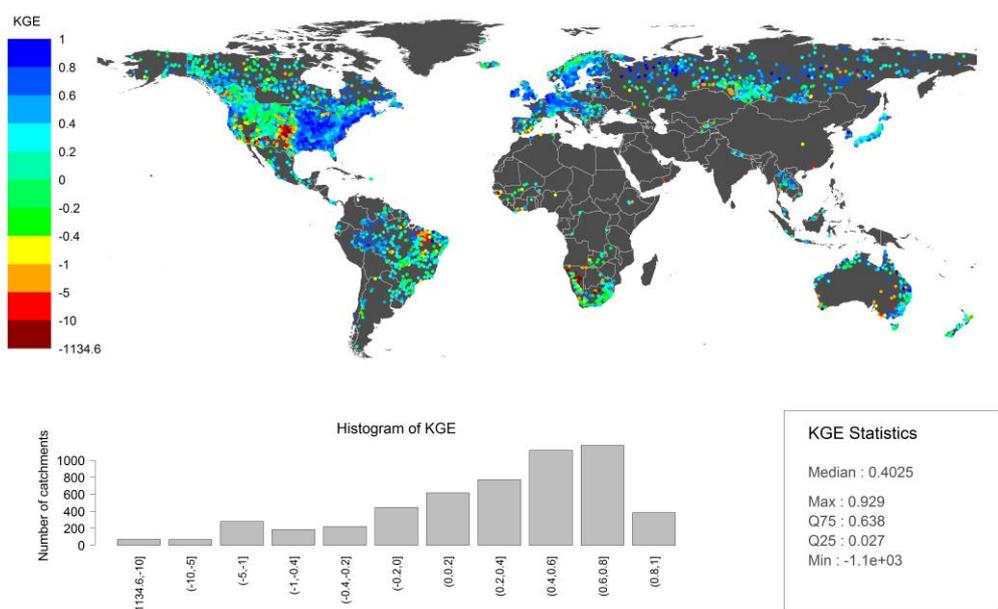
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616 The red spots in Figure 6 indicate where the HYPE model fails (KGE < -1), such as in the US mid-west
617 (especially Kansas), north-east of Brazil and parts of Africa, Australia and central Asia. When
618 decomposing the KGE, it was found that the correlation was in general fine. However, the relative
619 error in standard deviation was causing the main problems showing that the HYPE model does not
620 capture the variations of the hydrograph, and instead, generates a too even flow. The relative error
621 also seemed problematic, which indicates problems with the water balance. The model has severe

622 problems with dry regions and areas with large impact from human alteration and water
 623 management, where the model underestimates the river flow. Such regions are known to be more
 624 difficult for hydrological modelling in general (Bloeschl et al., 2013), but in addition, precipitation
 625 data do not seem to fully capture the influence of topography and mountain ranges. The patterns in
 626 model performance were further investigated in the analysis of model performance versus flow
 627 signatures and physiographic factors (Section 4.3).

628



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629 **Figure 6.** Model performance of WWH version 1.3 using the KGE metric of monthly values of ≥ 10 years in each
 630 of the 5338 gauging sites for the period 1981-2012. Blue and green indicates that the model provides more
 631 information than the long-term observed mean value.
 632

633

634 **5.2 Global parameter values from step-wisestepwise calibration**

635 Both model performance in representative catchments and improvement achieved through
 636 calibration varied a lot for each hydrological process considered in the step-wisestepwise parameter
 637 estimation (Table 6). Although, a large number of river gauges was collected for parameter
 638 estimation, only a few could be considered as representative with enough quality assurance. More
 639 gauges in the calibration procedure would probably have given another result. Nevertheless, the
 640 results show promising potential in applying the process descriptions of catchment models also at
 641 the global scale.

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642 In spite of the wide spread in geographical locations across the globe, a priori values were reasonable
 643 for hydrological processes describing glaciers and soils. As shown in Table 6, the water balance (RE)

644 was improved considerably by first calibrating PET globally, and then precipitation vs altitude of
 645 catchment and land-cover type. Simultaneous calibration of soil storage and discharge in HRUs
 646 increased the KGE both in areas with and without snow by 0.1 on average. For calibration of river
 647 routing and rating curves of lake outflows, the correlation coefficient was used to avoid erroneous
 648 compensation of the water balance, as the parameters involved should only set the dynamics of flow
 649 and not volume. Especially lake processes benefited from calibration. Less convincing was the
 650 metrics from calibration of the floodplains, which were not always improved by the floodplain
 651 routine applied. Overall, the results indicate that global parameters are to some extent possible for
 652 describing hydrological processes world-wide, using a catchment model and globally available data of
 653 physiographic characteristics to describe spatial variability. Nevertheless, the WWH v.1.3 model has
 654 still considerable potential for improvements and to really make use of more advanced calibration
 655 techniques, the water balance needs to be improved first as too much volume error makes the
 656 tuning of dynamics difficult.

657

658 **Table 6.** Metrics of model performance before and after calibrating various hydrological processes
 659 simultaneously at a number of selected river gauges, using the stepwise parameter-estimation procedure
 660 globally. Parameter values and names in the HYPE model are given in [the Appendices Appendix](#).

Hydrological Process	No. gauges	Median value of metric(s)		
		Before	After	
▲ Potential Evapo-Transpiration (3 PET-algorithms: median of ranges constrained with MODIS)	0	RE: 11.5 %	RE: 0.5%	Formaterat: Engelska (Storbritannien)
▲ Glaciers (only evaluated vs mass balance data)	296	RE: 0.38%	-	Formaterat: Engelska (Storbritannien)
Soils (average, rock, urban, water, rice)	25	RE: -14.1%		Formaterat: Engelska (Storbritannien)
Bare soils in deserts (calibrated manually)	4	KGE: 0.2	RE: -18.9	Formaterat: Engelska (Storbritannien)
1. Precipitation: catchment elevation	147	RE: -6.7%	RE: 4.4%	Formaterat: Engelska (Storbritannien)
2. Precipitation: land-cover altitude	1041	RE: 24.3%	RE: 10.1%	Formaterat: Engelska (Storbritannien)
3. HRUs in areas without snow	318	KGE: 0.16	KGE: 0.27	Formaterat: Engelska (Storbritannien)
4. HRUs in areas with snow: ET, recession and active soil depth	225	KGE: 0.16	KGE: 0.24	Formaterat: Engelska (Storbritannien)
5. Upstream lakes	731	CC: 0.71	CC: 0.72	Formaterat: Engelska (Storbritannien)
6. Regionalised ET (in 12 Köppen climate regions)	458	KGE: 0.58	KGE: 0.62	Formaterat: Engelska (Storbritannien)
7. River routing	302	CC: 0.70	CC: 0.71	Formaterat: Engelska (Storbritannien)
8. Lake rating curve	945	CC: 0.50	CC: 0.59	Formaterat: Engelska (Storbritannien)
9. Floodplains (partly calibrated manually)	32	KGE: -0.03	KGE: 0.03	Formaterat: Engelska (Storbritannien)
10. Evaporation from water surface	201	RE: -20.7%	RE: -12.2%	Formaterat: Engelska (Storbritannien)
11. Specific lake evaporation	16	RE: 24.8%	RE: 4.8%	Formaterat: Engelska (Storbritannien)

661

662

5.3 Model evaluation against flow signatures

663 The WWH1.3 is more prone to success or failure in simulating specific flow signatures than to specific
664 physiographic conditions, which is visualized by vertical rather than horizontal stripes in Figure 7. In
665 general, the model shows reasonable KGE and CC for spatial variability of flow signatures across the
666 globe (i.e. a lot of blue in the two panels to the left in Fig. 7). However, the RE and the standard
667 deviation of the RE (RESD) are less convincing (i.e. the two panels to the right). This means that the
668 model can capture the relative difference in flow signature and the spatial pattern globally, but not
669 always the magnitudes, nor the spread between highest and lowest values. The relative errors are
670 mostly due to underestimations, except for skewness, low flows and actual potential
671 evapotranspiration; the two latter are always over-estimated when not within $\pm 25\%$ bias. Overall,
672 the model shows good potential to capture spatial variability of high flows (Q95), duration of low
673 flows (LowDurVar), monthly high flows (Mean30dMax) and constancy of daily flows (Const). These
674 results were found robust and independent of metrics or physiography. The results implies that the
675 overall process understanding behind the HYPE model structure and the assumptions of catchment
676 similarities in the set-up may be relevant at the global scale, but that the estimation of parameter
677 values or quality of forcing data are not optimal for capturing the flow dynamics.

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678 The model shows most difficulties in capturing skewness in observed time-series (skew), the number
679 of high flow occurrences (HighFrVar), and base flow as average (BFI), or absolute low flows (Q5).
680 Short-term fluctuations (RevVar and RBFlash) are also rather difficult for the model to capture. Some
681 results are not consistent between metrics; for coefficient of variation (CVQ) the RE was good while
682 the RESD was poor. This indicates that the model does not capture the amplitude in variation
683 between sites even if the bias is small. The opposite was found for high flow discharge (HFD) and
684 low-flow spells (LowFr), i.e. poor performance in volumes but RESD showing that the variability is
685 captured.

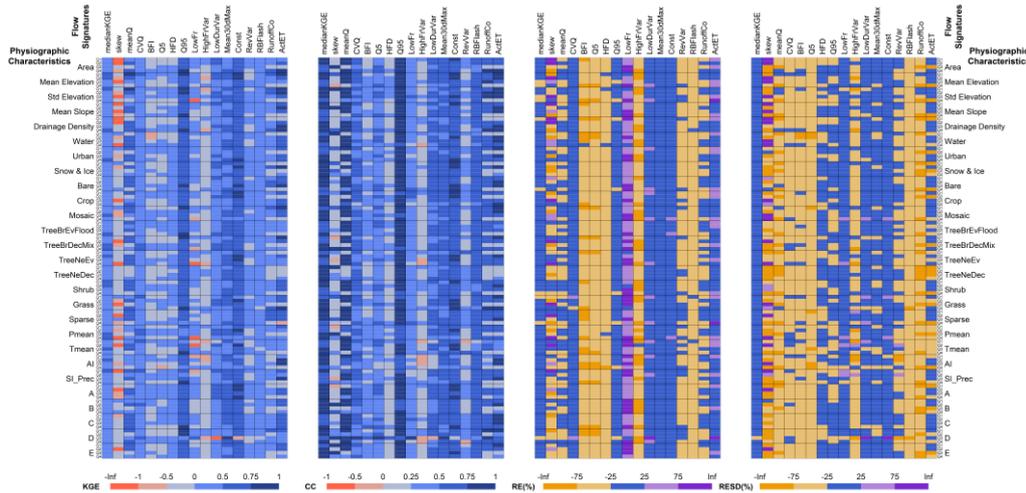
686 For the remaining flow signatures studied, it was interesting to note that the model performance
687 could be linked to physiographic characteristics, indicating that the model structure and global
688 parameters are valid for some environments but not for others. For instance, the volume of mean
689 specific flow (RE of MeanQ) is especially difficult to capture in regions with needle-leaved, deciduous
690 trees (TreeNeDec) and for medium and large flows in the Köppen region B (Arid), large flows in D
691 (Cold-continental) and small flows in E (Polar). Moreover, the analysis shows that the model tends to
692 fail with the mean flow in catchments with high elevation, high slope, small fraction water and urban
693 land-cover, and little or much of snow and ice. This shows where efforts need to be taken to improve
694 the model in its next version.

695 For other water-balance indices, it was interesting to note that the ratio between precipitation and
696 river flow (RunoffCo) show good results (RE $\pm 25\%$) all over Köppen region C (Temperate) but
697 otherwise is often underestimated for some parts of the quartile range of physiographic variables
698 studied. On the contrary, precipitation minus flow (ActET) is over-estimated in parts of the quartile
699 range, except for the good results in Köppen region C, needle-leaved, deciduous trees (TreeNeDec)
700 and regions with snow and ice (i.e. where mean specific runoff failed). Figure 7 clearly shows the
701 compensating errors between processes governing the runoff coefficient and actual
702 evapotranspiration, with one being over-estimated when the other is underestimated for the same
703 specific physiographic conditions. This indicates the need for recalibrating the HRUs of WWH in its
704 next version, but also reconsidering the initial parameters for evapotranspiration and the quality of
705 the precipitation grid and its linkage with the catchments. It is rather common to use Köppen when

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706 [evaluating ET \(e.g. Liu et al, 2016\)](#) but it may not be the best separator hydrologically (Knoben et al.,
 707 [2018](#)) so model performance should preferably be evaluated and calibrated in clusters based on
 708 [other characteristics in the future.](#)

709



710

711 **Figure 7.** Matrix showing the relation between model capacity to capture flow signatures (colors, where blue is good and yellow/red/purple is poor performance) and physiography of catchments, divided into quartiles (Q1-
 712 Q4) for characteristics of the total area upstream each gauging station with more than 10 years of continuous
 713 data (5338 catchments). Description of flow signatures and physiographic characteristics are found in Table 4-5
 714 and metrics used for model performance in Eq. 1-4.
 715

716

6. Discussion

717

718

719 [This test experiment of whether it is now possible and timely to apply catchment modelling](#)
 720 [techniques to advance global hydrological modelling gave some diverse results. Regarding](#)
 721 [physiographic data, it is now possible to delineate catchments thanks to high-resolution topographic](#)
 722 [data \(Yamazaki et al., 2017\) and there are many global datasets readily available with necessary](#)
 723 [physiographic input data for catchment modelling also including local hydrological features and](#)
 724 [waterbodies \(e.g. sinks and floodplains\) that are normally not included in the traditional global](#)
 725 [models \(e.g. Zhao et al., 2017\). Nevertheless, before merging the databases we found that they need](#)
 726 [to be harmonized and quality assured, which has already been notified in previous studies \(e.g.](#)
 727 [Kauffeldt et al., 2013\). For meteorological data, global precipitation from re-analysis products are](#)
 728 [well known to contribute a lot to the output uncertainty in traditional global modelling \(e.g. Döll and](#)
 729 [Fiedler, 2008; Biemans et al., 2009\) and this was still the case when applying catchment modelling;](#)
 730 [although the precipitation grid was bias-adjusted against observations \(Berg et al., 2018\) and further](#)
 731 [adjusted with elevation during calibration, the density of stations at the global scale was not](#)

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732 enough sufficient for the resolution of catchments. New high-resolution products from the
733 meteorological community have potential to become a game-changer in global hydrological
734 modelling.

735 The test whether parameter estimation methods from the catchment modelling community could
736 improve model performance in global hydrological predictions resulted in better metrics than
737 previously reported by e.g. Beck et al. (2016). Despite the large sample of river gauges, however, we
738 experienced that it was not distributed well enough to cover the large domain. Screening of the
739 gauged data quality showed that most regions worldwide have access to some high-quality time
740 series of river flow (Crochemore et al., 2019) but for the stepwise procedure applied here this was
741 still not enough for many of the pre-defined calibration steps. Even when merging the original ESA
742 landcoverland cover classes before calibration (Table 4) sufficient gauged data was missing. As the
743 structure of the catchment model reflects the modellers' process understanding and as parameters
744 must be estimated (Wagener, 2003) a better compromise must be made between the HYPE structure
745 or set-up and flow gauges available for the global calibration scheme. Hence, the ecosystem
746 approach needs to be elaborated with better defined clusters for catchment similarity across the
747 globe to be truly helpful at this scale.

748 With current computational resources it was possible to use automatic iterative calibration
749 techniques from the catchment community (i.e. DEMC, Ter Braak, 2016) to obtain the optimum
750 parameter values from several iterations, also across large samples of gauges. However, enough
751 computational resources were still lacking for advanced uncertainty analysis, such as using the GLUE
752 (Beven and Binley, 1992).

753 To sum up, we found that the catchment model application at global scale could be considered
754 timely because it was doable and now there is potential for improvements, although, even at this
755 stage the model might be useful for some purposes in some regions, as discussed below.

756

757 **6.1 Potential for improvements**

758 The results from evaluating model performance using several metrics, several thousand gauges and
759 numerous flow signatures, gave clear indication on regions where the model most urgently needs
760 improvements. A thorough analysis of spatial patterns would also benefit from evaluation against
761 independent data of spatial patterns of hydrological variables, for instance from Earth Observations.
762 In general, the WWH model has severe problems with dry regions and base flow conditions where
763 the flow is sporadic (e.g. red areas in Fig. 5). The flow generating processes in such areas are known
764 to be difficult to model (Bloeschl et al., 2013). For instance, most model concepts, and also the
765 WWH, have problems with the great plains of US (e.g. Mizukami et al., 2017; Newman et al., 2017),
766 where the terrain is complex with prairie potholes, which are disconnected from the rivers, and
767 precipitation comprise a major source of hydrologic model error (e.g. Clark and Slater, 2006). Poor
768 model performance were also found for the tundra and deserts, but it should then be recognized
769 that the parameters for these regions were estimated using only four time-series for bare soils (Table
770 6); including more gauging stations would be a way to improve the model here. In large parts of
771 Africa, however, model errors could be linked to the soil-runoff parameters and local calibration

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772 based on catchment similarities ~~have~~has already been found to improve the performance a lot in
773 ~~west~~West Africa.

774 In the snow-dominated part of the globe, extensive hydropower regulation change the natural
775 variability of river discharge (Déry et al., 2016; Arheimer et al., 2017) but the global databases miss
776 out of all medium and small dams that may affect discharge along these river networks. A general
777 problem with modelling river regulation is that reservoirs can have multi-purposes and must be
778 examined individually to understand the regulation schemes applied. Such analyses have started and
779 shown potential to improve the global model a lot as the poorest model results are often linked to
780 river regulations. However, individual reservoir calibration will be very time-consuming, so instead,
781 we suggest starting with improvements that can be undertaken relatively quickly and easily. These
782 mainly focus on the overall water balance. Firstly, the global water balance can be improved through
783 re-calibration but some basic concepts need to be adjusted accordingly: (i) more careful analyses
784 indicate that the choice of climate regions based on Köppen's classification for applying the different
785 PET algorithms was not optimal and needs some adjustments, (ii) linking the centroid of the
786 catchments to the nearest precipitation grid seems to remove a lot of the spatial variation and
787 instead an average of nearest grids should be tried. Secondly, the HRUs can be recalibrated and
788 reconsidered, and we suggest (i) testing a calibration scheme based on regionalized parameters
789 rather than global, using clustering based on physiographic similarities (e.g. Hundecha et al., 2016),
790 (ii) including soil properties in the HRU concept again (as in the original version of HYPE, see
791 Lindström et al., 2010) to account for spatial variability in soil-water discharge linked to porosity in
792 addition to vegetation and elevation. Thirdly, the ~~behavior~~behaviour of hydrological features, such as
793 lakes, reservoirs, glaciers, and floodplains can be evaluated and calibrated separately, after
794 categorizing them more carefully or from individual tuning. Finally, more observations can be
795 included, both in-situ by adding more gauges to the system and from global Earth Observation
796 products, for instance on water levels and storage. Hence, each step in Fig. 3 still has potential for
797 model improvements.

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798 The stepwise parameter-estimation approach should ideally be cycled a couple of times to find
799 robust values under new fixed parameter conditions. However, as the model was carefully evaluated
800 during the calibration, there were a lot of bug fixing, corrections and additional improvements
801 resulting between the steps and time was rather spent on this than on several full-filled iterations.
802 Therefore, the stepwise calibration was subjected to several re-takes and shifts between steps until ~~it~~
803 ~~eventually~~it eventually could full-fill all the calibration steps in one entire sequence (Fig. 8). Hence,
804 only one loop was done for parameter estimations in this study. The procedure was judged as very
805 useful for the model to be potentially right for the right reason, but also very time-consuming.
806 However, applying a catchment modeler's approach, this is inevitable for reliably integrated
807 catchment modelling and both the ~~step-wisestepwise~~ calibration and iterative model corrections will
808 continue with new model versions.

809

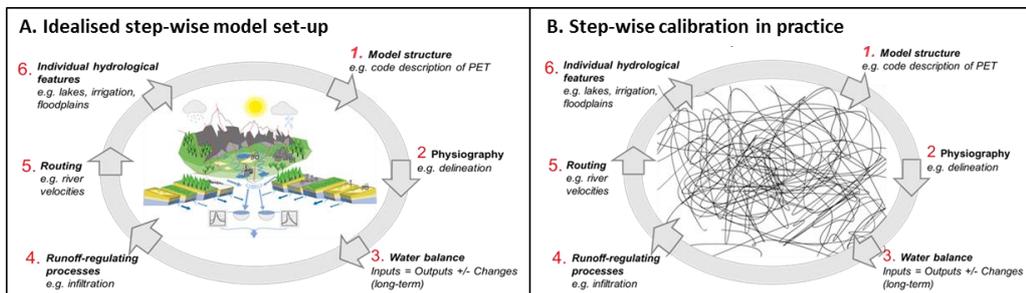


Figure 8. Discrepancy between the idealised procedure for step-wise calibration (A) and the numerous iterations between the steps that appear in reality (B), leading to overall model corrections.

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Another important next step in model evaluation and improvement would be to initiate a concerted model inter-comparison study at the global scale with benchmarking (e.g. Newman et al., 2017), as we currently lack such studies for global hydrological models/modelling of river flow. Focus should then be on comparing model performance in general but also on input data and performance of specific hydrological processes to understand differences between various model concepts. The latter could be done by using the representative gauged basin approach, as in this study, to evaluate model performance for sites where flow is dominated by certain processes/processes or by analyzing/analysing specific parts of the hydrograph (or flow signatures) that represents time periods when specific processes dominate the flow generation. In addition to river gauges, other data sources should be used for model evaluation of spatial patterns, e.g. earth observations. Specific areas that are intensively managed and impacted by humans should also be distinguished and evaluated separately to better understanding process variability vs human impacts. Various sources of input data (from which errors may propagate) should also be evaluated to improve global hydrological modelling.

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6.2 Model usefulness

Catchment models are often applied by water managers and the usefulness is part of the concept, however, to provide global hydrological data that is relevant locally is far from trivial (e.g. Wood et al., 2011; Bierkens et al., 2015). The result analysis of this first version of the WWH model performance shows that also this first version it can only to some extent be useful for water managers in several/some regions globally. For instance, long-term averages are rather reliable in Eastern USA, Europe, South-East Asia, Japan as well as most of Russia, Canada, and South America. Here the model could thus be used for e.g. analyzing/analysing shifts in water resources between different climate periods. For high flows, monthly values show good performance as well as the spatial pattern of relative values. This implies that the model could already be used for seasonal forecasting of recharge to hydropower reservoirs, for which these variables are often used. Accordingly, the model has already been applied for producing water-related climate impact indicators and it is set-up operationally to provide monthly river-flow forecasts for 6 months ahead (<http://hypeweb.smhi.se/>).

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843 In many areas, HYPE should still be considered as a scientific tool and cannot be used locally by water
844 managers because of poor performance. However, the model provides a first platform for catchment
845 modelling to be further refined and experimented with at the global, regional and local scales. Parts
846 of the model can be extracted (e.g. specific catchments or countries) and used as infrastructure,
847 when starting the time-consuming process of setting up a catchment model. The model can then be
848 improved for the selected catchments by exchanging the global input data with local data and
849 knowledge, as well as parameters estimated to fit with local observations. Significant improvements
850 in model performance from such a procedure have already been noted for West Africa (Andersson et
851 al., 2017).

852 In Sweden the operational HYPE model runs with national data and adjusted parameter values,
853 providing an average daily NSE (Nash and Sutcliffe, 1970) of 0.83 for 222 stations with $\leq 5\%$
854 regulation and an average relative volume error of $\pm 5\%$ for the period 1999–2008. For all gauging
855 sites (some 400) with both regulated and unregulated rivers, the mean monthly NSE is 0.80. The
856 Swedish HYPE model also started with poor performance in its first version, but has been improved
857 incrementally during more than 10 years and has proven very useful in providing decision-support to
858 society. It supports a national warning service with operational forecasting of floods and droughts
859 (e.g. Pechlivanidis et al., 2014), and the water framework directive for measure plans to improve
860 water quality (e.g. Arheimer and Pers, 2017; Arheimer et al., 2015). Moreover, it has been used in
861 assessments of hydro-morphological impact (e.g. Arheimer and Lindström, 2014), climate-change
862 impact analysis (e.g. Arheimer and Lindström, 2015) and combined effects from multiple-drivers on
863 water resources in a changing environment (e.g. Arheimer et al., 2017; Arheimer et al., 2018;
864 Arheimer and Lindström, 2019).

865 Thus, it is found very useful to have a national multi-catchment model to support society in water
866 related issues. This should be encouraging for other countries who do not yet have a national model
867 set-up and also for international river basin authorities searching for a more harmonized way to
868 predict river flow across administrative borders. Using the WWH as a starting point would be a quick
869 and low-cost alternative for getting started with more detailed catchment modelling for decision-
870 support in water management. Parts of the model are therefore shared and can be requested at
871 <http://hypecode.smhi.se/>. Using a common framework for catchment modelling by many research
872 groups and practitioners will probably advance science as it enables a critical mass and better
873 communication when sharing experiences. Only when using the same methods or data, there is full
874 transparency in the research process so that scientific progress and failures can be clearly
875 understood, shared and learnt from. The WWH could be one stepping stone in such a collaborative
876 process between catchment modellers across the globe. Therefore, SMHI annually offers a free
877 training course since 2011, accompanied with travel grants for participants from developing
878 countries since 2013. Every year about 30 new persons are trained in HYPE and get access to a piece
879 of the modelled world, resulting in model refinements and various regional assessments around the
880 globe e.g. climate-change impact on Hudson Bay (MacDonald et al., 2018), flow forecasts in Niger
881 River (Andersson et al., 2017), hydromorphological evolution of Mackenzie delta (Vesakoski et al.,
882 2017), and water quality in South Africa (Namugize et al., 2017) or England (Hankin et al., 2019).

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7. Conclusions

~~7.~~ This study shows the usefulness of applying catchment modelling methods (topographic catchment delineation, stepwise calibration, performance evaluation against a large sample of observations using several metrics and flow signatures) to help advance global hydrological modelling.

The catchment modelling approach ~~applied (using the HYPE model, open global data and recent calibration techniques) r~~esulted in better performance (median monthly KGE = 0.4) than what has been reported so far from more traditional gridded modelling of river flow at the global scale. Major variability in hydrological processes could be recognized world-wide using global parameters, as these were linked to physiographical variables to describe spatial variability and calibrated in a ~~step-wisestepwise~~ manner. Clearly, the community of catchment ~~modellersmodellers'~~ can contribute to research also at the global scale nowadays with the numerous open data available and advanced processing facilities.

However, the WWH resulting from this first model version should be used with caution (especially in dry regions) as the performance may still be of low quality for local or regional applications in water management. Geographically, the model performs best in Eastern USA, Europe, South-East Asia and Japan, as well as parts of Russia, Canada, and South America. The model shows overall good potential to capture flow signatures of monthly high flows, spatial variability of high flows, duration of low flows and constancy of daily flow. Nevertheless, there remains large potential for model improvements and it is suggested both to redo the calibration and reconsider parts of the model structure for the next WWH version.

The ~~step-wisestepwise~~ calibration procedure was judged as very useful for the model to be potentially right for the right reason, but also very time-consuming ~~and data demanding~~. The calibration cycle is suggested to be repeated a couple of times to find robust values under new fixed parameter conditions, which is a long-term commitment of continuous model refinement. The model set-up will be released in new model versions during this incremental improvement. For the next version, special focus will be given to the water balance (i.e. precipitation and evapotranspiration), soil storage and dynamics from hydrological features, such as lakes, reservoirs, ~~glaciers~~ and floodplains.

The model ~~will beis~~ shared by providing a piece of the world to modellers working at the regional scale to appreciate local knowledge, establish a critical mass of experts from different parts of the world and improve the model in a collaborative manner. The model can serve as a fast track to a model environment for users who do not have this ready at hands and in return the WWH can be improved from feedback on hydrological processes from local experts across the world. Potentially it will accelerate scientific advancement if more researchers start using the same tools and data, which makes it easier to be transparent when evaluating and comparing scientific results. SMHI commits to long-term management, continuous refinement, supporting tools, training and documentation of the WWH model.

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925 **Code availability**

926 <http://Hhypecode.smhi.se>

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927 **Data availability**

928 <http://Hhypeweb.smhi.se>

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930 **Appendices Appendix**

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932 The Table below show additional information to Table A1 regarding which HYPE parameters that
 933 were calibrated for each process during the model set-up and the range of resulting parameter
 934 values. Description of each parameter can be found in the HYPE wiki at <http://hypeweb.smhi.se/>.

935

936 **Table A1.** Metrics and parameter values from the stepwise parameter-estimation globally. Parameter names
 937 and values are given in the same order of appearance (columns 2 and 6).

Hydrological Process	HYPE parameters http://hypecode.smhi.se/	No. gauges	Median value of metric(s)		Parameter value(s)
			Before	After	
Potential Evapo-Transpiration (3 PET-algorithms: median of ranges constrained with MODIS)	Jhtadd, jhtscale, kc2, kc3, kc4, krs, alb, alfapt	0	RE: 11.5 %	RE: 0.5%	5; 100; [0.7-1.7]; [0.15-1.7]; [0.8-1.6]; 0.16; [0.3-0.8]; 1.26
Glaciers (only evaluated vs mass balance data)	glacvexp, glacvcoef, glacvexp1, glacvcoef, glac2arlim, glacannmb, glacttmp, glaccmlt, glaccmrad, glaccmrefr, glacialb, fepotglac	296	RE: 0.38% CC: 0.51	-	1.38, 0.17 1.25, 12.88 25 000 000, 0, 0, 1.58, 0.19, 0.06, 0.35, 0
Soils (average, rock, urban, water, rice)	5 soils: rrcs1, rrcs2, rrcs3, trrcs, mperc1, mperc2, macerate, mactrinf, mactrsm, srrate, wcwp1-3, wcf1-3, wcep1-3	25	RE: -14.1% KGE: 0.2		Ranges: [0.20 - 0.5]; [0.01 - 0.45]; [0.01 - 0.1]; [0.05 - 0.35]; [30 - 100]; [10 - 60]; [0.05 - 0.7]; [12 - 30]; [0.3 - 0.9]; [0.01 - 0.3]; [0.01 - 0.6]; [0.2 - 0.6];

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Bare soils in deserts (calibrated manually)	rrcs1, rrcs2, rrcs3, trrcs, mperc1, mperc2, wewp1 , macerate, mactrinf, mactrsm, sfrost, srrate, wcpw1-3, wewp2 , wewp3 , wcf1-3, wcfc2 , wcfc3 , wcep1-3, wcep2 , wcep3	4	RE: 236.1%	RE: -18.9	[0.01 – 0.5] 0.6, 0.3, 0.0002, 0.15, 10, 0.1, 10, 0.8, 1, 0.01, 0.01, 0.0001, 0.0001, 0.3, 0.3, 0.0001, 0.03, 0.03, 0.0003	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div> <div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
1. Precipitation: catchment elevation	Pcelevth, Pcelevadd, Pcelevmax	147	RE: -6.7%	RE: 4.4%	500; 0.01; 0.7	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
2. Precipitation: land-cover altitude	5 elevation zones: pcluse	1041	RE: 24.3%	RE: 10.1%	0.05; 0.2; 0.25; 0.25; 0.35	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div> <div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
3. HRUs in areas without snow	10 HRUs: kc2, kc3, kc4, alb, soilcorr, srrcs, soilcorr	318	KGE: 0.16	KGE: 0.27	Range: [0.90-1.54]; [0.40-1.77]; [0.20-1.90]; [0.20-0.80]; [1.00-10.55]; [0.03-0.50];	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
4. HRUs in areas with snow: ET, recession and active soil depth	10 HRUs: ttmp, cmlt, cmrad, fscdist0, fepotsnow	225	KGE: 0.16	KGE: 0.24	Ranges: [-2.67-1.80]; [1.10-4.00]; [0.16-1.5]; [0.20-0.75]; [0.09-0.98]	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div> <div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
5. Upstream lakes	llratk, ilratp	731	CC: 0.71	CC: 0.72	1.8; 1.4 (depth: 5 m; icatch: 0.3)	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div> <div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
6. Regionalised ET (in 12 Köppen climate regions)	12 climates: cevpcorr	458	KGE: 0.58	KGE: 0.62	Ranges: [-0.43 – 0.38]	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
7. River routing	rivel, damp	302	CC: 0.70	CC: 0.71	0.6; 1.0	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
8. Lake rating curve	888 Lakes: rate; exp (LakeData.txt)	945	CC: 0.50	CC: 0.59	Ranges: [0.001– 1013]; [1.002 – 3.0];	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div> <div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div> <div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
9. Floodplains (partly calibrated manually)	13 Floodplains: rclfp; rclpl; rcrfp; rcfpr (FloodData.txt)	32	KGE: -0.03	KGE: 0.03	Ranges: [0.05 – 0.99]; [0.15 – 0.90]; [0.05 – 0.99]; [0.15 – 0.90]	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div> <div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
10. Evaporation from water surface	kc2 _{water} , kc3 _{water} , kc4 _{water}	201	RE: -20.7%	RE: -12.2%	1.36; 0.65; 1.25	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div> <div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
11. Specific lake evaporation	2 regions: cevpcorr	16	RE: 24.8%	RE: 4.8%	Ranges: [0.375-0.5]	<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>
						<div style="border: 1px solid red; border-radius: 5px; padding: 2px; display: inline-block;">Formaterat: Engelska (Storbritannien)</div>

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949 downloading at <http://hypeweb.smhi.se/> and documentation and open source code of the HYPE
950 model is available at <http://hypecode.smhi.se/>.

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