



1 **Identification of Hotspots of Rainfall Variation**
2 **Sensitive to Indian Ocean Dipole Mode through**
3 **Intentional Statistical Simulations**

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14

15 **Abstract.** This study analyzed the sensitivity of rainfall patterns over the Indochina
16 Peninsula (ICP) to sea surface temperature in the Indian Ocean based on statistical
17 simulations of observational data. Quantitative changes in rainfall patterns over the ICP
18 were examined for both wet and dry seasons to identify hotspots sensitive to ocean
19 warming in the Indo-Pacific sector. Rainfall variability across the ICP was confirmed
20 amplified by combined and/or independent effects of the El Niño–Southern Oscillation
21 and the Indian Ocean Dipole (IOD). During the years of El Niño and a positive phase of
22 the IOD, rainfall is less than usual in Thailand, Cambodia, southern Laos, and Vietnam.
23 Conversely, during the years of La Niña and a negative phase of the IOD, rainfall
24 throughout the ICP is above normal, except in parts of central Laos and northern
25 Vietnam. This study also simulated the change of ICP rainfall in the wet and dry
26 seasons according to intentional IOD changes, and IOD-sensitive hotspots were



27 verified through quantitative analysis. The results of this study provide clear
28 understanding both of the sensitivity of regional precipitation to the IOD and of the
29 potential future impact of statistical changes regarding the IOD in terms of
30 understanding regional impacts associated with precipitation in a changing climate.

31 **Keywords:** Rainfall variability, Indian Ocean Dipole, ENSO, IBB simulation

32

33 **1. Introduction**

34 Spatiotemporal variation in precipitation extremes can result from amplification of
35 changes in atmosphere–ocean interactions and intensification of the hydrological cycle
36 on both regional and global scales attributable to the effects of global climate change
37 (Allan and Soden, 2008; Kim and Jain, 2011; Ge et al., 2017; Kang et al., 2017; Kim et
38 al., 2017; Gao et al., 2019). Changes in the magnitude and frequency of regional rainfall
39 are related closely to the occurrence of floods and droughts. They have important
40 implications not only in terms of their socioeconomic impact, but also in relation to the
41 management of local and/or regional hydropower, irrigation, and environmental water
42 resources (Chi et al., 2016; Gu et al., 2017; Choi et al., 2018). The occurrence of
43 extreme precipitation, which is highly likely to continue into the future, is increasingly
44 regarded as an area of concern by the public because many countries have experienced
45 such extreme events in recent years (Croitoru et al., 2013; IPCC, 2013; Hirsch and
46 Archfield, 2015; Chi et al., 2016; Donat et al., 2016). In particular, there has been rapid
47 increase in both the amount of damage and the number of fatalities associated with the
48 occurrence of extreme rainfall in developing countries because of their vulnerable
49 infrastructure, high density of human activities, and poor practices of land use and
50 development (Mirza, 2003; Yin et al., 2011).



51 The El Niño–Southern Oscillation (ENSO) is known for its active and predictable
52 short-term behavior within the global climate system (Chen and Cane, 2008),
53 characterized by irregular but periodic change in the behavior of winds and sea level
54 temperatures over the tropical eastern Pacific Ocean. Since the 2000s, new forms of El
55 Niño have appeared more frequently in the central Pacific (Ashock and Yamagata,
56 2009; Pradhan et al., 2011). However, little is yet known about the causes of these new
57 types of El Niño, some of which have been reported to have noticeable effect on the
58 supply of warm seasonal freshwater and hydrological extremes in Pacific Rim
59 countries (Kim et al., 2012; Yoon et al., 2013; Son et al., 2014; Wang et al., 2014; Kim
60 et al., 2017). Research over the past two decades has identified a distinct climate
61 anomaly in the Indian Ocean, known as the Indian Ocean Dipole (IOD) (Piechota et al.,
62 1998; Saji et al., 1999; Mahala et al., 2015; Lqbal and Hassan, 2018). The IOD is an
63 atmosphere–ocean coupling mode characterized by the opposition of anomalies of sea
64 surface temperature (SST) in the west and east of the tropical Indian Ocean (Piechota et
65 al., 1998; Saji et al., 1999; Webster et al., 1999). A positive (negative) IOD pattern is
66 characterized by water warmer (cooler) than normal in the western tropical Indian
67 Ocean (10° S–10° N, 50°–70° E) and water cooler (warmer) than normal in the
68 southeastern tropical Indian Ocean (10° S to the equator, 90°–110° E). These events
69 usually begin around May or June and they terminate rapidly in early winter after
70 reaching a peak between August and October (Saji et al., 1999). Long-term climatic
71 change has high correlation with large-scale atmospheric teleconnections and it has
72 been reported predictable in relation to the behavior of nonlinear climate systems,
73 particularly in terms of ocean-related climatic drivers such as ENSO and the IOD mode
74 (Piechota et al., 1998; Saji et al., 1999). ENSO and IOD patterns are known as leading
75 causes of large atmospheric change and they are related closely to seasonal variations in



76 precipitation in the Indian Ocean region and around the world (Ashok et al., 2001;
77 Ashok et al., 2003; McFadden et al., 2006; Pradhan et al., 2011).

78 Recent studies have suggested that the observed slowdown in the rise of global
79 mean surface atmospheric temperature is related closely to the considerable transport of
80 heat from the Pacific Ocean into the Indian Ocean via the Indonesian Throughflow
81 (Kosaka and Xie, 2013; Lee et al., 2015; Liu et al., 2016; Zhang et al., 2018).
82 Investigation of Indo-Pacific thermocouples can help both to improve understanding of
83 regional-scale climatic variability that is globally relevant and to diagnose
84 quantitatively such variability in a changing climate (Zhang et al., 2018). However,
85 there has been little previous quantitative research on rainfall variation across the
86 Indochina Peninsula (ICP) in relation to IOD phenomena and ENSO evolution.
87 Therefore, based on historical observations, this study undertook quantitative analysis
88 of the changes in SST in the Indo-Pacific sector and the associated interseasonal
89 variation of precipitation over the ICP. The study had three primary areas of interest: (1)
90 the spatiotemporal changes in magnitude and frequency of precipitation during the dry
91 and wet seasons, (2) the relationship between the changes in weather extremes and
92 large-scale climatic patterns over the ICP, and (3) identification of IOD-sensitive
93 hotspots using the intentionally biased bootstrapping (IBB) technique based on limited
94 historical observations.

95

96 **2. Data and Methods**

97 **2.1. Precipitation Dataset and Climate Change Indices**

98 This study used the high-resolution ($0.5^\circ \times 0.5^\circ$) daily Climate Prediction Center
99 Global Unified Precipitation dataset for 1979–2018, which was obtained from the
100 website of NOAA’s Earth System Research Laboratory’s Physical Research Division



101 (<https://www.esrl.noaa.gov/psd/>). The Global Precipitation Climatology Center
102 monthly precipitation dataset with $1.0^{\circ} \times 1.0^{\circ}$ spatial resolution for the period 1948–
103 2018, which is based on quality-controlled data from 67,200 stations worldwide
104 (Schneider et al., 2016), was also used to identify seasonal precipitation variability
105 over the ICP region (5° – 25° N, 90° – 115° E) (Fig. 1). To identify changes in the
106 frequency and intensity of rainfall, six major climate change indices (Karl et al., 1999)
107 based on the daily Climate Prediction Center data from 1979–2018 were analyzed for
108 both the wet season (May–October) and the dry season (November–April). These
109 indices included the seasonal total precipitation (PRCPTOT) on wet days, seasonal
110 total of the 95th percentile of precipitation (R95pTOT) on wet days (≥ 1.0 mm),
111 seasonal maximum 1-day precipitation (RX1day), simple precipitation intensity index
112 (SDII) with a daily precipitation amount on wet days of ≥ 1.0 mm, maximum number of
113 consecutive dry days (CDD) with a daily precipitation amount of < 1.0 mm, and
114 maximum number of consecutive wet days (CWD) with a daily precipitation amount of
115 ≥ 1.0 mm.

116

117 **2.2. Indian Ocean Dipole (IOD) and El Niño–Southern Oscillation (ENSO)**

118 The monthly SST anomaly (SSTA) from NOAA’s Extended Reconstructed Sea
119 Surface Temperature (ERSST) dataset v5 in the Tropical Indian Ocean (TIO) was
120 used to calculate the IOD mode index. This is defined as the SSTA difference
121 between the western (10° S– 10° N, 50° – 70° E) and southeastern (10° S to the equator,
122 90° – 110° E) regions of the TIO (Saji et al., 1999). From 1948–2017, a 3-month running
123 average was applied to the IOD mode index data (August–September–October), which
124 is the peak phase period, with $\pm 1\sigma$ to determine the years with positive and negative
125 modes of the IOD (Fig. 2). To characterize different types of ENSO event, monthly



126 Niño3 (5° S–5° N, 150° E–90° W) and Niño4 (5° S–5° N, 160° E–150° W) data for the
127 period 1948–2018 were used for El Niño development phases (December–January–
128 February). In this study, the pattern of El Niño was divided into two groups depending
129 on where the peak and persistent anomalies in SST occurred in the tropical Pacific: (1)
130 Eastern Pacific (EP); El Niño occurring in the EP and (2) Central Pacific (CP); El Niño
131 emerging in the CP. This study employed two new indices (Eq. 1) to identify the two
132 types of El Niño event through a simple transformation of the Niño3 and Niño4 indices,
133 as proposed by Ren and Jin (2011):

$$\begin{aligned} N_{CT} &= N_3 - \alpha N_4 \\ N_{WP} &= N_4 - \alpha N_3, \end{aligned} \quad \alpha = \begin{cases} 0.4, & N_3 N_4 > 0 \\ 0, & \text{otherwise.} \end{cases} \quad (1)$$

134 Here, N_3 and N_4 indicate the Niño3 and Niño4 indices, respectively.

135 Assessment of the relative impacts of the IOD and ENSO on rainfall across the ICP
136 was based mainly on composite analyses. During 1979–2018, the effects of ENSO and
137 the IOD were evaluated in terms of rainfall across the ICP during both the wet season
138 (May–October) and the dry season (November–April).

139

140 **2.3. Trend Detection**

141 A nonparametric Mann–Kendall test is commonly used to detect a monotonic pattern in
142 a time series of climate data based on the null hypothesis that the data are independent
143 and sorted randomly (Mann, 1945; Kendall, 1990). The null hypothesis H_0 is random in
144 the order of the sample data ($X_i, i = 1, 2, \dots, n$) and it has no trend, whereas the alternative
145 hypothesis H_1 represents the monotonous tendency of X . The S statistic for Kendall's
146 tau is calculated as follows:



147
$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \text{sgn}(X_j - X_i) \quad (2)$$

148 and

149
$$\text{sgn}(_) = \begin{cases} 1 & \text{if } _ > 0 \\ 0 & \text{if } _ = 0 \\ -1 & \text{if } _ < 0 \end{cases} \quad (3)$$

150 The S statistic is calculated using the following mean and variance:

151
$$E(S) = 0, \quad (4)$$

152
$$V(S) = \frac{n(n-1)(2n+5) - \sum_{m=1}^n t_m m(m-1)(2m+5)}{18}, \quad (5)$$

153 where t_m measures the ties of extent m . The standardized test statistic Z is estimated as
 154 follows:

155
$$Z = \begin{cases} \frac{S-1}{\sqrt{V(S)}} & S > 0 \\ 0 & S = 0 \\ \frac{S+1}{\sqrt{V(S)}} & S < 0 \end{cases} \quad (6)$$

156 The existence of autocorrelation in a dataset affects the probability of detecting a trend
 157 when it does not exist and vice versa, but this is often ignored. Thus, the modified
 158 nonparametric trend test developed by Hamed and Rao (1998) was applied in this
 159 study. The corrected Z value is derived as follows:

160
$$Z = \begin{cases} \frac{S-1}{\sqrt{V^*(S)}} & S > 0 \\ 0 & S = 0 \\ \frac{S+1}{\sqrt{V^*(S)}} & S < 0 \end{cases} \quad (7)$$

161 where

162
$$V^*(S) = V(S) * \frac{n}{n_g^2}, \quad (8)$$



163
$$\frac{n}{n_s^*} = 1 + \frac{2}{n(n-1)(n-2)} * \sum_{i=1}^{n-1} (n-i)(n-i-1)(n-i-2)\rho_S(i) \quad (9)$$

164 where $\rho_S(i)$ is an autocorrelation function of the rank with respect to the observations.
165 The sign of Z represents the trend direction and the magnitude of Z is associated with
166 the significance level, where $|Z| > 1.64$ for the 10 % significance level and $|Z| > 1.96$ for
167 the 5 % significance level.

168

169 **2.4. Intentionally Biased Bootstrapping Method**

170 Bootstrapping analysis is a statistical method that can generate replicated datasets from
171 source data, and it can evaluate the variability of their quantiles without performing
172 separate analytical calculations (Davison et al., 2003). However, the intentionally
173 biased bootstrapping (IBB) technique applied in this study is a method that allows
174 assessment of the relative effects of a response variable by deliberately increasing or
175 decreasing the mean of the explanatory variable to a certain level while resampling it
176 with the response variable (Lee, 2017). A brief description of the IBB analysis process
177 is given below.

178 Among n observations x_i ($i = 1, 2, 3, \dots, n$), suppose that the mean of the
179 generated data is deliberately increased or decreased by $\Delta\mu$ for resampling of the
180 observations with bootstrapping. As a result, high (low) values are likely to be
181 resampled and low (high) values could be less likely to be selected. Thus, IBB can be
182 obtained by allocating different weights $S_{i,n}$ depending on the following observation
183 values (Eq. 10):

184
$$S_{i,n} = i / n. \quad (10)$$



185 The weight $S_{i,n}$ assigned after scaling and adjustment contributes to the
186 probability of selection for the data observed in the IBB procedure. The average of the
187 resampled data can be expressed as in Eq. 11:

$$188 \quad \tilde{\mu} = \frac{1}{\psi} \sum_{i=1}^n S_{i,n} x_i, \quad (11)$$

189 where x_i represents the i -th incremental value and $\psi = \sum_{i=1}^n S_{i,n}$. The average
190 amount of increase or decrease $\Delta\mu$ is shown in Eq. 12:

$$191 \quad \Delta\mu = \frac{1}{\psi} \sum_{i=1}^n S_{i,n} x_i - \frac{1}{n} \sum_{i=1}^n x_i. \quad (12)$$

192 To obtain another value of $\Delta\mu$, the weights can be regeneralized in order of weight
193 sequence (r); thus, $\Delta\tilde{\mu}(r)$ is derived as follows:

$$194 \quad \Delta\tilde{\mu}(r) = \tilde{\mu}(r) - \hat{\mu} = \frac{1}{\psi_r} \sum_{i=1}^n S_{i,n}^r x_i - \frac{1}{n} \sum_{i=1}^n x_i. \quad (13)$$

195 If the average value of increase or decrease is given as $\Delta\mu$, the weight “ r ” can be
196 calculated accordingly. In this study, the selection of the weight sequence was
197 performed using a Self-Organizing Migrating Algorithm (Zelinka, 2004) with the
198 objective function to minimize $[\Delta\mu - \Delta\tilde{\mu}(r)]^2$. In addition, the IBB technique was
199 employed to generate resampled datasets for the IOD and the response to the intensity
200 and frequency of rainfall to identify IOD-sensitive hotspots over the ICP. The statistical
201 significance of the analysis results was assessed using the significance level of the 95th
202 percentiles.

203

204 3. Results

205 3.1. Seasonal Precipitation Patterns across the ICP

206 The ICP is a region in which monsoon rains occur in different seasons in association
207 with seasonal winds and mountain areas. Geographically, the ICP has the Arakan



208 Mountains in the west, the Bilauktung Mountains and the Dawna Mountains in the
209 center, and the Annamese Mountains in the east. Meteorologically, the ICP is divided
210 into three monsoon periods: the southwest monsoon during June–November,
211 southeast monsoon during September–November, and northeast monsoon during
212 November–February. This study considered the wet season (May–October) and the
213 dry season (November–April) to identify the potential impact on regional rainfall
214 associated with atmosphere–ocean feedback in the Indian and Pacific oceans.

215 Figure 3 shows the seasonal average precipitation during the wet and dry seasons
216 across the ICP region during 1979–2018. The total precipitation during the wet season
217 across the ICP is about 1000–1500 mm. In addition, it has been confirmed that
218 precipitation variability is dependent on specific regions (Fig. 3a). The precipitation
219 variability was found to differ significantly between inland (<1000 mm) and coastal
220 areas (>2000 mm). Precipitation on the western coast of Cambodia, coast of western
221 Thailand, and Myanmar during June–November is attributable to the influence of the
222 southwest and southeast monsoons. Moreover, clear difference in precipitation is
223 evident between eastern and western parts of the Arakan Mountains in Myanmar. As
224 water vapor from Bangorman decreases over the mountains, the Arakan Mountains
225 show an arid climate to the east and a pattern of strong precipitation to the west.

226 During the dry season, total precipitation across the ICP is about 150–200 mm,
227 indicating that rainfall variability is not significantly dependent on specific regions
228 (Fig. 3b). In particular, in the dry season, because of the influence of the northeast
229 monsoon during November–February, high rainfall is received in central coastal areas
230 of Vietnam, e.g., near the city of Danang. Similarly, in the case of Myanmar, eastern
231 parts are dry because of the influence of the Arakan Mountains. The climatic
232 characteristics of the ICP are distinctive not only because of the effects of monsoons



233 and mountain areas, but also because of the characteristics of local areas and because of
234 specific temporal effects. The precipitation patterns of the ICP are likely to change
235 according to the characteristics of the wet and dry seasons, as well as because of the
236 influence of ocean-related climate factors (e.g., the IOD and ENSO).

237

238 **3.2. Spatiotemporal Variation in Precipitation over the ICP**

239 Figures 4 and 5 illustrate the long-term trend of precipitation over the ICP during 1979–
240 2018 for the wet and dry seasons, respectively. They show the results of the six major
241 climate change indices that represent the magnitude and frequency of precipitation. For
242 each figure, the direction of the trend is displayed in blue (increase) and red (decrease).
243 Figures 4a, 4b, 5a, and 5b show the long-term trends of PRCPTOT and R95pTOT.
244 These seasonal indices can be used to assess total precipitation. It can be seen that the
245 characteristics of their spatial distribution are similar. During the wet season, there is a
246 noticeable decrease in precipitation at the 5–10 % significance level in northern
247 Cambodia, some parts of Laos, and southern Thailand. In addition, it can be seen that
248 there is a marked trend of increase at the 5–10 % significance level in northwestern
249 Myanmar, parts of western Thailand, central Vietnam, and southern parts of China
250 (Fig. 4a and 4b).

251 During the dry season, there is a noticeable increase in precipitation at the 5–10 %
252 significance level along eastern and southern coastal areas of the ICP (i.e., Vietnam and
253 Cambodia) and some southern coastal regions of Thailand (Fig. 5a and 5b). The
254 R95pTOT climate index also shows a trend of increase in precipitation to the west of
255 the Arakan Mountains in Myanmar (Fig. 5b). Therefore, long-term changes in the
256 pattern of precipitation across the ICP during the wet season show a trend of decrease
257 (increase) in central inland areas (some coastal areas). During the dry season, there is a



258 general trend of increase in precipitation across the ICP. Notably, the trend of increase
259 in precipitation in southeastern coastal areas appears significant.

260 Figures 4c, 4d, 5c, and 5d illustrate the long-term trends in RX1day and SDII. The
261 RX1day and SDII climate indices can be used to assess rainfall intensity. It can be seen
262 that the characteristics of the spatial distribution of the two indices are similar.
263 Moreover, the characteristics of their spatial distribution are also similar to PRCPTOT
264 and R95pTOT. It can be seen that during the rainy season the intensity of rainfall in
265 central and northern Myanmar, central and southern Vietnam, and southern China
266 increases, whereas the rainfall intensity decreases in Laos, Cambodia, northeastern
267 Myanmar, and South Vietnam. During the dry season, rainfall intensity generally
268 increases across the ICP, although it shows a clear pattern of decrease in Laos, as in the
269 wet season.

270 Figures 4e, 4f, 5e, and 5f show the long-term trends in CDD and CWD. The CDD
271 and CWD indices can be used in assessment of droughts and floods, respectively.
272 Therefore, it is unsurprising that the CDD and CWD indices exhibit opposite spatial
273 distribution characteristics. During the rainy season, the CDD value across the ICP
274 largely tends to increase, although it decreases in some coastal areas, e.g., Vietnam. The
275 CWD index shows the reverse tendency.

276 During the dry season, an increase (decrease) of the CDD (CWD) index can be
277 clearly observed at the 5–10 % significance level (Fig. 5e and 5f). The CDD index
278 increases along the southeast coast of the ICP, e.g., in areas of Vietnam, Cambodia, and
279 southern Thailand, whereas the CWD index exhibits the opposite trend. An increase
280 (decrease) in the CDD index suggests that drought is more (less) likely to occur, while a
281 decrease (increase) in the CWD index means that the occurrence of drought is less
282 (more) likely. Therefore, during the rainy season, floods are expected to increase along



283 the southeastern coast of the ICP (e.g., in Vietnam, Cambodia, and Thailand), while
284 drought is more likely to occur during the dry season.

285

286 **3.3. Precipitation Variability Associated with the IOD and ENSO**

287 The IOD, Asian monsoon, and other regional climatological patterns can lead to local
288 or global climate change, particularly in Indian Ocean Rim countries, which can cause
289 severe flooding or droughts depending on IOD variability (Lqbal and Hassan, 2018).
290 Composite analysis can clarify the role of the Southeast Asian Summer Monsoon in
291 precipitation variability across the ICP region associated with years of strong IOD and
292 ENSO, after identifying that tropical climate phenomena are the main factors that
293 influence precipitation variability over the ICP during the wet and dry seasons.
294 However, this role differs depending on the combination of the two climate
295 phenomena and on the season.

296 Figure 6 shows the results of composite rainfall anomalies (shown as a
297 percentage relative to normal) over the ICP during the wet and dry seasons in relation
298 to the IOD and ENSO. The patterns of rainfall anomalies indicate significant
299 difference between positive and negative IOD years. For positive IOD years, the wet
300 season rainfall (Fig. 6a) shows a decrease of <20 % in southern parts of the ICP,
301 whereas there is a marked increase in rainfall centered over the Arakan Mountains in
302 western Myanmar. It can be seen that the amount of rainfall received during the dry
303 season (Fig. 6c) is similar to that in the wet season, but there is 40–50 % less rainfall
304 than usual in certain mainland regions of Southeast Asia, especially Yangon and
305 Mawlamyine in Myanmar and in eastern Cambodia.

306 In negative IOD years, intense positive anomalies of rainfall can be seen in
307 central Cambodia and southern parts of Vietnam. A slight strong-pitched anomaly



308 pattern is evident during the wet season (Fig. 6b) around the coastline of both
309 Bangladesh and Myanmar, whereas weak-pitched positive anomalies (about 10–15 %
310 relative to the long-term average) are found throughout the ICP. However, changes in
311 rainfall pattern are not evident during the dry season (Fig. 6d), and although the
312 amount varies depending on region, rainfall is generally >30–50 % above the
313 long-term average. As in the wet season, the dry season also shows relatively strong
314 positive rainfall patterns with positive anomalies of >80–100 % in Cambodia and both
315 central and southern Vietnam.

316 Sometimes droughts and flooding are likely to converge because of remote
317 connections during IOD–ENSO periods, and they can have significant impact on the
318 modulation of the large-scale oceanic and atmospheric environment, especially in the
319 Indian Ocean and in Pacific Rim countries (Meza, 2013; Mahala et al., 2015; Lqbal
320 and Hassan, 2018). Thus, consideration of both combined and independent effects of
321 ENSO and the IOD on seasonal precipitation variability can provide improved
322 predictive expertise, and reveal new insight into tropical climate change and global
323 warming impacts (Ashok et al., 2001).

324 Figure 7 shows composite rainfall anomalies (November–April) during positive
325 and negative IOD years that coincided with ENSO. During positive IOD and El Niño
326 years (Fig. 7a), there is less rainfall than usual across Thailand, Cambodia, southern
327 Laos, and Vietnam. In particular, southern regions of Myanmar (from Yangon to
328 Mawlamyine) that border the Andaman Sea show a distinct decrease in rainfall by
329 more than 50 % in comparison with the long-term mean (1981–2010). However, in
330 contrast, there is 20–40 % more rainfall than usual in northern parts of the ICP, e.g.,
331 northern Myanmar, northeastern parts of Laos, and Vietnam. Furthermore, in
332 Guangzhou in China, rainfall is up to 60 % higher in comparison with average years.



333 These rainfall signals are stronger in WP El Niño years than in CT El Niño years
334 (figures not shown). During negative IOD and La Niña years (Fig. 7b), rainfall above
335 the long-term average is observed throughout the ICP, except for parts of central Laos
336 and northern Vietnam. The pattern of increased rainfall appears strongly throughout
337 Myanmar and regions around Ho Chi Minh City in Vietnam. However, in the region
338 adjacent to India and Bangladesh, as well as the Shenzhen area of China, strong
339 negative anomalies are evident.

340

341 **3.4. Identification of IOD-Sensitive Hotspots through IBB Simulations**

342 Section 3.3 discussed the significant impact on rainfall anomalies in the ICP
343 attributable to the combined or independent effects of ENSO and the IOD. In particular,
344 both positive IOD events and El Niño and negative IOD events and La Niña interact in
345 modulating rainfall anomalies over the ICP. The IOD and ENSO are strongly correlated
346 and their variations are mutually forced or triggered (Yu and Lau, 2005; Yuan and Li,
347 2008; Lestari and Koh, 2016). For the period 1979–2017, the correlation between the
348 peak phase of the IOD and the two types of El Niño index proposed by Ren and Jin
349 (2011) was analyzed. The results showed the IOD has strong positive correlation with
350 the CT El Niño (N_{CT}) ($\rho = 0.4850$, p-value = 0.0018). However, the IOD also has
351 positive correlation with the WP El Niño (N_{WP}), but not at a statistically significant
352 level ($\rho = 0.110$, p-value = 0.5013). These results are also reflected in the results of
353 the IBB simulation (Fig. 8). Figure 8 shows the results of 1000 simulations for the
354 N_{CT} and N_{WP} indices performed by applying the IBB technique to the IOD index
355 based on historical observations for the period 1979–2017. For applying a +1SD
356 increase of the IOD, the mean difference between the observation of N_{CT} and
357 simulated N_{CT} shows a statistically significant increase at the 95 % significance level



358 (diff. = 0.392, Interquartile range (IQR) = 0.228). However, the difference in the
359 mean value of the N_{WP} index, although increased slightly, is not statistically
360 significant (diff. = 0.097, IQR = 0.094). For applying a $-1SD$ decrease of the IOD, the
361 simulation results show changes similar to the case with a $+1SD$ increase of the IOD
362 (N_{CT} : diff. = 0.360, IQR = 0.108, N_{WP} : diff. = 0.088, IQR = 0.098). Therefore, for
363 changes in the IOD, the linear increase (or decrease) in the N_{CT} index is more
364 pronounced than the change in the N_{WP} index.

365 The spatiotemporal connection between SST and winds shows strong coupling
366 through precipitation and ocean dynamics (Saji et al., 1999). This dipole mode,
367 accounts for about 12 % of SST variability in the Indian Ocean, and its duration of
368 activity can greatly affect both the intensity and the frequency of rainfall in the Indian
369 Ocean Rim countries, including the ICP. Based on statistical simulations of historical
370 observations (1979–2018), Figs. 9 and 10 show rainfall variation and the most
371 sensitive hotspot areas in the wet and dry seasons of the ICP attributable to IOD
372 changes.

373 The spatial distribution of differences in PRCPTOT is shown in Fig. 9, given the
374 condition of a $\pm 1SD$ increase or decrease of the IOD in the wet season. For a $+1SD$
375 increase of the IOD, PRCPTOT is >90 % higher than usual throughout Myanmar, and
376 weak positive anomaly patterns are evident in southwestern China. In contrast, a
377 pattern of decrease of PRCPTOT of 15–20 % less than the long-term average is evident
378 in Cambodia and southern Vietnam, i.e., in areas of the downstream reaches of the
379 Mekong River. However, no statistically significant changes occur in the central ICP
380 region, except in some parts of central Laos and Thailand. This spatial distribution of
381 rainfall anomaly is also found for the RX1day index, although occasional patterns of
382 increase or decrease are evident and the spatial extent is reduced. In addition,



383 throughout Myanmar, the CDD index is decreased by >25 % in comparison with the
384 long-term average year, while the CWD index is increased by 35–50 %. For the CDD
385 index, a statistically significant pattern of decrease is found across Vietnam, Cambodia,
386 and Laos. The most significant changes in the CWD index are across Myanmar
387 (increase of 35–50 %), southern Cambodia, and the southeast coast of Vietnam
388 (decrease of 15–20 %). The other ICP regions generally show a pattern of weak
389 increase in terms of CWD. For a $-1SD$ decrease of the IOD, PRCPTOT, RX1day, and
390 CWD all show distinct patterns of increase in the Laos and Vietnam basins, while the
391 CDD index shows a predominant pattern of decrease, except in certain areas. Analysis
392 indicates that other regions have a reverse pattern compared with the case of the $+1SD$
393 increase of the IOD. Consequently, it is determined that changes in rainfall during the
394 wet season in the ICP region are sensitive to changes in the IOD.

395 Given the condition of a $\pm 1SD$ increase or decrease of the IOD for the dry season,
396 the spatial distribution of the rainfall indices is shown in Fig. 10. For a decrease of
397 $-1SD$ of the IOD, there is more rainfall (PRCPTOT and RX1day) than usual
398 throughout the ICP, especially in Laos and Vietnam. For a $+1SD$ increase of the IOD,
399 negative anomaly patterns of PRCPTOT are dominant in southern Vietnam, eastern
400 Cambodia, and northeastern Thailand, while weak patterns of positive anomaly are
401 evident in areas of Myanmar and South China. Compared with the changes in the
402 rainfall indices during the wet season, changes in the rainfall indices are intensified
403 and the spatial influence is more extensive. However, for the CDD and CWD indices,
404 either the positive anomaly patterns are weakened or negative anomaly patterns
405 appear for a $+1SD$ increase of the IOD. Especially for the CWD index, a pattern of
406 decrease by more than 10–20 % compared with the long-term average is found in
407 Thailand, whereas the Myanmar region shows a pattern of increase of 15–25 %. In



408 this study, we simulated the changes in both wet and dry season rainfall across the
409 ICP according to intentional IOD changes, and IOD-sensitive hotspots were verified
410 through quantitative analysis. The findings of this study could help elucidate the
411 long-term changes in rainfall expected in the ICP region in a changing climate.

412

413 **4. Summary and Conclusions**

414 This study analyzed changes in the magnitude and frequency of precipitation during the
415 dry and wet seasons over the ICP, taking into account both the dipole mode in the
416 tropical Indian Ocean and SST warming in the Pacific Ocean. The main results are
417 summarized in the following.

- 418 1. According to analyses of the long-term trend in seasonal rainfall across the ICP
419 during 1979–2018, rainfall showed significant decreases in northern Cambodia,
420 parts of Laos, and southern Thailand during the wet season (May–October).
421 Moreover, significant increases were evident in northwestern Myanmar, some
422 parts of western Thailand, central Vietnam, and southern China. During the dry
423 season (November–April), PRCPTOT rose noticeably in eastern and southern
424 coastal areas of the ICP (i.e., Vietnam and Cambodia) and some southern
425 coastal regions of Thailand.
- 426 2. During the wet season, the CDD index increased and decreased in some coastal
427 areas such as Vietnam. However, during the dry season, increases in CDD and
428 decreases in CWD were evident in the ICP. In particular, a pattern of decline in
429 CWD dominated southeastern coastal areas of the ICP, including Vietnam,
430 Cambodia, and southern Thailand.
- 431 3. The IOD showed strong positive correlation with the CT El Niño. However,
432 although the IOD exhibited positive correlation with the WP El Niño, the



433 relationship was not statistically significant. The variability of rainfall across
434 the ICP was confirmed amplified by combined and independent effects of
435 ENSO and the IOD. During years of positive IOD and El Niño, there was less
436 rainfall than usual throughout Thailand and Cambodia, southern Laos, and
437 Vietnam. In particular, the southern part of Myanmar, which borders the
438 Andaman Sea, showed a decrease in regional rainfall of >50 % in comparison
439 with the long-term average. In contrast, northern parts of India and China,
440 including Myanmar, northeastern Laos, and Vietnam, received 20–40 % more
441 rainfall than usual. Years with a negative IOD mode and La Niña showed
442 rainfall above the long-term average across the ICP, except for certain parts,
443 e.g., Central Laos and northern Vietnam.

444 4. Through application of the IBB technique, this study simulated the change of
445 rainfall across the ICP for the wet and dry seasons according to intentional IOD
446 changes, and IOD-sensitive hotspots were verified through quantitative analysis.
447 For the wet season, a +1SD increase of the IOD resulted in >90 % more
448 PRCPTOT than usual across Myanmar in the northwestern ICP. Conversely, in
449 Cambodia and southern Vietnam, rainfall patterns were 15–20 % less than the
450 long-term average in the region of the lower Mekong River. In addition, the
451 CDD index decreased throughout Myanmar by >25 % compared with the
452 long-term average. The most significant change in the CWD index was in
453 Myanmar, i.e., a 35–50 % increase. However, a pattern of decrease appeared
454 across the southeastern coast of the ICP in southern Cambodia and Vietnam.
455 For a +1SD increase of the IOD in the dry season, negative anomaly patterns of
456 PRCPTOT were found dominant in South Vietnam, eastern Cambodia, and
457 northeastern Thailand, and more rainfall than usual occurred throughout the



458 ICP, especially in Laos and Vietnam, when considering a $-1SD$ decrease of the
459 IOD.

460

461 Although the results of this study are based on limited observations, they provide a
462 clear perspective on the sensitivity of local precipitation to atmosphere–ocean
463 interactions, and they reveal the potential future impact of statistical changes to the IOD,
464 improving our understanding of the associated regional impact on precipitation under
465 the effects of climate change.

466 **Author contribution:** conceptualization, J.K., S.Y., and T.L.; Formal analysis, J.K.;
467 Methodology, T.L. and J.K.; resources, J.K. and L.X.; writing—original draft
468 preparation, P.X., S.Y., and J.K.; writing—review and editing, L.X. and T.L.

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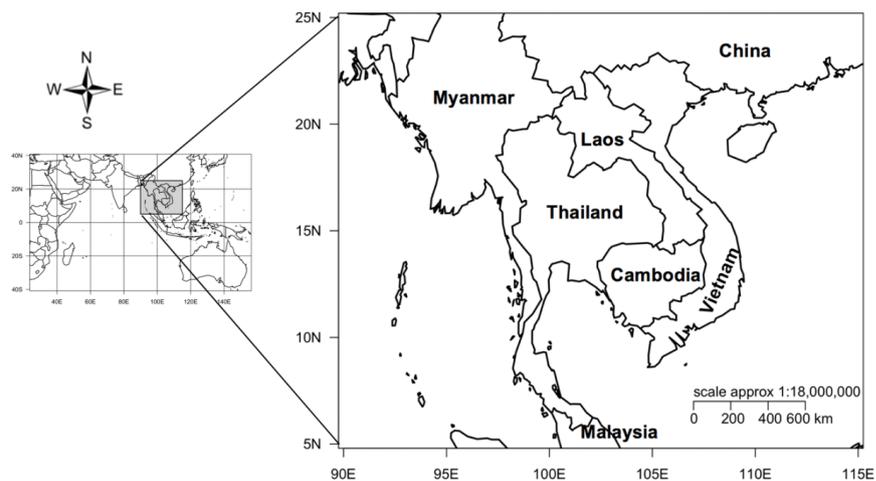
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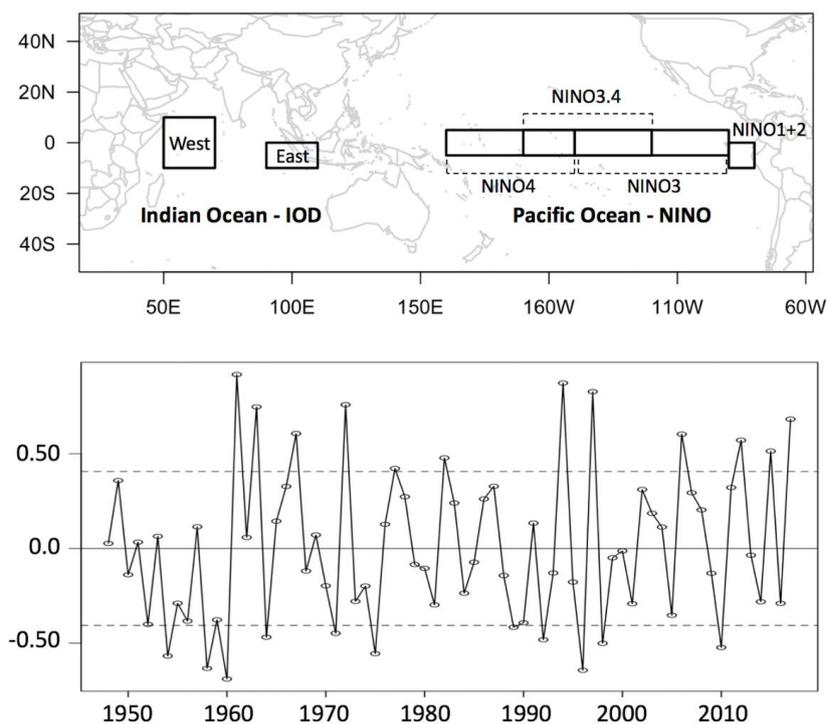


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618
619 **Figure 1.** Map of the Indochina Peninsula (5°–25° N, 90°–115° E).

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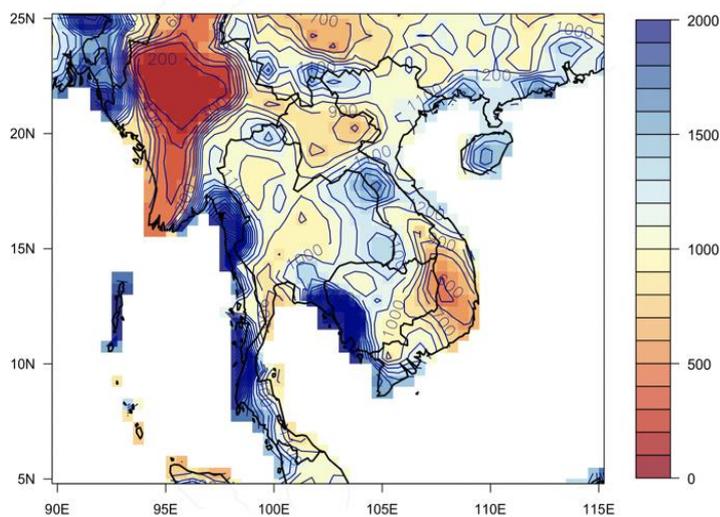


621
622 **Figure 2.** Dipole mode in the tropical Indian Ocean (TIO) and Niño region in the Pacific
623 Ocean. The Indian Ocean Dipole (IOD) index is defined based on the sea surface temperature
624 anomaly difference between the western (10° S–10° N, 50°–70° E) and southeastern (10° S to
625 the equator, 90°–110° E) regions of the TIO shown in the upper panel. In the lower panel, the
626 IOD time series during 1948–2017 is shown by the solid line, and the $\pm 1SD$ of the IOD is
627 marked by dotted lines.

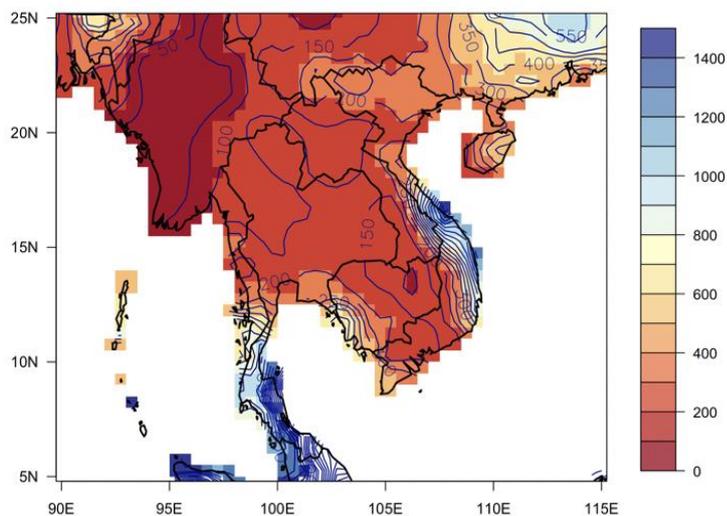
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a. Seasonal Total Precipitation (May-October)

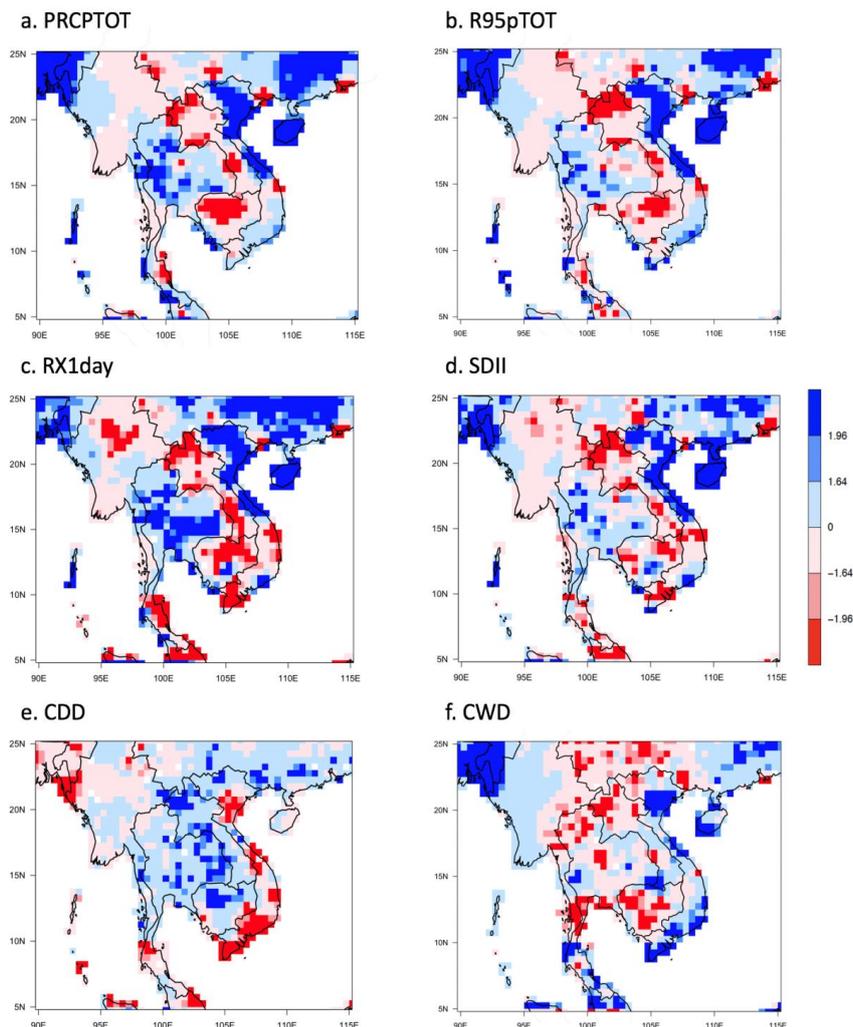


b. Seasonal Total Precipitation (November-April)



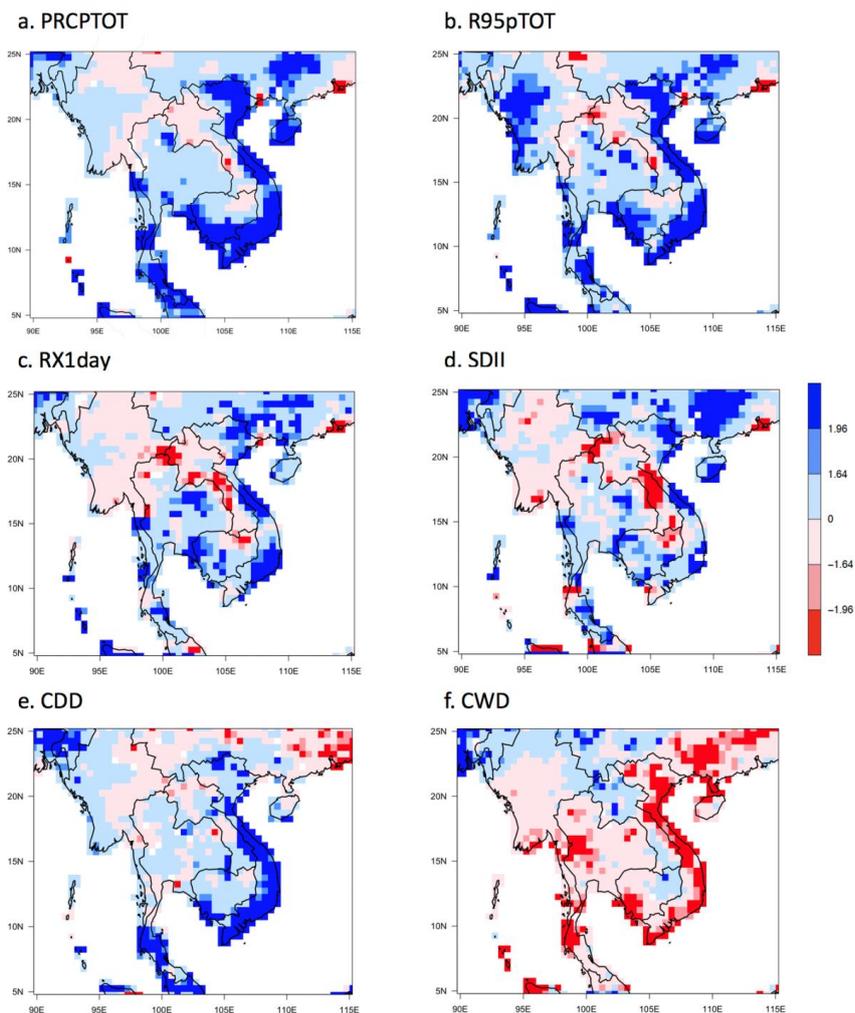
629
630 **Figure 3.** Average precipitation (mm) during the (a) wet and (b) dry seasons (1979–2018).

631



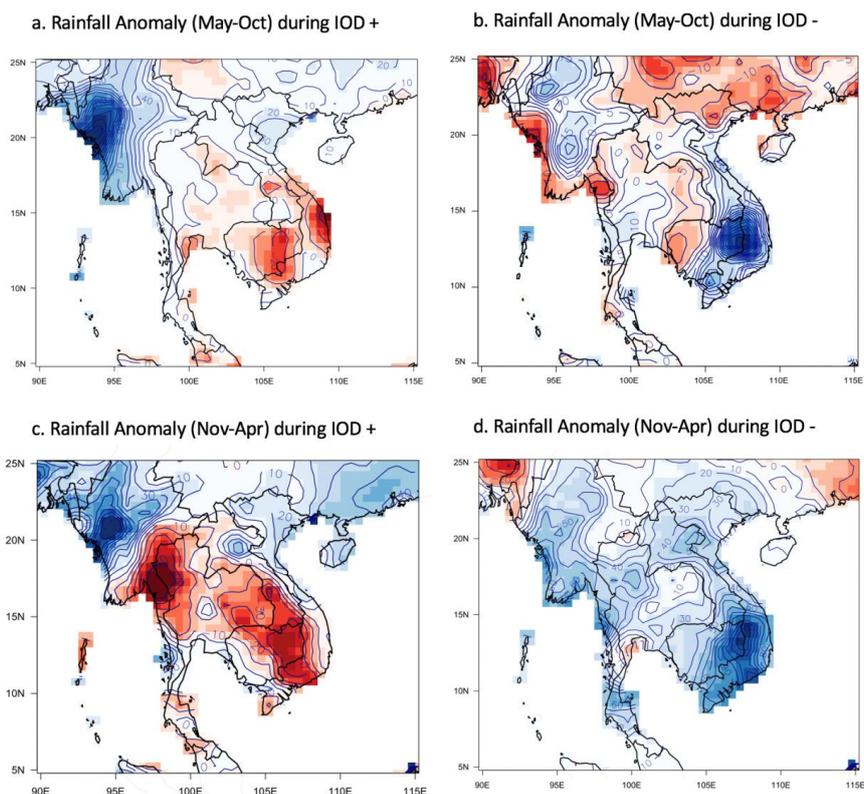
632
633 **Figure 4.** Long-term trend in seasonal precipitation for the wet season (May–October) over
634 the ICP during 1979–2018. (a)–(f) show the analysis results of the six major climate change
635 indices that reflect the magnitude and frequency of precipitation. In each panel, positive and
636 negative trends are displayed in blue and red, respectively. The magnitude of Z is associated
637 with the significance level, i.e., $|Z| > 1.64$ is for the 10 % significance level and $|Z| > 1.96$ is
638 for the 5 % significance level.

639



640
641 **Figure 5.** Same as Fig. 4 but for seasonal precipitation during the dry season (November–
642 April).

643



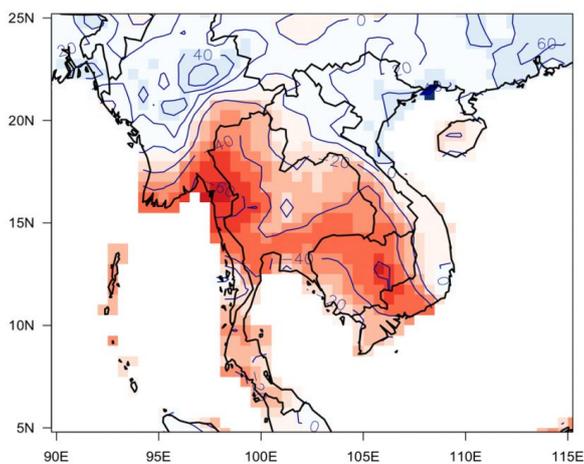
644

645 **Figure 6.** Composite of seasonal rainfall anomaly (%) during positive and negative IOD
646 years: (a) rainfall anomaly in wet season during positive IOD years, (b) rainfall anomaly in
647 wet season during negative IOD years, (c) rainfall anomaly in dry season during positive IOD
648 years, and (d) rainfall anomaly in dry season during negative IOD years. Positive (negative)
649 values show increasing (decreasing) rainfall departure from the long-term average (1981–
650 2010).

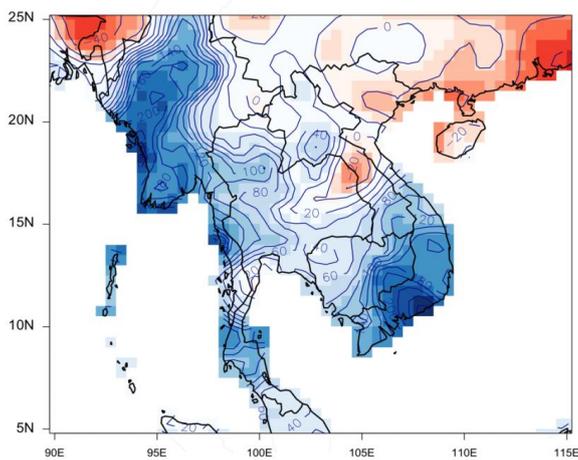
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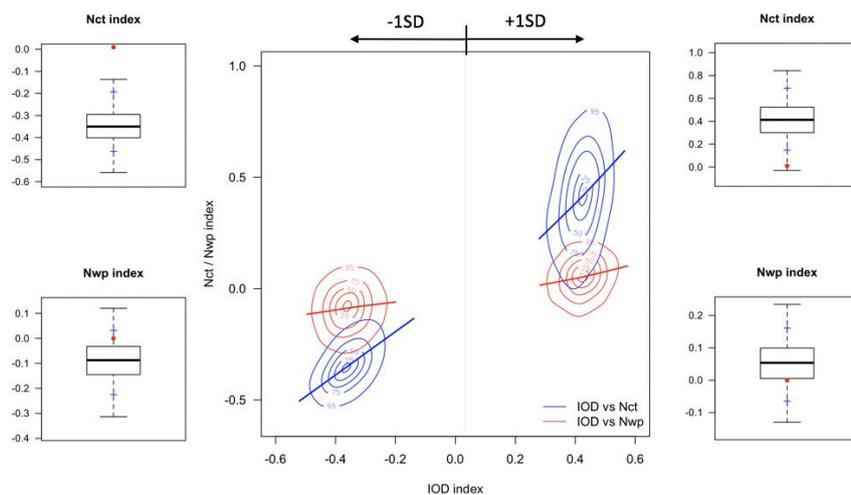
a. Rainfall Anomaly during IOD + & El Niño



b. Rainfall Anomaly during IOD - & La Niña



652
653 **Figure 7.** Composite rainfall anomaly in dry season (November–April) associated with the
654 IOD and ENSO: (a) rainfall anomaly during years with positive IOD and El Niño, and (b)
655 rainfall anomaly during years with negative IOD and La Niña. Positive (negative) values
656 show increasing (decreasing) rainfall departure from the long-term average (1981–2010).

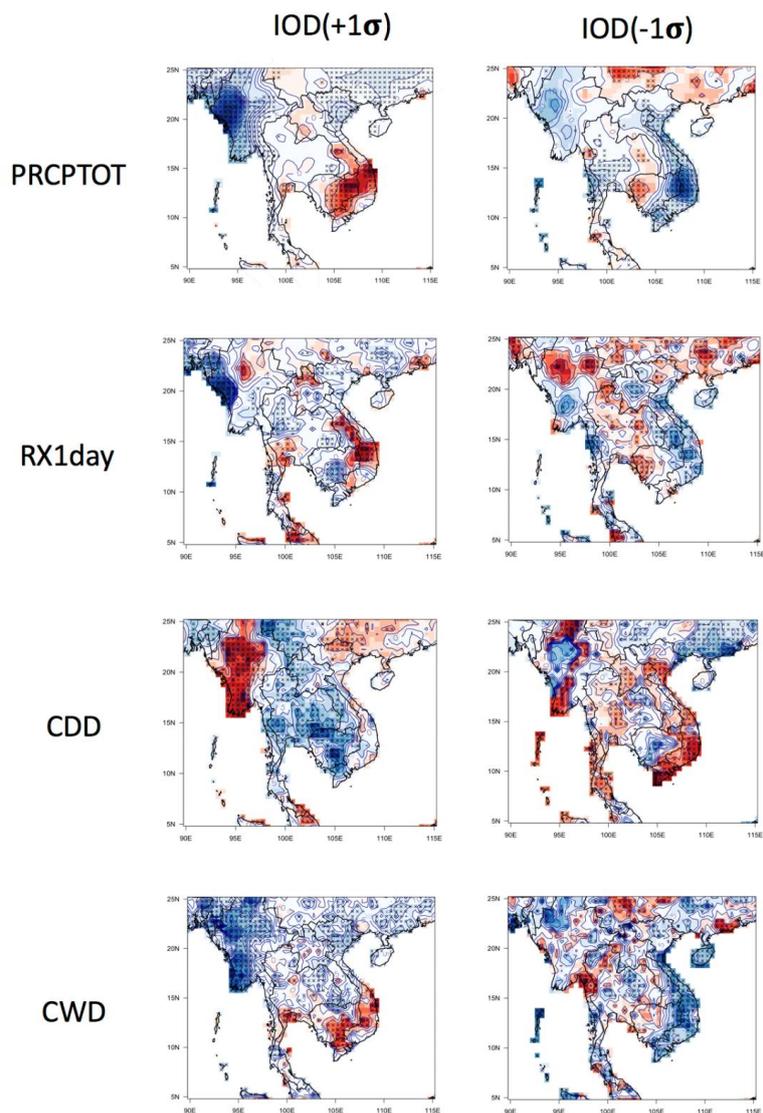


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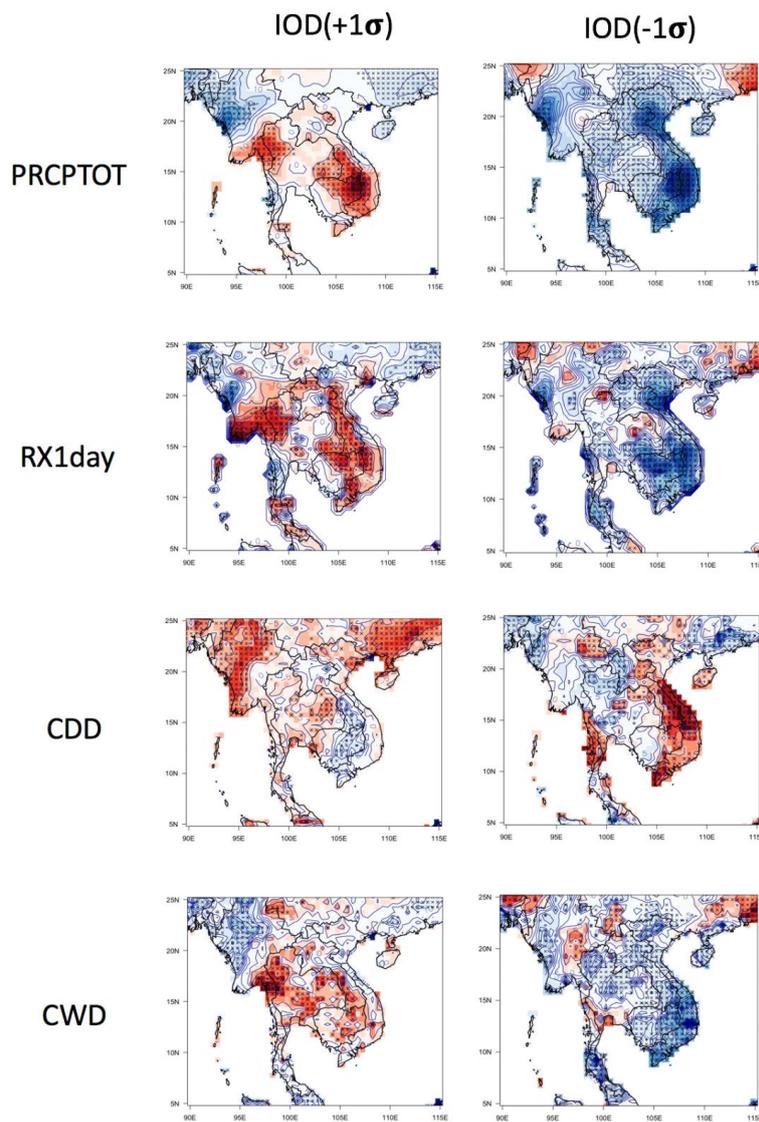
658 **Figure 8.** Mean differences of the two types of El Niño with $\pm 1SD$ of the IOD. In the main
659 panel, contours (5th, lower quadrant, median, upper volatile, and 95th level) summarize the
660 IOD index and Nct or Nwp index using the intentionally biased bootstrapping model. Both
661 left and right panels deliberately apply $\pm 1SD$ of the IOD to show results of 1000 simulations
662 for the Nct and Nwp indices. Red dots in each panel represent the average value of the
663 observations.

664

665



666
667 **Figure 9.** Spatial distributions of the percentage changes in major precipitation indices for the
668 wet season (May–October) over the ICP region for intentional increases (+1SD) or decreases
669 (–1SD) of the IOD index using the intentionally biased bootstrapping simulation. In each
670 panel, the statistically significant area of change at the 95 % significance level is shown by an
671 “x” symbol.



672

673 **Figure 10.** Same as Fig. 9 but for the dry season (November–April) over the ICP region.

674